



# Transfer Out - Depository Trust and Clearing (DTC) Transfer Form

For use when requesting a Charitable Gift or Outbound partial transfer to another financial institution.

**Mail or Fax to:**

PO Box 2760 ■ Omaha, NE 68103-2760

Fax: 866-468-6268

*Richard KAW*

### 1. ACCOUNT INFORMATION

Account Number: [REDACTED]	Contact Number: [REDACTED]
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### 2. ELECTRONIC TRANSFER INSTRUCTIONS

- Charitable Gift  
For tax purposes, please contact recipient of coming donation. Consult with a tax professional on any tax issues. Please review the guidelines below to make sure your transfer can be processed.  
- For all gift transfers, the date of gift is the date the transfer is completed.  
If a charitable contribution, I certify that it is bona fide. TD Ameritrade Clearing, Inc. may release my name to the receiving charitable organization unless I indicate otherwise by initialing here \_\_\_\_\_.
- Partial Transfer  
Other than charitable contributions, if transfers are not between like-titled accounts, the third party information below must be completed. Partial account transfers between brokerages via an electronic system.  
Pursuant to the provisions of the USA Patriot Act of 2001, TD Ameritrade needs specific information from our clients regarding certain transactions, including Third Party Transfers. For this reason, we do ask for a specific purpose for Third Party Transfers.  
**Please Note:** Not all Third Party Transfer requests can be facilitated as the request may be outside TD Ameritrade's scope of business.

Reason for Third Party Transfer:	Relationship:
Receiving Firm Name: <i>Interactive Brokers LLC</i>	DTC Number: <i>534</i>
Receiving Account Title: <i>Southern Trust Company Inc.</i>	Receiving Account Number: [REDACTED]

### 3. ASSETS TO BE TRANSFERRED

Only whole shares can be requested, as fractional shares cannot be processed. I understand that any trading could delay the processing of this request.

Symbol/CUSIP	# of Shares	Symbol/CUSIP	# of Shares	Symbol/CUSIP	# of Shares
<i>30162RAA9</i>	<i>3,000,000</i>				

Please list additional shares from transfer on a separate piece of paper

If you would like to select a specific lot to be transferred other than your current lot relief selection, please submit a signed and dated letter of instruction (LOI) along with your Partial Transfer Form. The LOI will need to include: Asset Description or Symbol, Number of Whole Shares, Date Purchased and Current Cost per Share.

*\*If lots are not specified prior to the settlement of the transfer, your transfer default tax lot identification method will be used. Please note, your default tax lot identification method for transfers may be different than what you selected for trades. To view available lots for the transfer, please log into your account and access the Gain/Loss Report, which can be located under My Account > Gain Loss.*

I (we) authorize TD Ameritrade-Clearing, Inc. to transfer the above-listed security as indicated. I understand that not all securities are eligible for direct registration transfer.

### 4. SIGNATURE AND SIGNATURE GUARANTEE STAMPS (Please sign, print, and mail or fax this form to initiate the transfer request.)

<input checked="" type="checkbox"/> Account Owner's Signature:	Date: <i>5/23/2019</i>
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Signature Guarantee Stamp: \_\_\_\_\_

<input checked="" type="checkbox"/> Account Co-Owner's Signature:	Date:
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Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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# CONFIDENTIAL

**From:** "KOFAX PROD PROCESS" <[REDACTED]>  
**Date:** Thu, 23 May 2019 15:12:30 -0400  
**To:** [REDACTED]  
**Subject:** Re: Transfer - Transfer Resolution //- messageID=105187413 //-  
 caseID=58961141  
**Attachments:** Attachment\_20776690.zip

From Address: [REDACTED]

Message ID: 105187413

Case ID: 58961141

?attached is transfer form  
thank you

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On 05/23/19 12:26 PM Message Center Client Services wrote:  
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Dear Jeffrey Epstein,

We've submitted your request to process a total transfer out of your TD Ameritrade account. However, you currently hold an asset that Charles Schwab cannot hold (CUSIP 30162RAA9). You may choose to sell the remaining position and transfer the cash proceeds as a residual sweep following the settlement of the trade or you can leave the asset in your TD Ameritrade account.

If you have any questions or concerns, or if we can help you in any way, just let us know. You can simply reply to this message, or call Client Services 24/7 at 800-669-3900.

Thank you for your assistance with this ? and for choosing TD Ameritrade.

Sincerely,

Melinda McMurphy  
Asset Transfer Services  
TD Ameritrade

TDA 1001030 EM 04/18

(KMM105179581V21143L0KM)  
[ Attachment 1 Type: application/pdf Name: TD\_Transfer\_Form\_SFLLC\_TD\_to\_IB\_Southern\_Trust\_signed.pdf]

**CONFIDENTIAL**

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EFTA\_00122795

EFTA01268274