



October 2, 2019

Via Email Transmission



Re: Grand Jury Subpoena re: Jeffrey Epstein
TDA No.: LIT-9061

Dear Mr. Rossmiller:

TD Ameritrade, Inc. (TDA) is in receipt of the above referenced Subpoena. In response to the subpoena, TDA has provided the following documents:

- A. Account Application for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- B. Account Statements covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- C. Wire Movements (deposits/withdrawals) covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- D. Check Images (deposits/withdrawals) covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- E. Account Transfers covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- F. IP History covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- G. Correspondence and emails covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- H. Account Notes covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein
- I. All Account Forms, Applications, Photo IDs and/or Signature Cards covering the time period from inception to present for account number [REDACTED] in the name of Southern Financial LLC Attn: Jeffrey Epstein.
- J. Declaration of Custodian of Records.

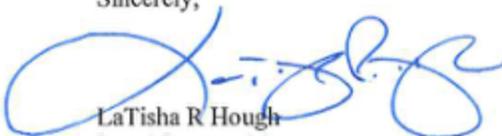


TDA No.: LIT-9061
October 2, 2019
Page 2

TDA has conducted a good faith search to identify, gather and produce documents responsive to the Subpoena. TDA reserves the right to supplement its response if additional responsive documents are located.

The documents listed above are provided via secured email transmission. Please feel free to contact me at 402-575-6562 or at ZLegalProcess@tdameritrade.com should you have any questions or need further assistance in the matter.

Sincerely,



LaTisha R Hough
Legal Process Manager