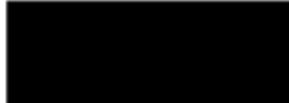
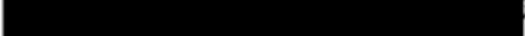


From: [Richard Kahn](#)
To: [Chad Markland](#)
Cc: [Jeanne Brennan](#); [Alpha Group Capital](#)
Subject: Re: SF LLC
Date: Friday, May 31, 2019 11:11:53 AM

thank you very much..

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022

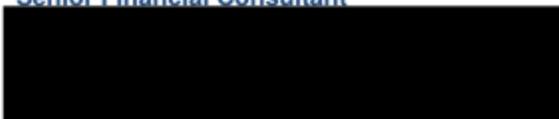


On May 31, 2019, at 10:58 AM, 
 wrote:

Hey Rich,

I'm already on it, been working with my back office for 45 minutes. Found the person that processed it incorrectly, they are now in the process of correcting it. Give me until 12 pm est, and I'll give you a call to status, or I'll call you before then if it is resolved faster than that. I apologize for the inconvenience, I tried to avoid this potential issue by getting ahead of it yesterday. I'll get it taken care of, no worries.

Chad Markland
Senior Financial Consultant



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<image001.png>
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From: Richard Kahn [mailto: [REDACTED]]
Sent: Friday, May 31, 2019 9:54 AM
To: Markland, Chad W
Subject: Re: SF LLC

<~WRD000.jpg> [Delete](#)
[Move to...](#)

[Download](#) | [Print](#) | [New window](#)

From: 867730320z|Date: 05/31/19 9:53 AM|Message available until 05/30/21.
I see that only 3,000 of 3,000,000 quantity was transferred
please advise
thank you

rich

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
[REDACTED]

On May 30, 2019, at 11:44 AM, [REDACTED] wrote:

Hey Rich,

I confirmed with my back office and transfers team, no issues so far with the DTC transfer request submitted 05/29/19. I also had them a note to clarify the quantity just to make sure, but per usual I will keep an eye on it for you now that I'm in the office today and tomorrow. The expectation is that they should get it moving this afternoon.

Feel free to let me know if you have any other questions around it. As a heads up, there is a tiny amount of cash still in the account as well (from interest that posted the same

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day the wire of the cash went out).

Thank you,

Chad Markland
Senior Financial Consultant



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<image001.png>
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From: Richard Kahn [mailto:[\[REDACTED\]](mailto:)]
Sent: Wednesday, May 22, 2019 8:18 AM
To: Markland, Chad W
Cc: Jeanne Brennan
Subject: SF LLC

can you please look into 3,000,000 of Excelsa bond that never transferred to Schwab..
thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue, 4th Floor
New York, NY 10022



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