

From: [REDACTED]
To: [REDACTED]
Subject: TD Ameritrade
Date: Monday, May 12, 2014 4:02:46 PM

Hi Mr. Epstein,

It was a pleasure speaking with you today. As your Investment Consultant, I want to ensure that we are meeting your expectations, and that we are helping you to be a successful investor. I am available to schedule a phone appointment with you, monthly or quarterly, to review the account, and to discuss any questions or concerns you may have.

As discussed, please feel free to contact me directly if I can be of assistance to you or to Mr. Galloway – my contact info is listed below. For any Client Service issues, you may reach our 24/7 Client Services team at [REDACTED]

I look forward to working with you. Have a great day!

Best,
Paulina S.

Paulina Sepulveda

Investment Consultant

TD Ameritrade

16830 Collins Avenue, Sunny Isles Beach, FL 33160
[REDACTED]

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From: Sepulveda, Paulina
Sent: Monday, May 05, 2014 5:06 PM
To: [REDACTED]
Cc: 'Bruce Galloway'; [REDACTED]
Subject: TD Ameritrade

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Hello Mr. Epstein,

I am your new Investment Consultant here in Sunny Isles Beach, FL. I wanted to reach out and offer assistance to with your investment needs. I also wanted to provide you with an update - Mr. Galloway and Mr. Indyke have provided me with the requirements to get started, and I will be sending everyone the funding instructions once we have approval on the paperwork this week.

Mr. Indyke mentioned that you may be available for a quick call on Thursday. I am available as well - I welcome the opportunity to discuss what you are seeking as an investor, and to make sure that we can assist you with what you need. Please feel free to contact me directly by responding to this email or by calling me directly at [REDACTED] I look forward to working with you.

Have a nice evening!

Best Regards,
Paulina S.

Paulina Sepulveda

Investment Consultant

TD Ameritrade

16830 Collins Avenue, Sunny Isles Beach, FL 33160
[REDACTED]

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