



Transfer Out - Depository Trust and Clearing (DTC) Transfer Form

For use when requesting a Charitable Gift or Outbound partial transfer to another financial institution.

Mail or Fax to:
PO Box 2760 ■ Omaha, NE 68103-2760
Fax: 866-468-6268

Richard KAW

1. ACCOUNT INFORMATION

Account Number:	[REDACTED]	Contact Number:	[REDACTED]
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2. ELECTRONIC TRANSFER INSTRUCTIONS

- Charitable Gift
For tax purposes, please contact recipient of coming donation. Consult with a tax professional on any tax issues. Please review the guidelines below to make sure your transfer can be processed.
- For all gift transfers, the date of gift is the date the transfer is completed.
If a charitable contribution, I certify that it is bona fide. TD Ameritrade Clearing, Inc. may release my name to the receiving charitable organization unless I indicate otherwise by initialing here _____.
- Partial Transfer
Other than charitable contributions, if transfers are not between like-titled accounts, the third party information below must be completed. Partial account transfers between brokerages via an electronic system.
Pursuant to the provisions of the USA Patriot Act of 2001, TD Ameritrade needs specific information from our clients regarding certain transactions, including Third Party Transfers. For this reason, we do ask for a specific purpose for Third Party Transfers.
Please Note: Not all Third Party Transfer requests can be facilitated as the request may be outside TD Ameritrade's scope of business.

Reason for Third Party Transfer: <i>ID told us to Leave Relationship</i>	Relationship: <i>Affiliated Parent Company</i>
Receiving Firm Name: <i>Interactive Brokers LLC</i>	[REDACTED]
Receiving Account Title: <i>Suzanne Trust Company Inc.</i>	[REDACTED]

3. ASSETS TO BE TRANSFERRED

Only whole shares can be requested, as fractional shares cannot be processed. I understand that any trading could delay the processing of this request.

Symbol/CUSIP	# of Shares	Symbol/CUSIP	# of Shares	Symbol/CUSIP	# of Shares
[REDACTED]	<i>3,000,000</i>				

Please list additional shares from transfer on a separate piece of paper

If you would like to select a specific lot to be transferred other than your current lot relief selection, please submit a signed and dated letter of instruction (LOI) along with your Partial Transfer Form. The LOI will need to include: Asset Description or Symbol, Number of Whole Shares, Date Purchased and Current Cost per Share.

If lots are not specified prior to the settlement of the transfer, your transfer default tax lot identification method will be used. Please note, your default tax lot identification method for transfers may be different than what you selected for trades. To view available lots for the transfer, please log into your account and access the Gain/Loss Report, which can be located under My Account > Gain Loss.

I (we) authorize TD Ameritrade Clearing, Inc. to transfer the above-listed security as indicated. I understand that not all securities are eligible for direct registration transfer.

4. SIGNATURE AND SIGNATURE GUARANTEE STAMPS (Please sign, print, and mail or fax this form to initiate the transfer request.)

<input checked="" type="checkbox"/> Account Owner's Signature:	[Signature]	Date:	<i>5/23/2019</i>
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Signature Guarantee Stamp:

<input checked="" type="checkbox"/> Account Co-Owner's Signature:		Date:	
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Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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From: "KOFAX PROD PROCESS" <TeamT2ECMEngineering@tdameritrade.com>
Date: Wed, 29 May 2019 09:27:25 -0400
To: tdarprocessing@tdameritrade.com
Subject: Re: Transfer Issue - Transfer Resolution //- messageID=105241509 //-
 caseID=58992969
Attachments: Attachment_20796811.zip

From Address: 867730320@inbox.amtd.com

Message ID: 105241509

Case ID: 58992969

?attached is form with missing information
thank you

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On 05/28/19 3:45 PM Message Center Client Services wrote:
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Dear Jeffrey Epstein,

We've received your request to process a DTC (Depository Trust Company) transfer out of your TD Ameritrade account, but before we can finish processing it we'll need some additional information:

The instructions on the transfer request are incomplete. Please complete the Relationship and Reason fields on the transfer form.

How to Send Us Your Information

In most cases, you can provide us with the necessary information by simply including it when you reply to this email, or by calling a TD Ameritrade Transfer Specialist at 888-723-8504, option 4. We're available Monday through Friday from 9 a.m. to 5:30 pm ET (excluding market holidays).

If a New Transfer Form Is Required

Please complete the attached copy, including any information mentioned above, then sign it and return it to us. To send it electronically, scan it, along with any additional material needed, then reply to this message and attach your paperwork. If you'd prefer, you can fax or mail your paperwork using our contact information provided at the top of page 1 of the transfer form.

Please be sure to include your TD Ameritrade account number on any material you send us.

If you have any questions or concerns, or if we can help you in any way, just let us know. You can simply reply to this message, or call Client Services 24/7 at 800-669-3900.

Thank you for your assistance with this ? and for choosing TD Ameritrade.

Sincerely,

Mike Northrop
Asset Transfer Services
TD Ameritrade

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SDNY_GM_00012204

EFTA_00122855

EFTA01268319

TDA 1001030 EM 04/18

(KMM105240450V76228L0KM)

[Attachment 1 Type: application/pdf Name: TD_Transfer_Form_SFLLC_TD_to_IB_Southern_Trust_signed1.pdf]

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EFTA_00122856

EFTA01268320