

From: [Paul Barrett](#)
To: Rafael.Otoya@tdameritrade.com
Cc: [Richard Kahn](#)
Subject: RE: Transfer of Assets Form
Date: Thursday, October 5, 2017 3:10:16 PM
Attachments: [image003.png](#)

Hi Rafael

Please call me. We need to update the cost basis for the positions moved in.

Thanks

Paul Barrett

Alpha Group Capital LLC
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From: Rafael.Otoya@tdameritrade.com [mailto:Rafael.Otoya@tdameritrade.com]
Sent: Monday, September 25, 2017 12:57 PM
To: [REDACTED]
Cc: Paul Barrett <[REDACTED]>
Subject: Transfer of Assets Form

Hello, Richard & Paul. Here is the Transfer of Assets form, per my conversation with Paul.

Also, to check on the status of the Trading Authorization I was told that the resolution will be sent via our "Communication Center" in our website www.tdameritrade.com.

Kind regards,

Rafael

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EFTA01268397



Rafael Otoya

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Service and Trading: 800-669-3900

Option 1 - Quotes

Option 2 - Automated phone system for trading/quotes

Option 3 - To speak with a representative

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