



UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# Resource Management Account

February 2014

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Your investment objectives:**

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Investment eligibility consideration - None selected

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your account instructions**

- Your account cost basis default closing method is FIFO, First In, First Out.

## Value of your account

	on January 31 (\$)	on February 28 (\$)
Your assets	0.00	1,806,597.05
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$0.00</b>	<b>\$1,806,597.05</b>

## Change in the value of your account

	February 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$0.00</b>	<b>\$0.00</b>
Deposits, including investments transferred in	1,793,384.45	1,793,384.45
Change in market value	13,212.60	13,212.60
<b>Closing account value</b>	<b>\$1,806,597.05</b>	<b>\$1,806,597.05</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	February 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$0.00</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	52,594.17	52,594.17
<b>Total additions</b>	<b>\$52,594.17</b>	<b>\$52,594.17</b>
<b>Net cash flow</b>	<b>\$52,594.17</b>	<b>\$52,594.17</b>
<b>Closing balances</b>	<b>\$52,594.17</b>	<b>\$52,594.17</b>

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 (\$)	Closing balance on Feb 28 (\$)	Price per share on Feb 28 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	0.00	52,594.17					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Feb 28 (\$)	Value on Feb 28 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR Symbol: ABB Exchange: NYSE EAI: \$1,125 Current yield: 2.99%		1,475,000	---This information was unavailable---		25.480	37,583.00		
ALSTOM ADR Symbol: ALSMY Exchange: OTC EAI: \$356 Current yield: 2.77%		4,875,000	---This information was unavailable---		2.636	12,850.50		
ASTRAZENECA PLC SPON ADR Symbol: AZN Exchange: NYSE EAI: \$1,022 Current yield: 4.13%		365,000	---This information was unavailable---		67.760	24,732.40		
BAE SYSTEMS PLC SPON ADR Symbol: BAESY Exchange: OTC EAI: \$1,289 Current yield: 4.66%		1,000,000	---This information was unavailable---		27.650	27,650.00		

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 28 (\$)	Value on Feb 28 (\$)	Unrealized gain or loss (\$)	Holding period
BAYER A G SPON ADR Symbol: BAYRY Exchange: OTC EAI: \$442 Current yield: 1.28%		245.000	---This information was unavailable---		141.220	34,598.90		
BHP BILLITON LTD SPON ADR Symbol: BHP Exchange: NYSE EAI: \$1,027 Current yield: 3.43%		435.000	---This information was unavailable---		68.900	29,971.50		
BNP PARIBAS SA ADR Symbol: BNPQY Exchange: OTC EAI: \$811 Current yield: 1.66%		1,195.000	---This information was unavailable---		40.870	48,839.65		
BOC HONG KONG HOLDINGS LTD SPON ADR Symbol: BHKLY Exchange: OTC EAI: \$1,749 Current yield: 5.19%		555.000	---This information was unavailable---		60.690	33,682.95		
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol: BTI Exchange: AMEX EAI: \$2,777 Current yield: 4.33%		590.000	---This information was unavailable---		108.790	64,186.10		
DEUTSCHE TELEKOM AG DE SPON ADR Symbol: DTEGY Exchange: OTC EAI: \$3,826 Current yield: 5.31%		4,270.000	---This information was unavailable---		16.869	72,030.63		
DEUTSCHE POST AG SPON ADR Symbol: DPSGY Exchange: OTC EAI: \$1,206 Current yield: 2.38%		1,360.000	---This information was unavailable---		37.320	50,755.20		
GDF SUEZ SPON ADR Symbol: GDFZY Exchange: OTC EAI: \$2,685 Current yield: 5.33%		1,980.000	---This information was unavailable---		25.440	50,371.20		
GLAXO SMITHKLINE PLC ADR Symbol: GSK Exchange: NYSE EAI: \$2,506 Current yield: 4.41%		1,015.000	---This information was unavailable---		55.940	56,779.10		
HSBC HOLDINGS PLC NEW GB SPON ADR Symbol: HSBC Exchange: NYSE EAI: \$2,748 Current yield: 4.55%		1,145.000	---This information was unavailable---		52.790	60,444.55		

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 28 (\$)	Value on Feb 28 (\$)	Unrealized gain or loss (\$)	Holding period
IMPERIAL TOBACCO GROUP PLC SPON ADR Symbol: ITYBY Exchange: OTC EAI: \$1,961 Current yield: 4.66%		515.000	---	---	81.750	42,101.25		
KIRIN HOLDINGS LTD SPON ADR Symbol: KNBWY Exchange: OTC EAI: \$834 Current yield: 2.02%		3,010.000	---	---	13.710	41,267.10		
LUKOIL OIL CO SPON ADR (25 RUBLES) Symbol: LUKOY Exchange: OTC EAI: \$3,784 Current yield: 6.72%		1,035.000	---	---	54.400	56,304.00		
MTN GROUP LTD SPON ADR Symbol: MTNOY Exchange: OTC EAI: \$1,929 Current yield: 4.04%		2,625.000	---	---	18.200	47,775.00		
MUNICH RE GROUP ADR Symbol: MURGY Exchange: OTC EAI: \$1,618 Current yield: 2.83%		2,610.000	---	---	21.870	57,080.70		
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol: NSRIGY Exchange: OTC EAI: \$2,146 Current yield: 2.70%		1,055.000	---	---	75.440	79,589.20		
NIPPON TELEG & TEL CORP SPON ADR Symbol: NTT Exchange: NYSE EAI: \$1,613 Current yield: 2.58%		2,210.000	---	---	28.240	62,410.40		
NOVARTIS AG SPON ADR Symbol: NVS Exchange: NYSE EAI: \$1,723 Current yield: 2.12%		975.000	---	---	83.180	81,100.50		
ORKLA ASA A SHS SPON ADR Symbol: ORKLY Exchange: OTC EAI: \$1,399 Current yield: 4.05%		4,115.000	---	---	8.400	34,566.00		
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$841 Current yield: 3.11%		690.000	---	---	39.230	27,068.70		

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 28 (\$)	Value on Feb 28 (\$)	Unrealized gain or loss (\$)	Holding period
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,497 Current yield: 2.54%		3,540.000	---	---	16.630	58,870.20		
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,440 Current yield: 4.62%		400.000	---	---	77.920	31,168.00		
SANOFI SPON ADR Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.54%		1,195.000	---	---	51.840	61,948.80		
SIEMENS A G SPON ADR Symbol: SI Exchange: NYSE EAI: \$1,507 Current yield: 2.26%		500.000	---	---	133.130	66,565.00		
SINGAPORE TELECOM LTD NEW 2006 SPON ADR Symbol: SGAPY Exchange: OTC EAI: \$2,249 Current yield: 4.46%		1,765.000	---	---	28.560	50,408.40		
SMITHS GROUP PLC SPON ADR Symbol: SMGZY Exchange: OTC EAI: \$961 Current yield: 2.64%		1,575.000	---	---	23.150	36,461.25		
STATOIL ASA SPON ADR Symbol: STO Exchange: NYSE EAI: \$1,237 Current yield: 3.24%		1,450.000	---	---	26.340	38,193.00		
TAIWAN SEMICONDUCTOR MFG CO LTD ADR Symbol: TSM Exchange: NYSE EAI: \$333 Current yield: 2.22%		830.000	---	---	18.070	14,998.10		
TESCO PLC SPONS ADR UNITED KINGDOM Symbol: TSCDY Exchange: OTC EAI: \$980 Current yield: 3.89%		1,520.000	---	---	16.590	25,216.80		
TOTAL S.A. FRANCE SPON ADR Symbol: TOT Exchange: NYSE EAI: \$2,941 Current yield: 4.24%		1,070.000	---	---	64.900	69,443.00		

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 28 (\$)	Value on Feb 28 (\$)	Unrealized gain or loss (\$)	Holding period
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol: UN Exchange: NYSE EAI: \$1,992 Current yield: 3.09%		1,630,000	---This information was unavailable---		39.560	64,482.80		
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,186 Current yield: 2.92%		1,250,000	---This information was unavailable---		32.500	40,625.00		
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC		2,025,000	---This information was unavailable---		30.560	61,884.00		
<b>Total</b>						<b>\$1,754,002.88</b>		

Total estimated annual income: \$59,314

Your total assets

		Value on Feb 28 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>52,594.17</b>	<b>2.91%</b>	<b>52,594.17</b>		
<b>Equities</b>	<b>* Common stock</b>	<b>1,754,002.88</b>	<b>97.09%</b>		<b>59,314.00</b>	
<b>Total</b>		<b>\$1,806,597.05</b>	<b>100.00%</b>	<b>\$52,594.17</b>	<b>\$59,314.00</b>	

\* Missing cost basis information.

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Feb 26	Deposit	CHECK DEPOSIT	52,594.17
	<b>Total deposits and other funds credited</b>			<b>\$52,594.17</b>

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Account activity this month (continued)

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Feb 26	Receive Dtc	ABB LTD SPON ADR CUSIP: 000375204	1,475.000	37,258.50				
Feb 26	Receive Dtc	ALSTOM ADR CUSIP: 021244207	4,875.000	12,675.00				
Feb 26	Receive Dtc	ASTRAZENECA PLC SPON ADR CUSIP: 046353108	365.000	24,747.00				
Feb 26	Receive Dtc	BAE SYSTEMS PLC SPON ADR CUSIP: 05523R107	1,000.000	27,570.00				
Feb 26	Receive Dtc	BNP PARIBAS SA ADR CUSIP: 05565A202	1,195.000	48,266.05				
Feb 26	Receive Dtc	BAYER A G SPON ADR CUSIP: 072730302	245.000	33,699.75				
Feb 26	Receive Dtc	BHP BILLITON LTD SPON ADR CUSIP: 088606108	435.000	30,036.75				
Feb 26	Receive Dtc	BRITISH AMER TOBACCO PLC GB SPON ADR CUSIP: 110448107	590.000	62,056.20				
Feb 26	Receive Dtc	BOC HONG KONG HOLDINGS LTD SPON ADR CUSIP: 096813209	555.000	33,651.87				
Feb 26	Receive Dtc	DEUTSCHE TELEKOM AG DE SPON ADR CUSIP: 251566105	4,270.000	72,077.60				
Feb 26	Receive Dtc	DEUTSCHE POST AG SPON ADR CUSIP: 25157Y202	1,360.000	50,220.72				
Feb 26	Receive Dtc	GDF SUEZ SPON ADR CUSIP: 36160B105	1,980.000	47,559.60				
Feb 26	Receive Dtc	GLAXO SMITHKLINE PLC ADR CUSIP: 37733W105	1,015.000	57,063.30				
Feb 26	Receive Dtc	HSBC HOLDINGS PLC NEW GB SPON ADR CUSIP: 404280406	1,145.000	59,872.05				
Feb 26	Receive Dtc	IMPERIAL TOBACCO GROUP PLC SPON ADR CUSIP: 453142101	515.000	41,788.64				

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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Feb 26	Receive Dtc	KIRIN HOLDINGS LTD SPON ADR CUSIP: 497350306	3,010.000	40,123.30				
Feb 26	Receive Dtc	MTN GROUP LTD SPON ADR CUSIP: 62474M108	2,625.000	47,985.00				
Feb 26	Receive Dtc	MUNICH RE GROUP ADR CUSIP: 626188106	2,610.000	56,610.90				
Feb 26	Receive Dtc	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR CUSIP: 641069406	1,055.000	79,019.50				
Feb 26	Receive Dtc	NIPPON TELEG & TEL CORP SPON ADR CUSIP: 654624105	2,210.000	62,498.80				
Feb 26	Receive Dtc	NOVARTIS AG SPON ADR CUSIP: 66987V109	975.000	81,324.75				
Feb 26	Receive Dtc	LUKOIL OIL CO SPON ADR (25 RUBLES) CUSIP: 677862104	1,035.000	57,649.50				
Feb 26	Receive Dtc	ORKLA ASA A SHS SPON ADR CUSIP: 686331109	4,115.000	33,660.70				
Feb 26	Receive Dtc	P.T. TELEKOMUNIKASI INDONESIA SPON ADR CUSIP: 715684106	690.000	26,868.60				
Feb 26	Receive Dtc	RECKITT BENCKISER PLC SPON ADR CUSIP: 756255204	3,540.000	59,436.60				
Feb 26	Receive Dtc	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR CUSIP: 780259107	400.000	31,328.00				
Feb 26	Receive Dtc	SMITHS GROUP PLC SPON ADR CUSIP: 83238P203	1,575.000	36,288.00				
Feb 26	Receive Dtc	SANOFI SPON ADR CUSIP: 80105N105	1,195.000	61,494.70				
Feb 26	Receive Dtc	SINGAPORE TELECOM LTD NEW 2006 SPON ADR CUSIP: 82929R304	1,765.000	50,620.20				
Feb 26	Receive Dtc	SIEMENS A G SPON ADR CUSIP: 826197501	500.000	65,850.00				

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Page 39 of 48

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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Feb 26	Receive Dtc	STATOIL ASA SPON ADR CUSIP: 85771P102	1,450.000	38,077.00				
Feb 26	Receive Dtc	TAIWAN SEMICONDUCTOR MFG CO LTD ADR CUSIP: 874039100	830.000	14,798.90				
Feb 26	Receive Dtc	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR CUSIP: 904784709	1,630.000	63,879.70				
Feb 26	Receive Dtc	TOTAL S.A. FRANCE SPON ADR CUSIP: 89151E109	1,070.000	67,859.40				
Feb 26	Receive Dtc	TESCO PLC SPONS ADR UNITED KINGDOM CUSIP: 881575302	1,520.000	24,943.20				
Feb 26	Receive Dtc	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR CUSIP: 911271302	1,250.000	40,937.50				
Feb 26	Receive Dtc	ZURICH INS GROUP LTD SPON ADR CUSIP: 909825104	2,025.000	60,993.00				

**Total**

**Securities transferred in** **\$1,740,790.28**

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Jan 31</b>	<b>Balance forward</b>		<b>\$0.00</b>
	Feb 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	52,594.17
	<b>Feb 28</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$52,594.17</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED], 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/ubsweeps](http://www.ubs.com/ubsweeps) or from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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## Important information about your statement (continued)

### Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosures](http://www.ubs.com/accountdisclosures).

### Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

### • Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

### • Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

### • Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

### • Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# Resource Management Account

March 2014

GHISLAINE MAXWELL  
[REDACTED]  
NEW YORK NY [REDACTED]

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED] [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

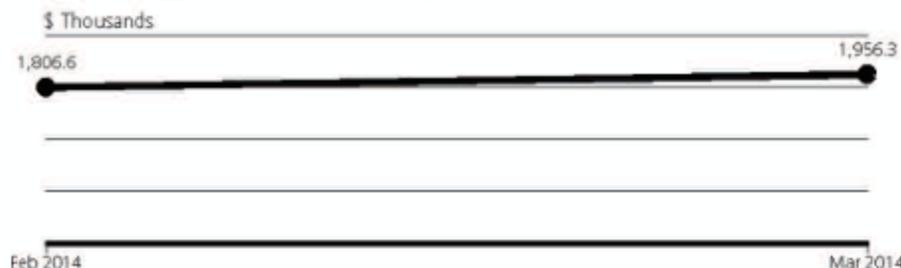
**Items for your attention**

- If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to [ubs.com/onlineservices](http://ubs.com/onlineservices).

## Value of your account

	on February 28 (\$)	on March 31 (\$)
Your assets	1,806,597.05	1,956,311.16
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,806,597.05</b>	<b>\$1,956,311.16</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,936,689.18
Your investment return:	
Dividend and interest income	\$513.38
Change in market value	\$19,108.60
<b>Value of your account on Mar 31, 2014</b>	<b>\$1,956,311.16</b>

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## Your account balance sheet

### Summary of your assets

	Value on March 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	80,806.79	4.13%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,875,504.37	95.87%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,956,311.16</b>	<b>100.00%</b>

**Value of your account** **\$1,956,311.16**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	March 2014	Year to date
S&P 500	0.84%	1.81%
Russell 3000	0.53%	1.97%
MSCI - Europe, Australia & Far East	-0.57%	0.77%
Barclays Capital U.S. Aggregate Bond Index	-0.17%	1.84%

#### Interest rates on March 31, 2014

3-month Treasury bills: 0.04%  
One-month LIBOR: 0.15%

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## Change in the value of your account

	March 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,806,597.05</b>	<b>\$0.00</b>
Deposits, including investments transferred in	143,304.73	1,936,689.18
Dividend and interest income	513.38	513.38
Change in market value	5,896.00	19,108.60
<b>Closing account value</b>	<b>\$1,956,311.16</b>	<b>\$1,956,311.16</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	March 2014 (\$)	Year to date (\$)
Taxable dividends	513.30	513.30
Taxable interest	0.08	0.08
<b>Total current year</b>	<b>\$513.38</b>	<b>\$513.38</b>
<b>Total dividend &amp; interest</b>	<b>\$513.38</b>	<b>\$513.38</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	March 2014 (\$)	Year to date (\$)	
Short term	0.00	0.00	69,515.13
Long term	0.00	0.00	82,156.98
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$151,672.11</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	March 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$52,594.17</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	27,699.24	80,293.41
Dividend and interest income	513.38	513.38
<b>Total additions</b>	<b>\$28,212.62</b>	<b>\$80,806.79</b>
<b>Net cash flow</b>	<b>\$28,212.62</b>	<b>\$80,806.79</b>
<b>Closing balances</b>	<b>\$80,806.79</b>	<b>\$80,806.79</b>

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## UBS Bank USA Deposit Account APY

Interest period Feb 7 - Mar 6

Opening UBS Bank USA Deposit balance Feb 7	\$0.00
Closing UBS Bank USA Deposit balance Mar 6	\$52,594.25
Number of days in interest period	28
Average daily balance	\$15,026.91
Interest earned	\$0.08
Annual percentage yield earned	0.01%

### Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Investment eligibility consideration - None selected

### Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 31 (\$)	Price per share on Mar 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	1,033.35					
UBS BANK USA DEP ACCT	52,594.17	79,773.44					250,000.00
<b>Total</b>	<b>\$52,594.17</b>	<b>\$80,806.79</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,125 Current yield: 2.96%								
	Jan 29, 13	825.000	21.573	17,797.89	25.790	21,276.75	3,478.86	LT
	Apr 22, 13	650.000	21.211	13,787.15	25.790	16,763.50	2,976.35	ST
Security total		1,475.000	21.414	31,585.04		38,040.25	6,455.21	
ALSTOM ADR								
Symbol: ALSMY Exchange: OTC								
EAI: \$356 Current yield: 2.74%								
	Aug 20, 13	2,425.000	3.634	8,812.78	2.670	6,474.75	-2,338.03	ST
	Aug 21, 13	2,450.000	3.656	8,958.13	2.670	6,541.50	-2,416.63	ST
Security total		4,875.000	3.645	17,770.91		13,016.25	-4,754.66	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 4.32%								
	Jan 29, 13	210.000	50.130	10,527.30	64.880	13,624.80	3,097.50	LT
	Apr 22, 13	85.000	51.335	4,363.53	64.880	5,514.80	1,151.27	ST
	Jul 12, 13	70.000	49.441	3,460.92	64.880	4,541.60	1,080.68	ST
<b>Security total</b>		<b>365.000</b>	<b>50.279</b>	<b>18,351.75</b>		<b>23,681.20</b>	<b>5,329.45</b>	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,289 Current yield: 4.60%								
	Jan 29, 13	1,000.000	22.160	22,160.00	28.030	28,030.00	5,870.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$515 Current yield: 1.55%								
	Jan 29, 13	200.000	99.560	19,912.00	135.260	27,052.00	7,140.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	135.260	6,086.70	1,507.50	ST
<b>Security total</b>		<b>245.000</b>	<b>99.964</b>	<b>24,491.20</b>		<b>33,138.70</b>	<b>8,647.50</b>	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,027 Current yield: 3.48%								
	Jan 29, 13	320.000	78.520	25,126.50	67.770	21,686.40	-3,440.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	67.770	2,033.10	117.15	ST
	Jul 12, 13	85.000	61.002	5,185.23	67.770	5,760.45	575.22	ST
<b>Security total</b>		<b>435.000</b>	<b>74.087</b>	<b>32,227.68</b>		<b>29,479.95</b>	<b>-2,747.73</b>	
<b>BNP PARIBAS SA ADR</b>								
Symbol: BNPQY Exchange: OTC								
EAI: \$872 Current yield: 1.88%								
	Jan 9, 14	710.000	40.003	28,402.76	38.720	27,491.20	-911.56	ST
	Jan 13, 14	485.000	39.735	19,271.70	38.720	18,779.20	-492.50	ST
<b>Security total</b>		<b>1,195.000</b>	<b>39.895</b>	<b>47,674.46</b>		<b>46,270.40</b>	<b>-1,404.06</b>	
<b>BOC HONG KONG HOLDINGS LTD SPON ADR</b>								
Symbol: BHKLY Exchange: OTC								
EAI: \$1,749 Current yield: 5.55%								
	Jan 29, 13	175.000	69.539	12,169.48	56.810	9,941.75	-2,227.73	LT
	Apr 22, 13	220.000	66.403	14,608.84	56.810	12,498.20	-2,110.64	ST
	Jul 12, 13	160.000	62.770	10,043.20	56.810	9,089.60	-953.60	ST
<b>Security total</b>		<b>555.000</b>	<b>66.345</b>	<b>36,821.52</b>		<b>31,529.55</b>	<b>-5,291.97</b>	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX EAI: \$2,777 Current yield: 4.22%								
	Jan 29, 13	340.000	104.097	35,393.08	111.430	37,886.20	2,493.12	LT
	Apr 22, 13	150.000	107.325	16,098.78	111.430	16,714.50	615.72	ST
	Jul 12, 13	100.000	105.544	10,554.44	111.430	11,143.00	588.56	ST
<b>Security total</b>		590.000	105.163	62,046.30		65,743.70	3,697.40	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC EAI: \$2,840 Current yield: 4.10%								
	Sep 16, 13	1,275.000	13.387	17,069.45	16.220	20,680.50	3,611.05	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	16.220	18,653.00	3,290.15	ST
	Sep 18, 13	990.000	13.393	13,259.17	16.220	16,057.80	2,798.63	ST
	Oct 10, 13	855.000	15.481	13,236.68	16.220	13,868.10	631.42	ST
<b>Security total</b>		4,270.000	13.801	58,928.15		69,259.40	10,331.25	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC EAI: \$1,206 Current yield: 2.38%								
	Jan 29, 13	640.000	23.900	15,296.00	37.200	23,808.00	8,512.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	37.200	26,784.00	10,525.25	ST
<b>Security total</b>		1,360.000	23.202	31,554.75		50,592.00	19,037.25	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$2,685 Current yield: 4.96%								
	Jan 29, 13	720.000	20.779	14,960.95	27.340	19,684.80	4,723.85	LT
	Apr 22, 13	380.000	20.919	7,949.37	27.340	10,389.20	2,439.83	ST
	Jul 12, 13	215.000	20.144	4,330.97	27.340	5,878.10	1,547.13	ST
	Aug 21, 13	285.000	22.200	6,327.10	27.340	7,791.90	1,464.80	ST
	Aug 22, 13	140.000	22.508	3,151.20	27.340	3,827.60	676.40	ST
	Aug 23, 13	240.000	22.418	5,380.51	27.340	6,561.60	1,181.09	ST
<b>Security total</b>		1,980.000	21.263	42,100.10		54,133.20	12,033.10	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,506 Current yield: 4.62%								
	Jan 29, 13	330.000	45.615	15,052.95	53.430	17,631.90	2,578.95	LT
	Feb 13, 13	320.000	45.645	14,606.46	53.430	17,097.60	2,491.14	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	245.000	50.630	12,404.47	53.430	13,090.35	685.88	ST
	Jul 12, 13	120.000	52.904	6,348.48	53.430	6,411.60	63.12	ST
Security total		1,015.000	47.697	48,412.36		54,231.45	5,819.09	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol: HSBC Exchange: NYSE EAI: \$2,805 Current yield: 4.82%								
	Jan 29, 13	570.000	56.908	32,437.90	50.830	28,973.10	-3,464.80	LT
	Apr 22, 13	430.000	52.120	22,411.73	50.830	21,856.90	-554.83	ST
	Jul 12, 13	145.000	54.904	7,961.20	50.830	7,370.35	-590.85	ST
Security total		1,145.000	54.857	62,810.83		58,200.35	-4,610.48	
IMPERIAL TOBACCO GROUP PLC SPON ADR								
Symbol: ITYBY Exchange: OTC EAI: \$1,961 Current yield: 4.68%								
	Apr 25, 13	60.000	70.891	4,253.51	81.360	4,881.60	628.09	ST
	Apr 26, 13	375.000	70.792	26,547.34	81.360	30,510.00	3,962.66	ST
	Jul 12, 13	80.000	68.375	5,470.00	81.360	6,508.80	1,038.80	ST
Security total		515.000	70.429	36,270.85		41,900.40	5,629.55	
KIRIN HOLDINGS LTD SPON ADR								
Symbol: KNBWY Exchange: OTC EAI: \$834 Current yield: 2.00%								
	Apr 3, 13	1,250.000	16.177	20,221.50	13.850	17,312.50	-2,909.00	ST
	Apr 22, 13	600.000	16.320	9,792.00	13.850	8,310.00	-1,482.00	ST
	Jul 12, 13	325.000	16.380	5,323.50	13.850	4,501.25	-822.25	ST
	Aug 5, 13	400.000	15.655	6,262.36	13.850	5,540.00	-722.36	ST
	Aug 6, 13	435.000	15.888	6,911.50	13.850	6,024.75	-886.75	ST
Security total		3,010.000	16.117	48,510.86		41,688.50	-6,822.36	
LUKOIL OIL CO SPON ADR (25 RUBLES)								
Symbol: LUKOY Exchange: OTC EAI: \$3,784 Current yield: 6.54%								
	Jan 29, 13	410.000	67.030	27,482.30	55.925	22,929.25	-4,553.05	LT
	Feb 13, 13	35.000	66.951	2,343.29	55.925	1,957.37	-385.92	LT
	Apr 22, 13	435.000	58.678	25,524.97	55.925	24,327.37	-1,197.60	ST
	Jul 12, 13	155.000	60.812	9,425.94	55.925	8,668.37	-757.57	ST
Security total		1,035.000	62.586	64,776.50		57,882.37	-6,894.14	

continued next page

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,116 Current yield: 3.92%								
	Jan 29, 13	1,660.000	19.580	32,502.80	20.550	34,113.00	1,610.20	LT
	Apr 22, 13	590.000	17.390	10,260.10	20.550	12,124.50	1,864.40	ST
	Jul 12, 13	375.000	19.219	7,207.31	20.550	7,706.25	498.94	ST
Security total		2,625.000	19.036	49,970.21		53,943.75	3,973.54	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC								
EAI: \$1,801 Current yield: 3.15%								
	Jan 29, 13	1,650.000	18.280	30,162.00	21.940	36,201.00	6,039.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	21.940	9,324.50	1,018.21	ST
	Jul 12, 13	535.000	19.059	10,196.73	21.940	11,737.90	1,541.17	ST
Security total		2,610.000	18.646	48,665.02		57,263.40	8,598.38	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,146 Current yield: 2.70%								
	Jan 29, 13	570.000	70.160	39,991.20	75.220	42,875.40	2,884.20	LT
	Apr 22, 13	290.000	69.918	20,276.22	75.220	21,813.80	1,537.58	ST
	Jul 12, 13	195.000	67.417	13,146.41	75.220	14,667.90	1,521.49	ST
Security total		1,055.000	69.587	73,413.83		79,357.10	5,943.27	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE								
EAI: \$1,613 Current yield: 2.68%								
	Jul 22, 13	1,700.000	26.445	44,957.18	27.240	46,308.00	1,350.82	ST
	Aug 6, 13	510.000	26.309	13,417.69	27.240	13,892.40	474.71	ST
Security total		2,210.000	26.414	58,374.87		60,200.40	1,825.53	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$1,723 Current yield: 2.08%								
	Jan 29, 13	590.000	67.877	40,047.61	85.020	50,161.80	10,114.19	LT
	Apr 22, 13	240.000	72.512	17,403.02	85.020	20,404.80	3,001.78	ST
	Jul 12, 13	145.000	73.450	10,650.38	85.020	12,327.90	1,677.52	ST
Security total		975.000	69.847	68,101.01		82,894.50	14,793.49	

continued next page

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$2,757 Current yield: 7.84%								
	Jan 21, 14	710.000	8.052	5,717.56	8.550	6,070.50	352.94	ST
	Jan 22, 14	220.000	8.190	1,801.84	8.550	1,881.00	79.16	ST
	Jan 23, 14	315.000	8.282	2,608.92	8.550	2,693.25	84.33	ST
	Jan 24, 14	185.000	8.147	1,507.25	8.550	1,581.75	74.50	ST
	Jan 27, 14	135.000	8.019	1,082.58	8.550	1,154.25	71.67	ST
	Jan 28, 14	265.000	8.045	2,132.08	8.550	2,265.75	133.67	ST
	Jan 29, 14	390.000	7.991	3,116.53	8.550	3,334.50	217.97	ST
	Jan 30, 14	245.000	7.849	1,923.23	8.550	2,094.75	171.52	ST
	Jan 31, 14	260.000	7.878	2,048.46	8.550	2,223.00	174.54	ST
	Feb 3, 14	185.000	7.794	1,441.96	8.550	1,581.75	139.79	ST
	Feb 4, 14	235.000	7.671	1,802.69	8.550	2,009.25	206.56	ST
	Feb 5, 14	245.000	7.727	1,893.29	8.550	2,094.75	201.46	ST
	Feb 6, 14	165.000	7.561	1,247.70	8.550	1,410.75	163.05	ST
	Feb 7, 14	310.000	7.528	2,333.87	8.550	2,650.50	316.63	ST
	Feb 10, 14	250.000	7.609	1,902.25	8.550	2,137.50	235.25	ST
Security total		4,115.000	7.913	32,560.21		35,183.25	2,623.04	
P.T. TELEKOMUNIKASI INDONESIA								
SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$841 Current yield: 3.10%								
	Jan 29, 13	690.000	39.442	27,215.25	39.370	27,165.30	-49.95	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,497 Current yield: 2.57%								
	Jan 29, 13	2,590.000	13.560	35,120.40	16.480	42,683.20	7,562.80	LT
	Apr 22, 13	510.000	14.360	7,323.60	16.480	8,404.80	1,081.20	ST
	Jul 12, 13	440.000	14.331	6,305.99	16.480	7,251.20	945.21	ST
Security total		3,540.000	13.771	48,749.99		58,339.20	9,589.21	
ROCHE HLDG LTD SPONS ADR SWITZ								
ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$972 Current yield: 1.84%								
	Jan 29, 13	1,100.000	27.545	30,299.50	37.720	41,492.00	11,192.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	37.720	11,316.00	2,235.69	ST

continued next page

**CONFIDENTIAL**



Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE EAI: \$1,440 Current yield: 4.61%								
	Jan 29, 13	185.000	74.585	13,798.31	78.110	14,450.35	652.04	LT
	Apr 22, 13	215.000	65.856	14,159.06	78.110	16,793.65	2,634.59	ST
<b>Security total</b>								
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.52%								
	Jan 29, 13	720.000	48.754	35,103.55	52.280	37,641.60	2,538.05	LT
	Apr 22, 13	275.000	52.654	14,480.06	52.280	14,377.00	-103.06	ST
	Jul 12, 13	200.000	52.424	10,484.90	52.280	10,456.00	-28.90	ST
<b>Security total</b>								
SIEMENS A G SPON ADR								
Symbol: SI Exchange: NYSE EAI: \$1,507 Current yield: 2.23%								
	Jan 29, 13	250.000	110.110	27,527.50	135.150	33,787.50	6,260.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	135.150	27,030.00	7,003.16	ST
	Jul 12, 13	50.000	107.256	5,362.83	135.150	6,757.50	1,394.67	ST
<b>Security total</b>								
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,249 Current yield: 4.38%								
	Jan 29, 13	1,060.000	28.260	29,955.60	29.116	30,862.96	907.36	LT
	Apr 22, 13	440.000	29.820	13,121.15	29.116	12,811.04	-310.11	ST
	Jul 12, 13	265.000	30.551	8,096.17	29.116	7,715.74	-380.43	ST
<b>Security total</b>								
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$959 Current yield: 2.83%								
	Jan 29, 13	1,245.000	19.750	24,588.75	21.500	26,767.50	2,178.75	LT
	Apr 22, 13	330.000	18.685	6,166.05	21.500	7,095.00	928.95	ST
<b>Security total</b>								

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Page 59 of 86

**CONFIDENTIAL**

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,237 Current yield: 3.02%								
	Jan 29, 13	610.000	26.533	16,185.31	28.220	17,214.20	1,028.89	LT
	Apr 22, 13	205.000	25.765	5,281.88	28.220	5,785.10	503.22	LT
	Apr 22, 13	170.000	23.274	3,956.72	28.220	4,797.40	840.68	ST
	Apr 22, 13	135.000	25.785	3,481.05	28.220	3,809.70	328.65	LT
	Jul 12, 13	330.000	21.423	7,069.66	28.220	9,312.60	2,242.94	ST
Security total		1,450.000	24.810	35,974.62		40,919.00	4,944.38	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$333 Current yield: 2.00%								
	Jan 29, 13	800.000	17.800	14,240.00	20.020	16,016.00	1,776.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.020	600.60	47.56	ST
Security total		830.000	17.823	14,793.04		16,616.60	1,823.56	
<b>TESCO PLC SPONS ADR UNITED KINGDOM</b>								
Symbol: TSCDY Exchange: OTC								
EAI: \$980 Current yield: 4.32%								
	Jan 29, 13	435.000	17.210	7,486.35	14.930	6,494.55	-991.80	LT
	Apr 22, 13	660.000	16.910	11,160.60	14.930	9,853.80	-1,306.80	ST
	Jul 12, 13	425.000	16.023	6,809.78	14.930	6,345.25	-464.53	ST
Security total		1,520.000	16.748	25,456.73		22,693.60	-2,763.13	
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE								
EAI: \$2,941 Current yield: 4.19%								
	Jan 29, 13	460.000	54.547	25,092.04	65.600	30,176.00	5,083.96	LT
	Apr 22, 13	510.000	46.879	23,908.70	65.600	33,456.00	9,547.30	ST
	Jul 12, 13	100.000	50.459	5,045.96	65.600	6,560.00	1,514.04	ST
Security total		1,070.000	50.511	54,046.70		70,192.00	16,145.30	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE								
EAI: \$1,992 Current yield: 2.97%								
	Jan 29, 13	930.000	40.500	37,665.00	41.120	38,241.60	576.60	LT
	Apr 22, 13	445.000	41.074	18,278.06	41.120	18,298.40	20.34	ST
	Jul 12, 13	255.000	41.271	10,524.33	41.120	10,485.60	-38.73	ST
Security total		1,630.000	40.778	66,467.39		67,025.60	558.21	

continued next page

**CONFIDENTIAL**



Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNTID OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,186 Current yield: 2.74%								
	Jan 29, 13	900.000	30.540	27,486.00	34.570	31,113.00	3,627.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	34.570	3,457.00	107.76	ST
	Jul 12, 13	250.000	33.180	8,295.00	34.570	8,642.50	347.50	ST
Security total		1,250.000	31.304	39,130.24		43,212.50	4,082.26	
VERIZON COMMUNICATIONS INC Symbol: VZ Exchange: NYSE EAI: \$1,062 Current yield: 4.46%								
	Jan 29, 13	383.969	46.861	17,993.39	47.570	18,265.41	272.02	LT
	Apr 22, 13	1.315	46.859	61.62	47.570	62.55	0.93	ST
	Jul 12, 13	115.716	46.861	5,422.64	47.570	5,504.61	81.97	ST
Security total		501.000	46.862	23,477.65		23,832.57	354.92	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$3,072 Current yield: 8.03%								
	Jan 29, 13	796.294	50.164	39,945.60	36.810	29,311.58	-10,634.02	LT
	Apr 22, 13	2.727	54.627	148.97	36.810	100.38	-48.59	ST
	Jul 12, 13	239.979	54.033	12,966.80	36.810	8,833.63	-4,133.17	ST
Security total		1,039.000	51.070	53,061.37		38,245.59	-14,815.78	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.740	32,277.00	2,383.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.740	21,056.90	3,074.69	ST
	Jul 12, 13	290.000	26.739	7,754.57	30.740	8,914.60	1,160.03	ST
Security total		2,025.000	27.472	55,630.28		62,248.50	6,618.22	
<b>Total</b>				<b>\$1,723,832.25</b>		<b>\$1,875,504.37</b>	<b>\$151,672.11</b>	
<b>Total estimated annual income: \$65,351</b>								

**CONFIDENTIAL**



Your assets (continued)

Your total assets

		Value on Mar 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	80,806.79	4.13%	80,806.79		
Equities	Common stock	1,875,504.37	95.87%	1,723,832.25	65,351.00	151,672.11
<b>Total</b>		<b>\$1,956,311.16</b>	<b>100.00%</b>	<b>\$1,804,639.04</b>	<b>\$65,351.00</b>	<b>\$151,672.11</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Mar 10	Deposit	CHECK DEPOSIT	26,158.81
	Mar 17	Deposit	CHECK DEPOSIT	507.08
	Mar 31	Deposit	CHECK DEPOSIT	1,033.35
	<b>Total deposits and other funds credited</b>			

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>	<i>Taxable dividends</i>			
	Mar 26	Foreign Dividend	BHP BILLITON LTD SPON ADR PAID ON 435 CUSIP: 088606108	513.30
<b>Total taxable dividends</b>				<b>\$513.30</b>
<i>Taxable interest</i>				
	Mar 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/06/14	0.08
<b>Total taxable interest</b>				<b>\$0.08</b>
<b>Total dividend and interest income</b>				<b>\$513.38</b>

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Mar 10	Receive Dtc	VERIZON COMMUNICATIONS INC	501.000	23,562.03				
Mar 10	Receive Dtc	VODAFONE GROUP PLC SPON ADR CUSIP: 92857W308	1,039.000	39,627.46				
Mar 10	Receive Dtc	ROCHE HLDG LTD SPONS ADR SWITZ ADR CUSIP: 771195104	1,400.000	52,416.00				

<b>Total</b>				<b>\$115,605.49</b>				
<b>Securities transferred in</b>								

**CONFIDENTIAL**



Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Feb 28</b>	<b>Balance forward</b>		<b>\$52,594.17</b>
	Mar 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/06/14	0.08
	Mar 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	26,158.81
	Mar 18	Deposit	UBS BANK USA DEPOSIT ACCOUNT	507.08
	Mar 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	513.30
	<b>Mar 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$79,773.44</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED], 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/ubsweeps](http://www.ubs.com/ubsweeps) from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- *Value of your account/portfolio:* Net of assets and liabilities.
- *Assets:* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities:* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances:* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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## Important information about your statement (continued)

### Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosures](http://www.ubs.com/accountdisclosures).

### Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.
- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.
- **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

### Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

### Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

### Assets not held by UBS Financial Services.

- Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

### Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# Resource Management Account

April 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

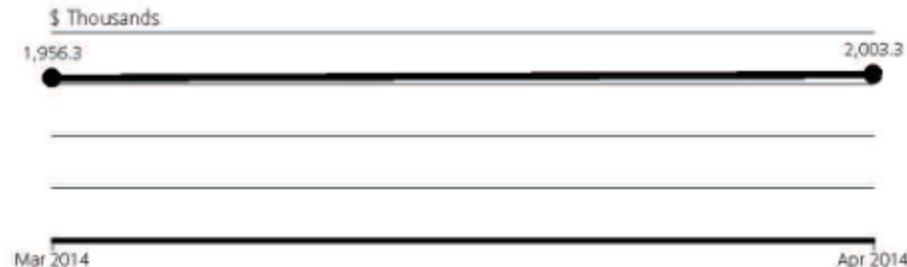
**Items for your attention**

► If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to [ubs.com/onlineservices](http://ubs.com/onlineservices).

## Value of your account

	on March 31 (\$)	on April 30 (\$)
Your assets	1,956,311.16	2,003,256.88
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,956,311.16</b>	<b>\$2,003,256.88</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,936,687.37
Your investment return:	
Dividend and interest income	\$8,361.45
Change in market value	\$58,208.06
<b>Value of your account on Apr 30, 2014</b>	<b>\$2,003,256.88</b>

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## Your account balance sheet

### Summary of your assets

	Value on April 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	92,548.60	4.62%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,910,708.28	95.38%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$2,003,256.88</b>	<b>100.00%</b>

**Value of your account** **\$2,003,256.88**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	April 2014	Year to date
S&P 500	0.74%	2.56%
Russell 3000	0.12%	2.10%
MSCI - Europe, Australia & Far East	1.53%	2.31%
Barclays Capital U.S. Aggregate Bond Index	0.84%	2.70%

#### Interest rates on April 30, 2014

3-month Treasury bills: 0.02%  
One-month LIBOR: 0.15%

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## Change in the value of your account

	April 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,956,311.16</b>	<b>\$0.00</b>
Deposits, including investments transferred in	1,156.11	1,937,845.29
Withdrawals and fees, including investments transferred out	-1,157.92	-1,157.92
Dividend and interest income	7,848.07	8,361.45
Change in market value	39,099.46	58,208.06
<b>Closing account value</b>	<b>\$2,003,256.88</b>	<b>\$2,003,256.88</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2014 (\$)	Year to date (\$)
Taxable dividends	7,846.09	8,359.39
Taxable interest	1.98	2.06
<b>Total current year</b>	<b>\$7,848.07</b>	<b>\$8,361.45</b>
<b>Total dividend &amp; interest</b>	<b>\$7,848.07</b>	<b>\$8,361.45</b>
Return of capital/principal	3,895.55	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	April 2014 (\$)	Year to date (\$)	
Short term	0.00	0.00	32,264.87
Long term	0.00	0.00	154,611.16
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$186,876.03</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	April 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$80,806.79</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	1,156.11	81,449.52
Dividend and interest income	7,848.07	8,361.45
Proceeds from investment transactions	3,895.55	3,895.55
<b>Total additions</b>	<b>\$12,899.73</b>	<b>\$93,706.52</b>
<i>Subtractions</i>		
Fees	-144.16	-144.16
Other funds debited	-1,013.76	-1,013.76
<b>Total subtractions</b>	<b>-\$1,157.92</b>	<b>-\$1,157.92</b>
<b>Net cash flow</b>	<b>\$11,741.81</b>	<b>\$92,548.60</b>
<b>Closing balances</b>	<b>\$92,548.60</b>	<b>\$92,548.60</b>

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## Withholdings and tax summary

	April 2014 (\$)	Year to date (\$)
Foreign taxes paid	-1,013.76	-1,013.76

## UBS Bank USA Deposit Account APY

Interest period Mar 7 - Apr 6

Opening UBS Bank USA Deposit balance Mar 7	\$52,594.25
Closing UBS Bank USA Deposit balance Apr 6	\$80,808.77
Number of days in interest period	31
Average daily balance	\$76,087.02
Interest earned	\$1.98
Annual percentage yield earned	0.03%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	1,033.35	1,087.75					
UBS BANK USA DEP ACCT	79,773.44	91,460.85					250,000.00
<b>Total</b>	<b>\$80,806.79</b>	<b>\$92,548.60</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,125 Current yield: 3.19%								
	Jan 29, 13	825.000	21.573	17,797.89	23.920	19,734.00	1,936.11	LT
	Apr 22, 13	650.000	21.211	13,787.15	23.920	15,548.00	1,760.85	LT
Security total		1,475.000	21.414	31,585.04		35,282.00	3,696.96	
ALSTOM ADR								
Symbol: ALSMY Exchange: OTC								
EAI: \$356 Current yield: 1.79%								
	Aug 20, 13	2,425.000	3.634	8,812.78	4.070	9,869.75	1,056.97	ST
	Aug 21, 13	2,450.000	3.656	8,958.13	4.070	9,971.50	1,013.37	ST
Security total		4,875.000	3.645	17,770.91		19,841.25	2,070.34	

*continued next page*

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.54%								
	Jan 29, 13	210.000	50.130	10,527.30	79.050	16,600.50	6,073.20	LT
	Apr 22, 13	85.000	51.335	4,363.53	79.050	6,719.25	2,355.72	LT
	Jul 12, 13	70.000	49.441	3,460.92	79.050	5,533.50	2,072.58	ST
Security total		365.000	50.279	18,351.75		28,853.25	10,501.50	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,289 Current yield: 4.71%								
	Jan 29, 13	1,000.000	22.160	22,160.00	27.380	27,380.00	5,220.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.52%								
	Jan 29, 13	200.000	99.560	19,912.00	139.220	27,844.00	7,932.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	139.220	6,264.90	1,685.70	LT
Security total		245.000	99.964	24,491.20		34,108.90	9,617.70	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,027 Current yield: 3.35%								
	Jan 29, 13	320.000	78.520	25,126.50	70.540	22,572.80	-2,553.70	LT
	Apr 22, 13	30.000	63.865	1,915.95	70.540	2,116.20	200.25	LT
	Jul 12, 13	85.000	61.002	5,185.23	70.540	5,995.90	810.67	ST
Security total		435.000	74.087	32,227.68		30,684.90	-1,542.78	
<b>BNP PARIBAS SA ADR</b>								
Symbol: BNPOY Exchange: OTC								
EAI: \$872 Current yield: 1.94%								
	Jan 9, 14	710.000	40.003	28,402.76	37.696	26,764.16	-1,638.60	ST
	Jan 13, 14	485.000	39.735	19,271.70	37.696	18,282.56	-989.14	ST
Security total		1,195.000	39.895	47,674.46		45,046.72	-2,627.74	
<b>BOC HONG KONG HOLDINGS LTD SPON ADR</b>								
Symbol: BHKLY Exchange: OTC								
EAI: \$1,749 Current yield: 5.38%								
	Jan 29, 13	175.000	69.539	12,169.48	58.629	10,260.07	-1,909.41	LT
	Apr 22, 13	220.000	66.403	14,608.84	58.629	12,898.38	-1,710.46	LT
	Jul 12, 13	160.000	62.770	10,043.20	58.629	9,380.64	-662.56	ST
Security total		555.000	66.345	36,821.52		32,539.09	-4,282.43	

continued next page

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX EAI: \$2,777 Current yield: 4.09%								
	Jan 29, 13	340.000	104.097	35,393.08	115.020	39,106.80	3,713.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	115.020	17,253.00	1,154.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	115.020	11,502.00	947.56	ST
<b>Security total</b>		590.000	105.163	62,046.30		67,861.80	5,815.50	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC EAI: \$2,840 Current yield: 3.97%								
	Sep 16, 13	1,275.000	13.387	17,069.45	16.750	21,356.25	4,286.80	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	16.750	19,262.50	3,899.65	ST
	Sep 18, 13	990.000	13.393	13,259.17	16.750	16,582.50	3,323.33	ST
	Oct 10, 13	855.000	15.481	13,236.68	16.750	14,321.25	1,084.57	ST
<b>Security total</b>		4,270.000	13.801	58,928.15		71,522.50	12,594.35	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC EAI: \$1,206 Current yield: 2.36%								
	Jan 29, 13	640.000	23.900	15,296.00	37.600	24,064.00	8,768.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	37.600	27,072.00	10,813.25	LT
<b>Security total</b>		1,360.000	23.202	31,554.75		51,136.00	19,581.25	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$3,041 Current yield: 5.83%								
	Jan 29, 13	720.000	20.779	14,960.95	26.350	18,972.00	4,011.05	LT
	Apr 22, 13	380.000	20.919	7,949.37	26.350	10,013.00	2,063.63	LT
	Jul 12, 13	215.000	20.144	4,330.97	26.350	5,665.25	1,334.28	ST
	Aug 21, 13	285.000	22.200	6,327.10	26.350	7,509.75	1,182.65	ST
	Aug 22, 13	140.000	22.508	3,151.20	26.350	3,689.00	537.80	ST
	Aug 23, 13	240.000	22.418	5,380.51	26.350	6,324.00	943.49	ST
<b>Security total</b>		1,980.000	21.263	42,100.10		52,173.00	10,072.90	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,597 Current yield: 4.62%								
	Jan 29, 13	330.000	45.615	15,052.95	55.370	18,272.10	3,219.15	LT
	Feb 13, 13	320.000	45.645	14,606.46	55.370	17,718.40	3,111.94	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	245.000	50.630	12,404.47	55.370	13,565.65	1,161.18	LT
	Jul 12, 13	120.000	52.904	6,348.48	55.370	6,644.40	295.92	ST
Security total		1,015.000	47.697	48,412.36		56,200.55	7,788.19	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol: HSBC Exchange: NYSE EAI: \$2,805 Current yield: 4.77%								
	Jan 29, 13	570.000	56.908	32,437.90	51.320	29,252.40	-3,185.50	LT
	Apr 22, 13	430.000	52.120	22,411.73	51.320	22,067.60	-344.13	LT
	Jul 12, 13	145.000	54.904	7,961.20	51.320	7,441.40	-519.80	ST
Security total		1,145.000	54.857	62,810.83		58,761.40	-4,049.43	
IMPERIAL TOBACCO GROUP PLC SPON ADR								
Symbol: ITYBY Exchange: OTC EAI: \$1,961 Current yield: 4.37%								
	Apr 25, 13	60.000	70.891	4,253.51	87.190	5,231.40	977.89	LT
	Apr 26, 13	375.000	70.792	26,547.34	87.190	32,696.25	6,148.91	LT
	Jul 12, 13	80.000	68.375	5,470.00	87.190	6,975.20	1,505.20	ST
Security total		515.000	70.429	36,270.85		44,902.85	8,632.00	
KIRIN HOLDINGS LTD SPON ADR								
Symbol: KNBWY Exchange: OTC EAI: \$834 Current yield: 1.98%								
	Apr 3, 13	1,250.000	16.177	20,221.50	13.960	17,450.00	-2,771.50	LT
	Apr 22, 13	600.000	16.320	9,792.00	13.960	8,376.00	-1,416.00	LT
	Jul 12, 13	325.000	16.380	5,323.50	13.960	4,537.00	-786.50	ST
	Aug 5, 13	400.000	15.655	6,262.36	13.960	5,584.00	-678.36	ST
	Aug 6, 13	435.000	15.888	6,911.50	13.960	6,072.60	-838.90	ST
Security total		3,010.000	16.117	48,510.86		42,019.60	-6,491.26	
LUKOIL OIL CO SPON ADR (25 RUBLES)								
Symbol: LUKOY Exchange: OTC EAI: \$3,784 Current yield: 6.91%								
	Jan 29, 13	410.000	67.030	27,482.30	52.920	21,697.20	-5,785.10	LT
	Feb 13, 13	35.000	66.951	2,343.29	52.920	1,852.20	-491.09	LT
	Apr 22, 13	435.000	58.678	25,524.97	52.920	23,020.20	-2,504.77	LT
	Jul 12, 13	155.000	60.812	9,425.94	52.920	8,202.60	-1,223.34	ST
Security total		1,035.000	62.586	64,776.50		54,772.20	-10,004.30	

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,116 Current yield: 3.98%								
	Jan 29, 13	1,660.000	19.580	32,502.80	20.270	33,648.20	1,145.40	LT
	Apr 22, 13	590.000	17.390	10,260.10	20.270	11,959.30	1,699.20	LT
	Jul 12, 13	375.000	19.219	7,207.31	20.270	7,601.25	393.94	ST
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>53,208.75</b>	<b>3,238.54</b>	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC								
EAI: \$1,801 Current yield: 3.08%								
	Jan 29, 13	1,650.000	18.280	30,162.00	22.390	36,943.50	6,781.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	22.390	9,515.75	1,209.46	LT
	Jul 12, 13	535.000	19.059	10,196.73	22.390	11,978.65	1,781.92	ST
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>58,437.90</b>	<b>9,772.88</b>	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,146 Current yield: 2.64%								
	Jan 29, 13	570.000	70.160	39,991.20	77.180	43,992.60	4,001.40	LT
	Apr 22, 13	290.000	69.918	20,276.22	77.180	22,382.20	2,105.98	LT
	Jul 12, 13	195.000	67.417	13,146.41	77.180	15,050.10	1,903.69	ST
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>81,424.90</b>	<b>8,011.07</b>	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE								
EAI: \$1,613 Current yield: 2.62%								
	Jul 22, 13	1,700.000	26.445	44,957.18	27.840	47,328.00	2,370.82	ST
	Aug 6, 13	510.000	26.309	13,417.69	27.840	14,198.40	780.71	ST
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>61,526.40</b>	<b>3,151.53</b>	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$1,749 Current yield: 2.06%								
	Jan 29, 13	590.000	67.877	40,047.61	86.940	51,294.60	11,246.99	LT
	Apr 22, 13	240.000	72.512	17,403.02	86.940	20,865.60	3,462.58	LT
	Jul 12, 13	145.000	73.450	10,650.38	86.940	12,606.30	1,955.92	ST
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>84,766.50</b>	<b>16,665.49</b>	

continued next page

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 3.95%								
	Jan 21, 14	710.000	8.052	5,717.56	8.320	5,907.20	189.64	ST
	Jan 22, 14	220.000	8.190	1,801.84	8.320	1,830.40	28.56	ST
	Jan 23, 14	315.000	8.282	2,608.92	8.320	2,620.80	11.88	ST
	Jan 24, 14	185.000	8.147	1,507.25	8.320	1,539.20	31.95	ST
	Jan 27, 14	135.000	8.019	1,082.58	8.320	1,123.20	40.62	ST
	Jan 28, 14	265.000	8.045	2,132.08	8.320	2,204.80	72.72	ST
	Jan 29, 14	390.000	7.991	3,116.53	8.320	3,244.80	128.27	ST
	Jan 30, 14	245.000	7.849	1,923.23	8.320	2,038.40	115.17	ST
	Jan 31, 14	260.000	7.878	2,048.46	8.320	2,163.20	114.74	ST
	Feb 3, 14	185.000	7.794	1,441.96	8.320	1,539.20	97.24	ST
	Feb 4, 14	235.000	7.671	1,802.69	8.320	1,955.20	152.51	ST
	Feb 5, 14	245.000	7.727	1,893.29	8.320	2,038.40	145.11	ST
	Feb 6, 14	165.000	7.561	1,247.70	8.320	1,372.80	125.10	ST
	Feb 7, 14	310.000	7.528	2,333.87	8.320	2,579.20	245.33	ST
	Feb 10, 14	250.000	7.609	1,902.25	8.320	2,080.00	177.75	ST
Security total		4,115.000	7.913	32,560.21		34,236.80	1,676.59	
P.T. TELEKOMUNIKASI INDONESIA								
SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$841 Current yield: 3.07%								
	Jan 29, 13	690.000	39.442	27,215.25	39.700	27,393.00	177.75	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,497 Current yield: 2.59%								
	Jan 29, 13	2,590.000	13.560	35,120.40	16.350	42,346.50	7,226.10	LT
	Apr 22, 13	510.000	14.360	7,323.60	16.350	8,338.50	1,014.90	LT
	Jul 12, 13	440.000	14.331	6,305.99	16.350	7,194.00	888.01	ST
Security total		3,540.000	13.771	48,749.99		57,879.00	9,129.01	
ROCHE HLDG LTD SPONS ADR SWITZ								
ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$981 Current yield: 1.91%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.650	40,315.00	10,015.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.650	10,995.00	1,914.69	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,400.000	28.128	39,379.81		51,310.00	11,930.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 4.44%								
	Jan 29, 13	185.000	74.585	13,798.31	84.650	15,660.25	1,861.94	LT
	Apr 22, 13	215.000	65.856	14,159.06	84.650	18,199.75	4,040.69	LT
Security total		400.000	69.893	27,957.37		33,860.00	5,902.63	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.45%								
	Jan 29, 13	720.000	48.754	35,103.55	53.800	38,736.00	3,632.45	LT
	Apr 22, 13	275.000	52.654	14,480.06	53.800	14,795.00	314.94	LT
	Jul 12, 13	200.000	52.424	10,484.90	53.800	10,760.00	275.10	ST
Security total		1,195.000	50.267	60,068.51		64,291.00	4,222.49	
SIEMENS A G SPON ADR								
Symbol: SI Exchange: NYSE EAI: \$1,507 Current yield: 2.29%								
	Jan 29, 13	250.000	110.110	27,527.50	131.830	32,957.50	5,430.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	131.830	26,366.00	6,339.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	131.830	6,591.50	1,228.67	ST
Security total		500.000	105.834	52,917.17		65,915.00	12,997.83	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,249 Current yield: 4.17%								
	Jan 29, 13	1,060.000	28.260	29,955.60	30.580	32,414.80	2,459.20	LT
	Apr 22, 13	440.000	29.820	13,121.15	30.580	13,455.20	334.05	LT
	Jul 12, 13	265.000	30.551	8,096.17	30.580	8,103.70	7.53	ST
Security total		1,765.000	28.993	51,172.92		53,973.70	2,800.78	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$962 Current yield: 2.69%								
	Jan 29, 13	1,245.000	19.750	24,588.75	22.690	28,249.05	3,660.30	LT
	Apr 22, 13	330.000	18.685	6,166.05	22.690	7,487.70	1,321.65	LT
Security total		1,575.000	19.527	30,754.80		35,736.75	4,981.95	

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,237 Current yield: 2.80%								
	Jan 29, 13	610.000	26.533	16,185.31	30.470	18,586.70	2,401.39	LT
	Apr 22, 13	205.000	25.765	5,281.88	30.470	6,246.35	964.47	LT
	Apr 22, 13	170.000	23.274	3,956.72	30.470	5,179.90	1,223.18	LT
	Apr 22, 13	135.000	25.785	3,481.05	30.470	4,113.45	632.40	LT
	Jul 12, 13	330.000	21.423	7,069.66	30.470	10,055.10	2,985.44	ST
Security total		1,450.000	24.810	35,974.62		44,181.50	8,206.88	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$333 Current yield: 2.00%								
	Jan 29, 13	800.000	17.800	14,240.00	20.100	16,080.00	1,840.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.100	603.00	49.96	ST
Security total		830.000	17.823	14,793.04		16,683.00	1,889.96	
<b>TESCO PLC SPONS ADR UNITED KINGDOM</b>								
Symbol: TSCDY Exchange: OTC								
EAI: \$1,064 Current yield: 4.73%								
	Jan 29, 13	435.000	17.210	7,486.35	14.800	6,438.00	-1,048.35	LT
	Apr 22, 13	660.000	16.910	11,160.60	14.800	9,768.00	-1,392.60	LT
	Jul 12, 13	425.000	16.023	6,809.78	14.800	6,290.00	-519.78	ST
Security total		1,520.000	16.748	25,456.73		22,496.00	-2,960.73	
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE								
EAI: \$2,940 Current yield: 3.86%								
	Jan 29, 13	460.000	54.547	25,092.04	71.240	32,770.40	7,678.36	LT
	Apr 22, 13	510.000	46.879	23,908.70	71.240	36,332.40	12,423.70	LT
	Jul 12, 13	100.000	50.459	5,045.96	71.240	7,124.00	2,078.04	ST
Security total		1,070.000	50.511	54,046.70		76,226.80	22,180.10	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE								
EAI: \$2,052 Current yield: 2.94%								
	Jan 29, 13	930.000	40.500	37,665.00	42.820	39,822.60	2,157.60	LT
	Apr 22, 13	445.000	41.074	18,278.06	42.820	19,054.90	776.84	LT
	Jul 12, 13	255.000	41.271	10,524.33	42.820	10,919.10	394.77	ST
Security total		1,630.000	40.778	66,467.39		69,796.60	3,329.21	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
UNTID OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,388 Current yield: 3.21%								
	Jan 29, 13	900.000	30.540	27,486.00	34.550	31,095.00	3,609.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	34.550	3,455.00	105.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	34.550	8,637.50	342.50	ST
Security total		1,250.000	31.304	39,130.24		43,187.50	4,057.26	
VERIZON COMMUNICATIONS INC Symbol: VZ Exchange: NYSE EAI: \$1,062 Current yield: 4.54%								
	Jan 29, 13	383.969	46.861	17,993.39	46.730	17,942.87	-50.52	LT
	Apr 22, 13	1.315	46.859	61.62	46.730	61.45	-0.17	LT
	Jul 12, 13	115.716	46.861	5,422.64	46.730	5,407.41	-15.23	ST
Security total		501.000	46.862	23,477.65		23,411.73	-65.92	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$3,072 Current yield: 7.79%								
	Jan 29, 13	796.294	50.164	39,945.60	37.960	30,227.32	-9,718.28	LT
	Apr 22, 13	2.727	54.627	148.97	37.960	103.52	-45.45	LT
	Jul 12, 13	239.979	54.033	12,966.80	37.960	9,109.60	-3,857.20	ST
Security total		1,039.000	51.070	53,061.37		39,440.44	-13,620.93	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	28.760	30,198.00	304.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	28.760	19,700.60	1,718.39	LT
	Jul 12, 13	290.000	26.739	7,754.57	28.760	8,340.40	585.83	ST
Security total		2,025.000	27.472	55,630.28		58,239.00	2,608.72	
<b>Total</b>				<b>\$1,723,832.25</b>		<b>\$1,910,708.28</b>	<b>\$186,876.03</b>	
<b>Total estimated annual income: \$64,844</b>								

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Your assets (continued)

Your total assets

		Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	92,548.60	4.62%	92,548.60		
Equities	Common stock	1,910,708.28	95.38%	1,723,832.25	64,844.00	186,876.03
<b>Total</b>		<b>\$2,003,256.88</b>	<b>100.00%</b>	<b>\$1,816,380.85</b>	<b>\$64,844.00</b>	<b>\$186,876.03</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Apr 14	Deposit	CHECK DEPOSIT	1,156.11
	<b>Total deposits and other funds credited</b>			<b>\$1,156.11</b>
<b>Dividend and interest income</b>	<i>Taxable dividends</i>			
	Apr 10	Foreign Dividend	MTN GROUP LTD SPON ADR PAID ON CUSIP: 62474M108	2625 1,547.90
	Apr 10	Foreign Dividend	NOVARTIS AG SPON ADR PAID ON CUSIP: 66987V109	975 2,690.79
	Apr 11	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR PAID ON CUSIP: 89151E109	1070 867.08
	Apr 24	Foreign Dividend	ROCHE HLDG LTD SPONS ADR SWITZ ADR PAID ON 04/22/14 CUSIP: 771195104	1400AS OF 1,552.57
	Apr 30	Foreign Dividend	HSBC HOLDINGS PLC NEW GB SPON ADR PAID ON CUSIP: 404280406	1145 1,087.75
	<b>Total taxable dividends</b>			<b>\$7,846.09</b>
	<i>Taxable interest</i>			
	Apr 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/04/14	1.98
	<b>Total taxable interest</b>			<b>\$1.98</b>
<b>Total dividend and interest income</b>			<b>\$7,848.07</b>	
<b>Fees</b>	Date	Activity	Description	Amount (\$)
	Apr 10	Fee	MTN GROUP LTD SPON ADR	-52.50
	Apr 10	Fee	NOVARTIS AG SPON ADR	-7.31
	Apr 11	Fee	TOTAL S.A. FRANCE SPON ADR	-5.35
	Apr 22	Fee	ZURICH INS GROUP LTD SPON ADR AS OF 04/21/14	-40.50

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees (continued)</b>	Apr 24	Fee	ROCHE HLDG LTD SPONS ADR SWITZ ADR AS OF 04/22/14	-38.50
	<b>Total fees</b>			<b>-\$144.16</b>
<b>Other funds debited</b>	Apr 10	Foreign Tax Withheld	MTN GROUP LTD SPON ADR	-247.19
	Apr 10	Foreign Tax Withheld	NOVARTIS AG SPON ADR	-403.62
	Apr 11	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR	-130.06
	Apr 24	Foreign Tax Withheld	ROCHE HLDG LTD SPONS ADR SWITZ ADR AS OF 04/22/14	-232.89
	<b>Total other funds debited</b>			<b>-\$1,013.76</b>

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Apr 22	Return Of Capital	ZURICH INS GROUP LTD SPON ADR AS OF 04/21/14 CUSIP: 989825104				3,895.55		
<b>Total</b>						<b>\$3,895.55</b>		

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Mar 31</b>	<b>Balance forward</b>		<b>\$79,773.44</b>
	Apr 1	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,033.35
	Apr 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/04/14	1.98
	Apr 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3,628.07
	Apr 14	Deposit	UBS BANK USA DEPOSIT ACCOUNT	731.67
	Apr 15	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,156.11
	Apr 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3,855.05
	Apr 25	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,281.18
	<b>Apr 30</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$91,460.85</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED], 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/ubsweeps](http://www.ubs.com/ubsweeps) from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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## Important information about your statement (continued)

### Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosures](http://www.ubs.com/accountdisclosures).

### Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.
- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.
- **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

### Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

### Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

### Assets not held by UBS Financial Services.

- Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

### Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.  
 299 Park Avenue  
 25th Floor  
 New York NY 10171-0002  
 CNP7005631693 0514 Y1 0

# Resource Management Account

May 2014

GHISLAINE MAXWELL  
 [REDACTED]  
 NEW YORK NY [REDACTED]

**Account name:** GHISLAINE MAXWELL  
**Friendly account name:** [REDACTED]  
**Account number:** [REDACTED]

**Your Financial Advisor:**  
 SCOTT STACKMAN/LYLE CASRIEL  
 Phone: [REDACTED]

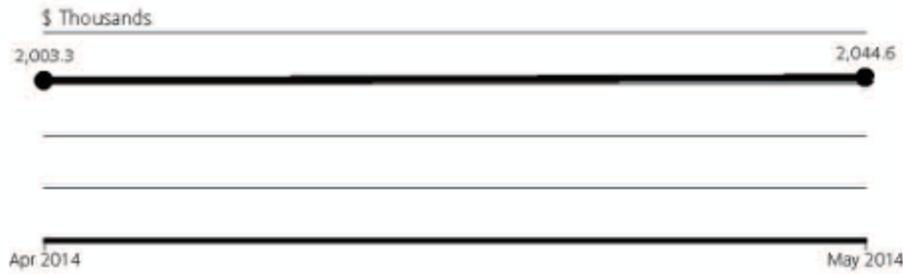
**Questions about your statement?**  
 Call your Financial Advisor or the  
 RMA ResourceLine at 800-RMA-1000,  
 account [REDACTED]

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on April 30 (\$)	on May 30 (\$)
Your assets	2,003,256.88	2,044,624.69
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$2,003,256.88</b>	<b>\$2,044,624.69</b>

## Tracking the value of your account



### Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,934,505.47
Your investment return:	
Dividend and interest income	\$21,475.67
Change in market value	\$88,643.55
<b>Value of your account on May 30, 2014</b>	<b>\$2,044,624.69</b>

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## Your account balance sheet

### Summary of your assets

	Value on May 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	103,480.92	5.06%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,941,143.77	94.94%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$2,044,624.69</b>	<b>100.00%</b>

**Value of your account** **\$2,044,624.69**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	May 2014	Year to date
S&P 500	2.35%	4.97%
Russell 3000	2.18%	4.32%
MSCI - Europe, Australia & Far East	1.76%	4.11%
Barclays Capital U.S. Aggregate Bond Index	1.14%	3.87%

#### Interest rates on May 30, 2014

3-month Treasury bills: 0.04%  
One-month LIBOR: 0.15%

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## Change in the value of your account

	May 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$2,003,256.88</b>	<b>\$0.00</b>
Deposits, including investments transferred in	0.00	1,937,845.29
Withdrawals and fees, including investments transferred out	-2,181.90	-3,339.82
Dividend and interest income	13,114.22	21,475.67
Change in market value	30,435.49	88,643.55
<b>Closing account value</b>	<b>\$2,044,624.69</b>	<b>\$2,044,624.69</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2014 (\$)	Year to date (\$)
Taxable dividends	13,112.00	21,471.39
Taxable interest	2.22	4.28
<b>Total current year</b>	<b>\$13,114.22</b>	<b>\$21,475.67</b>
<b>Total dividend &amp; interest</b>	<b>\$13,114.22</b>	<b>\$21,475.67</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	May 2014 (\$)	Year to date (\$)	
Short term	0.00	0.00	39,762.21
Long term	0.00	0.00	177,549.31
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$217,311.52</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	May 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$92,548.60</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	81,449.52
Dividend and interest income	13,114.22	21,475.67
Proceeds from investment transactions	0.00	3,895.55
<b>Total additions</b>	<b>\$13,114.22</b>	<b>\$106,820.74</b>
<i>Subtractions</i>		
Fees	-393.86	-538.02
Other funds debited	-1,788.04	-2,801.80
<b>Total subtractions</b>	<b>-\$2,181.90</b>	<b>-\$3,339.82</b>
<b>Net cash flow</b>	<b>\$10,932.32</b>	<b>\$103,480.92</b>
<b>Closing balances</b>	<b>\$103,480.92</b>	<b>\$103,480.92</b>

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## Withholdings and tax summary

	May 2014 (\$)	Year to date (\$)
Foreign taxes paid	-1,788.04	-2,801.80

## UBS Bank USA Deposit Account APY

Interest period Apr 7 - May 6

Opening UBS Bank USA Deposit balance Apr 7	\$80,808.77
Closing UBS Bank USA Deposit balance May 6	\$94,461.48
Number of days in interest period	30
Average daily balance	\$87,999.66
Interest earned	\$2.22
Annual percentage yield earned	0.03%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Moderate

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on May 1 (\$)	Closing balance on May 30 (\$)	Price per share on May 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	1,087.75	2,141.38					
UBS BANK USA DEP ACCT	91,460.85	101,339.54					250,000.00
<b>Total</b>	<b>\$92,548.60</b>	<b>\$103,480.92</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.24%								
	Jan 29, 13	825.000	21.573	17,797.89	23.740	19,585.50	1,787.61	LT
	Apr 22, 13	650.000	21.211	13,787.15	23.740	15,431.00	1,643.85	LT
Security total		1,475.000	21.414	31,585.04		35,016.50	3,431.46	
ALSTOM ADR								
Symbol: ALSMY Exchange: OTC								
EAI: \$356 Current yield: 1.88%								
	Aug 20, 13	2,425.000	3.634	8,812.78	3.890	9,433.25	620.47	ST
	Aug 21, 13	2,450.000	3.656	8,958.13	3.890	9,530.50	572.37	ST
Security total		4,875.000	3.645	17,770.91		18,963.75	1,192.84	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.88%								
	Jan 29, 13	210.000	50.130	10,527.30	72.200	15,162.00	4,634.70	LT
	Apr 22, 13	85.000	51.335	4,363.53	72.200	6,137.00	1,773.47	LT
	Jul 12, 13	70.000	49.441	3,460.92	72.200	5,054.00	1,593.08	ST
Security total		365.000	50.279	18,351.75		26,353.00	8,001.25	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,289 Current yield: 4.51%								
	Jan 29, 13	1,000.000	22.160	22,160.00	28.560	28,560.00	6,400.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.46%								
	Jan 29, 13	200.000	99.560	19,912.00	144.469	28,893.80	8,981.80	LT
	Apr 22, 13	45.000	101.760	4,579.20	144.469	6,501.10	1,921.90	LT
Security total		245.000	99.964	24,491.20		35,394.90	10,903.70	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,027 Current yield: 3.48%								
	Jan 29, 13	320.000	78.520	25,126.50	67.880	21,721.60	-3,404.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	67.880	2,036.40	120.45	LT
	Jul 12, 13	85.000	61.002	5,185.23	67.880	5,769.80	584.57	ST
Security total		435.000	74.087	32,227.68		29,527.80	-2,699.88	
<b>BNP PARIBAS SA ADR</b>								
Symbol: BNPQY Exchange: OTC								
EAI: \$872 Current yield: 2.09%								
	Jan 9, 14	710.000	40.003	28,402.76	34.900	24,779.00	-3,623.76	ST
	Jan 13, 14	485.000	39.735	19,271.70	34.900	16,926.50	-2,345.20	ST
Security total		1,195.000	39.895	47,674.46		41,705.50	-5,968.96	
<b>BOC HONG KONG HOLDINGS LTD SPON ADR</b>								
Symbol: BHKLY Exchange: OTC								
EAI: \$1,424 Current yield: 4.25%								
	Jan 29, 13	175.000	69.539	12,169.48	60.330	10,557.75	-1,611.73	LT
	Apr 22, 13	220.000	66.403	14,608.84	60.330	13,272.60	-1,336.24	LT
	Jul 12, 13	160.000	62.770	10,043.20	60.330	9,652.80	-390.40	ST
Security total		555.000	66.345	36,821.52		33,483.15	-3,338.37	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX EAI: \$2,800 Current yield: 3.91%								
	Jan 29, 13	340.000	104.097	35,393.08	121.260	41,228.40	5,835.32	LT
	Apr 22, 13	150.000	107.325	16,098.78	121.260	18,189.00	2,090.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	121.260	12,126.00	1,571.56	ST
<b>Security total</b>		590.000	105.163	62,046.30		71,543.40	9,497.10	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC EAI: \$2,840 Current yield: 3.96%								
	Sep 16, 13	1,275.000	13.387	17,069.45	16.800	21,420.00	4,350.55	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	16.800	19,320.00	3,957.15	ST
	Sep 18, 13	990.000	13.393	13,259.17	16.800	16,632.00	3,372.83	ST
	Oct 10, 13	855.000	15.481	13,236.68	16.800	14,364.00	1,127.32	ST
<b>Security total</b>		4,270.000	13.801	58,928.15		71,736.00	12,807.85	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC EAI: \$1,451 Current yield: 2.87%								
	Jan 29, 13	640.000	23.900	15,296.00	37.130	23,763.20	8,467.20	LT
	Apr 22, 13	720.000	22.581	16,258.75	37.130	26,733.60	10,474.85	LT
<b>Security total</b>		1,360.000	23.202	31,554.75		50,496.80	18,942.05	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$2,758 Current yield: 4.97%								
	Jan 29, 13	720.000	20.779	14,960.95	28.020	20,174.40	5,213.45	LT
	Apr 22, 13	380.000	20.919	7,949.37	28.020	10,647.60	2,698.23	LT
	Jul 12, 13	215.000	20.144	4,330.97	28.020	6,024.30	1,693.33	ST
	Aug 21, 13	285.000	22.200	6,327.10	28.020	7,985.70	1,658.60	ST
	Aug 22, 13	140.000	22.508	3,151.20	28.020	3,922.80	771.60	ST
	Aug 23, 13	240.000	22.418	5,380.51	28.020	6,724.80	1,344.29	ST
<b>Security total</b>		1,980.000	21.263	42,100.10		55,479.60	13,379.50	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,597 Current yield: 4.74%								
	Jan 29, 13	330.000	45.615	15,052.95	53.940	17,800.20	2,747.25	LT
	Feb 13, 13	320.000	45.645	14,606.46	53.940	17,260.80	2,654.34	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	245.000	50.630	12,404.47	53.940	13,215.30	810.83	LT
	Jul 12, 13	120.000	52.904	6,348.48	53.940	6,472.80	124.32	ST
Security total		1,015.000	47.697	48,412.36		54,749.10	6,336.74	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol: HSBC Exchange: NYSE EAI: \$2,805 Current yield: 4.65%								
	Jan 29, 13	570.000	56.908	32,437.90	52.720	30,050.40	-2,387.50	LT
	Apr 22, 13	430.000	52.120	22,411.73	52.720	22,669.60	257.87	LT
	Jul 12, 13	145.000	54.904	7,961.20	52.720	7,644.40	-316.80	ST
Security total		1,145.000	54.857	62,810.83		60,364.40	-2,446.43	
IMPERIAL TOBACCO GROUP PLC SPON ADR								
Symbol: ITYBY Exchange: OTC EAI: \$1,961 Current yield: 4.19%								
	Apr 25, 13	60.000	70.891	4,253.51	90.920	5,455.20	1,201.69	LT
	Apr 26, 13	375.000	70.792	26,547.34	90.920	34,095.00	7,547.66	LT
	Jul 12, 13	80.000	68.375	5,470.00	90.920	7,273.60	1,803.60	ST
Security total		515.000	70.429	36,270.85		46,823.80	10,552.95	
KIRIN HOLDINGS LTD SPON ADR								
Symbol: KNBWY Exchange: OTC EAI: \$834 Current yield: 1.95%								
	Apr 3, 13	1,250.000	16.177	20,221.50	14.233	17,791.25	-2,430.25	LT
	Apr 22, 13	600.000	16.320	9,792.00	14.233	8,539.80	-1,252.20	LT
	Jul 12, 13	325.000	16.380	5,323.50	14.233	4,625.73	-697.77	ST
	Aug 5, 13	400.000	15.655	6,262.36	14.233	5,693.20	-569.16	ST
	Aug 6, 13	435.000	15.888	6,911.50	14.233	6,191.36	-720.14	ST
Security total		3,010.000	16.117	48,510.86		42,841.33	-5,669.52	
LUKOIL OIL CO SPON ADR (25 RUBLES)								
Symbol: LUKOY Exchange: OTC EAI: \$2,871 Current yield: 4.90%								
	Jan 29, 13	410.000	67.030	27,482.30	56.560	23,189.60	-4,292.70	LT
	Feb 13, 13	35.000	66.951	2,343.29	56.560	1,979.60	-363.69	LT
	Apr 22, 13	435.000	58.678	25,524.97	56.560	24,603.60	-921.37	LT
	Jul 12, 13	155.000	60.812	9,425.94	56.560	8,766.80	-659.14	ST
Security total		1,035.000	62.586	64,776.50		58,539.60	-6,236.90	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,116 Current yield: 3.78%								
	Jan 29, 13	1,660.000	19.580	32,502.80	21.300	35,358.00	2,855.20	LT
	Apr 22, 13	590.000	17.390	10,260.10	21.300	12,567.00	2,306.90	LT
	Jul 12, 13	375.000	19.219	7,207.31	21.300	7,987.50	780.19	ST
Security total		2,625.000	19.036	49,970.21		55,912.50	5,942.29	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC EAI: \$1,796 Current yield: 3.10%								
	Jan 29, 13	1,650.000	18.280	30,162.00	22.200	36,630.00	6,468.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	22.200	9,435.00	1,128.71	LT
	Jul 12, 13	535.000	19.059	10,196.73	22.200	11,877.00	1,680.27	ST
Security total		2,610.000	18.646	48,665.02		57,942.00	9,276.98	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,138 Current yield: 2.58%								
	Jan 29, 13	570.000	70.160	39,991.20	78.575	44,787.75	4,796.55	LT
	Apr 22, 13	290.000	69.918	20,276.22	78.575	22,786.75	2,510.53	LT
	Jul 12, 13	195.000	67.417	13,146.41	78.575	15,322.12	2,175.71	ST
Security total		1,055.000	69.587	73,413.83		82,896.62	9,482.79	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE EAI: \$1,613 Current yield: 2.46%								
	Jul 22, 13	1,700.000	26.445	44,957.18	29.680	50,456.00	5,498.82	ST
	Aug 6, 13	510.000	26.309	13,417.69	29.680	15,136.80	1,719.11	ST
Security total		2,210.000	26.414	58,374.87		65,592.80	7,217.93	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE EAI: \$2,280 Current yield: 2.60%								
	Jan 29, 13	590.000	67.877	40,047.61	90.060	53,135.40	13,087.79	LT
	Apr 22, 13	240.000	72.512	17,403.02	90.060	21,614.40	4,211.38	LT
	Jul 12, 13	145.000	73.450	10,650.38	90.060	13,058.70	2,408.32	ST
Security total		975.000	69.847	68,101.01		87,808.50	19,707.49	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 3.69%								
	Jan 21, 14	710.000	8.052	5,717.56	8.910	6,326.10	608.54	ST
	Jan 22, 14	220.000	8.190	1,801.84	8.910	1,960.20	158.36	ST
	Jan 23, 14	315.000	8.282	2,608.92	8.910	2,806.65	197.73	ST
	Jan 24, 14	185.000	8.147	1,507.25	8.910	1,648.35	141.10	ST
	Jan 27, 14	135.000	8.019	1,082.58	8.910	1,202.85	120.27	ST
	Jan 28, 14	265.000	8.045	2,132.08	8.910	2,361.15	229.07	ST
	Jan 29, 14	390.000	7.991	3,116.53	8.910	3,474.90	358.37	ST
	Jan 30, 14	245.000	7.849	1,923.23	8.910	2,182.95	259.72	ST
	Jan 31, 14	260.000	7.878	2,048.46	8.910	2,316.60	268.14	ST
	Feb 3, 14	185.000	7.794	1,441.96	8.910	1,648.35	206.39	ST
	Feb 4, 14	235.000	7.671	1,802.69	8.910	2,093.85	291.16	ST
	Feb 5, 14	245.000	7.727	1,893.29	8.910	2,182.95	289.66	ST
	Feb 6, 14	165.000	7.561	1,247.70	8.910	1,470.15	222.45	ST
	Feb 7, 14	310.000	7.528	2,333.87	8.910	2,762.10	428.23	ST
	Feb 10, 14	250.000	7.609	1,902.25	8.910	2,227.50	325.25	ST
Security total		4,115.000	7.913	32,560.21		36,664.65	4,104.44	
P.T. TELEKOMUNIKASI INDONESIA								
SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.67%								
	Jan 29, 13	690.000	39.442	27,215.25	43.680	30,139.20	2,923.95	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,501 Current yield: 2.47%								
	Jan 29, 13	2,590.000	13.560	35,120.40	17.195	44,535.05	9,414.65	LT
	Apr 22, 13	510.000	14.360	7,323.60	17.195	8,769.45	1,445.85	LT
	Jul 12, 13	440.000	14.331	6,305.99	17.195	7,565.80	1,259.81	ST
Security total		3,540.000	13.771	48,749.99		60,870.30	12,120.31	
ROCHE HLDG LTD SPONS ADR SWITZ								
ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$1,281 Current yield: 2.48%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.890	40,579.00	10,279.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.890	11,067.00	1,986.69	LT

continued next page

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,400.000	28.128	39,379.81		51,646.00	12,266.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 4.59%								
	Jan 29, 13	185.000	74.585	13,798.31	81.840	15,140.40	1,342.09	LT
	Apr 22, 13	215.000	65.856	14,159.06	81.840	17,595.60	3,436.54	LT
Security total		400.000	69.893	27,957.37		32,736.00	4,778.63	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.47%								
	Jan 29, 13	720.000	48.754	35,103.55	53.300	38,376.00	3,272.45	LT
	Apr 22, 13	275.000	52.654	14,480.06	53.300	14,657.50	177.44	LT
	Jul 12, 13	200.000	52.424	10,484.90	53.300	10,660.00	175.10	ST
Security total		1,195.000	50.267	60,068.51		63,693.50	3,624.99	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC EAI: \$1,507 Current yield: 2.27%								
	Jan 29, 13	250.000	110.110	27,527.50	132.920	33,230.00	5,702.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	132.920	26,584.00	6,557.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	132.920	6,646.00	1,283.17	ST
Security total		500.000	105.834	52,917.17		66,460.00	13,542.83	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,249 Current yield: 4.10%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.095	32,960.70	3,005.10	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.095	13,681.80	560.65	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.095	8,240.17	144.00	ST
Security total		1,765.000	28.993	51,172.92		54,882.67	3,709.75	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$962 Current yield: 2.74%								
	Jan 29, 13	1,245.000	19.750	24,588.75	22.308	27,773.46	3,184.71	LT
	Apr 22, 13	330.000	18.685	6,166.05	22.308	7,361.64	1,195.59	LT
Security total		1,575.000	19.527	30,754.80		35,135.10	4,380.30	

continued next page

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,277 Current yield: 2.89%								
	Jan 29, 13	610.000	26.533	16,185.31	30.500	18,605.00	2,419.69	LT
	Apr 22, 13	205.000	25.765	5,281.88	30.500	6,252.50	970.62	LT
	Apr 22, 13	170.000	23.274	3,956.72	30.500	5,185.00	1,228.28	LT
	Apr 22, 13	135.000	25.785	3,481.05	30.500	4,117.50	636.45	LT
	Jul 12, 13	330.000	21.423	7,069.66	30.500	10,065.00	2,995.34	ST
Security total		1,450.000	24.810	35,974.62		44,225.00	8,250.38	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$333 Current yield: 1.95%								
	Jan 29, 13	800.000	17.800	14,240.00	20.560	16,448.00	2,208.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.560	616.80	63.76	ST
Security total		830.000	17.823	14,793.04		17,064.80	2,271.76	
<b>TESCO PLC SPONS ADR UNITED KINGDOM</b>								
Symbol: TSCDY Exchange: OTC								
EAI: \$1,064 Current yield: 4.58%								
	Jan 29, 13	435.000	17.210	7,486.35	15.280	6,646.80	-839.55	LT
	Apr 22, 13	660.000	16.910	11,160.60	15.280	10,084.80	-1,075.80	LT
	Jul 12, 13	425.000	16.023	6,809.78	15.280	6,494.00	-315.78	ST
Security total		1,520.000	16.748	25,456.73		23,225.60	-2,231.13	
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE								
EAI: \$2,940 Current yield: 3.96%								
	Jan 29, 13	460.000	54.547	25,092.04	69.450	31,947.00	6,854.96	LT
	Apr 22, 13	510.000	46.879	23,908.70	69.450	35,419.50	11,510.80	LT
	Jul 12, 13	100.000	50.459	5,045.96	69.450	6,945.00	1,899.04	ST
Security total		1,070.000	50.511	54,046.70		74,311.50	20,264.80	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE								
EAI: \$2,052 Current yield: 2.90%								
	Jan 29, 13	930.000	40.500	37,665.00	43.410	40,371.30	2,706.30	LT
	Apr 22, 13	445.000	41.074	18,278.06	43.410	19,317.45	1,039.39	LT
	Jul 12, 13	255.000	41.271	10,524.33	43.410	11,069.55	545.22	ST
Security total		1,630.000	40.778	66,467.39		70,758.30	4,290.91	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 30 (\$)	Value on May 30 (\$)	Unrealized gain or loss (\$)	Holding period
UNTID OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,388 Current yield: 3.07%								
	Jan 29, 13	900.000	30.540	27,486.00	36.210	32,589.00	5,103.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	36.210	3,621.00	271.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	36.210	9,052.50	757.50	ST
Security total		1,250.000	31.304	39,130.24		45,262.50	6,132.26	
VERIZON COMMUNICATIONS INC Symbol: VZ Exchange: NYSE EAI: \$1,062 Current yield: 4.24%								
	Jan 29, 13	383.969	46.861	17,993.39	49.960	19,183.09	1,189.70	LT
	Apr 22, 13	1.315	46.859	61.62	49.960	65.70	4.08	LT
	Jul 12, 13	115.716	46.861	5,422.64	49.960	5,781.17	358.53	ST
Security total		501.000	46.862	23,477.65		25,029.96	1,552.31	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$2,372 Current yield: 6.52%								
	Jan 29, 13	796.294	50.164	39,945.60	35.010	27,878.25	-12,067.35	LT
	Apr 22, 13	2.727	54.627	148.97	35.010	95.47	-53.50	LT
	Jul 12, 13	239.979	54.033	12,966.80	35.010	8,401.66	-4,565.14	ST
Security total		1,039.000	51.070	53,061.37		36,375.39	-16,685.99	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.090	31,594.50	1,701.00	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.090	20,611.65	2,629.44	LT
	Jul 12, 13	290.000	26.739	7,754.57	30.090	8,726.10	971.53	ST
Security total		2,025.000	27.472	55,630.28		60,932.25	5,301.97	
<b>Total</b>				<b>\$1,723,832.25</b>		<b>\$1,941,143.77</b>	<b>\$217,311.52</b>	
<b>Total estimated annual income: \$63,726</b>								

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Your assets (continued)

Your total assets

		Value on May 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	103,480.92	5.06%	103,480.92		
Equities	Common stock	1,941,143.77	94.94%	1,723,832.25	63,726.00	217,311.52
<b>Total</b>		<b>\$2,044,624.69</b>	<b>100.00%</b>	<b>\$1,827,313.17</b>	<b>\$63,726.00</b>	<b>\$217,311.52</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	May 1	Dividend	VERIZON COMMUNICATIONS INC PAID ON 501	265.53
	May 2	Foreign Dividend	SMITHS GROUP PLC SPON ADR PAID ON 1575 CUSIP: 83238P203	337.28
	May 5	Foreign Dividend	ORLA ASA A SHS SPON ADR PAID ON 4115 CUSIP: 686331109	1,715.27
	May 12	Foreign Dividend	BAYER A G SPON ADR PAID ON 245 CUSIP: 072730302	709.29
	May 13	Foreign Dividend	BRITISH AMER TOBACCO PLC GB SPON ADR PAID ON 590 CUSIP: 110448107	1,946.83
	May 15	Foreign Dividend	ABB LTD SPON ADR PAID ON 1475 CUSIP: 000375204	1,163.26
	May 19	Foreign Dividend	MUNICH RE GROUP ADR PAID ON 2610 CUSIP: 626188106	2,615.28
	May 27	Foreign Dividend	GDF SUEZ SPON ADR PAID ON 1980 CUSIP: 361608105	1,808.95
	May 30	Foreign Dividend	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR AS OF 05/29/14 CUSIP: 641069406	2,550.31
		<b>Total taxable dividends</b>		<b>\$13,112.00</b>
<i>Taxable interest</i>				
	May 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 05/06/14	2.22
		<b>Total taxable interest</b>		<b>\$2.22</b>
		<b>Total dividend and interest income</b>		<b>\$13,114.22</b>
<b>Fees</b>				
	May 2	Fee	SMITHS GROUP PLC SPON ADR	-47.25

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees</b> (continued)	May 5	Fee	ORKLA ASA A SHS SPON ADR	-102.88
	May 12	Fee	BAYER A G SPON ADR	-4.90
	May 13	Fee	BRITISH AMER TOBACCO PLC GB SPON ADR	-2.95
	May 15	Fee	ABB LTD SPON ADR	-29.50
	May 19	Fee	MUNICH RE GROUP ADR	-130.50
	May 27	Fee	GDF SUEZ SPON ADR	-49.50
	May 30	Fee	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR AS OF 05/29/14	-26.38
			<b>Total fees</b>	
<b>Other funds debited</b>	May 5	Foreign Tax Withheld	ORKLA ASA A SHS SPON ADR	-257.29
	May 12	Foreign Tax Withheld	BAYER A G SPON ADR	-187.08
	May 19	Foreign Tax Withheld	MUNICH RE GROUP ADR	-689.78
	May 27	Foreign Tax Withheld	GDF SUEZ SPON ADR	-271.34
	May 30	Foreign Tax Withheld	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR AS OF 05/29/14	-382.55
		<b>Total other funds debited</b>		<b>-\$1,788.04</b>
<b>Money balance activities</b>	<b>Apr 30</b>		<b>Balance forward</b>	<b>\$91,460.85</b>
	May 1	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,087.75
	May 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	265.53
	May 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT	290.03
	May 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,355.10
	May 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 05/06/14	2.22
	May 13	Deposit	UBS BANK USA DEPOSIT ACCOUNT	517.31
	May 14	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,943.88
	May 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,133.76
	May 20	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,795.00

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b> (continued)	May 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,488.11
	<b>May 30</b>	<b>Closing</b>	<b>UBS Bank USA Deposit Account</b>	<b>\$101,339.54</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED], 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/ubsweeps](http://www.ubs.com/ubsweeps) from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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## Important information about your statement (continued)

### Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosures](http://www.ubs.com/accountdisclosures).

### Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

### • Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

### • Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

### • Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

### • Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

June 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

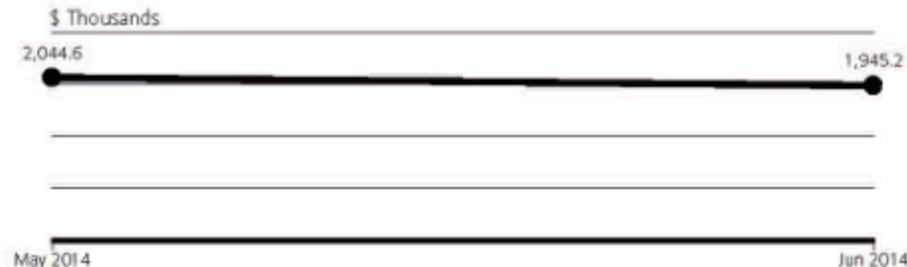
**Items for your attention**

► Reminder: Certain mutual fund shares held in select UBS Investment Advisory Programs will be converted starting in June. See your statement activity for details.

## Value of your account

	on May 30 (\$)	on June 30 (\$)
Your assets	2,044,624.69	1,945,163.86
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$2,044,624.69</b>	<b>\$1,945,163.86</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,816,411.69
Your investment return:	
Dividend and interest income	\$36,088.53
Change in market value	\$92,663.64
<b>Value of your account on Jun 30, 2014</b>	<b>\$1,945,163.86</b>

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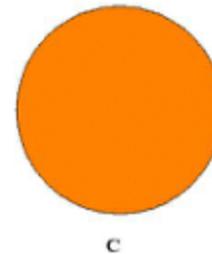
## Your account balance sheet

### Summary of your assets

	Value on June 30 (\$)	Percentage of your account
A Cash and money balances	0.00	0.00%
B Cash alternatives	0.00	0.00%
C Equities	1,945,163.86	100.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,945,163.86</b>	<b>100.00%</b>

**Value of your account** **\$1,945,163.86**

### Your current asset allocation



### Eye on the markets

Index	Percentage change	
	June 2014	Year to date
S&P 500	2.07%	7.14%
Russell 3000	2.51%	6.94%
MSCI - Europe, Australia & Far East	0.99%	5.14%
Barclays Capital U.S. Aggregate Bond Index	0.05%	3.93%

#### Interest rates on June 30, 2014

3-month Treasury bills: 0.04%  
One-month LIBOR: 0.16%

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## Change in the value of your account

	June 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$2,044,624.69</b>	<b>\$0.00</b>
Deposits, including investments transferred in	856.49	1,938,701.78
Withdrawals and fees, including investments transferred out	-118,950.27	-122,290.09
Dividend and interest income	14,612.86	36,088.53
Change in market value	4,020.09	92,663.64
<b>Closing account value</b>	<b>\$1,945,163.86</b>	<b>\$1,945,163.86</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2014 (\$)	Year to date (\$)
Taxable dividends	14,609.35	36,080.74
Taxable interest	3.51	7.79
<b>Total current year</b>	<b>\$14,612.86</b>	<b>\$36,088.53</b>
<b>Total dividend &amp; interest</b>	<b>\$14,612.86</b>	<b>\$36,088.53</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	June 2014 (\$)	Year to date (\$)	
Short term	0.00	0.00	43,282.15
Long term	0.00	0.00	178,049.45
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$221,331.60</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$103,480.92</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	856.49	82,306.01
Dividend and interest income	14,612.86	36,088.53
Proceeds from investment transactions	103,480.92	107,376.47
<b>Total additions</b>	<b>\$118,950.27</b>	<b>\$225,771.01</b>
<i>Subtractions</i>		
Professional management fees and related services	-346.36	-346.36
Other fees	-251.36	-789.38
Other funds debited	-118,352.55	-121,154.35
Funds withdrawn for investments bought	-103,480.92	-103,480.92
<b>Total subtractions</b>	<b>-\$222,431.19</b>	<b>-\$225,771.01</b>
<b>Net cash flow</b>	<b>-\$103,480.92</b>	<b>\$0.00</b>
<b>Closing balances</b>	<b>\$0.00</b>	<b>\$0.00</b>

## Withholdings and tax summary

	June 2014 (\$)	Year to date (\$)
Foreign taxes paid	-1,254.64	-4,056.44

## UBS Bank USA Deposit Account APY

Interest period May 7 - Jun 5

Opening UBS Bank USA Deposit balance May 7	\$94,461.48
Closing UBS Bank USA Deposit balance Jun 5	\$1,443.95
Number of days in interest period	30
Average daily balance	\$85,158.94
Interest earned	\$3.51
Annual percentage yield earned	0.05%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	2,141.38	0.00					
UBS BANK USA DEP ACCT	101,339.54	0.00					250,000.00
<b>Total</b>	<b>\$103,480.92</b>	<b>\$0.00</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.34%								
	Jan 29, 13	825.000	21.573	17,797.89	23.020	18,991.50	1,193.61	LT
	Apr 22, 13	650.000	21.211	13,787.15	23.020	14,963.00	1,175.85	LT
Security total		1,475.000	21.414	31,585.04		33,954.50	2,369.46	
ALSTOM ADR								
Symbol: ALSMY Exchange: OTC								
EAI: \$356 Current yield: 2.04%								
	Aug 20, 13	2,425.000	3.634	8,812.78	3.580	8,681.50	-131.28	ST
	Aug 21, 13	2,450.000	3.656	8,958.13	3.580	8,771.00	-187.13	ST
Security total		4,875.000	3.645	17,770.91		17,452.50	-318.41	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.77%								
	Jan 29, 13	210.000	50.130	10,527.30	74.310	15,605.10	5,077.80	LT
	Apr 22, 13	85.000	51.335	4,363.53	74.310	6,316.35	1,952.82	LT
	Jul 12, 13	70.000	49.441	3,460.92	74.310	5,201.70	1,740.78	ST
Security total		365.000	50.279	18,351.75		27,123.15	8,771.40	

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,293 Current yield: 4.33%								
	Jan 29, 13	1,000.000	22.160	22,160.00	29.860	29,860.00	7,700.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.49%								
	Jan 29, 13	200.000	99.560	19,912.00	141.270	28,254.00	8,342.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	141.270	6,357.15	1,777.95	LT
Security total		245.000	99.964	24,491.20		34,611.15	10,119.95	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,027 Current yield: 3.45%								
	Jan 29, 13	320.000	78.520	25,126.50	68.450	21,904.00	-3,222.50	LT
	Apr 22, 13	30.000	63.865	1,915.95	68.450	2,053.50	137.55	LT
	Jul 12, 13	85.000	61.002	5,185.23	68.450	5,818.25	633.02	ST
Security total		435.000	74.087	32,227.68		29,775.75	-2,451.93	
<b>BNP PARIBAS SA ADR</b>								
Symbol: BNPQY Exchange: OTC								
EAI: \$854 Current yield: 2.10%								
	Jan 9, 14	710.000	40.003	28,402.76	34.025	24,157.75	-4,245.01	ST
	Jan 13, 14	485.000	39.735	19,271.70	34.025	16,502.12	-2,769.58	ST
Security total		1,195.000	39.895	47,674.46		40,659.87	-7,014.59	
<b>BOC HONG KONG HOLDINGS LTD SPON ADR</b>								
Symbol: BHKLY Exchange: OTC								
EAI: \$1,424 Current yield: 4.43%								
	Jan 29, 13	175.000	69.539	12,169.48	57.900	10,132.50	-2,036.98	LT
	Apr 22, 13	220.000	66.403	14,608.84	57.900	12,738.00	-1,870.84	LT
	Jul 12, 13	160.000	62.770	10,043.20	57.900	9,264.00	-779.20	ST
Security total		555.000	66.345	36,821.52		32,134.50	-4,687.02	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,800 Current yield: 3.99%								
	Jan 29, 13	340.000	104.097	35,393.08	119.080	40,487.20	5,094.12	LT
	Apr 22, 13	150.000	107.325	16,098.78	119.080	17,862.00	1,763.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	119.080	11,908.00	1,353.56	ST
Security total		590.000	105.163	62,046.30		70,257.20	8,210.90	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC EAI: \$2,763 Current yield: 3.69%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.520	22,338.00	5,268.55	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	17.520	20,148.00	4,785.15	ST
	Sep 18, 13	990.000	13.393	13,259.17	17.520	17,344.80	4,085.63	ST
	Oct 10, 13	855.000	15.481	13,236.68	17.520	14,979.60	1,742.92	ST
Security total		4,270.000	13.801	58,928.15		74,810.40	15,882.25	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC EAI: \$1,451 Current yield: 2.95%								
	Jan 29, 13	640.000	23.900	15,296.00	36.220	23,180.80	7,884.80	LT
	Apr 22, 13	720.000	22.581	16,258.75	36.220	26,078.40	9,819.65	LT
Security total		1,360.000	23.202	31,554.75		49,259.20	17,704.45	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$2,758 Current yield: 5.03%								
	Jan 29, 13	720.000	20.779	14,960.95	27.680	19,929.60	4,968.65	LT
	Apr 22, 13	380.000	20.919	7,949.37	27.680	10,518.40	2,569.03	LT
	Jul 12, 13	215.000	20.144	4,330.97	27.680	5,951.20	1,620.23	ST
	Aug 21, 13	285.000	22.200	6,327.10	27.680	7,888.80	1,561.70	ST
	Aug 22, 13	140.000	22.508	3,151.20	27.680	3,875.20	724.00	ST
	Aug 23, 13	240.000	22.418	5,380.51	27.680	6,643.20	1,262.69	ST
Security total		1,980.000	21.263	42,100.10		54,806.40	12,706.30	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,597 Current yield: 4.78%								
	Jan 29, 13	330.000	45.615	15,052.95	53.480	17,648.40	2,595.45	LT
	Feb 13, 13	320.000	45.645	14,606.46	53.480	17,113.60	2,507.14	LT
	Apr 22, 13	245.000	50.630	12,404.47	53.480	13,102.60	698.13	LT
	Jul 12, 13	120.000	52.904	6,348.48	53.480	6,417.60	69.12	ST
Security total		1,015.000	47.697	48,412.36		54,282.20	5,869.84	
<b>HSBC HOLDINGS PLC NEW GB SPON ADR</b>								
Symbol: HSBC Exchange: NYSE EAI: \$2,805 Current yield: 4.82%								
	Jan 29, 13	570.000	56.908	32,437.90	50.800	28,956.00	-3,481.90	LT

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	430.000	52.120	22,411.73	50.800	21,844.00	-567.73	LT
	Jul 12, 13	145.000	54.904	7,961.20	50.800	7,366.00	-595.20	ST
Security total		1,145.000	54.857	62,810.83		58,166.00	-4,644.83	
IMPERIAL TOBACCO GROUP PLC SPON ADR Symbol: ITYBY Exchange: OTC EAI: \$2,073 Current yield: 4.45%								
	Apr 25, 13	60.000	70.891	4,253.51	90.410	5,424.60	1,171.09	LT
	Apr 26, 13	375.000	70.792	26,547.34	90.410	33,903.75	7,356.41	LT
	Jul 12, 13	80.000	68.375	5,470.00	90.410	7,232.80	1,762.80	ST
Security total		515.000	70.429	36,270.85		46,561.15	10,290.30	
KIRIN HOLDINGS LTD SPON ADR Symbol: KNBWY Exchange: OTC EAI: \$834 Current yield: 1.92%								
	Apr 3, 13	1,250.000	16.177	20,221.50	14.460	18,075.00	-2,146.50	LT
	Apr 22, 13	600.000	16.320	9,792.00	14.460	8,676.00	-1,116.00	LT
	Jul 12, 13	325.000	16.380	5,323.50	14.460	4,699.50	-624.00	ST
	Aug 5, 13	400.000	15.655	6,262.36	14.460	5,784.00	-478.36	ST
	Aug 6, 13	435.000	15.888	6,911.50	14.460	6,290.10	-621.40	ST
Security total		3,010.000	16.117	48,510.86		43,524.60	-4,986.26	
LUKOIL OIL CO SPON ADR (25 RUBLES) Symbol: LUKOY Exchange: OTC EAI: \$2,871 Current yield: 4.64%								
	Jan 29, 13	410.000	67.030	27,482.30	59.820	24,526.20	-2,956.10	LT
	Feb 13, 13	35.000	66.951	2,343.29	59.820	2,093.70	-249.59	LT
	Apr 22, 13	435.000	58.678	25,524.97	59.820	26,021.70	496.73	LT
	Jul 12, 13	155.000	60.812	9,425.94	59.820	9,272.10	-153.84	ST
Security total		1,035.000	62.586	64,776.50		61,913.70	-2,862.80	
MTN GROUP LTD SPON ADR Symbol: MTNOY Exchange: OTC EAI: \$2,116 Current yield: 3.80%								
	Jan 29, 13	1,660.000	19.580	32,502.80	21.200	35,192.00	2,689.20	LT
	Apr 22, 13	590.000	17.390	10,260.10	21.200	12,508.00	2,247.90	LT
	Jul 12, 13	375.000	19.219	7,207.31	21.200	7,950.00	742.69	ST
Security total		2,625.000	19.036	49,970.21		55,650.00	5,679.79	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC EAI: \$1,796 Current yield: 3.10%								
	Jan 29, 13	1,650.000	18.280	30,162.00	22.170	36,580.50	6,418.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	22.170	9,422.25	1,115.96	LT
	Jul 12, 13	535.000	19.059	10,196.73	22.170	11,860.95	1,664.22	ST
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>57,863.70</b>	<b>9,198.68</b>	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,138 Current yield: 2.61%								
	Jan 29, 13	570.000	70.160	39,991.20	77.670	44,271.90	4,280.70	LT
	Apr 22, 13	290.000	69.918	20,276.22	77.670	22,524.30	2,248.08	LT
	Jul 12, 13	195.000	67.417	13,146.41	77.670	15,145.65	1,999.24	ST
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>81,941.85</b>	<b>8,528.02</b>	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE EAI: \$1,653 Current yield: 2.40%								
	Jul 22, 13	1,700.000	26.445	44,957.18	31.210	53,057.00	8,099.82	ST
	Aug 6, 13	510.000	26.309	13,417.69	31.210	15,917.10	2,499.41	ST
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>68,974.10</b>	<b>10,599.23</b>	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE EAI: \$2,280 Current yield: 2.58%								
	Jan 29, 13	590.000	67.877	40,047.61	90.530	53,412.70	13,365.09	LT
	Apr 22, 13	240.000	72.512	17,403.02	90.530	21,727.20	4,324.18	LT
	Jul 12, 13	145.000	73.450	10,650.38	90.530	13,126.85	2,476.47	ST
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>88,266.75</b>	<b>20,165.74</b>	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC EAI: \$1,354 Current yield: 3.66%								
	Jan 21, 14	710.000	8.052	5,717.56	8.990	6,382.90	665.34	ST
	Jan 22, 14	220.000	8.190	1,801.84	8.990	1,977.80	175.96	ST
	Jan 23, 14	315.000	8.282	2,608.92	8.990	2,831.85	222.93	ST
	Jan 24, 14	185.000	8.147	1,507.25	8.990	1,663.15	155.90	ST
	Jan 27, 14	135.000	8.019	1,082.58	8.990	1,213.65	131.07	ST
	Jan 28, 14	265.000	8.045	2,132.08	8.990	2,382.35	250.27	ST

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 29, 14	390.000	7.991	3,116.53	8.990	3,506.10	389.57	ST
	Jan 30, 14	245.000	7.849	1,923.23	8.990	2,202.55	279.32	ST
	Jan 31, 14	260.000	7.878	2,048.46	8.990	2,337.40	288.94	ST
	Feb 3, 14	185.000	7.794	1,441.96	8.990	1,663.15	221.19	ST
	Feb 4, 14	235.000	7.671	1,802.69	8.990	2,112.65	309.96	ST
	Feb 5, 14	245.000	7.727	1,893.29	8.990	2,202.55	309.26	ST
	Feb 6, 14	165.000	7.561	1,247.70	8.990	1,483.35	235.65	ST
	Feb 7, 14	310.000	7.528	2,333.87	8.990	2,786.90	453.03	ST
	Feb 10, 14	250.000	7.609	1,902.25	8.990	2,247.50	345.25	ST
Security total		4,115.000	7.913	32,560.21		36,993.85	4,433.64	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.80%								
	Jan 29, 13	690.000	39.442	27,215.25	41.660	28,745.40	1,530.15	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,501 Current yield: 2.42%								
	Jan 29, 13	2,590.000	13.560	35,120.40	17.500	45,325.00	10,204.60	LT
	Apr 22, 13	510.000	14.360	7,323.60	17.500	8,925.00	1,601.40	LT
	Jul 12, 13	440.000	14.331	6,305.99	17.500	7,700.00	1,394.01	ST
Security total		3,540.000	13.771	48,749.99		61,950.00	13,200.01	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$1,281 Current yield: 2.45%								
	Jan 29, 13	1,100.000	27.545	30,299.50	37.300	41,030.00	10,730.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	37.300	11,190.00	2,109.69	LT
Security total		1,400.000	28.128	39,379.81		52,220.00	12,840.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 4.32%								
	Jan 29, 13	185.000	74.585	13,798.31	87.010	16,096.85	2,298.54	LT
	Apr 22, 13	215.000	65.856	14,159.06	87.010	18,707.15	4,548.09	LT
Security total		400.000	69.893	27,957.37		34,804.00	6,846.63	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SANOFI SPON ADR</b>								
Symbol: SNOY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.48%								
	Jan 29, 13	720.000	48.754	35,103.55	53.170	38,282.40	3,178.85	LT
	Apr 22, 13	275.000	52.654	14,480.06	53.170	14,621.75	141.69	LT
	Jul 12, 13	200.000	52.424	10,484.90	53.170	10,634.00	149.10	ST
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>63,538.15</b>	<b>3,469.64</b>	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,507 Current yield: 2.28%								
	Jan 29, 13	250.000	110.110	27,527.50	132.190	33,047.50	5,520.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	132.190	26,438.00	6,411.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	132.190	6,609.50	1,246.67	ST
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>66,095.00</b>	<b>13,177.83</b>	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,249 Current yield: 4.11%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.010	32,870.60	2,915.00	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.010	13,644.40	523.25	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.010	8,217.65	121.48	ST
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>54,732.65</b>	<b>3,559.73</b>	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$962 Current yield: 2.71%								
	Jan 29, 13	1,245.000	19.750	24,588.75	22.560	28,087.20	3,498.45	LT
	Apr 22, 13	330.000	18.685	6,166.05	22.560	7,444.80	1,278.75	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>35,532.00</b>	<b>4,777.20</b>	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,277 Current yield: 2.86%								
	Jan 29, 13	610.000	26.533	16,185.31	30.830	18,806.30	2,620.99	LT
	Apr 22, 13	205.000	25.765	5,281.88	30.830	6,320.15	1,038.27	LT
	Apr 22, 13	170.000	23.274	3,956.72	30.830	5,241.10	1,284.38	LT
	Apr 22, 13	135.000	25.785	3,481.05	30.830	4,162.05	681.00	LT
	Jul 12, 13	330.000	21.423	7,069.66	30.830	10,173.90	3,104.24	ST
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>44,703.50</b>	<b>8,728.88</b>	

continued next page

**CONFIDENTIAL**



Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE EAI: \$333 Current yield: 1.88%								
	Jan 29, 13	800.000	17.800	14,240.00	21.390	17,112.00	2,872.00	LT
	Jul 12, 13	30.000	18.434	553.04	21.390	641.70	88.66	ST
<b>Security total</b>		830.000	17.823	14,793.04		17,753.70	2,960.66	
<b>TESCO PLC SPONS ADR UNITED KINGDOM</b>								
Symbol: TSCDY Exchange: OTC EAI: \$1,064 Current yield: 4.78%								
	Jan 29, 13	435.000	17.210	7,486.35	14.650	6,372.75	-1,113.60	LT
	Apr 22, 13	660.000	16.910	11,160.60	14.650	9,669.00	-1,491.60	LT
	Jul 12, 13	425.000	16.023	6,809.78	14.650	6,226.25	-583.53	ST
<b>Security total</b>		1,520.000	16.748	25,456.73		22,268.00	-3,188.73	
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE EAI: \$2,923 Current yield: 3.78%								
	Jan 29, 13	460.000	54.547	25,092.04	72.200	33,212.00	8,119.96	LT
	Apr 22, 13	510.000	46.879	23,908.70	72.200	36,822.00	12,913.30	LT
	Jul 12, 13	100.000	50.459	5,045.96	72.200	7,220.00	2,174.04	ST
<b>Security total</b>		1,070.000	50.511	54,046.70		77,254.00	23,207.30	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE EAI: \$2,052 Current yield: 2.88%								
	Jan 29, 13	930.000	40.500	37,665.00	43.760	40,696.80	3,031.80	LT
	Apr 22, 13	445.000	41.074	18,278.06	43.760	19,473.20	1,195.14	LT
	Jul 12, 13	255.000	41.271	10,524.33	43.760	11,158.80	634.47	ST
<b>Security total</b>		1,630.000	40.778	66,467.39		71,328.80	4,861.41	
<b>UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR</b>								
Symbol: UOVEY Exchange: OTC EAI: \$1,389 Current yield: 3.07%								
	Jan 29, 13	900.000	30.540	27,486.00	36.190	32,571.00	5,085.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	36.190	3,619.00	269.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	36.190	9,047.50	752.50	ST
<b>Security total</b>		1,250.000	31.304	39,130.24		45,237.50	6,107.26	

continued next page

**CONFIDENTIAL**



Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
VERIZON COMMUNICATIONS INC								
Symbol: VZ Exchange: NYSE								
EAI: \$1,062 Current yield: 4.33%								
	Jan 29, 13	383.969	46.861	17,993.39	48.930	18,787.60	794.21	LT
	Apr 22, 13	1.315	46.859	61.62	48.930	64.34	2.72	LT
	Jul 12, 13	115.716	46.861	5,422.64	48.930	5,661.98	239.34	ST
Security total		501.000	46.862	23,477.65		24,513.93	1,036.27	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$2,372 Current yield: 6.84%								
	Jan 29, 13	796.294	50.164	39,945.60	33.390	26,588.26	-13,357.34	LT
	Apr 22, 13	2.727	54.627	148.97	33.390	91.05	-57.92	LT
	Jul 12, 13	239.979	54.033	12,966.80	33.390	8,012.90	-4,953.90	ST
Security total		1,039.000	51.070	53,061.37		34,692.21	-18,369.16	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.100	31,605.00	1,711.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.100	20,618.50	2,636.29	LT
	Jul 12, 13	290.000	26.739	7,754.57	30.100	8,729.00	974.43	ST
Security total		2,025.000	27.472	55,630.28		60,952.50	5,322.22	
<b>Total</b>				<b>\$1,723,832.25</b>		<b>\$1,945,163.86</b>	<b>\$221,331.60</b>	

Total estimated annual income: \$63,771

Your total assets

		Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	Common stock	1,945,163.86	100.00%	1,723,832.25	63,771.00	221,331.60
<b>Total</b>		<b>\$1,945,163.86</b>	<b>100.00%</b>	<b>\$1,723,832.25</b>	<b>\$63,771.00</b>	<b>\$221,331.60</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
Deposits and other funds credited	Jun 9	Deposit	CHECK DEPOSIT	856.49
		<b>Total deposits and other funds credited</b>		<b>\$856.49</b>

**CONFIDENTIAL**



	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	Jun 4	Foreign Dividend	STATOIL ASA SPON ADR PAID ON 1450 CUSIP: 85771P102	1,703.16
	Jun 5	Foreign Dividend	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/14 CUSIP: 715684106	962.65
	Jun 5	Foreign Dividend	P.T. TELEKOMUNIKASI INDONESIA SPON ADR PAID ON 690 CUSIP: 715684106	262.54
	Jun 5	Foreign Dividend	SANOI SPON ADR PAID ON 1195 CUSIP: 80105N105	2,282.15
	Jun 6	Dividend	UBS SELECT PRIME INVESTOR FUND	0.12
	Jun 9	Foreign Dividend	BAE SYSTEMS PLC SPON ADR PAID ON 1000 CUSIP: 05523R107	809.73
	Jun 9	Foreign Dividend	DEUTSCHE POST AG SPON ADR PAID ON 1360 CUSIP: 25157Y202	1,478.81
	Jun 11	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 1630 CUSIP: 904784709	641.89
	Jun 12	Foreign Dividend	BNP PARIBAS SA ADR PAID ON 1195 CUSIP: 05565A202	1,220.25
	Jun 18	Foreign Dividend	DEUTSCHE TELEKOM AG DE SPON ADR PAID ON 4270 CUSIP: 251566105	2,891.56
	Jun 20	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR PAID ON 1070 CUSIP: 89151E109	882.95
	Jun 26	Foreign Dividend	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR PAID ON 400 CUSIP: 780259107	376.00
	Jun 26	Foreign Dividend	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR PAID ON 1250 CUSIP: 911271302	1,097.54
		<b>Total taxable dividends</b>		<b>\$14,609.35</b>
<i>Taxable interest</i>				
	Jun 6	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/05/14	3.51
		<b>Total taxable interest</b>		<b>\$3.51</b>
		<b>Total dividend and interest income</b>		<b>\$14,612.86</b>
<b>Fees</b>				
	Jun 4	Fee	STATOIL ASA SPON ADR	-7.25
	Jun 5	Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/14	-13.80
	Jun 5	Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR	-13.80
	Jun 5	Fee	SANOI SPON ADR	-29.88

continued next page

**CONFIDENTIAL**



Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees (continued)</b>	Jun 9	Fee	BAE SYSTEMS PLC SPON ADR	-20.00
	Jun 9	Fee	DEUTSCHE POST AG SPON ADR	-27.20
	Jun 12	Fee	BNP PARIBAS SA ADR	-5.98
	Jun 18	Fee	DEUTSCHE TELEKOM AG DE SPON ADR	-128.10
	Jun 20	Fee	TOTAL S.A. FRANCE SPON ADR	-5.35
	Jun 26	Fee Charged	INITIAL FEE	-346.36
			<b>Total professional management fees</b>	<b>-\$346.36</b>
		<b>Total other fees</b>	<b>-\$251.36</b>	

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b>	Jun 4	Foreign Tax Withheld	STATOIL ASA SPON ADR	-255.47
	Jun 5	Foreign Tax Withheld	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/14	-192.53
	Jun 5	Foreign Tax Withheld	P.T. TELEKOMUNIKASI INDONESIA SPON ADR	-52.51
	Jun 5	Foreign Tax Withheld	SANOFI SPON ADR	-342.32
	Jun 6	Transfer	TO Y1 23575 0100	-107,783.86
	Jun 9	Transfer	TO Y1 23575 0100	-3.63
	Jun 10	Transfer	TO Y1 23575 0100	-3,097.83
	Jun 11	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-96.33
	Jun 12	Foreign Tax Withheld	BNP PARIBAS SA ADR	-183.04
	Jun 16	Transfer	TO Y1 23575 0100	-1,576.79
	Jun 19	Transfer	TO Y1 23575 0100	-2,763.46
	Jun 20	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR	-132.44
	Jun 23	Transfer	TO Y1 23575 0100	-745.16
	Jun 27	Transfer	TO Y1 23575 0100	-1,127.18
			<b>Total other funds debited</b>	<b>-\$118,352.55</b>

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Account activity this month (continued)

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jun 2	Bought	UBS SELECT PRIME INVESTOR FUND	103,480.920		1.000		-103,480.92	
Jun 6	Sold	UBS SELECT PRIME INVESTOR FUND	-103,480.920			103,480.92		
<b>Total</b>						<b>\$103,480.92</b>	<b>-\$103,480.92</b>	

Date	Activity	Description	Amount (\$)
<b>May 30</b>	<b>Balance forward</b>		<b>\$101,339.54</b>
Jun 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,141.38
Jun 3	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/02/14	-103,480.92
Jun 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,440.44
Jun 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/05/14	3.51
Jun 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,862.50
Jun 9	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/06/14	-4,302.82
Jun 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3,094.20
Jun 11	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/10/14	-3,097.83
Jun 12	Deposit	UBS BANK USA DEPOSIT ACCOUNT	545.56
Jun 13	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,031.23
Jun 17	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/16/14	-1,576.79
Jun 19	Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,763.46
Jun 20	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/19/14	-2,763.46
Jun 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	745.16
Jun 24	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/23/14	-745.16
Jun 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,127.18
Jun 30	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/27/14	-1,127.18
<b>Jun 30</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$0.00</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED], 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/ubsweeps](http://www.ubs.com/ubsweeps) or from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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## Important information about your statement (continued)

### Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosures](http://www.ubs.com/accountdisclosures).

### Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

### • Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

### • Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

### • Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

### • Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201402

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

July 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

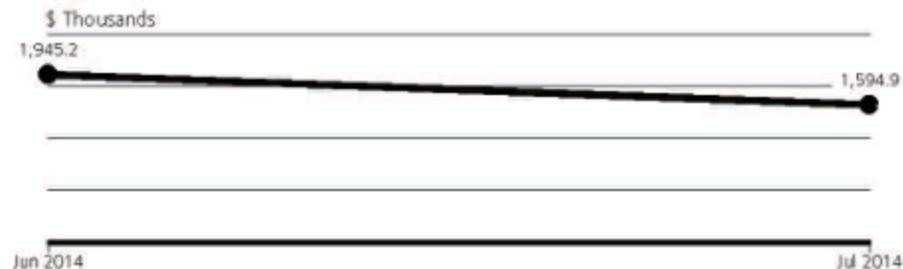
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on June 30 (\$)	on July 31 (\$)
Your assets	1,945,163.86	1,594,884.72
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,945,163.86</b>	<b>\$1,594,884.72</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,512,467.52
Your investment return:	
Dividend and interest income	\$41,516.76
Change in market value	\$40,900.44
<b>Value of your account on Jul 31, 2014</b>	<b>\$1,594,884.72</b>

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## Your account balance sheet

### Summary of your assets

	Value on July 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	1,217.86	0.08%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,593,666.86	99.92%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,594,884.72</b>	<b>100.00%</b>

**Value of your account** **\$1,594,884.72**

### Your current asset allocation



### Eye on the markets

Index	Percentage change	
	July 2014	Year to date
S&P 500	-1.38%	5.66%
Russell 3000	-1.97%	4.83%
MSCI - Europe, Australia & Far East	-1.96%	3.08%
Barclays Capital U.S. Aggregate Bond Index	-0.25%	3.66%

#### Interest rates on July 31, 2014

3-month Treasury bills: 0.03%  
One-month LIBOR: 0.16%

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## Change in the value of your account

	July 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,945,163.86</b>	<b>\$0.00</b>
Deposits, including investments transferred in	2,451.44	1,941,153.22
Withdrawals and fees, including investments transferred out	-306,395.61	-428,685.70
Dividend and interest income	5,428.23	41,516.76
Change in market value	-51,763.20	40,900.44
<b>Closing account value</b>	<b>\$1,594,884.72</b>	<b>\$1,594,884.72</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2014 (\$)	Year to date (\$)
Taxable dividends	5,428.23	41,508.97
Taxable interest	0.00	7.79
<b>Total current year</b>	<b>\$5,428.23</b>	<b>\$41,516.76</b>
<b>Total dividend &amp; interest</b>	<b>\$5,428.23</b>	<b>\$41,516.76</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	July 2014 (\$)	Year to date (\$)	
Short term	-10,372.89	-10,372.89	21,540.54
Long term	-17,192.77	-17,192.77	175,593.53
<b>Total</b>	<b>-\$27,565.66</b>	<b>-\$27,565.66</b>	<b>\$197,134.07</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$0.00</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	2,451.44	84,757.45
Dividend and interest income	5,428.23	41,516.76
Proceeds from investment transactions	299,733.80	407,110.27
<b>Total additions</b>	<b>\$307,613.47</b>	<b>\$533,384.48</b>
<i>Subtractions</i>		
Professional management fees and related services	-2,451.44	-2,797.80
Other fees	-75.46	-864.84
Other funds debited	-303,868.71	-425,023.06
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$306,395.61</b>	<b>-\$532,166.62</b>
<b>Net cash flow</b>	<b>\$1,217.86</b>	<b>\$1,217.86</b>
<b>Closing balances</b>	<b>\$1,217.86</b>	<b>\$1,217.86</b>

## Withholdings and tax summary

	July 2014 (\$)	Year to date (\$)
Foreign taxes paid	-628.85	-4,685.29

## UBS Bank USA Deposit Account APY

Interest period Jun 6 - Jul 7

Opening UBS Bank USA Deposit balance Jun 6	\$1,443.95
Closing UBS Bank USA Deposit balance Jul 7	\$0.00
Number of days in interest period	32
Average daily balance	\$165.33
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Jul 1 (\$)	Closing balance on Jul 31 (\$)	Price per share on Jul 31 (\$)	Average rate	Dividend Interest period	Days in period
Cash	0.00	1,217.86				

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.34%								
	Jan 29, 13	825.000	21.573	17,797.89	23.000	18,975.00	1,177.11	LT
	Apr 22, 13	650.000	21.211	13,787.15	23.000	14,950.00	1,162.85	LT
Security total		1,475.000	21.414	31,585.04		33,925.00	2,339.96	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.85%								
	Jan 29, 13	210.000	50.130	10,527.30	72.790	15,285.90	4,758.60	LT
	Apr 22, 13	85.000	51.335	4,363.53	72.790	6,187.15	1,823.62	LT
	Jul 12, 13	70.000	49.441	3,460.92	72.790	5,095.30	1,634.38	LT
Security total		365.000	50.279	18,351.75		26,568.35	8,216.60	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,293 Current yield: 4.47%								
	Jan 29, 13	1,000.000	22.160	22,160.00	28.930	28,930.00	6,770.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.60%								
	Jan 29, 13	200.000	99.560	19,912.00	131.676	26,335.20	6,423.20	LT
	Apr 22, 13	45.000	101.760	4,579.20	131.676	5,925.42	1,346.22	LT
Security total		245.000	99.964	24,491.20		32,260.62	7,769.42	

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,027 Current yield: 3.32%								
	Jan 29, 13	320.000	78.520	25,126.50	71.090	22,748.80	-2,377.70	LT
	Apr 22, 13	30.000	63.865	1,915.95	71.090	2,132.70	216.75	LT
	Jul 12, 13	85.000	61.002	5,185.23	71.090	6,042.65	857.42	LT
<b>Security total</b>		<b>435.000</b>	<b>74.087</b>	<b>32,227.68</b>		<b>30,924.15</b>	<b>-1,303.53</b>	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,882 Current yield: 4.16%								
	Jan 29, 13	340.000	104.097	35,393.08	117.400	39,916.00	4,522.92	LT
	Apr 22, 13	150.000	107.325	16,098.78	117.400	17,610.00	1,511.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	117.400	11,740.00	1,185.56	LT
<b>Security total</b>		<b>590.000</b>	<b>105.163</b>	<b>62,046.30</b>		<b>69,266.00</b>	<b>7,219.70</b>	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.97%								
	Sep 16, 13	1,275.000	13.387	17,069.45	16.310	20,795.25	3,725.80	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	16.310	18,756.50	3,393.65	ST
	Sep 18, 13	990.000	13.393	13,259.17	16.310	16,146.90	2,887.73	ST
	Oct 10, 13	855.000	15.481	13,236.68	16.310	13,945.05	708.37	ST
<b>Security total</b>		<b>4,270.000</b>	<b>13.801</b>	<b>58,928.15</b>		<b>69,643.70</b>	<b>10,715.55</b>	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.34%								
	Jan 29, 13	640.000	23.900	15,296.00	31.950	20,448.00	5,152.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	31.950	23,004.00	6,745.25	LT
<b>Security total</b>		<b>1,360.000</b>	<b>23.202</b>	<b>31,554.75</b>		<b>43,452.00</b>	<b>11,897.25</b>	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC								
EAI: \$2,758 Current yield: 5.39%								
	Jan 29, 13	720.000	20.779	14,960.95	25.820	18,590.40	3,629.45	LT
	Apr 22, 13	380.000	20.919	7,949.37	25.820	9,811.60	1,862.23	LT
	Jul 12, 13	215.000	20.144	4,330.97	25.820	5,551.30	1,220.33	LT
	Aug 21, 13	285.000	22.200	6,327.10	25.820	7,358.70	1,031.60	ST
	Aug 22, 13	140.000	22.508	3,151.20	25.820	3,614.80	463.60	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 23, 13	240.000	22.418	5,380.51	25.820	6,196.80	816.29	ST
<b>Security total</b>		<b>1,980.000</b>	<b>21.263</b>	<b>42,100.10</b>		<b>51,123.60</b>	<b>9,023.50</b>	
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$2,694 Current yield: 5.49%								
	Jan 29, 13	330.000	45.615	15,052.95	48.370	15,962.10	909.15	LT
	Feb 13, 13	320.000	45.645	14,606.46	48.370	15,478.40	871.94	LT
	Apr 22, 13	245.000	50.630	12,404.47	48.370	11,850.65	-553.82	LT
	Jul 12, 13	120.000	52.904	6,348.48	48.370	5,804.40	-544.08	LT
<b>Security total</b>		<b>1,015.000</b>	<b>47.697</b>	<b>48,412.36</b>		<b>49,095.55</b>	<b>683.19</b>	
IMPERIAL TOBACCO GROUP PLC								
SPON ADR								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,073 Current yield: 4.63%								
	Apr 25, 13	60.000	70.891	4,253.51	86.850	5,211.00	957.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	86.850	32,568.75	6,021.41	LT
	Jul 12, 13	80.000	68.375	5,470.00	86.850	6,948.00	1,478.00	LT
<b>Security total</b>		<b>515.000</b>	<b>70.429</b>	<b>36,270.85</b>		<b>44,727.75</b>	<b>8,456.90</b>	
MTN GROUP LTD SPON ADR								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,116 Current yield: 3.90%								
	Jan 29, 13	1,660.000	19.580	32,502.80	20.660	34,295.60	1,792.80	LT
	Apr 22, 13	590.000	17.390	10,260.10	20.660	12,189.40	1,929.30	LT
	Jul 12, 13	375.000	19.219	7,207.31	20.660	7,747.50	540.19	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>54,232.50</b>	<b>4,262.29</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.24%								
	Jan 29, 13	1,650.000	18.280	30,162.00	21.214	35,003.10	4,841.10	LT
	Apr 22, 13	425.000	19.544	8,306.29	21.214	9,015.95	709.66	LT
	Jul 12, 13	535.000	19.059	10,196.73	21.214	11,349.49	1,152.76	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>55,368.54</b>	<b>6,703.52</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.73%								
	Jan 29, 13	570.000	70.160	39,991.20	74.150	42,265.50	2,274.30	LT
	Apr 22, 13	290.000	69.918	20,276.22	74.150	21,503.50	1,227.28	LT

continued next page  
SDNY\_GM\_00021720

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	195.000	67.417	13,146.41	74.150	14,459.25	1,312.84	LT
Security total		1,055.000	69.587	73,413.83		78,228.25	4,814.42	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,653 Current yield: 2.25%								
	Jul 22, 13	1,700.000	26.445	44,957.18	33.260	56,542.00	11,584.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	33.260	16,962.60	3,544.91	ST
Security total		2,210.000	26.414	58,374.87		73,504.60	15,129.73	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,280 Current yield: 2.69%								
	Jan 29, 13	590.000	67.877	40,047.61	86.940	51,294.60	11,246.99	LT
	Apr 22, 13	240.000	72.512	17,403.02	86.940	20,865.60	3,462.58	LT
	Jul 12, 13	145.000	73.450	10,650.38	86.940	12,606.30	1,955.92	LT
Security total		975.000	69.847	68,101.01		84,766.50	16,665.49	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 3.61%								
	Jan 21, 14	710.000	8.052	5,717.56	9.120	6,475.20	757.64	ST
	Jan 22, 14	220.000	8.190	1,801.84	9.120	2,006.40	204.56	ST
	Jan 23, 14	315.000	8.282	2,608.92	9.120	2,872.80	263.88	ST
	Jan 24, 14	185.000	8.147	1,507.25	9.120	1,687.20	179.95	ST
	Jan 27, 14	135.000	8.019	1,082.58	9.120	1,231.20	148.62	ST
	Jan 28, 14	265.000	8.045	2,132.08	9.120	2,416.80	284.72	ST
	Jan 29, 14	390.000	7.991	3,116.53	9.120	3,556.80	440.27	ST
	Jan 30, 14	245.000	7.849	1,923.23	9.120	2,234.40	311.17	ST
	Jan 31, 14	260.000	7.878	2,048.46	9.120	2,371.20	322.74	ST
	Feb 3, 14	185.000	7.794	1,441.96	9.120	1,687.20	245.24	ST
	Feb 4, 14	235.000	7.671	1,802.69	9.120	2,143.20	340.51	ST
	Feb 5, 14	245.000	7.727	1,893.29	9.120	2,234.40	341.11	ST
	Feb 6, 14	165.000	7.561	1,247.70	9.120	1,504.80	257.10	ST
	Feb 7, 14	310.000	7.528	2,333.87	9.120	2,827.20	493.33	ST
	Feb 10, 14	250.000	7.609	1,902.25	9.120	2,280.00	377.75	ST
Security total		4,115.000	7.913	32,560.21		37,528.80	4,968.59	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.60%								
	Jan 29, 13	690.000	39.442	27,215.25	44.910	30,987.90	3,772.65	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,543 Current yield: 2.44%								
	Jan 29, 13	2,590.000	13.560	35,120.40	17.890	46,335.10	11,214.70	LT
	Apr 22, 13	510.000	14.360	7,323.60	17.890	9,123.90	1,800.30	LT
	Jul 12, 13	440.000	14.331	6,305.99	17.890	7,871.60	1,565.61	LT
Security total		3,540.000	13.771	48,749.99		63,330.60	14,580.61	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$1,281 Current yield: 2.52%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.325	39,957.50	9,658.00	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.325	10,897.50	1,817.19	LT
Security total		1,400.000	28.128	39,379.81		50,855.00	11,475.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 4.36%								
	Jan 29, 13	185.000	74.585	13,798.31	86.140	15,935.90	2,137.59	LT
	Apr 22, 13	215.000	65.856	14,159.06	86.140	18,520.10	4,361.04	LT
Security total		400.000	69.893	27,957.37		34,456.00	6,498.63	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.52%								
	Jan 29, 13	720.000	48.754	35,103.55	52.270	37,634.40	2,530.85	LT
	Apr 22, 13	275.000	52.654	14,480.06	52.270	14,374.25	-105.81	LT
	Jul 12, 13	200.000	52.424	10,484.90	52.270	10,454.00	-30.90	LT
Security total		1,195.000	50.267	60,068.51		62,462.65	2,394.14	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,507 Current yield: 2.44%								
	Jan 29, 13	250.000	110.110	27,527.50	123.515	30,878.75	3,351.25	LT
	Apr 22, 13	200.000	100.134	20,026.84	123.515	24,703.00	4,676.16	LT

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SDNY\_GM\_00021722

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	50.000	107.256	5,362.83	123.515	6,175.75	812.92	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>61,757.50</b>	<b>8,840.33</b>	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,286 Current yield: 3.99%								
	Jan 29, 13	1,060.000	28.260	29,955.60	32.450	34,397.00	4,441.40	LT
	Apr 22, 13	440.000	29.820	13,121.15	32.450	14,278.00	1,156.85	LT
	Jul 12, 13	265.000	30.551	8,096.17	32.450	8,599.25	503.08	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>57,274.25</b>	<b>6,101.33</b>	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$962 Current yield: 2.83%								
	Jan 29, 13	1,245.000	19.750	24,588.75	21.581	26,868.34	2,279.59	LT
	Apr 22, 13	330.000	18.685	6,166.05	21.581	7,121.73	955.68	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>33,990.07</b>	<b>3,235.27</b>	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE EAI: \$1,594 Current yield: 3.87%								
	Jan 29, 13	610.000	26.533	16,185.31	28.440	17,348.40	1,163.09	LT
	Apr 22, 13	205.000	25.765	5,281.88	28.440	5,830.20	548.32	LT
	Apr 22, 13	170.000	23.274	3,956.72	28.440	4,834.80	878.08	LT
	Apr 22, 13	135.000	25.785	3,481.05	28.440	3,839.40	358.35	LT
	Jul 12, 13	330.000	21.423	7,069.66	28.440	9,385.20	2,315.54	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>41,238.00</b>	<b>5,263.38</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE EAI: \$333 Current yield: 2.01%								
	Jan 29, 13	800.000	17.800	14,240.00	20.000	16,000.00	1,760.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.000	600.00	46.96	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>16,600.00</b>	<b>1,806.96</b>	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE EAI: \$2,923 Current yield: 4.24%								
	Jan 29, 13	460.000	54.547	25,092.04	64.500	29,670.00	4,577.96	LT
	Apr 22, 13	510.000	46.879	23,908.70	64.500	32,895.00	8,986.30	LT
	Jul 12, 13	100.000	50.459	5,045.96	64.500	6,450.00	1,404.04	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,070,000	50.511	54,046.70		69,015.00	14,968.30	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol: UN Exchange: NYSE EAI: \$2,187 Current yield: 3.26%								
	Jan 29, 13	930,000	40.500	37,665.00	41.130	38,250.90	585.90	LT
	Apr 22, 13	445,000	41.074	18,278.06	41.130	18,302.85	24.79	LT
	Jul 12, 13	255,000	41.271	10,524.33	41.130	10,488.15	-36.18	LT
Security total		1,630,000	40.778	66,467.39		67,041.90	574.51	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,389 Current yield: 2.91%								
	Jan 29, 13	900,000	30.540	27,486.00	38.200	34,380.00	6,894.00	LT
	Apr 22, 13	100,000	33.492	3,349.24	38.200	3,820.00	470.76	LT
	Jul 12, 13	250,000	33.180	8,295.00	38.200	9,550.00	1,255.00	LT
Security total		1,250,000	31.304	39,130.24		47,750.00	8,619.76	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$2,389 Current yield: 6.92%								
	Jan 29, 13	796,294	50.164	39,945.60	33.220	26,452.89	-13,492.71	LT
	Apr 22, 13	2,727	54.627	148.97	33.220	90.59	-58.38	LT
	Jul 12, 13	239,979	54.033	12,966.80	33.220	7,972.10	-4,994.70	LT
Security total		1,039,000	51.070	53,061.37		34,515.58	-18,545.79	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050,000	28.470	29,893.50	29.060	30,513.00	619.50	LT
	Apr 22, 13	685,000	26.251	17,982.21	29.060	19,906.10	1,923.89	LT
	Jul 12, 13	290,000	26.739	7,754.57	29.060	8,427.40	672.83	LT
Security total		2,025,000	27.472	55,630.28		58,846.50	3,216.22	
<b>Total</b>				<b>\$1,396,532.79</b>		<b>\$1,593,666.86</b>	<b>\$197,134.07</b>	
<b>Total estimated annual income: \$53,228</b>								

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Your assets (continued)

Your total assets

		Value on Jul 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	1,217.86	0.08%	1,217.86		
Equities	Common stock	1,593,666.86	99.92%	1,396,532.79	53,228.00	197,134.07
<b>Total</b>		<b>\$1,594,884.72</b>	<b>100.00%</b>	<b>\$1,397,750.65</b>	<b>\$53,228.00</b>	<b>\$197,134.07</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Jul 14	Transfer	FM Y1 23575 0100	2,451.44
	<b>Total deposits and other funds credited</b>			<b>\$2,451.44</b>

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b> <i>Taxable dividends</i>	Jul 7	Foreign Dividend	BOC HONG KONG HOLDINGS LTD SPON ADR PAID ON CUSIP: 096813209 555	665.80
	Jul 7	Foreign Dividend	NIPPON TELEG & TEL CORP SPON ADR PAID ON CUSIP: 654624105 2210	980.38
	Jul 10	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP: 37733W105 1015	649.63
	Jul 10	Foreign Dividend	HSBC HOLDINGS PLC NEW GB SPON ADR PAID ON CUSIP: 404280406 1145	572.50
	Jul 14	Foreign Dividend	TESCO PLC SPONS ADR UNITED KINGDOM PAID ON CUSIP: 881575302 1520	790.55
	Jul 31	Foreign Dividend	LUKOIL OIL CO SPON ADR (25 RUBLES) PAID ON CUSIP: 677862104 1035 AS OF 07/30/14	1,769.37
	<b>Total taxable dividends</b>			<b>\$5,428.23</b>
<b>Total dividend and interest income</b>			<b>\$5,428.23</b>	

	Date	Activity	Description	Amount (\$)
<b>Fees</b>	Jul 7	Fee	BOC HONG KONG HOLDINGS LTD SPON ADR	-11.10
	Jul 7	Fee	NIPPON TELEG & TEL CORP SPON ADR	-13.26
	Jul 14	Fee	TESCO PLC SPONS ADR UNITED KINGDOM	-30.40
	Jul 24	Fee Charged	QUARTERLY FEE	-2,451.44

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees (continued)</b>	Jul 31	Fee	LUKOIL OIL CO SPON ADR (25 RUBLES) AS OF 07/30/14	-20.70
		<b>Total professional management fees</b>		<b>-\$2,451.44</b>
		<b>Total other fees</b>		<b>-\$75.46</b>
<b>Other funds debited</b>	Jul 7	Foreign Tax Withheld	NIPPON TELEG & TEL CORP SPON ADR	-98.04
	Jul 8	Transfer	TO Y1 23575 0100	-1,523.78
	Jul 11	Transfer	TO Y1 23575 0100	-1,222.13
	Jul 18	Transfer	TO Y1 23575 0100	-299,733.80
	Jul 25	Transfer	TO Y1 23575 0100	-760.15
	Jul 31	Foreign Tax Withheld	LUKOIL OIL CO SPON ADR (25 RUBLES) AS OF 07/30/14	-530.81
		<b>Total other funds debited</b>		<b>-\$303,868.71</b>

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jul 18	Sold	ALSTOM ADR CUSIP: 021244207	-4,875.000		3.54	17,257.12		
Jul 18	Sold	BNP PARIBAS SA ADR CUSIP: 05565A202	-1,195.000		32.74	39,123.44		
Jul 18	Sold	BOC HONG KONG HOLDINGS LTD SPON ADR CUSIP: 096813209	-555.000		60.69	33,682.21		
Jul 18	Sold	KIRIN HOLDINGS LTD SPON ADR CUSIP: 497350306	-3,010.000		14.210830	42,773.65		
Jul 18	Sold	TESCO PLC SPONS ADR UNITED KINGDOM CUSIP: 881575302	-1,520.000		14.51	22,054.71		
Jul 18	Sold	HSBC HOLDINGS PLC NEW GB SPON ADR CUSIP: 404280406	-1,145.000		51.362100	58,808.30		
Jul 18	Sold	LUKOIL OIL CO SPON ADR (25 RUBLES) CUSIP: 677862104	-1,035.000		58.556000	60,604.12		

continued next page

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Page 63 of 100

**CONFIDENTIAL**



Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jul 18	Sold	VERIZON COMMUNICATIONS INC	-501.000		50.760100	25,430.25		
<b>Total</b>						<b>\$299,733.80</b>		

Date	Activity	Description	Amount (\$)
<b>Jun 30</b>	<b>Balance forward</b>		<b>\$0.00</b>
Jul 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,523.78
Jul 9	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/08/14	-1,523.78
Jul 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,222.13
Jul 14	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/11/14	-1,222.13
Jul 15	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3,211.59
Jul 25	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/24/14	-2,451.44
Jul 28	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/25/14	-760.15
<b>Jul 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$0.00</b>

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALSTOM ADR	FIFO	2,425.000	Aug 20, 13	Jul 15, 14	8,584.31	8,812.78		-228.47	
	FIFO	2,450.000	Aug 21, 13	Jul 15, 14	8,672.81	8,958.13		-285.32	
BNP PARIBAS SA ADR	FIFO	710.000	Jan 09, 14	Jul 15, 14	23,244.89	28,402.76		-5,157.87	
	FIFO	485.000	Jan 13, 14	Jul 15, 14	15,878.55	19,271.70		-3,393.15	

continued next page

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Realized gains and losses (continued)

**Short-term capital gains and losses** (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
KIRIN HOLDINGS LTD SPON ADR	FIFO	400.000	Aug 05, 13	Jul 15, 14	5,684.21	6,262.36		-578.15	
	FIFO	435.000	Aug 06, 13	Jul 15, 14	6,181.57	6,911.50		-729.93	
<b>Total</b>					<b>\$68,246.34</b>	<b>\$78,619.23</b>		<b>-\$10,372.89</b>	
<b>Net short-term capital gains and losses</b>								<b>-\$10,372.89</b>	

**Long-term capital gains and losses**

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
BOC HONG KONG HOLDINGS LTD SPON ADR	FIFO	175.000	Jan 29, 13	Jul 15, 14	10,620.52	12,169.48		-1,548.96	
	FIFO	220.000	Apr 22, 13	Jul 15, 14	13,351.50	14,608.84		-1,257.34	
	FIFO	160.000	Jul 12, 13	Jul 15, 14	9,710.19	10,043.20		-333.01	
HSBC HOLDINGS PLC NEW GB SPON ADR	FIFO	570.000	Jan 29, 13	Jul 15, 14	29,275.75	32,437.90		-3,162.15	
	FIFO	430.000	Apr 22, 13	Jul 15, 14	22,085.21	22,411.73		-326.52	
	FIFO	145.000	Jul 12, 13	Jul 15, 14	7,447.34	7,961.20		-513.86	
KIRIN HOLDINGS LTD SPON ADR	FIFO	1,250.000	Apr 03, 13	Jul 15, 14	17,763.14	20,221.50		-2,458.36	
	FIFO	600.000	Apr 22, 13	Jul 15, 14	8,526.31	9,792.00		-1,265.69	
	FIFO	325.000	Jul 12, 13	Jul 15, 14	4,618.42	5,323.50		-705.08	
LUK OIL OIL CO SPON ADR (25 RUBLES)	FIFO	410.000	Jan 29, 13	Jul 15, 14	24,007.43	27,482.30		-3,474.87	
	FIFO	35.000	Feb 13, 13	Jul 15, 14	2,049.41	2,343.29		-293.88	
	FIFO	435.000	Apr 22, 13	Jul 15, 14	25,471.30	25,524.97		-53.67	
	FIFO	155.000	Jul 12, 13	Jul 15, 14	9,075.98	9,425.94		-349.96	
TESCO PLC SPONS ADR UNITED KINGDOM	FIFO	435.000	Jan 29, 13	Jul 15, 14	6,311.71	7,486.35		-1,174.64	
	FIFO	660.000	Apr 22, 13	Jul 15, 14	9,576.39	11,160.60		-1,584.21	
	FIFO	425.000	Jul 12, 13	Jul 15, 14	6,166.61	6,809.78		-643.17	

continued next page

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Realized gains and losses (continued)

**Long-term capital gains and losses** (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
VERIZON COMMUNICATIONS INC	FIFO	383.969	Jan 29, 13	Jul 15, 14	19,489.88	17,993.39			1,496.49
	FIFO	1.315	Apr 22, 13	Jul 15, 14	66.74	61.62			5.12
	FIFO	115.716	Jul 12, 13	Jul 15, 14	5,873.63	5,422.64			450.99
<b>Total</b>					<b>\$231,487.46</b>	<b>\$248,680.23</b>		<b>-\$19,145.37</b>	<b>\$1,952.60</b>
<b>Net long-term capital gains or losses</b>								<b>-\$17,192.77</b>	
<b>Net capital gains/losses:</b>								<b>-\$27,565.66</b>	

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosure](http://www.ubs.com/accountdisclosure).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

August 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

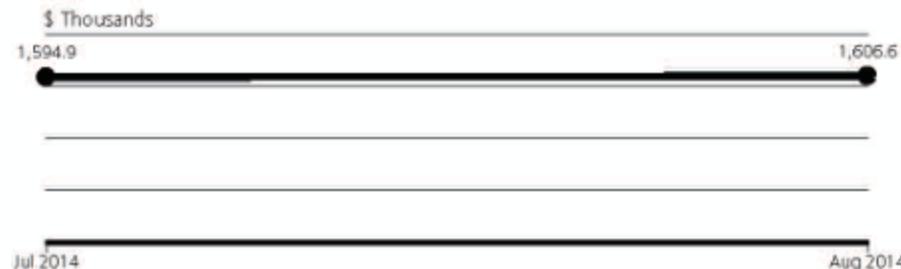
**Items for your attention**

► Certain mutual fund shares held in PACE Multi Strategic Advisor and Strategic Wealth Portfolio were converted in July and August. See your statement activity for details.

## Value of your account

	on July 31 (\$)	on August 29 (\$)
Your assets	1,594,884.72	1,606,645.16
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,594,884.72</b>	<b>\$1,606,645.16</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,508,547.75
Your investment return:	
Dividend and interest income	\$45,595.60
Change in market value	\$52,501.81
<b>Value of your account on Aug 29, 2014</b>	<b>\$1,606,645.16</b>

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## Your account balance sheet

### Summary of your assets

	Value on August 29 (\$)	Percentage of your account
<b>A</b> Cash and money balances	1,376.93	0.09%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,605,268.23	99.91%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,606,645.16</b>	<b>100.00%</b>

**Value of your account** **\$1,606,645.16**

### Your current asset allocation



### Eye on the markets

Index	Percentage change	
	August 2014	Year to date
S&P 500	4.00%	9.89%
Russell 3000	4.20%	9.23%
MSCI - Europe, Australia & Far East	-0.15%	2.93%
Barclays Capital U.S. Aggregate Bond Index	1.10%	4.81%

#### Interest rates on August 29, 2014

3-month Treasury bills: 0.02%  
One-month LIBOR: 0.16%

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## Change in the value of your account

	August 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,594,884.72</b>	<b>\$0.00</b>
Deposits, including investments transferred in	10.00	1,941,163.22
Withdrawals and fees, including investments transferred out	-3,929.77	-432,615.47
Dividend and interest income	4,078.84	45,595.60
Change in market value	11,601.37	52,501.81
<b>Closing account value</b>	<b>\$1,606,645.16</b>	<b>\$1,606,645.16</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	August 2014 (\$)	Year to date (\$)
Taxable dividends	4,078.84	45,587.81
Taxable interest	0.00	7.79
<b>Total current year</b>	<b>\$4,078.84</b>	<b>\$45,595.60</b>
<b>Total dividend &amp; interest</b>	<b>\$4,078.84</b>	<b>\$45,595.60</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	August 2014 (\$)	Year to date (\$)	
Short term	0.00	-10,372.89	9,581.14
Long term	0.00	-17,192.77	199,154.31
<b>Total</b>	<b>\$0.00</b>	<b>-\$27,565.66</b>	<b>\$208,735.45</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	August 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$1,217.86</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	10.00	84,767.45
Dividend and interest income	4,078.84	45,595.60
Proceeds from investment transactions	0.00	407,110.27
<b>Total additions</b>	<b>\$4,088.84</b>	<b>\$537,473.32</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-2,797.80
Other fees	-66.08	-930.92
Other funds debited	-3,863.69	-428,886.75
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$3,929.77</b>	<b>-\$536,096.39</b>
<b>Net cash flow</b>	<b>\$159.07</b>	<b>\$1,376.93</b>
<b>Closing balances</b>	<b>\$1,376.93</b>	<b>\$1,376.93</b>

## Withholdings and tax summary

	August 2014 (\$)	Year to date (\$)
Foreign taxes paid	-82.91	-4,768.20

## UBS Bank USA Deposit Account APY

Interest period Jul 8 - Aug 6

Opening UBS Bank USA Deposit balance Jul 8	\$0.00
Closing UBS Bank USA Deposit balance Aug 6	\$0.00
Number of days in interest period	30
Average daily balance	\$1,015.37
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 29 (\$)	Price per share on Aug 29 (\$)	Average rate	Dividend Interest period	Days in period
Cash	1,217.86	1,376.93				

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.38%								
	Jan 29, 13	825.000	21.573	17,797.89	22.770	18,785.25	987.36	LT
	Apr 22, 13	650.000	21.211	13,787.15	22.770	14,800.50	1,013.35	LT
Security total		1,475.000	21.414	31,585.04		33,585.75	2,000.71	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.68%								
	Jan 29, 13	210.000	50.130	10,527.30	76.010	15,962.10	5,434.80	LT
	Apr 22, 13	85.000	51.335	4,363.53	76.010	6,460.85	2,097.32	LT
	Jul 12, 13	70.000	49.441	3,460.92	76.010	5,320.70	1,859.78	LT
Security total		365.000	50.279	18,351.75		27,743.65	9,391.90	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,322 Current yield: 4.48%								
	Jan 29, 13	1,000.000	22.160	22,160.00	29.510	29,510.00	7,350.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.57%								
	Jan 29, 13	200.000	99.560	19,912.00	134.300	26,860.00	6,948.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	134.300	6,043.50	1,464.30	LT
Security total		245.000	99.964	24,491.20		32,903.50	8,412.30	

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Page 49 of 90

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 3.53%								
	Jan 29, 13	320.000	78.520	25,126.50	68.630	21,961.60	-3,164.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	68.630	2,058.90	142.95	LT
	Jul 12, 13	85.000	61.002	5,185.23	68.630	5,833.55	648.32	LT
<b>Security total</b>		<b>435.000</b>	<b>74.087</b>	<b>32,227.68</b>		<b>29,854.05</b>	<b>-2,373.63</b>	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,882 Current yield: 4.12%								
	Jan 29, 13	340.000	104.097	35,393.08	118.420	40,262.80	4,869.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	118.420	17,763.00	1,664.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	118.420	11,842.00	1,287.56	LT
<b>Security total</b>		<b>590.000</b>	<b>105.163</b>	<b>62,046.30</b>		<b>69,867.80</b>	<b>7,821.50</b>	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 4.34%								
	Sep 16, 13	1,275.000	13.387	17,069.45	14.900	18,997.50	1,928.05	ST
	Sep 17, 13	1,150.000	13.359	15,362.85	14.900	17,135.00	1,772.15	ST
	Sep 18, 13	990.000	13.393	13,259.17	14.900	14,751.00	1,491.83	ST
	Oct 10, 13	855.000	15.481	13,236.68	14.900	12,739.50	-497.18	ST
<b>Security total</b>		<b>4,270.000</b>	<b>13.801</b>	<b>58,928.15</b>		<b>63,623.00</b>	<b>4,694.85</b>	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.25%								
	Jan 29, 13	640.000	23.900	15,296.00	32.790	20,985.60	5,689.60	LT
	Apr 22, 13	720.000	22.581	16,258.75	32.790	23,608.80	7,350.05	LT
<b>Security total</b>		<b>1,360.000</b>	<b>23.202</b>	<b>31,554.75</b>		<b>44,594.40</b>	<b>13,039.65</b>	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC								
EAI: \$2,758 Current yield: 5.66%								
	Jan 29, 13	720.000	20.779	14,960.95	24.600	17,712.00	2,751.05	LT
	Apr 22, 13	380.000	20.919	7,949.37	24.600	9,348.00	1,398.63	LT
	Jul 12, 13	215.000	20.144	4,330.97	24.600	5,289.00	958.03	LT
	Aug 21, 13	285.000	22.200	6,327.10	24.600	7,011.00	683.90	LT
	Aug 22, 13	140.000	22.508	3,151.20	24.600	3,444.00	292.80	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 23, 13	240.000	22.418	5,380.51	24.600	5,904.00	523.49	LT
<b>Security total</b>		<b>1,980.000</b>	<b>21.263</b>	<b>42,100.10</b>		<b>48,708.00</b>	<b>6,607.90</b>	
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$2,694 Current yield: 5.41%								
	Jan 29, 13	330.000	45.615	15,052.95	49.100	16,203.00	1,150.05	LT
	Feb 13, 13	320.000	45.645	14,606.46	49.100	15,712.00	1,105.54	LT
	Apr 22, 13	245.000	50.630	12,404.47	49.100	12,029.50	-374.97	LT
	Jul 12, 13	120.000	52.904	6,348.48	49.100	5,892.00	-456.48	LT
<b>Security total</b>		<b>1,015.000</b>	<b>47.697</b>	<b>48,412.36</b>		<b>49,836.50</b>	<b>1,424.14</b>	
IMPERIAL TOBACCO GROUP PLC								
SPON ADR								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,059 Current yield: 4.56%								
	Apr 25, 13	60.000	70.891	4,253.51	87.700	5,262.00	1,008.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	87.700	32,887.50	6,340.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	87.700	7,016.00	1,546.00	LT
<b>Security total</b>		<b>515.000</b>	<b>70.429</b>	<b>36,270.85</b>		<b>45,165.50</b>	<b>8,894.65</b>	
MTN GROUP LTD SPON ADR								
Symbol: MTNOY Exchange: OTC								
EAI: \$3,003 Current yield: 5.02%								
	Jan 29, 13	1,660.000	19.580	32,502.80	22.780	37,814.80	5,312.00	LT
	Apr 22, 13	590.000	17.390	10,260.10	22.780	13,440.20	3,180.10	LT
	Jul 12, 13	375.000	19.219	7,207.31	22.780	8,542.50	1,335.19	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>59,797.50</b>	<b>9,827.29</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.43%								
	Jan 29, 13	1,650.000	18.280	30,162.00	20.034	33,056.10	2,894.10	LT
	Apr 22, 13	425.000	19.544	8,306.29	20.034	8,514.45	208.16	LT
	Jul 12, 13	535.000	19.059	10,196.73	20.034	10,718.19	521.46	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>52,288.74</b>	<b>3,623.72</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.61%								
	Jan 29, 13	570.000	70.160	39,991.20	77.570	44,214.90	4,223.70	LT
	Apr 22, 13	290.000	69.918	20,276.22	77.570	22,495.30	2,219.08	LT

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SDNY\_GM\_00021738

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	195.000	67.417	13,146.41	77.570	15,126.15	1,979.74	LT
Security total		1,055.000	69.587	73,413.83		81,836.35	8,422.52	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,653 Current yield: 2.22%								
	Jul 22, 13	1,700.000	26.445	44,957.18	33.640	57,188.00	12,230.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	33.640	17,156.40	3,738.71	LT
Security total		2,210.000	26.414	58,374.87		74,344.40	15,969.53	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,280 Current yield: 2.60%								
	Jan 29, 13	590.000	67.877	40,047.61	89.840	53,005.60	12,957.99	LT
	Apr 22, 13	240.000	72.512	17,403.02	89.840	21,561.60	4,158.58	LT
	Jul 12, 13	145.000	73.450	10,650.38	89.840	13,026.80	2,376.42	LT
Security total		975.000	69.847	68,101.01		87,594.00	19,492.99	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 3.62%								
	Jan 21, 14	710.000	8.052	5,717.56	9.100	6,461.00	743.44	ST
	Jan 22, 14	220.000	8.190	1,801.84	9.100	2,002.00	200.16	ST
	Jan 23, 14	315.000	8.282	2,608.92	9.100	2,866.50	257.58	ST
	Jan 24, 14	185.000	8.147	1,507.25	9.100	1,683.50	176.25	ST
	Jan 27, 14	135.000	8.019	1,082.58	9.100	1,228.50	145.92	ST
	Jan 28, 14	265.000	8.045	2,132.08	9.100	2,411.50	279.42	ST
	Jan 29, 14	390.000	7.991	3,116.53	9.100	3,549.00	432.47	ST
	Jan 30, 14	245.000	7.849	1,923.23	9.100	2,229.50	306.27	ST
	Jan 31, 14	260.000	7.878	2,048.46	9.100	2,366.00	317.54	ST
	Feb 3, 14	185.000	7.794	1,441.96	9.100	1,683.50	241.54	ST
	Feb 4, 14	235.000	7.671	1,802.69	9.100	2,138.50	335.81	ST
	Feb 5, 14	245.000	7.727	1,893.29	9.100	2,229.50	336.21	ST
	Feb 6, 14	165.000	7.561	1,247.70	9.100	1,501.50	253.80	ST
	Feb 7, 14	310.000	7.528	2,333.87	9.100	2,821.00	487.13	ST
	Feb 10, 14	250.000	7.609	1,902.25	9.100	2,275.00	372.75	ST
Security total		4,115.000	7.913	32,560.21		37,446.50	4,886.29	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE EAI: \$805 Current yield: 2.53%								
	Jan 29, 13	690.000	39.442	27,215.25	46.060	31,781.40	4,566.15	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC EAI: \$1,543 Current yield: 2.49%								
	Jan 29, 13	2,590.000	13.560	35,120.40	17.500	45,325.00	10,204.60	LT
	Apr 22, 13	510.000	14.360	7,323.60	17.500	8,925.00	1,601.40	LT
	Jul 12, 13	440.000	14.331	6,305.99	17.500	7,700.00	1,394.01	LT
Security total		3,540.000	13.771	48,749.99		61,950.00	13,200.01	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC EAI: \$1,281 Current yield: 2.51%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.510	40,161.00	9,861.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.510	10,953.00	1,872.69	LT
Security total		1,400.000	28.128	39,379.81		51,114.00	11,734.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 4.43%								
	Jan 29, 13	185.000	74.585	13,798.31	84.850	15,697.25	1,898.94	LT
	Apr 22, 13	215.000	65.856	14,159.06	84.850	18,242.75	4,083.69	LT
Security total		400.000	69.893	27,957.37		33,940.00	5,982.63	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.41%								
	Jan 29, 13	720.000	48.754	35,103.55	54.700	39,384.00	4,280.45	LT
	Apr 22, 13	275.000	52.654	14,480.06	54.700	15,042.50	562.44	LT
	Jul 12, 13	200.000	52.424	10,484.90	54.700	10,940.00	455.10	LT
Security total		1,195.000	50.267	60,068.51		65,366.50	5,297.99	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC EAI: \$1,507 Current yield: 2.41%								
	Jan 29, 13	250.000	110.110	27,527.50	125.300	31,325.00	3,797.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	125.300	25,060.00	5,033.16	LT

continued next page

SDNY\_GM\_00021740

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	50.000	107.256	5,362.83	125.300	6,265.00	902.17	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>62,650.00</b>	<b>9,732.83</b>	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,284 Current yield: 4.14%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.222	33,095.32	3,139.72	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.222	13,737.68	-616.53	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.222	8,273.83	177.66	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>55,106.83</b>	<b>3,933.91</b>	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$962 Current yield: 2.78%								
	Jan 29, 13	1,245.000	19.750	24,588.75	22.010	27,402.45	2,813.70	LT
	Apr 22, 13	330.000	18.685	6,166.05	22.010	7,263.30	1,097.25	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>34,665.75</b>	<b>3,910.95</b>	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE EAI: \$1,594 Current yield: 3.87%								
	Jan 29, 13	610.000	26.533	16,185.31	28.390	17,317.90	1,132.59	LT
	Apr 22, 13	205.000	25.765	5,281.88	28.390	5,819.95	538.07	LT
	Apr 22, 13	170.000	23.274	3,956.72	28.390	4,826.30	869.58	LT
	Apr 22, 13	135.000	25.785	3,481.05	28.390	3,832.65	351.60	LT
	Jul 12, 13	330.000	21.423	7,069.66	28.390	9,368.70	2,299.04	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>41,165.50</b>	<b>5,190.88</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE EAI: \$332 Current yield: 1.91%								
	Jan 29, 13	800.000	17.800	14,240.00	20.940	16,752.00	2,512.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.940	628.20	75.16	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>17,380.20</b>	<b>2,587.16</b>	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE EAI: \$2,941 Current yield: 4.17%								
	Jan 29, 13	460.000	54.547	25,092.04	65.960	30,341.60	5,249.56	LT
	Apr 22, 13	510.000	46.879	23,908.70	65.960	33,639.60	9,730.90	LT
	Jul 12, 13	100.000	50.459	5,045.96	65.960	6,596.00	1,550.04	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 29 (\$)	Value on Aug 29 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,070,000	50.511	54,046.70		70,577.20	16,530.50	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol: UN Exchange: NYSE EAI: \$2,187 Current yield: 3.22%								
	Jan 29, 13	930,000	40.500	37,665.00	41.640	38,725.20	1,060.20	LT
	Apr 22, 13	445,000	41.074	18,278.06	41.640	18,529.80	251.74	LT
	Jul 12, 13	255,000	41.271	10,524.33	41.640	10,618.20	93.87	LT
Security total		1,630,000	40.778	66,467.39		67,873.20	1,405.81	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,398 Current yield: 3.04%								
	Jan 29, 13	900,000	30.540	27,486.00	36.850	33,165.00	5,679.00	LT
	Apr 22, 13	100,000	33.492	3,349.24	36.850	3,685.00	335.76	LT
	Jul 12, 13	250,000	33.180	8,295.00	36.850	9,212.50	917.50	LT
Security total		1,250,000	31.304	39,130.24		46,062.50	6,932.26	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$2,389 Current yield: 6.70%								
	Jan 29, 13	796,294	50.164	39,945.60	34.340	27,344.74	-12,600.86	LT
	Apr 22, 13	2,727	54.627	148.97	34.340	93.65	-55.32	LT
	Jul 12, 13	239,979	54.033	12,966.80	34.340	8,240.88	-4,725.92	LT
Security total		1,039,000	51.070	53,061.37		35,679.26	-17,382.10	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050,000	28.470	29,893.50	30.250	31,762.50	1,869.00	LT
	Apr 22, 13	685,000	26.251	17,982.21	30.250	20,721.25	2,739.04	LT
	Jul 12, 13	290,000	26.739	7,754.57	30.250	8,772.50	1,017.93	LT
Security total		2,025,000	27.472	55,630.28		61,256.25	5,625.97	
<b>Total</b>				<b>\$1,396,532.79</b>		<b>\$1,605,268.23</b>	<b>\$208,735.45</b>	
<b>Total estimated annual income: \$54,180</b>								

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Your assets (continued)

Your total assets

		Value on Aug 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	1,376.93	0.09%	1,376.93		
Equities	Common stock	1,605,268.23	99.91%	1,396,532.79	54,180.00	208,735.45
<b>Total</b>		<b>\$1,606,645.16</b>	<b>100.00%</b>	<b>\$1,397,909.72</b>	<b>\$54,180.00</b>	<b>\$208,735.45</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Aug 22	Transfer	FM Y1 23575 0100	10.00
	<b>Total deposits and other funds credited</b>			<b>\$10.00</b>
<b>Dividend and interest income</b> <i>Taxable dividends</i>	Aug 1	Dividend	VERIZON COMMUNICATIONS INC PAID ON 501	265.53
	Aug 6	Foreign Dividend	VODAFONE GROUP PLC SPON ADR CUSIP: 92857W308	1,322.69
	Aug 6	Foreign Dividend	TAIWAN SEMICONDUCTOR MFG CO LTD ADR PAID ON 830 CUSIP: 874039100	414.55
	Aug 26	Foreign Dividend	IMPERIAL TOBACCO GROUP PLC SPON ADR PAID ON 515 CUSIP: 453142101	663.84
	Aug 29	Foreign Dividend	SINGAPORE TELECOM LTD NEW 2006 SPON ADR PAID ON 1765 CUSIP: 82929R304	1,412.23
	<b>Total taxable dividends</b>			<b>\$4,078.84</b>
	<b>Total dividend and interest income</b>			<b>\$4,078.84</b>
<b>Fees</b>	Aug 6	Fee	VODAFONE GROUP PLC SPON ADR	-20.78
	Aug 21	Fee	SIEMENS A G SPON ADR	-10.00
	Aug 29	Fee	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	-35.30
	<b>Total fees</b>			<b>-\$66.08</b>
<b>Other funds debited</b>	Aug 1	Transfer	TO Y1 23575 0100	-1,217.86
	Aug 4	Transfer	TO Y1 23575 0100	-265.53

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b> (continued)	Aug 6	Foreign Tax Withheld	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	-82.91
	Aug 11	Transfer	TO Y1 23575 0100	-1,633.55
	Aug 28	Transfer	TO Y1 23575 0100	-663.84
	<b>Total other funds debited</b>			<b>-\$3,863.69</b>
<b>Money balance activities</b>	<b>Jul 31</b>	<b>Balance forward</b>		<b>\$0.00</b>
	Aug 1	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,217.86
	Aug 4	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/01/14	-952.33
	Aug 5	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/04/14	-265.53
	Aug 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,633.55
	Aug 12	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/11/14	-1,633.55
	Aug 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	663.84
	Aug 29	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/28/14	-663.84
	<b>Aug 29</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$0.00</b>

The UBS Bank, USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RNABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountinfo/borrow](http://www.ubs.com/accountinfo/borrow).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.  
 299 Park Avenue  
 25th Floor  
 New York NY 10171-0002  
 CNP7006552631 0914 X12 Y1 0

# UBS Strategic Advisor

September 2014

GHISLAINE MAXWELL  
 [REDACTED]  
 NEW YORK NY [REDACTED]

**Account name:** GHISLAINE MAXWELL  
**Friendly account name:** [REDACTED]  
**Account number:** [REDACTED]

**Your Financial Advisor:**  
 SCOTT STACKMAN/LYLE CASRIEL  
 Phone: [REDACTED]

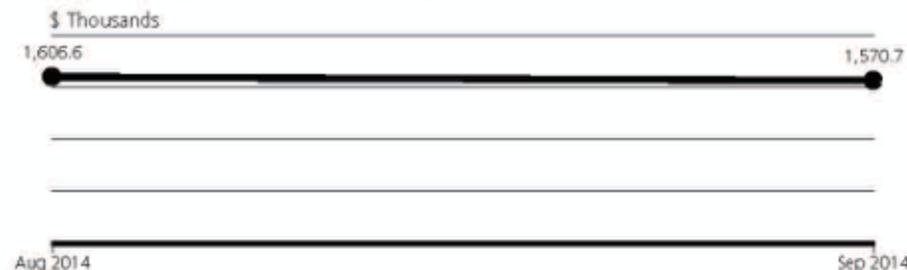
**Questions about your statement?**  
 Call your Financial Advisor or the  
 RMA ResourceLine at 800-RMA-1000,  
 account [REDACTED]

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on August 29 (\$)	on September 30 (\$)
Your assets	1,606,645.16	1,570,684.78
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,606,645.16</b>	<b>\$1,570,684.78</b>

## Tracking the value of your account



### Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,506,658.43
Your investment return:	
Dividend and interest income	\$49,917.54
Change in market value	\$14,108.81
<b>Value of your account on Sep 30, 2014</b>	<b>\$1,570,684.78</b>

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## Your account balance sheet

### Summary of your assets

	Value on September 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	3,809.55	0.24%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,566,875.23	99.76%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,570,684.78</b>	<b>100.00%</b>

**Value of your account** **\$1,570,684.78**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	September 2014	Year to date
S&P 500	-1.40%	8.34%
Russell 3000	-2.08%	6.95%
MSCI - Europe, Australia & Far East	-3.81%	-0.99%
Barclays Capital U.S. Aggregate Bond Index	-0.68%	4.10%

#### Interest rates on September 30, 2014

3-month Treasury bills: 0.02%  
One-month LIBOR: 0.16%

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## Change in the value of your account

	September 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,606,645.16</b>	<b>\$0.00</b>
Deposits, including investments transferred in	0.00	1,941,163.22
Withdrawals and fees, including investments transferred out	-1,889.32	-434,504.79
Dividend and interest income	4,321.94	49,917.54
Change in market value	-38,393.00	14,108.81
<b>Closing account value</b>	<b>\$1,570,684.78</b>	<b>\$1,570,684.78</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2014 (\$)	Year to date (\$)
Taxable dividends	4,321.94	49,909.75
Taxable interest	0.00	7.79
<b>Total current year</b>	<b>\$4,321.94</b>	<b>\$49,917.54</b>
<b>Total dividend &amp; interest</b>	<b>\$4,321.94</b>	<b>\$49,917.54</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	September 2014 (\$)	Year to date (\$)	
Short term	0.00	-10,372.89	4,494.91
Long term	0.00	-17,192.77	165,847.54
<b>Total</b>	<b>\$0.00</b>	<b>-\$27,565.66</b>	<b>\$170,342.45</b>



## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$1,376.93</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	84,767.45
Dividend and interest income	4,321.94	49,917.54
Proceeds from investment transactions	0.00	407,110.27
<b>Total additions</b>	<b>\$4,321.94</b>	<b>\$541,795.26</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-2,797.80
Other fees	-138.01	-1,068.93
Other funds debited	-1,751.31	-430,638.06
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$1,889.32</b>	<b>-\$537,985.71</b>
<b>Net cash flow</b>	<b>\$2,432.62</b>	<b>\$3,809.55</b>
<b>Closing balances</b>	<b>\$3,809.55</b>	<b>\$3,809.55</b>

## Withholdings and tax summary

	September 2014 (\$)	Year to date (\$)
Foreign taxes paid	-374.38	-5,142.58

## UBS Bank USA Deposit Account APY

Interest period Aug 7 - Sep 7

Opening UBS Bank USA Deposit balance Aug 7	\$0.00
Closing UBS Bank USA Deposit balance Sep 7	\$0.00
Number of days in interest period	32
Average daily balance	\$224.94
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Sep 1 (\$)	Closing balance on Sep 30 (\$)	Price per share on Sep 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	1,376.93	0.00					
UBS BANK USA DEP ACCT	0.00	3,809.55					250,000.00
<b>Total</b>	<b>\$1,376.93</b>	<b>\$3,809.55</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.43%								
	Jan 29, 13	825.000	21.573	17,797.89	22.410	18,488.25	690.36	LT
	Apr 22, 13	650.000	21.211	13,787.15	22.410	14,566.50	779.35	LT
Security total		1,475.000	21.414	31,585.04		33,054.75	1,469.71	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.92%								
	Jan 29, 13	210.000	50.130	10,527.30	71.440	15,002.40	4,475.10	LT
	Apr 22, 13	85.000	51.335	4,363.53	71.440	6,072.40	1,708.87	LT
	Jul 12, 13	70.000	49.441	3,460.92	71.440	5,000.80	1,539.88	LT
Security total		365.000	50.279	18,351.75		26,075.60	7,723.85	

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Page 51 of 100

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,322 Current yield: 4.31%								
	Jan 29, 13	1,000.000	22.160	22,160.00	30.670	30,670.00	8,510.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.51%								
	Jan 29, 13	200.000	99.560	19,912.00	140.110	28,022.00	8,110.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	140.110	6,304.95	1,725.75	LT
Security total		245.000	99.964	24,491.20		34,326.95	9,835.75	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 4.11%								
	Jan 29, 13	320.000	78.520	25,126.50	58.880	18,841.60	-6,284.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	58.880	1,766.40	-149.55	LT
	Jul 12, 13	85.000	61.002	5,185.23	58.880	5,004.80	-180.43	LT
Security total		435.000	74.087	32,227.68		25,612.80	-6,614.88	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,882 Current yield: 4.32%								
	Jan 29, 13	340.000	104.097	35,393.08	113.080	38,447.20	3,054.12	LT
	Apr 22, 13	150.000	107.325	16,098.78	113.080	16,962.00	863.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	113.080	11,308.00	753.56	LT
Security total		590.000	105.163	62,046.30		66,717.20	4,670.90	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 4.28%								
	Sep 16, 13	1,275.000	13.387	17,069.45	15.120	19,278.00	2,208.55	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	15.120	17,388.00	2,025.15	LT
	Sep 18, 13	990.000	13.393	13,259.17	15.120	14,968.80	1,709.63	LT
	Oct 10, 13	855.000	15.481	13,236.68	15.120	12,927.60	-309.08	ST
Security total		4,270.000	13.801	58,928.15		64,562.40	5,634.25	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.33%								
	Jan 29, 13	640.000	23.900	15,296.00	32.025	20,496.00	5,200.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	32.025	23,058.00	6,799.25	LT
Security total		1,360.000	23.202	31,554.75		43,554.00	11,999.25	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$3,619 Current yield: 7.29%								
	Jan 29, 13	720.000	20.779	14,960.95	25.075	18,054.00	3,093.05	LT
	Apr 22, 13	380.000	20.919	7,949.37	25.075	9,528.50	1,579.13	LT
	Jul 12, 13	215.000	20.144	4,330.97	25.075	5,391.13	1,060.16	LT
	Aug 21, 13	285.000	22.200	6,327.10	25.075	7,146.38	819.28	LT
	Aug 22, 13	140.000	22.508	3,151.20	25.075	3,510.50	359.30	LT
	Aug 23, 13	240.000	22.418	5,380.51	25.075	6,018.00	637.49	LT
Security total		1,980.000	21.263	42,100.10		49,648.50	7,548.41	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,694 Current yield: 5.77%								
	Jan 29, 13	330.000	45.615	15,052.95	45.970	15,170.10	117.15	LT
	Feb 13, 13	320.000	45.645	14,606.46	45.970	14,710.40	103.94	LT
	Apr 22, 13	245.000	50.630	12,404.47	45.970	11,262.65	-1,141.82	LT
	Jul 12, 13	120.000	52.904	6,348.48	45.970	5,516.40	-832.08	LT
Security total		1,015.000	47.697	48,412.36		46,659.55	-1,752.81	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,059 Current yield: 4.61%								
	Apr 25, 13	60.000	70.891	4,253.51	86.770	5,206.20	952.69	LT
	Apr 26, 13	375.000	70.792	26,547.34	86.770	32,538.75	5,991.41	LT
	Jul 12, 13	80.000	68.375	5,470.00	86.770	6,941.60	1,471.60	LT
Security total		515.000	70.429	36,270.85		44,686.55	8,415.70	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,218 Current yield: 3.97%								
	Jan 29, 13	1,660.000	19.580	32,502.80	21.280	35,324.80	2,822.00	LT
	Apr 22, 13	590.000	17.390	10,260.10	21.280	12,555.20	2,295.10	LT
	Jul 12, 13	375.000	19.219	7,207.31	21.280	7,980.00	772.69	LT
Security total		2,625.000	19.036	49,970.21		55,860.00	5,889.79	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC EAI: \$1,796 Current yield: 3.48%								
	Jan 29, 13	1,650.000	18.280	30,162.00	19.760	32,604.00	2,442.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	19.760	8,398.00	91.71	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	535.000	19.059	10,196.73	19.760	10,571.60	374.87	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>51,573.60</b>	<b>2,908.58</b>	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,138 Current yield: 2.75%								
	Jan 29, 13	570.000	70.160	39,991.20	73.630	41,969.10	1,977.90	LT
	Apr 22, 13	290.000	69.918	20,276.22	73.630	21,352.70	1,076.48	LT
	Jul 12, 13	195.000	67.417	13,146.41	73.630	14,357.85	1,211.44	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>77,679.65</b>	<b>4,265.82</b>	
NIPPON TELEG & TEL CORP SPON ADR Symbol: NTT Exchange: NYSE EAI: \$1,653 Current yield: 2.40%								
	Jul 22, 13	1,700.000	26.445	44,957.18	31.110	52,887.00	7,929.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	31.110	15,866.10	2,448.41	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>68,753.10</b>	<b>10,378.23</b>	
NOVARTIS AG SPON ADR Symbol: NVS Exchange: NYSE EAI: \$2,280 Current yield: 2.48%								
	Jan 29, 13	590.000	67.877	40,047.61	94.130	55,536.70	15,489.09	LT
	Apr 22, 13	240.000	72.512	17,403.02	94.130	22,591.20	5,188.18	LT
	Jul 12, 13	145.000	73.450	10,650.38	94.130	13,648.85	2,998.47	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>91,776.75</b>	<b>23,675.74</b>	
ORKLA ASA A SHS SPON ADR Symbol: ORKLY Exchange: OTC EAI: \$1,354 Current yield: 3.62%								
	Jan 21, 14	710.000	8.052	5,717.56	9.080	6,446.80	729.24	ST
	Jan 22, 14	220.000	8.190	1,801.84	9.080	1,997.60	195.76	ST
	Jan 23, 14	315.000	8.282	2,608.92	9.080	2,860.20	251.28	ST
	Jan 24, 14	185.000	8.147	1,507.25	9.080	1,679.80	172.55	ST
	Jan 27, 14	135.000	8.019	1,082.58	9.080	1,225.80	143.22	ST
	Jan 28, 14	265.000	8.045	2,132.08	9.080	2,406.20	274.12	ST
	Jan 29, 14	390.000	7.991	3,116.53	9.080	3,541.20	424.67	ST
	Jan 30, 14	245.000	7.849	1,923.23	9.080	2,224.60	301.37	ST
	Jan 31, 14	260.000	7.878	2,048.46	9.080	2,360.80	312.34	ST
	Feb 3, 14	185.000	7.794	1,441.96	9.080	1,679.80	237.84	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 4, 14	235.000	7.671	1,802.69	9.080	2,133.80	331.11	ST
	Feb 5, 14	245.000	7.727	1,893.29	9.080	2,224.60	331.31	ST
	Feb 6, 14	165.000	7.561	1,247.70	9.080	1,498.20	250.50	ST
	Feb 7, 14	310.000	7.528	2,333.87	9.080	2,814.80	480.93	ST
	Feb 10, 14	250.000	7.609	1,902.25	9.080	2,270.00	367.75	ST
Security total		4,115.000	7.913	32,560.21		37,364.20	4,803.99	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$805 Current yield: 2.43%								
	Jan 29, 13	690.000	39.442	27,215.25	48.100	33,189.00	5,973.75	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,515 Current yield: 2.46%								
	Jan 29, 13	2,590.000	13.560	35,120.40	17.390	45,040.10	9,919.70	LT
	Apr 22, 13	510.000	14.360	7,323.60	17.390	8,868.90	1,545.30	LT
	Jul 12, 13	440.000	14.331	6,305.99	17.390	7,651.60	1,345.61	LT
Security total		3,540.000	13.771	48,749.99		61,560.60	12,810.61	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol: RHHBY Exchange: OTC EAI: \$1,281 Current yield: 2.47%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.990	40,689.00	10,389.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.990	11,097.00	2,016.69	LT
Security total		1,400.000	28.128	39,379.81		51,786.00	12,406.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 4.75%								
	Jan 29, 13	185.000	74.585	13,798.31	79.120	14,637.20	838.89	LT
	Apr 22, 13	215.000	65.856	14,159.06	79.120	17,010.80	2,851.74	LT
Security total		400.000	69.893	27,957.37		31,648.00	3,690.63	
SANOFI SPON ADR Symbol: SNY Exchange: NYSE EAI: \$1,574 Current yield: 2.33%								
	Jan 29, 13	720.000	48.754	35,103.55	56.430	40,629.60	5,526.05	LT
	Apr 22, 13	275.000	52.654	14,480.06	56.430	15,518.25	1,038.19	LT

continued next page

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	200.000	52.424	10,484.90	56.430	11,286.00	801.10	LT
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>67,433.85</b>	<b>7,365.34</b>	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,507 Current yield: 2.53%								
	Jan 29, 13	250.000	110.110	27,527.50	119.070	29,767.50	2,240.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	119.070	23,814.00	3,787.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	119.070	5,953.50	590.67	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>59,535.00</b>	<b>6,617.83</b>	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,284 Current yield: 4.33%								
	Jan 29, 13	1,060.000	28.260	29,955.60	29.880	31,672.80	1,717.20	LT
	Apr 22, 13	440.000	29.820	13,121.15	29.880	13,147.20	26.05	LT
	Jul 12, 13	265.000	30.551	8,096.17	29.880	7,918.20	-177.97	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>52,738.20</b>	<b>1,565.28</b>	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC								
EAI: \$962 Current yield: 3.00%								
	Jan 29, 13	1,245.000	19.750	24,588.75	20.390	25,385.55	796.80	LT
	Apr 22, 13	330.000	18.685	6,166.05	20.390	6,728.70	562.65	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>32,114.25</b>	<b>1,359.45</b>	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$1,594 Current yield: 4.05%								
	Jan 29, 13	610.000	26.533	16,185.31	27.160	16,567.60	382.29	LT
	Apr 22, 13	205.000	25.765	5,281.88	27.160	5,567.80	285.92	LT
	Apr 22, 13	170.000	23.274	3,956.72	27.160	4,617.20	660.48	LT
	Apr 22, 13	135.000	25.785	3,481.05	27.160	3,666.60	185.55	LT
	Jul 12, 13	330.000	21.423	7,069.66	27.160	8,962.80	1,893.14	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>39,382.00</b>	<b>3,407.38</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.98%								
	Jan 29, 13	800.000	17.800	14,240.00	20.180	16,144.00	1,904.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.180	605.40	52.36	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		830.000	17.823	14,793.04		16,749.40	1,956.36	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$2,941 Current yield: 4.26%								
	Jan 29, 13	460.000	54.547	25,092.04	64.450	29,647.00	4,554.96	LT
	Apr 22, 13	510.000	46.879	23,908.70	64.450	32,869.50	8,960.80	LT
	Jul 12, 13	100.000	50.459	5,045.96	64.450	6,445.00	1,399.04	LT
Security total		1,070.000	50.511	54,046.70		68,961.50	14,914.80	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$2,093 Current yield: 3.24%								
	Jan 29, 13	930.000	40.500	37,665.00	39.680	36,902.40	-762.60	LT
	Apr 22, 13	445.000	41.074	18,278.06	39.680	17,657.60	-620.46	LT
	Jul 12, 13	255.000	41.271	10,524.33	39.680	10,118.40	-405.93	LT
Security total		1,630.000	40.778	66,467.39		64,678.40	-1,788.99	
UNTD OVERSEAS BK LTD SPONS ADR								
SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.18%								
	Jan 29, 13	900.000	30.540	27,486.00	35.190	31,671.00	4,185.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	35.190	3,519.00	169.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	35.190	8,797.50	502.50	LT
Security total		1,250.000	31.304	39,130.24		43,987.50	4,857.26	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$2,389 Current yield: 6.99%								
	Jan 29, 13	796.294	50.164	39,945.60	32.890	26,190.11	-13,755.49	LT
	Apr 22, 13	2.727	54.627	148.97	32.890	89.69	-59.28	LT
	Jul 12, 13	239.979	54.033	12,966.80	32.890	7,892.91	-5,073.89	LT
Security total		1,039.000	51.070	53,061.37		34,172.71	-18,888.66	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	29.809	31,299.45	1,405.95	LT
	Apr 22, 13	685.000	26.251	17,982.21	29.809	20,419.16	2,436.95	LT
	Jul 12, 13	290.000	26.739	7,754.57	29.809	8,644.61	890.04	LT
Security total		2,025.000	27.472	55,630.28		60,363.22	4,732.94	

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Page 57 of 100

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Total</b>				<b>\$1,396,532.79</b>		<b>\$1,566,875.23</b>	<b>\$170,342.45</b>	
<b>Total estimated annual income: \$54,134</b>								

Your total assets

		Value on Sep 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>3,809.55</b>	<b>0.24%</b>	<b>3,809.55</b>		
<b>Equities</b>	<b>Common stock</b>	<b>1,566,875.23</b>	<b>99.76%</b>	<b>1,396,532.79</b>	<b>54,134.00</b>	<b>170,342.45</b>
<b>Total</b>		<b>\$1,570,684.78</b>	<b>100.00%</b>	<b>\$1,400,342.34</b>	<b>\$54,134.00</b>	<b>\$170,342.45</b>

Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>			
<i>Taxable dividends</i>			
Sep 5	Foreign Dividend	STATOIL ASA SPON ADR PAID ON 1450 CUSIP: 85771P102	421.57
Sep 8	Foreign Dividend	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR PAID ON 1250 CUSIP: 911271302	400.13
Sep 10	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 1630 CUSIP: 904784709	626.25
Sep 11	Foreign Dividend	KIRIN HOLDINGS LTD SPON ADR PAID ON 3010 CUSIP: 497350306	542.96
Sep 11	Foreign Dividend	MTN GROUP LTD SPON ADR CUSIP: 62474M108	0.74
Sep 11	Foreign Dividend	MTN GROUP LTD SPON ADR PAID ON 2625 CUSIP: 62474M108	1,086.39
Sep 15	Foreign Dividend	ASTRAZENECA PLC SPON ADR PAID ON 365 CUSIP: 046353108	328.50
Sep 23	Foreign Dividend	BHP BILLITON LTD SPON ADR PAID ON 435 CUSIP: 088606108	539.40

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable dividends (continued)</i>	Sep 25	Foreign Dividend	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR PAID ON 400 CUSIP: 780259107	376.00
	<b>Total taxable dividends</b>			<b>\$4,321.94</b>
	<b>Total dividend and interest income</b>			<b>\$4,321.94</b>
<b>Fees</b>				
	Sep 5	Fee	STATOIL ASA SPON ADR	-7.25
	Sep 11	Fee	KIRIN HOLDINGS LTD SPON ADR	-78.26
	Sep 11	Fee	MTN GROUP LTD SPON ADR	-52.50
	<b>Total fees</b>			<b>-\$138.01</b>
<b>Other funds debited</b>				
	Sep 2	Transfer	TO Y1 23575 0100	-1,376.93
	Sep 5	Foreign Tax Withheld	STATOIL ASA SPON ADR	-63.23
	Sep 10	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-93.89
	Sep 11	Foreign Tax Withheld	KIRIN HOLDINGS LTD SPON ADR	-54.30
	Sep 11	Foreign Tax Withheld	MTN GROUP LTD SPON ADR	-162.96
	<b>Total other funds debited</b>			<b>-\$1,751.31</b>
<b>Money balance activities</b>				
	<b>Aug 29</b>	<b>Balance forward</b>		<b>\$0.00</b>
	Sep 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,376.93
	Sep 3	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 09/02/14	-1,376.93
	Sep 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	351.09
	Sep 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	400.13
	Sep 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	532.36
	Sep 12	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,282.07
	Sep 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	328.50
	Sep 24	Deposit	UBS BANK USA DEPOSIT ACCOUNT	539.40

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Page 59 of 100

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b> (continued)	Sep 26	Deposit	UBS BANK USA DEPOSIT ACCOUNT	376.00
	<b>Sep 30</b>	<b>Closing</b>	<b>UBS Bank USA Deposit Account</b>	<b>\$3,809.55</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RNABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb)

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

October 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

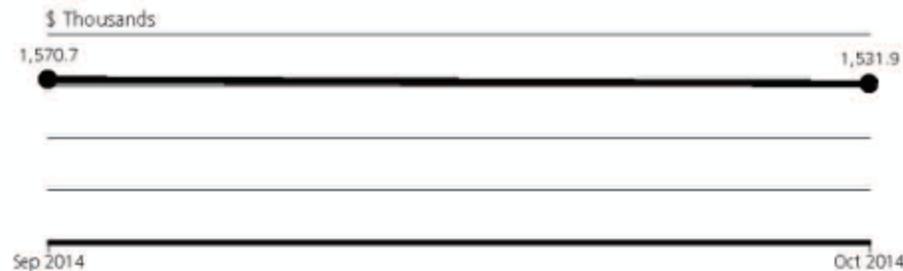
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on September 30 (\$)	on October 31 (\$)
Your assets	1,570,684.78	1,531,864.24
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,570,684.78</b>	<b>\$1,531,864.24</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,504,269.40
Your investment return:	
Dividend and interest income	\$54,253.03
Change in market value	-\$26,658.19
<b>Value of your account on Oct 31, 2014</b>	<b>\$1,531,864.24</b>

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## Your account balance sheet

### Summary of your assets

	Value on October 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	5,756.01	0.38%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,526,108.23	99.62%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,531,864.24</b>	<b>100.00%</b>

**Value of your account** **\$1,531,864.24**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	October 2014	Year to date
S&P 500	2.44%	10.99%
Russell 3000	2.75%	9.90%
MSCI - Europe, Australia & Far East	-1.45%	-2.42%
Barclays Capital U.S. Aggregate Bond Index	0.98%	5.12%

#### Interest rates on October 31, 2014

3-month Treasury bills: 0.01%  
One-month LIBOR: 0.16%

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## Change in the value of your account

	October 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,570,684.78</b>	<b>\$0.00</b>
Deposits, including investments transferred in	0.00	1,941,163.22
Withdrawals and fees, including investments transferred out	-2,389.03	-436,893.82
Dividend and interest income	4,335.49	54,253.03
Change in market value	-40,767.00	-26,658.19
<b>Closing account value</b>	<b>\$1,531,864.24</b>	<b>\$1,531,864.24</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	October 2014 (\$)	Year to date (\$)
Taxable dividends	4,335.38	54,245.13
Taxable interest	0.11	7.90
<b>Total current year</b>	<b>\$4,335.49</b>	<b>\$54,253.03</b>
<b>Total dividend &amp; interest</b>	<b>\$4,335.49</b>	<b>\$54,253.03</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	October 2014 (\$)	Year to date (\$)	
Short term	0.00	-10,372.89	-792.41
Long term	0.00	-17,192.77	130,367.85
<b>Total</b>	<b>\$0.00</b>	<b>-\$27,565.66</b>	<b>\$129,575.44</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	October 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$3,809.55</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	84,767.45
Dividend and interest income	4,335.49	54,253.03
Proceeds from investment transactions	0.00	407,110.27
<b>Total additions</b>	<b>\$4,335.49</b>	<b>\$546,130.75</b>
<i>Subtractions</i>		
Professional management fees and related services	-1,979.49	-4,777.29
Other fees	-98.05	-1,166.98
Other funds debited	-311.49	-430,949.55
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$2,389.03</b>	<b>-\$540,374.74</b>
<b>Net cash flow</b>	<b>\$1,946.46</b>	<b>\$5,756.01</b>
<b>Closing balances</b>	<b>\$5,756.01</b>	<b>\$5,756.01</b>

## Withholdings and tax summary

	October 2014 (\$)	Year to date (\$)
Foreign taxes paid	-311.49	-5,454.07

## UBS Bank USA Deposit Account APY

Interest period Sep 8 - Oct 6

Opening UBS Bank USA Deposit balance Sep 8	\$0.00
Closing UBS Bank USA Deposit balance Oct 6	\$6,015.36
Number of days in interest period	29
Average daily balance	\$3,153.38
Interest earned	\$0.11
Annual percentage yield earned	0.04%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	3,809.55	5,756.01					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.50%								
	Jan 29, 13	825.000	21.573	17,797.89	21.940	18,100.50	302.61	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.940	14,261.00	473.85	LT
<b>Security total</b>		1,475.000	21.414	31,585.04		32,361.50	776.46	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.84%								
	Jan 29, 13	210.000	50.130	10,527.30	72.940	15,317.40	4,790.10	LT
	Apr 22, 13	85.000	51.335	4,363.53	72.940	6,199.90	1,836.37	LT
	Jul 12, 13	70.000	49.441	3,460.92	72.940	5,105.80	1,644.88	LT
<b>Security total</b>		365.000	50.279	18,351.75		26,623.10	8,271.35	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,322 Current yield: 4.50%								
	Jan 29, 13	1,000.000	22.160	22,160.00	29.370	29,370.00	7,210.00	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.49%								
	Jan 29, 13	200.000	99.560	19,912.00	142.030	28,406.00	8,494.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	142.030	6,391.35	1,812.15	LT
Security total		245.000	99.964	24,491.20		34,797.35	10,306.15	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 4.07%								
	Jan 29, 13	320.000	78.520	25,126.50	59.440	19,020.80	-6,105.70	LT
	Apr 22, 13	30.000	63.865	1,915.95	59.440	1,783.20	-132.75	LT
	Jul 12, 13	85.000	61.002	5,185.23	59.440	5,052.40	-132.83	LT
Security total		435.000	74.087	32,227.68		25,856.40	-6,371.28	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,844 Current yield: 4.24%								
	Jan 29, 13	340.000	104.097	35,393.08	113.560	38,610.40	3,217.32	LT
	Apr 22, 13	150.000	107.325	16,098.78	113.560	17,034.00	935.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	113.560	11,356.00	801.56	LT
Security total		590.000	105.163	62,046.30		67,000.40	4,954.10	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 4.32%								
	Sep 16, 13	1,275.000	13.387	17,069.45	14.980	19,099.50	2,030.05	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	14.980	17,227.00	1,864.15	LT
	Sep 18, 13	990.000	13.393	13,259.17	14.980	14,830.20	1,571.03	LT
	Oct 10, 13	855.000	15.481	13,236.68	14.980	12,807.90	-428.78	LT
Security total		4,270.000	13.801	58,928.15		63,964.60	5,036.45	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.37%								
	Jan 29, 13	640.000	23.900	15,296.00	31.680	20,275.20	4,979.20	LT
	Apr 22, 13	720.000	22.581	16,258.75	31.680	22,809.60	6,550.85	LT
Security total		1,360.000	23.202	31,554.75		43,084.80	11,530.05	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC								
EAI: \$3,604 Current yield: 7.48%								
	Jan 29, 13	720.000	20.779	14,960.95	24.340	17,524.80	2,563.85	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	380.000	20.919	7,949.37	24.340	9,249.20	1,299.83	LT
	Jul 12, 13	215.000	20.144	4,330.97	24.340	5,233.10	902.13	LT
	Aug 21, 13	285.000	22.200	6,327.10	24.340	6,936.90	609.80	LT
	Aug 22, 13	140.000	22.508	3,151.20	24.340	3,407.60	256.40	LT
	Aug 23, 13	240.000	22.418	5,380.51	24.340	5,841.60	461.09	LT
Security total		1,980.000	21.263	42,100.10		48,193.20	6,093.10	
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$2,691 Current yield: 5.83%								
	Jan 29, 13	330.000	45.615	15,052.95	45.490	15,011.70	-41.25	LT
	Feb 13, 13	320.000	45.645	14,606.46	45.490	14,556.80	-49.66	LT
	Apr 22, 13	245.000	50.630	12,404.47	45.490	11,145.05	-1,259.42	LT
	Jul 12, 13	120.000	52.904	6,348.48	45.490	5,458.80	-889.68	LT
Security total		1,015.000	47.697	48,412.36		46,172.35	-2,240.01	
IMPERIAL TOBACCO GROUP PLC								
SPON ADR								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,059 Current yield: 4.59%								
	Apr 25, 13	60.000	70.891	4,253.51	87.120	5,227.20	973.69	LT
	Apr 26, 13	375.000	70.792	26,547.34	87.120	32,670.00	6,122.66	LT
	Jul 12, 13	80.000	68.375	5,470.00	87.120	6,969.60	1,499.60	LT
Security total		515.000	70.429	36,270.85		44,866.80	8,595.95	
MTN GROUP LTD SPON ADR								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,218 Current yield: 3.80%								
	Jan 29, 13	1,660.000	19.580	32,502.80	22.230	36,901.80	4,399.00	LT
	Apr 22, 13	590.000	17.390	10,260.10	22.230	13,115.70	2,855.60	LT
	Jul 12, 13	375.000	19.219	7,207.31	22.230	8,336.25	1,128.94	LT
Security total		2,625.000	19.036	49,970.21		58,353.75	8,383.54	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.51%								
	Jan 29, 13	1,650.000	18.280	30,162.00	19.620	32,373.00	2,211.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	19.620	8,338.50	32.21	LT
	Jul 12, 13	535.000	19.059	10,196.73	19.620	10,496.70	299.97	LT
Security total		2,610.000	18.646	48,665.02		51,208.20	2,543.18	

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Page 53 of 98

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.76%								
	Jan 29, 13	570.000	70.160	39,991.20	73.330	41,798.10	1,806.90	LT
	Apr 22, 13	290.000	69.918	20,276.22	73.330	21,265.70	989.48	LT
	Jul 12, 13	195.000	67.417	13,146.41	73.330	14,299.35	1,152.94	LT
Security total		1,055.000	69.587	73,413.83		77,363.15	3,949.32	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,653 Current yield: 2.38%								
	Jul 22, 13	1,700.000	26.445	44,957.18	31.480	53,516.00	8,558.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	31.480	16,054.80	2,637.11	LT
Security total		2,210.000	26.414	58,374.87		69,570.80	11,195.93	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,280 Current yield: 2.52%								
	Jan 29, 13	590.000	67.877	40,047.61	92.690	54,687.10	14,639.49	LT
	Apr 22, 13	240.000	72.512	17,403.02	92.690	22,245.60	4,842.58	LT
	Jul 12, 13	145.000	73.450	10,650.38	92.690	13,440.05	2,789.67	LT
Security total		975.000	69.847	68,101.01		90,372.75	22,271.74	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 4.26%								
	Jan 21, 14	710.000	8.052	5,717.56	7.720	5,481.20	-236.36	ST
	Jan 22, 14	220.000	8.190	1,801.84	7.720	1,698.40	-103.44	ST
	Jan 23, 14	315.000	8.282	2,608.92	7.720	2,431.80	-177.12	ST
	Jan 24, 14	185.000	8.147	1,507.25	7.720	1,428.20	-79.05	ST
	Jan 27, 14	135.000	8.019	1,082.58	7.720	1,042.20	-40.38	ST
	Jan 28, 14	265.000	8.045	2,132.08	7.720	2,045.80	-86.28	ST
	Jan 29, 14	390.000	7.991	3,116.53	7.720	3,010.80	-105.73	ST
	Jan 30, 14	245.000	7.849	1,923.23	7.720	1,891.40	-31.83	ST
	Jan 31, 14	260.000	7.878	2,048.46	7.720	2,007.20	-41.26	ST
	Feb 3, 14	185.000	7.794	1,441.96	7.720	1,428.20	-13.76	ST
	Feb 4, 14	235.000	7.671	1,802.69	7.720	1,814.20	11.51	ST
	Feb 5, 14	245.000	7.727	1,893.29	7.720	1,891.40	-1.89	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 6, 14	165.000	7.561	1,247.70	7.720	1,273.80	26.10	ST
	Feb 7, 14	310.000	7.528	2,333.87	7.720	2,393.20	59.33	ST
	Feb 10, 14	250.000	7.609	1,902.25	7.720	1,930.00	27.75	ST
Security total		4,115.000	7.913	32,560.21		31,767.80	-792.41	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.57%								
	Jan 29, 13	690.000	39.442	27,215.25	45.350	31,291.50	4,076.25	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,515 Current yield: 2.55%								
	Jan 29, 13	2,590.000	13.560	35,120.40	16.800	43,512.00	8,391.60	LT
	Apr 22, 13	510.000	14.360	7,323.60	16.800	8,568.00	1,244.40	LT
	Jul 12, 13	440.000	14.331	6,305.99	16.800	7,392.00	1,086.01	LT
Security total		3,540.000	13.771	48,749.99		59,472.00	10,722.01	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$1,281 Current yield: 2.49%								
	Jan 29, 13	1,100.000	27.545	30,299.50	36.810	40,491.00	10,191.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	36.810	11,043.00	1,962.69	LT
Security total		1,400.000	28.128	39,379.81		51,534.00	12,154.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 5.04%								
	Jan 29, 13	185.000	74.585	13,798.31	74.670	13,813.95	15.64	LT
	Apr 22, 13	215.000	65.856	14,159.06	74.670	16,054.05	1,894.99	LT
Security total		400.000	69.893	27,957.37		29,868.00	1,910.63	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.85%								
	Jan 29, 13	720.000	48.754	35,103.55	46.240	33,292.80	-1,810.75	LT
	Apr 22, 13	275.000	52.654	14,480.06	46.240	12,716.00	-1,764.06	LT
	Jul 12, 13	200.000	52.424	10,484.90	46.240	9,248.00	-1,236.90	LT
Security total		1,195.000	50.267	60,068.51		55,256.80	-4,811.71	

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,507 Current yield: 2.67%								
	Jan 29, 13	250.000	110.110	27,527.50	112.740	28,185.00	657.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	112.740	22,548.00	2,521.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	112.740	5,637.00	274.17	LT
<b>Security total</b>		500.000	105.834	52,917.17		56,370.00	3,452.83	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,284 Current yield: 4.38%								
	Jan 29, 13	1,060.000	28.260	29,955.60	29.540	31,312.40	1,356.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	29.540	12,997.60	-123.55	LT
	Jul 12, 13	265.000	30.551	8,096.17	29.540	7,828.10	-268.07	LT
<b>Security total</b>		1,765.000	28.993	51,172.92		52,138.10	965.18	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$984 Current yield: 3.35%								
	Jan 29, 13	1,245.000	19.750	24,588.75	18.640	23,206.80	-1,381.95	LT
	Apr 22, 13	330.000	18.685	6,166.05	18.640	6,151.20	-14.85	LT
<b>Security total</b>		1,575.000	19.527	30,754.80		29,358.00	-1,396.80	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,998 Current yield: 6.00%								
	Jan 29, 13	610.000	26.533	16,185.31	22.950	13,999.50	-2,185.81	LT
	Apr 22, 13	205.000	25.765	5,281.88	22.950	4,704.75	-577.13	LT
	Apr 22, 13	170.000	23.274	3,956.72	22.950	3,901.50	-55.22	LT
	Apr 22, 13	135.000	25.785	3,481.05	22.950	3,098.25	-382.80	LT
	Jul 12, 13	330.000	21.423	7,069.66	22.950	7,573.50	503.84	LT
<b>Security total</b>		1,450.000	24.810	35,974.62		33,277.50	-2,697.12	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.82%								
	Jan 29, 13	800.000	17.800	14,240.00	22.020	17,616.00	3,376.00	LT
	Jul 12, 13	30.000	18.434	553.04	22.020	660.60	107.56	LT
<b>Security total</b>		830.000	17.823	14,793.04		18,276.60	3,483.56	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Oct 31 (\$)	Value on Oct 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE								
EAI: \$2,902 Current yield: 4.53%								
	Jan 29, 13	460.000	54.547	25,092.04	59.890	27,549.40	2,457.36	LT
	Apr 22, 13	510.000	46.879	23,908.70	59.890	30,543.90	6,635.20	LT
	Jul 12, 13	100.000	50.459	5,045.96	59.890	5,989.00	943.04	LT
<b>Security total</b>		<b>1,070.000</b>	<b>50.511</b>	<b>54,046.70</b>		<b>64,082.30</b>	<b>10,035.60</b>	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE								
EAI: \$2,088 Current yield: 3.31%								
	Jan 29, 13	930.000	40.500	37,665.00	38.730	36,018.90	-1,646.10	LT
	Apr 22, 13	445.000	41.074	18,278.06	38.730	17,234.85	-1,043.21	LT
	Jul 12, 13	255.000	41.271	10,524.33	38.730	9,876.15	-648.18	LT
<b>Security total</b>		<b>1,630.000</b>	<b>40.778</b>	<b>66,467.39</b>		<b>63,129.90</b>	<b>-3,337.49</b>	
<b>UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR</b>								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.08%								
	Jan 29, 13	900.000	30.540	27,486.00	36.300	32,670.00	5,184.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	36.300	3,630.00	280.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	36.300	9,075.00	780.00	LT
<b>Security total</b>		<b>1,250.000</b>	<b>31.304</b>	<b>39,130.24</b>		<b>45,375.00</b>	<b>6,244.76</b>	
<b>VODAFONE GROUP PLC SPON ADR</b>								
Symbol: VOD Exchange: OTC								
EAI: \$2,389 Current yield: 6.92%								
	Jan 29, 13	796.294	50.164	39,945.60	33.220	26,452.89	-13,492.71	LT
	Apr 22, 13	2.727	54.627	148.97	33.220	90.59	-58.38	LT
	Jul 12, 13	239.979	54.033	12,966.80	33.220	7,972.10	-4,994.70	LT
<b>Security total</b>		<b>1,039.000</b>	<b>51.070</b>	<b>53,061.37</b>		<b>34,515.58</b>	<b>-18,545.79</b>	
<b>ZURICH INS GROUP LTD SPON ADR</b>								
Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.240	31,752.00	1,858.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.240	20,714.40	2,732.19	LT
	Jul 12, 13	290.000	26.739	7,754.57	30.240	8,769.60	1,015.03	LT
<b>Security total</b>		<b>2,025.000</b>	<b>27.472</b>	<b>55,630.28</b>		<b>61,236.00</b>	<b>5,605.72</b>	
<b>Total</b>				<b>\$1,396,532.79</b>		<b>\$1,526,108.23</b>	<b>\$129,575.44</b>	
<b>Total estimated annual income: \$54,460</b>								

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Page 57 of 98

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Your assets (continued)

Your total assets

		Value on Oct 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	5,756.01	0.38%	5,756.01		
Equities	Common stock	1,526,108.23	99.62%	1,396,532.79	54,460.00	129,575.44
<b>Total</b>		<b>\$1,531,864.24</b>	<b>100.00%</b>	<b>\$1,402,288.80</b>	<b>\$54,460.00</b>	<b>\$129,575.44</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	Oct 2	Foreign Dividend	RECKITT BENCKISER PLC SPON ADR PAID ON CUSIP: 756255204 3540	692.08
	Oct 2	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP: 37733W105 1015	657.93
	Oct 3	Foreign Dividend	BRITISH AMER TOBACCO PLC GB SPON ADR PAID ON CUSIP: 110448107 590	908.79
	Oct 10	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR PAID ON CUSIP: 89151E109 1070	827.30
	Oct 30	Foreign Dividend	GDF SUEZ SPON ADR PAID ON CUSIP: 36160B105 1980	1,249.28
		<b>Total taxable dividends</b>		<b>\$4,335.38</b>
<i>Taxable interest</i>				
	Oct 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 10/06/14	0.11
		<b>Total taxable interest</b>		<b>\$0.11</b>
		<b>Total dividend and interest income</b>		<b>\$4,335.49</b>
<b>Fees</b>				
	Oct 2	Fee	RECKITT BENCKISER PLC SPON ADR	-44.25
	Oct 3	Fee	BRITISH AMER TOBACCO PLC GB SPON ADR	-8.85
	Oct 10	Fee	TOTAL S.A. FRANCE SPON ADR	-5.35
	Oct 24	Fee Charged	QUARTERLY FEE	-1,979.49
	Oct 30	Fee	GDF SUEZ SPON ADR	-39.60
		<b>Total professional management fees</b>		<b>-\$1,979.49</b>
		<b>Total other fees</b>		<b>-\$98.05</b>

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b>	Oct 10	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR	-124.10
	Oct 30	Foreign Tax Withheld	GDF SUEZ SPON ADR	-187.39
	<b>Total other funds debited</b>			<b>-\$311.49</b>
<b>Money balance activities</b>	<b>Sep 30</b>	<b>Balance forward</b>		<b>\$3,809.55</b>
	Oct 3	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,305.76
	Oct 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT	899.94
	Oct 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 10/06/14	0.11
	Oct 14	Deposit	UBS BANK USA DEPOSIT ACCOUNT	697.85
	Oct 27	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 10/24/14	-1,979.49
	Oct 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,022.29
	<b>Oct 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$5,756.01</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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Rev. 201407

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

November 2014

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

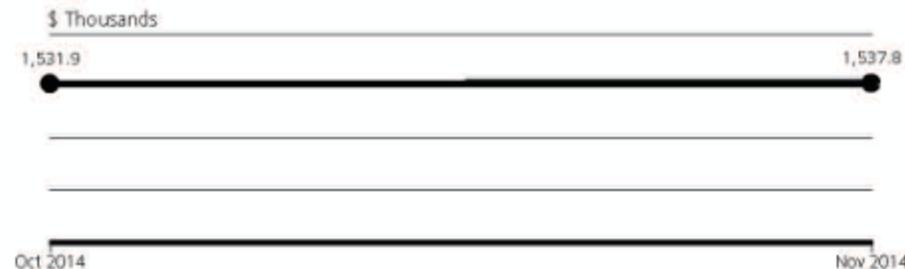
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on October 31 (\$)	on November 28 (\$)
Your assets	1,531,864.24	1,537,770.79
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,531,864.24</b>	<b>\$1,537,770.79</b>

## Tracking the value of your account



**Sources of your account growth during 2014**

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,498,513.08
Your investment return:	
Dividend and interest income	\$54,253.34
Change in market value	-\$14,995.63
<b>Value of your account on Nov 28, 2014</b>	<b>\$1,537,770.79</b>

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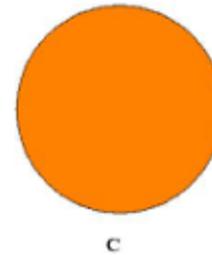
## Your account balance sheet

### Summary of your assets

	Value on November 28 (\$)	Percentage of your account
A Cash and money balances	0.00	0.00%
B Cash alternatives	0.00	0.00%
C Equities	1,537,770.79	100.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,537,770.79</b>	<b>100.00%</b>

**Value of your account** **\$1,537,770.79**

### Your current asset allocation



### Eye on the markets

Index	Percentage change	
	November 2014	Year to date
S&P 500	2.69%	13.98%
Russell 3000	2.42%	12.56%
MSCI - Europe, Australia & Far East	1.37%	-1.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	5.86%

#### Interest rates on November 28, 2014

3-month Treasury bills: 0.01%  
One-month LIBOR: 0.15%

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## Change in the value of your account

	November 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,531,864.24</b>	<b>\$0.00</b>
Deposits, including investments transferred in	0.00	1,941,163.22
Withdrawals and fees, including investments transferred out	-5,756.32	-442,650.14
Dividend and interest income	0.31	54,253.34
Change in market value	11,662.56	-14,995.63
<b>Closing account value</b>	<b>\$1,537,770.79</b>	<b>\$1,537,770.79</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2014 (\$)	Year to date (\$)
Taxable dividends	0.00	54,245.13
Taxable interest	0.31	8.21
<b>Total current year</b>	<b>\$0.31</b>	<b>\$54,253.34</b>
<b>Total dividend &amp; interest</b>	<b>\$0.31</b>	<b>\$54,253.34</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	November 2014 (\$)	Year to date (\$)	
Short term	0.00	-10,372.89	-1,615.41
Long term	0.00	-17,192.77	142,853.41
<b>Total</b>	<b>\$0.00</b>	<b>-\$27,565.66</b>	<b>\$141,238.00</b>



## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$5,756.01</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	84,767.45
Dividend and interest income	0.31	54,253.34
Proceeds from investment transactions	0.00	407,110.27
<b>Total additions</b>	<b>\$0.31</b>	<b>\$546,131.06</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-4,777.29
Other fees	0.00	-1,166.98
Other funds debited	-5,756.32	-436,705.87
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$5,756.32</b>	<b>-\$546,131.06</b>
<b>Net cash flow</b>	<b>-\$5,756.01</b>	<b>\$0.00</b>
<b>Closing balances</b>	<b>\$0.00</b>	<b>\$0.00</b>

## Withholdings and tax summary

	November 2014 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-5,454.07

## UBS Bank USA Deposit Account APY

Interest period Oct 7 - Nov 6

Opening UBS Bank USA Deposit balance Oct 7	\$6,015.36
Closing UBS Bank USA Deposit balance Nov 6	\$5,756.32
Number of days in interest period	31
Average daily balance	\$5,892.51
Interest earned	\$0.31
Annual percentage yield earned	0.06%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 28 (\$)	Price per share on Nov 28 (\$)	Average rate	Dividend Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	5,756.01	0.00					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.43%								
	Jan 29, 13	825.000	21.573	17,797.89	22.420	18,496.50	698.61	LT
	Apr 22, 13	650.000	21.211	13,787.15	22.420	14,573.00	785.85	LT
Security total		1,475.000	21.414	31,585.04		33,069.50	1,484.46	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.78%								
	Jan 29, 13	210.000	50.130	10,527.30	74.170	15,575.70	5,048.40	LT
	Apr 22, 13	85.000	51.335	4,363.53	74.170	6,304.45	1,940.92	LT
	Jul 12, 13	70.000	49.441	3,460.92	74.170	5,191.90	1,730.98	LT
Security total		365.000	50.279	18,351.75		27,072.05	8,720.30	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,322 Current yield: 4.40%								
	Jan 29, 13	1,000.000	22.160	22,160.00	30.060	30,060.00	7,900.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.41%								
	Jan 29, 13	200.000	99.560	19,912.00	150.070	30,014.00	10,102.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	150.070	6,753.15	2,173.95	LT
Security total		245.000	99.964	24,491.20		36,767.15	12,275.95	

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Page 51 of 96

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 4.69%								
	Jan 29, 13	320.000	78.520	25,126.50	51.630	16,521.60	-8,604.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	51.630	1,548.90	-367.05	LT
	Jul 12, 13	85.000	61.002	5,185.23	51.630	4,388.55	-796.68	LT
<b>Security total</b>		<b>435.000</b>	<b>74.087</b>	<b>32,227.68</b>		<b>22,459.05</b>	<b>-9,768.63</b>	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,844 Current yield: 4.08%								
	Jan 29, 13	340.000	104.097	35,393.08	118.170	40,177.80	4,784.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	118.170	17,725.50	1,626.72	LT
	Jul 12, 13	100.000	105.544	10,554.44	118.170	11,817.00	1,262.56	LT
<b>Security total</b>		<b>590.000</b>	<b>105.163</b>	<b>62,046.30</b>		<b>69,720.30</b>	<b>7,674.00</b>	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.82%								
	Sep 16, 13	1,275.000	13.387	17,069.45	16.930	21,585.75	4,516.30	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	16.930	19,469.50	4,106.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	16.930	16,760.70	3,501.53	LT
	Oct 10, 13	855.000	15.481	13,236.68	16.930	14,475.15	1,238.47	LT
<b>Security total</b>		<b>4,270.000</b>	<b>13.801</b>	<b>58,928.15</b>		<b>72,291.10</b>	<b>13,362.95</b>	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.21%								
	Jan 29, 13	640.000	23.900	15,296.00	33.220	21,260.80	5,964.80	LT
	Apr 22, 13	720.000	22.581	16,258.75	33.220	23,918.40	7,659.65	LT
<b>Security total</b>		<b>1,360.000</b>	<b>23.202</b>	<b>31,554.75</b>		<b>45,179.20</b>	<b>13,624.45</b>	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC								
EAI: \$3,604 Current yield: 7.39%								
	Jan 29, 13	720.000	20.779	14,960.95	24.620	17,726.40	2,765.45	LT
	Apr 22, 13	380.000	20.919	7,949.37	24.620	9,355.60	1,406.23	LT
	Jul 12, 13	215.000	20.144	4,330.97	24.620	5,293.30	962.33	LT
	Aug 21, 13	285.000	22.200	6,327.10	24.620	7,016.70	689.60	LT
	Aug 22, 13	140.000	22.508	3,151.20	24.620	3,446.80	295.60	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 23, 13	240.000	22.418	5,380.51	24.620	5,908.80	528.29	LT
<b>Security total</b>		<b>1,980.000</b>	<b>21.263</b>	<b>42,100.10</b>		<b>48,747.60</b>	<b>6,647.50</b>	
GLAXO SMITHKLINE PLC ADR								
Symbol: GSK Exchange: NYSE								
EAI: \$2,691 Current yield: 5.71%								
	Jan 29, 13	330.000	45.615	15,052.95	46.450	15,328.50	275.55	LT
	Feb 13, 13	320.000	45.645	14,606.46	46.450	14,864.00	257.54	LT
	Apr 22, 13	245.000	50.630	12,404.47	46.450	11,380.25	-1,024.22	LT
	Jul 12, 13	120.000	52.904	6,348.48	46.450	5,574.00	-774.48	LT
<b>Security total</b>		<b>1,015.000</b>	<b>47.697</b>	<b>48,412.36</b>		<b>47,146.75</b>	<b>-1,265.61</b>	
IMPERIAL TOBACCO GROUP PLC								
SPON ADR								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,136 Current yield: 4.50%								
	Apr 25, 13	60.000	70.891	4,253.51	92.223	5,533.38	1,279.87	LT
	Apr 26, 13	375.000	70.792	26,547.34	92.223	34,583.62	8,036.28	LT
	Jul 12, 13	80.000	68.375	5,470.00	92.223	7,377.84	1,907.84	LT
<b>Security total</b>		<b>515.000</b>	<b>70.429</b>	<b>36,270.85</b>		<b>47,494.84</b>	<b>11,223.99</b>	
MTN GROUP LTD SPON ADR								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,218 Current yield: 4.29%								
	Jan 29, 13	1,660.000	19.580	32,502.80	19.680	32,668.80	166.00	LT
	Apr 22, 13	590.000	17.390	10,260.10	19.680	11,611.20	1,351.10	LT
	Jul 12, 13	375.000	19.219	7,207.31	19.680	7,380.00	172.69	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>51,660.00</b>	<b>1,689.79</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.34%								
	Jan 29, 13	1,650.000	18.280	30,162.00	20.620	34,023.00	3,861.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	20.620	8,763.50	457.21	LT
	Jul 12, 13	535.000	19.059	10,196.73	20.620	11,031.70	834.97	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>53,818.20</b>	<b>5,153.18</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.70%								
	Jan 29, 13	570.000	70.160	39,991.20	75.050	42,778.50	2,787.30	LT
	Apr 22, 13	290.000	69.918	20,276.22	75.050	21,764.50	1,488.28	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	195.000	67.417	13,146.41	75.050	14,634.75	1,488.34	LT
Security total		1,055.000	69.587	73,413.83		79,177.75	5,763.92	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,638 Current yield: 2.76%								
	Jul 22, 13	1,700.000	26.445	44,957.18	26.830	45,611.00	653.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	26.830	13,683.30	265.61	LT
Security total		2,210.000	26.414	58,374.87		59,294.30	919.43	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,280 Current yield: 2.42%								
	Jan 29, 13	590.000	67.877	40,047.61	96.650	57,023.50	16,975.89	LT
	Apr 22, 13	240.000	72.512	17,403.02	96.650	23,196.00	5,792.98	LT
	Jul 12, 13	145.000	73.450	10,650.38	96.650	14,014.25	3,363.87	LT
Security total		975.000	69.847	68,101.01		94,233.75	26,132.74	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 4.38%								
	Jan 21, 14	710.000	8.052	5,717.56	7.520	5,339.20	-378.36	ST
	Jan 22, 14	220.000	8.190	1,801.84	7.520	1,654.40	-147.44	ST
	Jan 23, 14	315.000	8.282	2,608.92	7.520	2,368.80	-240.12	ST
	Jan 24, 14	185.000	8.147	1,507.25	7.520	1,391.20	-116.05	ST
	Jan 27, 14	135.000	8.019	1,082.58	7.520	1,015.20	-67.38	ST
	Jan 28, 14	265.000	8.045	2,132.08	7.520	1,992.80	-139.28	ST
	Jan 29, 14	390.000	7.991	3,116.53	7.520	2,932.80	-183.73	ST
	Jan 30, 14	245.000	7.849	1,923.23	7.520	1,842.40	-80.83	ST
	Jan 31, 14	260.000	7.878	2,048.46	7.520	1,955.20	-93.26	ST
	Feb 3, 14	185.000	7.794	1,441.96	7.520	1,391.20	-50.76	ST
	Feb 4, 14	235.000	7.671	1,802.69	7.520	1,767.20	-35.49	ST
	Feb 5, 14	245.000	7.727	1,893.29	7.520	1,842.40	-50.89	ST
	Feb 6, 14	165.000	7.561	1,247.70	7.520	1,240.80	-6.90	ST
	Feb 7, 14	310.000	7.528	2,333.87	7.520	2,331.20	-2.67	ST
	Feb 10, 14	250.000	7.609	1,902.25	7.520	1,880.00	-22.25	ST
Security total		4,115.000	7.913	32,560.21		30,944.80	-1,615.41	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.53%								
	Jan 29, 13	690.000	39.442	27,215.25	46.100	31,809.00	4,593.75	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,515 Current yield: 2.60%								
	Jan 29, 13	2,590.000	13.560	35,120.40	16.440	42,579.60	7,459.20	LT
	Apr 22, 13	510.000	14.360	7,323.60	16.440	8,384.40	1,060.80	LT
	Jul 12, 13	440.000	14.331	6,305.99	16.440	7,233.60	927.61	LT
Security total		3,540.000	13.771	48,749.99		58,197.60	9,447.61	
ROCHE HLDG LTD SPONS ADR SWITZ ADR								
Symbol: RHHBY Exchange: OTC								
EAI: \$1,281 Current yield: 2.44%								
	Jan 29, 13	1,100.000	27.545	30,299.50	37.460	41,206.00	10,906.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	37.460	11,238.00	2,157.69	LT
Security total		1,400.000	28.128	39,379.81		52,444.00	13,064.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 5.41%								
	Jan 29, 13	185.000	74.585	13,798.31	69.440	12,846.40	-951.91	LT
	Apr 22, 13	215.000	65.856	14,159.06	69.440	14,929.60	770.54	LT
Security total		400.000	69.893	27,957.37		27,776.00	-181.37	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.73%								
	Jan 29, 13	720.000	48.754	35,103.55	48.290	34,768.80	-334.75	LT
	Apr 22, 13	275.000	52.654	14,480.06	48.290	13,279.75	-1,200.31	LT
	Jul 12, 13	200.000	52.424	10,484.90	48.290	9,658.00	-826.90	LT
Security total		1,195.000	50.267	60,068.51		57,706.55	-2,361.96	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,507 Current yield: 2.55%								
	Jan 29, 13	250.000	110.110	27,527.50	118.000	29,500.00	1,972.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	118.000	23,600.00	3,573.16	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	50.000	107.256	5,362.83	118.000	5,900.00	537.17	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>59,000.00</b>	<b>6,082.83</b>	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC EAI: \$2,266 Current yield: 4.30%								
	Jan 29, 13	1,060.000	28.260	29,955.60	29.880	31,672.80	1,717.20	LT
	Apr 22, 13	440.000	29.820	13,121.15	29.880	13,147.20	26.05	LT
	Jul 12, 13	265.000	30.551	8,096.17	29.880	7,918.20	-177.97	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>52,738.20</b>	<b>1,565.28</b>	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC EAI: \$967 Current yield: 3.41%								
	Jan 29, 13	1,245.000	19.750	24,588.75	18.020	22,434.90	-2,153.85	LT
	Apr 22, 13	330.000	18.685	6,166.05	18.020	5,946.60	-219.45	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>28,381.50</b>	<b>-2,373.30</b>	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE EAI: \$1,897 Current yield: 6.85%								
	Jan 29, 13	610.000	26.533	16,185.31	19.110	11,657.10	-4,528.21	LT
	Apr 22, 13	205.000	25.765	5,281.88	19.110	3,917.55	-1,364.33	LT
	Apr 22, 13	170.000	23.274	3,956.72	19.110	3,248.70	-708.02	LT
	Apr 22, 13	135.000	25.785	3,481.05	19.110	2,579.85	-901.20	LT
	Jul 12, 13	330.000	21.423	7,069.66	19.110	6,306.30	-763.36	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>27,709.50</b>	<b>-8,265.12</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE EAI: \$332 Current yield: 1.70%								
	Jan 29, 13	800.000	17.800	14,240.00	23.470	18,776.00	4,536.00	LT
	Jul 12, 13	30.000	18.434	553.04	23.470	704.10	151.06	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>19,480.10</b>	<b>4,687.06</b>	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE EAI: \$2,861 Current yield: 4.81%								
	Jan 29, 13	460.000	54.547	25,092.04	55.630	25,589.80	497.76	LT
	Apr 22, 13	510.000	46.879	23,908.70	55.630	28,371.30	4,462.60	LT
	Jul 12, 13	100.000	50.459	5,045.96	55.630	5,563.00	517.04	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Nov 28 (\$)	Value on Nov 28 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>		1,070,000	50.511	54,046.70		59,524.10	5,477.40	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol: UN Exchange: NYSE EAI: \$2,088 Current yield: 3.15%								
	Jan 29, 13	930,000	40.500	37,665.00	40.640	37,795.20	130.20	LT
	Apr 22, 13	445,000	41.074	18,278.06	40.640	18,084.80	-193.26	LT
	Jul 12, 13	255,000	41.271	10,524.33	40.640	10,363.20	-161.13	LT
<b>Security total</b>		1,630,000	40.778	66,467.39		66,243.20	-224.19	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,398 Current yield: 3.03%								
	Jan 29, 13	900,000	30.540	27,486.00	36.900	33,210.00	5,724.00	LT
	Apr 22, 13	100,000	33.492	3,349.24	36.900	3,690.00	340.76	LT
	Jul 12, 13	250,000	33.180	8,295.00	36.900	9,225.00	930.00	LT
<b>Security total</b>		1,250,000	31.304	39,130.24		46,125.00	6,994.76	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$1,872 Current yield: 4.93%								
	Jan 29, 13	796,294	50.164	39,945.60	36.550	29,104.55	-10,841.05	LT
	Apr 22, 13	2,727	54.627	148.97	36.550	99.67	-49.30	LT
	Jul 12, 13	239,979	54.033	12,966.80	36.550	8,771.23	-4,195.57	LT
<b>Security total</b>		1,039,000	51.070	53,061.37		37,975.45	-15,085.92	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050,000	28.470	29,893.50	31.370	32,938.50	3,045.00	LT
	Apr 22, 13	685,000	26.251	17,982.21	31.370	21,488.45	3,506.24	LT
	Jul 12, 13	290,000	26.739	7,754.57	31.370	9,097.30	1,342.73	LT
<b>Security total</b>		2,025,000	27.472	55,630.28		63,524.25	7,893.97	
<b>Total</b>				<b>\$1,396,532.79</b>		<b>\$1,537,770.79</b>	<b>\$141,238.00</b>	
<b>Total estimated annual income: \$53,828</b>								

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Your assets (continued)

Your total assets

		Value on Nov 28 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Equities</b>	<b>Common stock</b>	<b>1,537,770.79</b>	<b>100.00%</b>	<b>1,396,532.79</b>	<b>53,828.00</b>	<b>141,238.00</b>
<b>Total</b>		<b>\$1,537,770.79</b>	<b>100.00%</b>	<b>\$1,396,532.79</b>	<b>\$53,828.00</b>	<b>\$141,238.00</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable interest</i>	Nov 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/06/14	0.31
		<b>Total taxable interest</b>		<b>\$0.31</b>
		<b>Total dividend and interest income</b>		<b>\$0.31</b>
<b>Other funds debited</b>				
	Nov 19	Transfer	TO Y1 23575 0100	-5,756.32
		<b>Total other funds debited</b>		<b>-\$5,756.32</b>
<b>Money balance activities</b>				
	<b>Oct 31</b>	<b>Balance forward</b>		<b>\$5,756.01</b>
	Nov 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/06/14	0.31
	Nov 20	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/19/14	-5,756.32
	<b>Nov 28</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$0.00</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RNABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
 299 Park Avenue  
 25th Floor  
 New York NY 10171-0002  
 CNP70080724571214.X13.Y1.0

# UBS Strategic Advisor

December 2014

GHISLAINE MAXWELL  
 [REDACTED]  
 NEW YORK NY [REDACTED]

**Account name:** GHISLAINE MAXWELL  
**Friendly account name:** [REDACTED]  
**Account number:** [REDACTED]

**Your Financial Advisor:**  
 SCOTT STACKMAN/LYLE CASRIEL  
 Phone: [REDACTED]

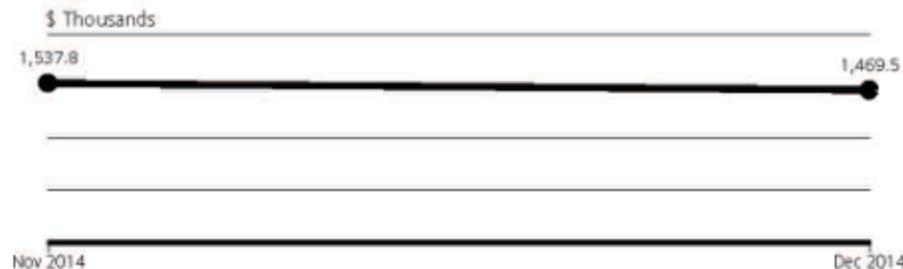
**Questions about your statement?**  
 Call your Financial Advisor or the  
 RMA ResourceLine at 800-RMA-1000,  
 account [REDACTED]

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on November 28 (\$)	on December 31 (\$)
Your assets	1,537,770.79	1,469,481.00
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,537,770.79</b>	<b>\$1,469,481.00</b>

## Tracking the value of your account



### Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$1,498,203.69
Your investment return:	
Dividend and interest income	\$57,610.76
Change in market value	-\$86,333.45
<b>Value of your account on Dec 31, 2014</b>	<b>\$1,469,481.00</b>

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## Your account balance sheet

### Summary of your assets

	Value on December 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	3,054.91	0.21%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,466,426.09	99.79%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,469,481.00</b>	<b>100.00%</b>

**Value of your account** **\$1,469,481.00**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	December 2014	Year to date
S&P 500	-0.25%	13.69%
Russell 3000	-0.00%	12.56%
MSCI - Europe, Australia & Far East	-3.44%	-4.48%
Barclays Capital U.S. Aggregate Bond Index	0.09%	5.97%

#### Interest rates on December 31, 2014

3-month Treasury bills: 0.04%  
One-month LIBOR: 0.17%

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## Change in the value of your account

	December 2014 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,537,770.79</b>	<b>\$0.00</b>
Deposits, including investments transferred in	0.00	1,941,163.22
Withdrawals and fees, including investments transferred out	-309.39	-442,959.53
Dividend and interest income	3,357.42	57,610.76
Change in market value	-71,337.82	-86,333.45
<b>Closing account value</b>	<b>\$1,469,481.00</b>	<b>\$1,469,481.00</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2014 (\$)	Year to date (\$)
Taxable dividends	3,357.30	57,602.43
Taxable interest	0.12	8.33
<b>Total current year</b>	<b>\$3,357.42</b>	<b>\$57,610.76</b>
<b>Total dividend &amp; interest</b>	<b>\$3,357.42</b>	<b>\$57,610.76</b>
Return of capital/principal	0.00	3,895.55

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2014 (\$)	Year to date (\$)	
Short term	0.00	-10,372.89	-4,989.71
Long term	1.30	-17,191.47	74,888.60
<b>Total</b>	<b>\$1.30</b>	<b>-\$27,564.36</b>	<b>\$69,898.89</b>

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## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	December 2014 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$0.00</b>	<b>\$0.00</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	84,767.45
Dividend and interest income	3,357.42	57,610.76
Proceeds from investment transactions	6.88	407,117.15
<b>Total additions</b>	<b>\$3,364.30</b>	<b>\$549,495.36</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-4,777.29
Other fees	-82.11	-1,249.09
Other funds debited	-227.28	-436,933.15
Funds withdrawn for investments bought	0.00	-103,480.92
<b>Total subtractions</b>	<b>-\$309.39</b>	<b>-\$546,440.45</b>
<b>Net cash flow</b>	<b>\$3,054.91</b>	<b>\$3,054.91</b>
<b>Closing balances</b>	<b>\$3,054.91</b>	<b>\$3,054.91</b>

## Withholdings and tax summary

	December 2014 (\$)	Year to date (\$)
Foreign taxes paid	-227.28	-5,681.35

## UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 4

Opening UBS Bank USA Deposit balance Nov 7	\$5,756.32
Closing UBS Bank USA Deposit balance Dec 4	\$677.74
Number of days in interest period	28
Average daily balance	\$2,539.60
Interest earned	\$0.12
Annual percentage yield earned	0.06%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	6.88					
UBS BANK USA DEP ACCT	0.00	3,048.03					250,000.00
<b>Total</b>	<b>\$0.00</b>	<b>\$3,054.91</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 3.64%								
	Jan 29, 13	825.000	21.573	17,797.89	21.150	17,448.75	-349.14	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.150	13,747.50	-39.65	LT
Security total		1,475.000	21.414	31,585.04		31,196.25	-388.79	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.98%								
	Jan 29, 13	210.000	50.130	10,527.30	70.380	14,779.80	4,252.50	LT
	Apr 22, 13	85.000	51.335	4,363.53	70.380	5,982.30	1,618.77	LT
	Jul 12, 13	70.000	49.441	3,460.92	70.380	4,926.60	1,465.68	LT
Security total		365.000	50.279	18,351.75		25,688.70	7,336.95	

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Page 55 of 104

**CONFIDENTIAL**

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,285 Current yield: 4.41%								
	Jan 29, 13	1,000.000	22.160	22,160.00	29.155	29,155.00	6,995.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.54%								
	Jan 29, 13	200.000	99.560	19,912.00	136.840	27,368.00	7,456.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	136.840	6,157.80	1,578.60	LT
Security total		245.000	99.964	24,491.20		33,525.80	9,034.60	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 5.12%								
	Jan 29, 13	320.000	78.520	25,126.50	47.320	15,142.40	-9,984.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	47.320	1,419.60	-496.35	LT
	Jul 12, 13	85.000	61.002	5,185.23	47.320	4,022.20	-1,163.03	LT
Security total		435.000	74.087	32,227.68		20,584.20	-11,643.48	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,844 Current yield: 4.47%								
	Jan 29, 13	340.000	104.097	35,393.08	107.820	36,658.80	1,265.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	107.820	16,173.00	74.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	107.820	10,782.00	227.56	LT
Security total		590.000	105.163	62,046.30		63,613.80	1,567.50	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 4.07%								
	Sep 16, 13	1,275.000	13.387	17,069.45	15.890	20,259.75	3,190.30	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	15.890	18,273.50	2,910.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	15.890	15,731.10	2,471.93	LT
	Oct 10, 13	855.000	15.481	13,236.68	15.890	13,585.95	349.27	LT
Security total		4,270.000	13.801	58,928.15		67,850.30	8,922.15	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.29%								
	Jan 29, 13	640.000	23.900	15,296.00	32.450	20,768.00	5,472.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	32.450	23,364.00	7,105.25	LT
Security total		1,360.000	23.202	31,554.75		44,132.00	12,577.25	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$2,071 Current yield: 4.49%								
	Jan 29, 13	720.000	20.779	14,960.95	23.297	16,773.84	1,812.89	LT
	Apr 22, 13	380.000	20.919	7,949.37	23.297	8,852.86	903.49	LT
	Jul 12, 13	215.000	20.144	4,330.97	23.297	5,008.86	677.89	LT
	Aug 21, 13	285.000	22.200	6,327.10	23.297	6,639.65	312.55	LT
	Aug 22, 13	140.000	22.508	3,151.20	23.297	3,261.58	110.38	LT
	Aug 23, 13	240.000	22.418	5,380.51	23.297	5,591.28	210.77	LT
Security total		1,980.000	21.263	42,100.10		46,128.06	4,027.97	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,691 Current yield: 6.20%								
	Jan 29, 13	330.000	45.615	15,052.95	42.740	14,104.20	-948.75	LT
	Feb 13, 13	320.000	45.645	14,606.46	42.740	13,676.80	-929.66	LT
	Apr 22, 13	245.000	50.630	12,404.47	42.740	10,471.30	-1,933.17	LT
	Jul 12, 13	120.000	52.904	6,348.48	42.740	5,128.80	-1,219.68	LT
Security total		1,015.000	47.697	48,412.36		43,381.10	-5,031.26	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,136 Current yield: 4.74%								
	Apr 25, 13	60.000	70.891	4,253.51	87.500	5,250.00	996.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	87.500	32,812.50	6,265.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	87.500	7,000.00	1,530.00	LT
Security total		515.000	70.429	36,270.85		45,062.50	8,791.65	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	11.300	1,163.90	205.93	LT
	Apr 22, 13	20.400	9.849	200.93	11.300	230.52	29.59	LT
	Jul 12, 13	17.600	9.829	173.00	11.300	198.88	25.88	LT
Security total		141.000	9.446	1,331.90		1,593.30	261.40	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,221 Current yield: 4.48%								
	Jan 29, 13	1,660.000	19.580	32,502.80	18.870	31,324.20	-1,178.60	LT
	Apr 22, 13	590.000	17.390	10,260.10	18.870	11,133.30	873.20	LT

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Page 57 of 104

**CONFIDENTIAL**

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	18.870	7,076.25	-131.06	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>49,533.75</b>	<b>-436.46</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.47%								
	Jan 29, 13	1,650.000	18.280	30,162.00	19.840	32,736.00	2,574.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	19.840	8,432.00	125.71	LT
	Jul 12, 13	535.000	19.059	10,196.73	19.840	10,614.40	417.67	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>51,782.40</b>	<b>3,117.38</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.78%								
	Jan 29, 13	570.000	70.160	39,991.20	72.950	41,581.50	1,590.30	LT
	Apr 22, 13	290.000	69.918	20,276.22	72.950	21,155.50	879.28	LT
	Jul 12, 13	195.000	67.417	13,146.41	72.950	14,225.25	1,078.84	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>76,962.25</b>	<b>3,548.42</b>	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,596 Current yield: 2.82%								
	Jul 22, 13	1,700.000	26.445	44,957.18	25.610	43,537.00	-1,420.18	LT
	Aug 6, 13	510.000	26.309	13,417.69	25.610	13,061.10	-356.59	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>56,598.10</b>	<b>-1,776.77</b>	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,280 Current yield: 2.52%								
	Jan 29, 13	590.000	67.877	40,047.61	92.660	54,669.40	14,621.79	LT
	Apr 22, 13	240.000	72.512	17,403.02	92.660	22,238.40	4,835.38	LT
	Jul 12, 13	145.000	73.450	10,650.38	92.660	13,435.70	2,785.32	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>90,343.50</b>	<b>22,242.49</b>	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 4.91%								
	Jan 21, 14	710.000	8.052	5,717.56	6.700	4,757.00	-960.56	ST
	Jan 22, 14	220.000	8.190	1,801.84	6.700	1,474.00	-327.84	ST
	Jan 23, 14	315.000	8.282	2,608.92	6.700	2,110.50	-498.42	ST
	Jan 24, 14	185.000	8.147	1,507.25	6.700	1,239.50	-267.75	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	6.700	904.50	-178.08	ST
	Jan 28, 14	265.000	8.045	2,132.08	6.700	1,775.50	-356.58	ST
	Jan 29, 14	390.000	7.991	3,116.53	6.700	2,613.00	-503.53	ST
	Jan 30, 14	245.000	7.849	1,923.23	6.700	1,641.50	-281.73	ST
	Jan 31, 14	260.000	7.878	2,048.46	6.700	1,742.00	-306.46	ST
	Feb 3, 14	185.000	7.794	1,441.96	6.700	1,239.50	-202.46	ST
	Feb 4, 14	235.000	7.671	1,802.69	6.700	1,574.50	-228.19	ST
	Feb 5, 14	245.000	7.727	1,893.29	6.700	1,641.50	-251.79	ST
	Feb 6, 14	165.000	7.561	1,247.70	6.700	1,105.50	-142.20	ST
	Feb 7, 14	310.000	7.528	2,333.87	6.700	2,077.00	-256.87	ST
	Feb 10, 14	250.000	7.609	1,902.25	6.700	1,675.00	-227.25	ST
Security total		4,115.000	7.913	32,560.21		27,570.50	-4,989.71	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$805 Current yield: 2.58%								
	Jan 29, 13	690.000	39.442	27,215.25	45.230	31,208.70	3,993.45	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,515 Current yield: 2.58%								
	Jan 29, 13	2,590.000	13.187	34,156.85	16.570	42,916.30	8,759.45	LT
	Apr 22, 13	510.000	13.966	7,122.67	16.570	8,450.70	1,328.03	LT
	Jul 12, 13	440.000	13.938	6,132.99	16.570	7,290.80	1,157.81	LT
Security total		3,540.000	13.393	47,412.51		58,657.80	11,245.29	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol: RHHBY Exchange: OTC EAI: \$1,281 Current yield: 2.69%								
	Jan 29, 13	1,100.000	27.545	30,299.50	33.990	37,389.00	7,089.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	33.990	10,197.00	1,116.69	LT
Security total		1,400.000	28.128	39,379.81		47,586.00	8,206.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 5.41%								
	Jan 29, 13	185.000	74.585	13,798.31	69.560	12,868.60	-929.71	LT

continued next page  
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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	215.000	65.856	14,159.06	69.560	14,955.40	796.34	LT
<b>Security total</b>		<b>400.000</b>	<b>69.893</b>	<b>27,957.37</b>		<b>27,824.00</b>	<b>-133.37</b>	
<b>SANOFI SPON ADR</b>								
Symbol: SNY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.89%								
	Jan 29, 13	720.000	48.754	35,103.55	45.610	32,839.20	-2,264.35	LT
	Apr 22, 13	275.000	52.654	14,480.06	45.610	12,542.75	-1,937.31	LT
	Jul 12, 13	200.000	52.424	10,484.90	45.610	9,122.00	-1,362.90	LT
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>54,503.95</b>	<b>-5,564.56</b>	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,493 Current yield: 2.67%								
	Jan 29, 13	250.000	110.110	27,527.50	112.000	28,000.00	472.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	112.000	22,400.00	2,373.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	112.000	5,600.00	237.17	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>56,000.00</b>	<b>3,082.83</b>	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,266 Current yield: 4.36%								
	Jan 29, 13	1,060.000	28.260	29,955.60	29.460	31,227.60	1,272.00	LT
	Apr 22, 13	440.000	29.820	13,121.15	29.460	12,962.40	-158.75	LT
	Jul 12, 13	265.000	30.551	8,096.17	29.460	7,806.90	-289.27	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>51,996.90</b>	<b>823.98</b>	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$967 Current yield: 3.65%								
	Jan 29, 13	1,245.000	19.750	24,588.75	16.840	20,965.80	-3,622.95	LT
	Apr 22, 13	330.000	18.685	6,166.05	16.840	5,557.20	-608.85	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>26,523.00</b>	<b>-4,231.80</b>	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$2,224 Current yield: 8.71%								
	Jan 29, 13	610.000	26.533	16,185.31	17.610	10,742.10	-5,443.21	LT
	Apr 22, 13	205.000	25.765	5,281.88	17.610	3,610.05	-1,671.83	LT
	Apr 22, 13	170.000	23.274	3,956.72	17.610	2,993.70	-963.02	LT
	Apr 22, 13	135.000	25.785	3,481.05	17.610	2,377.35	-1,103.70	LT
	Jul 12, 13	330.000	21.423	7,069.66	17.610	5,811.30	-1,258.36	LT

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.79%								
	Jan 29, 13	800.000	17.800	14,240.00	22.380	17,904.00	3,664.00	LT
	Jul 12, 13	30.000	18.434	553.04	22.380	671.40	118.36	LT
<b>Security total</b>								
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$2,855 Current yield: 5.21%								
	Jan 29, 13	460.000	54.547	25,092.04	51.200	23,552.00	-1,540.04	LT
	Apr 22, 13	510.000	46.879	23,908.70	51.200	26,112.00	2,203.30	LT
	Jul 12, 13	100.000	50.459	5,045.96	51.200	5,120.00	74.04	LT
<b>Security total</b>								
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$2,088 Current yield: 3.28%								
	Jan 29, 13	930.000	40.500	37,665.00	39.040	36,307.20	-1,357.80	LT
	Apr 22, 13	445.000	41.074	18,278.06	39.040	17,372.80	-905.26	LT
	Jul 12, 13	255.000	41.271	10,524.33	39.040	9,955.20	-569.13	LT
<b>Security total</b>								
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.03%								
	Jan 29, 13	900.000	30.540	27,486.00	36.970	33,273.00	5,787.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	36.970	3,697.00	347.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	36.970	9,242.50	947.50	LT
<b>Security total</b>								
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$1,872 Current yield: 5.27%								
	Jan 29, 13	796.294	50.164	39,945.60	34.170	27,209.37	-12,736.23	LT
	Apr 22, 13	2.727	54.627	148.97	34.170	93.18	-55.79	LT
	Jul 12, 13	239.979	54.033	12,966.80	34.170	8,200.08	-4,766.72	LT
<b>Security total</b>								

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC	Jan 29, 13	1,050.000	28.470	29,893.50	31.200	32,760.00	2,866.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	31.200	21,372.00	3,389.79	LT
	Jul 12, 13	290.000	26.739	7,754.57	31.200	9,048.00	1,293.43	LT
Security total		2,025.000	27.472	55,630.28		63,180.00	7,549.72	
<b>Total</b>				<b>\$1,396,527.21</b>		<b>\$1,466,426.09</b>	<b>\$69,898.89</b>	
<b>Total estimated annual income: \$52,526</b>								

Your total assets

		Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>3,054.91</b>	<b>0.21%</b>	<b>3,054.91</b>		
<b>Equities</b>	<b>Common stock</b>	<b>1,466,426.09</b>	<b>99.79%</b>	<b>1,396,527.21</b>	<b>52,526.00</b>	<b>69,898.89</b>
<b>Total</b>		<b>\$1,469,481.00</b>	<b>100.00%</b>	<b>\$1,399,582.12</b>	<b>\$52,526.00</b>	<b>\$69,898.89</b>

Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>			
<i>Taxable dividends</i>			
Dec 1	Foreign Dividend	SMITHS GROUP PLC SPON ADR PAID ON CUSIP: 83238P203 1 575	677.62
Dec 5	Foreign Dividend	STATOIL ASA SPON ADR PAID ON CUSIP: 85771P102 1 450	374.47
Dec 8	Foreign Dividend	BAE SYSTEMS PLC SPON ADR PAID ON CUSIP: 05523R107 1 000	515.29
Dec 10	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON CUSIP: 904784709 1 630	592.83
Dec 15	Foreign Dividend	NIPPON TELEG & TEL CORP SPON ADR PAID ON CUSIP: 654624105 2 210	821.09
Dec 22	Foreign Dividend	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR PAID ON CUSIP: 780259107 4 00	376.00
<b>Total taxable dividends</b>			<b>\$3,357.30</b>

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable interest</i>	Dec 5	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/14	0.12
	<b>Total taxable interest</b>			<b>\$0.12</b>
	<b>Total dividend and interest income</b>			<b>\$3,357.42</b>

	Date	Activity	Description	Amount (\$)
<b>Fees</b>	Dec 5	Fee	STATOIL ASA SPON ADR	-7.25
	Dec 8	Fee	BAE SYSTEMS PLC SPON ADR	-20.00
	Dec 15	Fee	DEUTSCHE POST AG SPON ADR	-13.60
	Dec 15	Fee	ROCHE HLDG LTD SPONS ADR SWITZ ADR	-28.00
	Dec 15	Fee	NIPPON TELEG & TEL CORP SPON ADR	-13.26
	<b>Total fees</b>			<b>-\$82.11</b>

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b>	Dec 5	Foreign Tax Withheld	STATOIL ASA SPON ADR	-56.17
	Dec 10	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-89.00
	Dec 15	Foreign Tax Withheld	NIPPON TELEG & TEL CORP SPON ADR	-82.11
	<b>Total other funds debited</b>			<b>-\$227.28</b>

**Investment transactions**

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 31	Cash In Lieu	INDIVIOR PLC SPON ADR SYMBOL: INVVY CASH-IN-LIEU RATE: 11.46 CUSIP: 45579E105				6.88		
Dec 31	Spin Off	INDIVIOR PLC SPON ADR SYMBOL: INVVY FROM RECKITT BENCKISER PLC SPON ADR SYMBOL: RBGLY RATE: 0.04 CUSIP: 45579E105	141.000					
<b>Total</b>						<b>\$6.88</b>		

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Nov 28</b>	<b>Balance forward</b>		<b>\$0.00</b>
	Dec 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	677.62
	Dec 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/04/14	0.12
	Dec 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	311.05
	Dec 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	495.29
	Dec 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	503.83
	Dec 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	684.12
	Dec 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	376.00
	<b>Dec 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$3,048.03</b>

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
INDIVIOR PLC SPON ADR	FIFO	0.600	Jan 29, 13	Dec 31, 14	6.88	5.58			1.30

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: IBMVSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosure](http://www.ubs.com/accountdisclosure).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

January 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

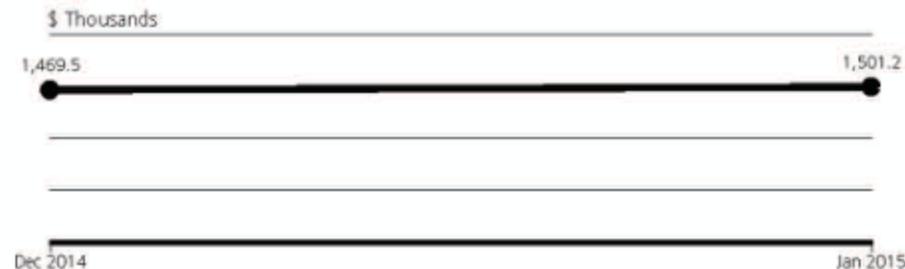
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on December 31 (\$)	on January 30 (\$)
Your assets	1,469,481.00	1,501,245.03
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,469,481.00</b>	<b>\$1,501,245.03</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$1,973.21
Your investment return:	
Dividend and interest income	\$2,328.96
Change in market value	\$31,408.28
<b>Value of your account on Jan 30, 2015</b>	<b>\$1,501,245.03</b>

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## Your account balance sheet

### Summary of your assets

	Value on January 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	3,410.66	0.23%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,497,834.37	99.77%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,501,245.03</b>	<b>100.00%</b>

**Value of your account** **\$1,501,245.03**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	January 2015	Year to date
S&P 500	-3.00%	-3.00%
Russell 3000	-2.78%	-2.78%
MSCI - Europe, Australia & Far East	0.50%	0.50%
Barclays Capital U.S. Aggregate Bond Index	2.10%	2.10%

#### Interest rates on January 30, 2015

3-month Treasury bills: 0.01%  
One-month LIBOR: 0.17%

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## Change in the value of your account

	January 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,469,481.00</b>	<b>\$1,469,481.00</b>
Withdrawals and fees, including investments transferred out	-1,973.21	-1,973.21
Dividend and interest income	2,328.96	2,328.96
Change in market value	31,408.28	31,408.28
<b>Closing account value</b>	<b>\$1,501,245.03</b>	<b>\$1,501,245.03</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	January 2015 (\$)	Year to date (\$)
Taxable dividends	2,328.96	2,328.96
<b>Total current year</b>	<b>\$2,328.96</b>	<b>\$2,328.96</b>
<b>Total dividend &amp; interest</b>	<b>\$2,328.96</b>	<b>\$2,328.96</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	January 2015 (\$)	Year to date (\$)	
Short term	0.00	0.00	-589.40
Long term	0.00	0.00	101,896.56
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$101,307.16</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	January 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$3,054.91</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Dividend and interest income	2,328.96	2,328.96
<b>Total additions</b>	<b>\$2,328.96</b>	<b>\$2,328.96</b>
<i>Subtractions</i>		
Professional management fees and related services	-1,811.69	-1,811.69
Other fees	-40.65	-40.65
Other funds debited	-120.87	-120.87
<b>Total subtractions</b>	<b>-\$1,973.21</b>	<b>-\$1,973.21</b>
<b>Net cash flow</b>	<b>\$355.75</b>	<b>\$355.75</b>
<b>Closing balances</b>	<b>\$3,410.66</b>	<b>\$3,410.66</b>



## Withholdings and tax summary

	January 2015 (\$)	Year to date (\$)
Foreign taxes paid	-120.87	-120.87

## UBS Bank USA Deposit Account APY

Interest period Dec 5 - Jan 7

Opening UBS Bank USA Deposit balance Dec 5	\$677.74
Closing UBS Bank USA Deposit balance Jan 7	\$3,054.91
Number of days in interest period	34
Average daily balance	\$2,454.23
Interest earned	\$0.00
Annual percentage yield earned	0.00%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jan 1 (\$)	Closing balance on Jan 30 (\$)	Price per share on Jan 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	6.88	0.00					
UBS BANK USA DEP ACCT	3,048.03	3,410.66					250,000.00
<b>Total</b>	<b>\$3,054.91</b>	<b>\$3,410.66</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$1,134 Current yield: 4.02%								
	Jan 29, 13	825.000	21.573	17,797.89	19.140	15,790.50	-2,007.39	LT
	Apr 22, 13	650.000	21.211	13,787.15	19.140	12,441.00	-1,346.15	LT
Security total		1,475.000	21.414	31,585.04		28,231.50	-3,353.54	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,022 Current yield: 3.94%								
	Jan 29, 13	210.000	50.130	10,527.30	71.040	14,918.40	4,391.10	LT
	Apr 22, 13	85.000	51.335	4,363.53	71.040	6,038.40	1,674.87	LT
	Jul 12, 13	70.000	49.441	3,460.92	71.040	4,972.80	1,511.88	LT
Security total		365.000	50.279	18,351.75		25,929.60	7,577.85	

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Page 5 of 14

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,285 Current yield: 4.23%								
	Jan 29, 13	1,000.000	22.160	22,160.00	30.350	30,350.00	8,190.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.47%								
	Jan 29, 13	200.000	99.560	19,912.00	143.480	28,696.00	8,784.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	143.480	6,456.60	1,877.40	LT
Security total		245.000	99.964	24,491.20		35,152.60	10,661.40	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,053 Current yield: 5.22%								
	Jan 29, 13	320.000	78.520	25,126.50	46.330	14,825.60	-10,300.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	46.330	1,389.90	-526.05	LT
	Jul 12, 13	85.000	61.002	5,185.23	46.330	3,938.05	-1,247.18	LT
Security total		435.000	74.087	32,227.68		20,153.55	-12,074.13	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,844 Current yield: 4.28%								
	Jan 29, 13	340.000	104.097	35,393.08	112.650	38,301.00	2,907.92	LT
	Apr 22, 13	150.000	107.325	16,098.78	112.650	16,897.50	798.72	LT
	Jul 12, 13	100.000	105.544	10,554.44	112.650	11,265.00	710.56	LT
Security total		590.000	105.163	62,046.30		66,463.50	4,417.20	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.76%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.200	21,930.00	4,860.55	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	17.200	19,780.00	4,417.15	LT
	Sep 18, 13	990.000	13.393	13,259.17	17.200	17,028.00	3,768.83	LT
	Oct 10, 13	855.000	15.481	13,236.68	17.200	14,706.00	1,469.32	LT
Security total		4,270.000	13.801	58,928.15		73,444.00	14,515.85	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.29%								
	Jan 29, 13	640.000	23.900	15,296.00	32.420	20,748.80	5,452.80	LT
	Apr 22, 13	720.000	22.581	16,258.75	32.420	23,342.40	7,083.65	LT
Security total		1,360.000	23.202	31,554.75		44,091.20	12,536.45	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$2,071 Current yield: 4.69%								
	Jan 29, 13	720.000	20.779	14,960.95	22.290	16,048.80	1,087.85	LT
	Apr 22, 13	380.000	20.919	7,949.37	22.290	8,470.20	520.83	LT
	Jul 12, 13	215.000	20.144	4,330.97	22.290	4,792.35	461.38	LT
	Aug 21, 13	285.000	22.200	6,327.10	22.290	6,352.65	25.55	LT
	Aug 22, 13	140.000	22.508	3,151.20	22.290	3,120.60	-30.60	LT
	Aug 23, 13	240.000	22.418	5,380.51	22.290	5,349.60	-30.91	LT
Security total		1,980.000	21.263	42,100.10		44,134.20	2,034.10	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,691 Current yield: 6.03%								
	Jan 29, 13	330.000	45.615	15,052.95	44.000	14,520.00	-532.95	LT
	Feb 13, 13	320.000	45.645	14,606.46	44.000	14,080.00	-526.46	LT
	Apr 22, 13	245.000	50.630	12,404.47	44.000	10,780.00	-1,624.47	LT
	Jul 12, 13	120.000	52.904	6,348.48	44.000	5,280.00	-1,068.48	LT
Security total		1,015.000	47.697	48,412.36		44,660.00	-3,752.36	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,136 Current yield: 4.44%								
	Apr 25, 13	60.000	70.891	4,253.51	93.420	5,605.20	1,351.69	LT
	Apr 26, 13	375.000	70.792	26,547.34	93.420	35,032.50	8,485.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	93.420	7,473.60	2,003.60	LT
Security total		515.000	70.429	36,270.85		48,111.30	11,840.45	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	13.450	1,385.35	427.38	LT
	Apr 22, 13	20.400	9.849	200.93	13.450	274.38	73.45	LT
	Jul 12, 13	17.600	9.829	173.00	13.450	236.72	63.72	LT
Security total		141.000	9.446	1,331.90		1,896.45	564.55	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,221 Current yield: 4.86%								
	Jan 29, 13	1,660.000	19.580	32,502.80	17.420	28,917.20	-3,585.60	LT
	Apr 22, 13	590.000	17.390	10,260.10	17.420	10,277.80	17.70	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	17.420	6,532.50	-674.81	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>45,727.50</b>	<b>-4,242.71</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,796 Current yield: 3.44%								
	Jan 29, 13	1,650.000	18.280	30,162.00	20.020	33,033.00	2,871.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	20.020	8,508.50	202.21	LT
	Jul 12, 13	535.000	19.059	10,196.73	20.020	10,710.70	513.97	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>52,252.20</b>	<b>3,587.18</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,138 Current yield: 2.65%								
	Jan 29, 13	570.000	70.160	39,991.20	76.510	43,610.70	3,619.50	LT
	Apr 22, 13	290.000	69.918	20,276.22	76.510	22,187.90	1,911.68	LT
	Jul 12, 13	195.000	67.417	13,146.41	76.510	14,919.45	1,773.04	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>80,718.05</b>	<b>7,304.22</b>	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,596 Current yield: 2.44%								
	Jul 22, 13	1,700.000	26.445	44,957.18	29.540	50,218.00	5,260.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	29.540	15,065.40	1,647.71	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>65,283.40</b>	<b>6,908.53</b>	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,327 Current yield: 2.45%								
	Jan 29, 13	590.000	67.877	40,047.61	97.400	57,466.00	17,418.39	LT
	Apr 22, 13	240.000	72.512	17,403.02	97.400	23,376.00	5,972.98	LT
	Jul 12, 13	145.000	73.450	10,650.38	97.400	14,123.00	3,472.62	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>94,965.00</b>	<b>26,863.99</b>	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,354 Current yield: 4.45%								
	Jan 21, 14	710.000	8.052	5,717.56	7.390	5,246.90	-470.66	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.390	1,625.80	-176.04	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.390	2,327.85	-281.07	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.390	1,367.15	-140.10	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	7.390	997.65	-84.93	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.390	1,958.35	-173.73	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.390	2,882.10	-234.43	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.390	1,810.55	-112.68	ST
	Jan 31, 14	260.000	7.878	2,048.46	7.390	1,921.40	-127.06	ST
	Feb 3, 14	185.000	7.794	1,441.96	7.390	1,367.15	-74.81	ST
	Feb 4, 14	235.000	7.671	1,802.69	7.390	1,736.65	-66.04	ST
	Feb 5, 14	245.000	7.727	1,893.29	7.390	1,810.55	-82.74	ST
	Feb 6, 14	165.000	7.561	1,247.70	7.390	1,219.35	-28.35	ST
	Feb 7, 14	310.000	7.528	2,333.87	7.390	2,290.90	-42.97	ST
	Feb 10, 14	250.000	7.609	1,902.25	7.390	1,847.50	-54.75	ST
Security total		4,115.000	7.913	32,560.21		30,409.85	-2,150.36	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$805 Current yield: 2.65%								
	Jan 29, 13	690.000	39.442	27,215.25	44.100	30,429.00	3,213.75	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.010	44,055.90	9,899.05	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.010	8,675.10	1,552.43	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.010	7,484.40	1,351.41	LT
Security total		3,540.000	13.393	47,412.51		60,215.40	12,802.89	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol: RHHBY Exchange: OTC EAI: \$1,281 Current yield: 2.71%								
	Jan 29, 13	1,100.000	27.545	30,299.50	33.760	37,136.00	6,836.50	LT
	Apr 22, 13	300.000	30.267	9,080.31	33.760	10,128.00	1,047.69	LT
Security total		1,400.000	28.128	39,379.81		47,264.00	7,884.19	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 5.88%								
	Jan 29, 13	185.000	74.585	13,798.31	63.990	11,838.15	-1,960.16	LT
	Apr 22, 13	215.000	65.856	14,159.06	63.990	13,757.85	-401.21	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,574 Current yield: 2.86%								
	Jan 29, 13	720.000	48.754	35,103.55	46.090	33,184.80	-1,918.75	LT
	Apr 22, 13	275.000	52.654	14,480.06	46.090	12,674.75	-1,805.31	LT
	Jul 12, 13	200.000	52.424	10,484.90	46.090	9,218.00	-1,266.90	LT
<b>Security total</b>								
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.64%								
	Jan 29, 13	250.000	110.110	27,527.50	104.590	26,147.50	-1,380.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	104.590	20,918.00	891.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	104.590	5,229.50	-133.33	LT
<b>Security total</b>								
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,243 Current yield: 4.22%								
	Jan 29, 13	1,060.000	28.260	29,955.60	30.090	31,895.40	1,939.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	30.090	13,239.60	118.45	LT
	Jul 12, 13	265.000	30.551	8,096.17	30.090	7,973.85	-122.32	LT
<b>Security total</b>								
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC								
EAI: \$967 Current yield: 3.62%								
	Jan 29, 13	1,245.000	19.750	24,588.75	16.950	21,102.75	-3,486.00	LT
	Apr 22, 13	330.000	18.685	6,166.05	16.950	5,593.50	-572.55	LT
<b>Security total</b>								
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$2,137 Current yield: 8.77%								
	Jan 29, 13	610.000	26.533	16,185.31	16.800	10,248.00	-5,937.31	LT
	Apr 22, 13	205.000	25.765	5,281.88	16.800	3,444.00	-1,837.88	LT
	Apr 22, 13	170.000	23.274	3,956.72	16.800	2,856.00	-1,100.72	LT
	Apr 22, 13	135.000	25.785	3,481.05	16.800	2,268.00	-1,213.05	LT
	Jul 12, 13	330.000	21.423	7,069.66	16.800	5,544.00	-1,525.66	LT
<b>Security total</b>								

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.76%								
	Jan 29, 13	800.000	17.800	14,240.00	22.710	18,168.00	3,928.00	LT
	Jul 12, 13	30.000	18.434	553.04	22.710	681.30	128.26	LT
Security total		830.000	17.823	14,793.04		18,849.30	4,056.26	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$2,745 Current yield: 4.98%								
	Jan 29, 13	460.000	54.547	25,092.04	51.510	23,694.60	-1,397.44	LT
	Apr 22, 13	510.000	46.879	23,908.70	51.510	26,270.10	2,361.40	LT
	Jul 12, 13	100.000	50.459	5,045.96	51.510	5,151.00	105.04	LT
Security total		1,070.000	50.511	54,046.70		55,115.70	1,069.00	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$2,088 Current yield: 2.95%								
	Jan 29, 13	930.000	40.500	37,665.00	43.370	40,334.10	2,669.10	LT
	Apr 22, 13	445.000	41.074	18,278.06	43.370	19,299.65	1,021.59	LT
	Jul 12, 13	255.000	41.271	10,524.33	43.370	11,059.35	535.02	LT
Security total		1,630.000	40.778	66,467.39		70,693.10	4,225.71	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.28%								
	Jan 29, 13	900.000	30.540	27,486.00	34.090	30,681.00	3,195.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	34.090	3,409.00	59.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	34.090	8,522.50	227.50	LT
Security total		1,250.000	31.304	39,130.24		42,612.50	3,482.26	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$1,851 Current yield: 5.07%								
	Jan 29, 13	796.294	50.164	39,945.60	35.130	27,973.81	-11,971.79	LT
	Apr 22, 13	2.727	54.627	148.97	35.130	95.80	-53.17	LT
	Jul 12, 13	239.979	54.033	12,966.80	35.130	8,430.46	-4,536.34	LT
Security total		1,039.000	51.070	53,061.37		36,500.07	-16,561.30	

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jan 30 (\$)	Value on Jan 30 (\$)	Unrealized gain or loss (\$)	Holding period
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC	Jan 29, 13	1,050,000	28.470	29,893.50	33.110	34,765.50	4,872.00	LT
	Apr 22, 13	685,000	26.251	17,982.21	33.110	22,680.35	4,698.14	LT
	Jul 12, 13	290,000	26.739	7,754.57	33.110	9,601.90	1,847.33	LT
Security total		2,025,000	27.472	55,630.28		67,047.75	11,417.47	
<b>Total</b>				<b>\$1,396,527.21</b>		<b>\$1,497,834.37</b>	<b>\$101,307.16</b>	

Total estimated annual income: \$50,704

Your total assets

		Value on Jan 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	3,410.66	0.23%	3,410.66		
Equities	Common stock	1,497,834.37	99.77%	1,396,527.21	50,704.00	101,307.16
<b>Total</b>		<b>\$1,501,245.03</b>	<b>100.00%</b>	<b>\$1,399,937.87</b>	<b>\$50,704.00</b>	<b>\$101,307.16</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>	Jan 7	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR PAID ON CUSIP: 89151E109 1070	805.77
	Jan 8	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP: 37733W105 1015	622.21
	Jan 22	Foreign Dividend	SINGAPORE TELECOM LTD NEW 2006 SPON ADR PAID ON CUSIP: 82929R304 1765	900.98
		<b>Total taxable dividends</b>		<b>\$2,328.96</b>
		<b>Total dividend and interest income</b>		<b>\$2,328.96</b>
<b>Fees</b>				
	Jan 7	Fee	TOTAL S.A. FRANCE SPON ADR	-5.35
	Jan 22	Fee	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	-35.30
	Jan 23	Fee Charged	QUARTERLY FEE	-1,811.69
		<b>Total professional management fees</b>		<b>-\$1,811.69</b>
		<b>Total other fees</b>		<b>-\$40.65</b>

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b>	Jan 7	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR	-120.87
	<b>Total other funds debited</b>			<b>-\$120.87</b>
<b>Money balance activities</b>	<b>Dec 31</b>	<b>Balance forward</b>		<b>\$3,048.03</b>
	Jan 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	6.88
	Jan 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	679.55
	Jan 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	622.21
	Jan 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	865.68
	Jan 26	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 01/23/15	-1,811.69
	<b>Jan 30</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$3,410.66</b>

The UBS Bank, USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountdisclosure](http://www.ubs.com/accountdisclosure).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

February 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

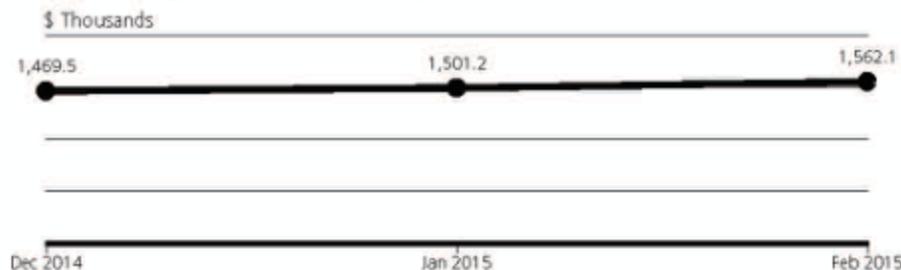
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on January 30 (\$)	on February 27 (\$)
Your assets	1,501,245.03	1,562,063.98
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,501,245.03</b>	<b>\$1,562,063.98</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$2,464.91
Your investment return:	
Dividend and interest income	\$6,178.01
Change in market value	\$88,869.88
<b>Value of your account on Feb 27, 2015</b>	<b>\$1,562,063.98</b>

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## Your account balance sheet

### Summary of your assets

	Value on February 27 (\$)	Percentage of your account
<b>A</b> Cash and money balances	53,060.99	3.40%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,509,002.99	96.60%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,562,063.98</b>	<b>100.00%</b>

**Value of your account** **\$1,562,063.98**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	February 2015	Year to date
S&P 500	5.75%	2.57%
Russell 3000	5.79%	2.85%
MSCI - Europe, Australia & Far East	5.99%	6.52%
Barclays Capital U.S. Aggregate Bond Index	-0.94%	1.14%

#### Interest rates on February 27, 2015

3-month Treasury bills: 0.03%  
One-month LIBOR: 0.17%

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## Change in the value of your account

	February 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,501,245.03</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	6.30	6.30
Withdrawals and fees, including investments transferred out	-498.00	-2,471.21
Dividend and interest income	3,849.05	6,178.01
Change in market value	57,461.60	88,869.88
<b>Closing account value</b>	<b>\$1,562,063.98</b>	<b>\$1,562,063.98</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	February 2015 (\$)	Year to date (\$)
Taxable dividends	3,848.86	6,177.82
Taxable interest	0.19	0.19
<b>Total current year</b>	<b>\$3,849.05</b>	<b>\$6,178.01</b>
<b>Total dividend &amp; interest</b>	<b>\$3,849.05</b>	<b>\$6,178.01</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	February 2015 (\$)	Year to date (\$)	
Long term	6,913.17	6,913.17	151,855.59

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	February 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$3,410.66</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	6.30	6.30
Dividend and interest income	3,849.05	6,178.01
Proceeds from investment transactions	46,292.98	46,292.98
<b>Total additions</b>	<b>\$50,148.33</b>	<b>\$52,477.29</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-1,811.69
Other fees	-25.59	-66.24
Other funds debited	-472.41	-593.28
<b>Total subtractions</b>	<b>-\$498.00</b>	<b>-\$2,471.21</b>
<b>Net cash flow</b>	<b>\$49,650.33</b>	<b>\$50,006.08</b>
<b>Closing balances</b>	<b>\$53,060.99</b>	<b>\$53,060.99</b>



## Withholdings and tax summary

	February 2015 (\$)	Year to date (\$)
Foreign taxes paid	-488.05	-608.92
Prior year(s) adjustment	21.94	21.94
<b>Total withholdings and taxes</b>	<b>-\$466.11</b>	<b>-\$586.98</b>

## UBS Bank USA Deposit Account APY

Interest period Jan 8 - Feb 5

Opening UBS Bank USA Deposit balance Jan 8	\$3,054.91
Closing UBS Bank USA Deposit balance Feb 5	\$3,960.62
Number of days in interest period	29
Average daily balance	\$3,897.48
Interest earned	\$0.19
Annual percentage yield earned	0.06%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 (\$)	Closing balance on Feb 27 (\$)	Price per share on Feb 27 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	3,410.66	53,060.99					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$847 Current yield: 2.68%								
	Jan 29, 13	825.000	21.573	17,797.89	21.390	17,646.75	-151.14	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.390	13,903.50	116.35	LT
<b>Security total</b>		1,475.000	21.414	31,585.04		31,550.25	-34.79	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,015 Current yield: 4.04%								
	Jan 29, 13	210.000	50.130	10,527.30	68.900	14,469.00	3,941.70	LT
	Apr 22, 13	85.000	51.335	4,363.53	68.900	5,856.50	1,492.97	LT
	Jul 12, 13	70.000	49.441	3,460.92	68.900	4,823.00	1,362.08	LT
<b>Security total</b>		365.000	50.279	18,351.75		25,148.50	6,796.75	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,234 Current yield: 3.77%								
	Jan 29, 13	1,000.000	22.160	22,160.00	32.740	32,740.00	10,580.00	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.43%								
	Jan 29, 13	200.000	99.560	19,912.00	147.727	29,545.40	9,633.40	LT
	Apr 22, 13	45.000	101.760	4,579.20	147.727	6,647.71	2,068.51	LT
Security total		245.000	99.964	24,491.20		36,193.11	11,701.91	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 4.72%								
	Jan 29, 13	320.000	78.520	25,126.50	52.520	16,806.40	-8,320.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	52.520	1,575.60	-340.35	LT
	Jul 12, 13	85.000	61.002	5,185.23	52.520	4,464.20	-721.03	LT
Security total		435.000	74.087	32,227.68		22,846.20	-9,381.48	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,726 Current yield: 3.97%								
	Jan 29, 13	340.000	104.097	35,393.08	116.470	39,599.80	4,206.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	116.470	17,470.50	1,371.72	LT
	Jul 12, 13	100.000	105.544	10,554.44	116.470	11,647.00	1,092.56	LT
Security total		590.000	105.163	62,046.30		68,717.30	6,671.00	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.48%								
	Sep 16, 13	1,275.000	13.387	17,069.45	18.590	23,702.25	6,632.80	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	18.590	21,378.50	6,015.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	18.590	18,404.10	5,144.93	LT
	Oct 10, 13	855.000	15.481	13,236.68	18.590	15,894.45	2,657.77	LT
Security total		4,270.000	13.801	58,928.15		79,379.30	20,451.15	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.13%								
	Jan 29, 13	640.000	23.900	15,296.00	34.080	21,811.20	6,515.20	LT
	Apr 22, 13	720.000	22.581	16,258.75	34.080	24,537.60	8,278.85	LT
Security total		1,360.000	23.202	31,554.75		46,348.80	14,794.05	
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC								
EAI: \$2,071 Current yield: 4.74%								
	Jan 29, 13	720.000	20.779	14,960.95	22.080	15,897.60	936.65	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	380.000	20.919	7,949.37	22.080	8,390.40	441.03	LT
	Jul 12, 13	215.000	20.144	4,330.97	22.080	4,747.20	-416.23	LT
	Aug 21, 13	285.000	22.200	6,327.10	22.080	6,292.80	-34.30	LT
	Aug 22, 13	140.000	22.508	3,151.20	22.080	3,091.20	-60.00	LT
	Aug 23, 13	240.000	22.418	5,380.51	22.080	5,299.20	-81.31	LT
Security total		1,980.000	21.263	42,100.10		43,718.40	1,618.30	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE								
EAI: \$2,635 Current yield: 5.47%								
	Jan 29, 13	330.000	45.615	15,052.95	47.420	15,648.60	595.65	LT
	Feb 13, 13	320.000	45.645	14,606.46	47.420	15,174.40	567.94	LT
	Apr 22, 13	245.000	50.630	12,404.47	47.420	11,617.90	-786.57	LT
	Jul 12, 13	120.000	52.904	6,348.48	47.420	5,690.40	-658.08	LT
Security total		1,015.000	47.697	48,412.36		48,131.30	-281.06	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,073 Current yield: 4.10%								
	Apr 25, 13	60.000	70.891	4,253.51	98.250	5,895.00	1,641.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	98.250	36,843.75	10,296.41	LT
	Jul 12, 13	80.000	68.375	5,470.00	98.250	7,860.00	2,390.00	LT
Security total		515.000	70.429	36,270.85		50,598.75	14,327.90	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	13.455	1,385.86	427.89	LT
	Apr 22, 13	20.400	9.849	200.93	13.455	274.48	73.55	LT
	Jul 12, 13	17.600	9.829	173.00	13.455	236.81	63.81	LT
Security total		141.000	9.446	1,331.90		1,897.15	565.25	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,221 Current yield: 4.77%								
	Jan 29, 13	1,660.000	19.580	32,502.80	17.740	29,448.40	-3,054.40	LT
	Apr 22, 13	590.000	17.390	10,260.10	17.740	10,466.60	-206.50	LT
	Jul 12, 13	375.000	19.219	7,207.31	17.740	6,652.50	-554.81	LT
Security total		2,625.000	19.036	49,970.21		46,567.50	-3,402.71	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC EAI: \$1,796 Current yield: 3.33%								
	Jan 29, 13	1,650.000	18.280	30,162.00	20.650	34,072.50	3,910.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	20.650	8,776.25	469.96	LT
	Jul 12, 13	535.000	19.059	10,196.73	20.650	11,047.75	851.02	LT
Security total		2,610.000	18.646	48,665.02		53,896.50	5,231.48	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,052 Current yield: 2.49%								
	Jan 29, 13	570.000	70.160	39,991.20	78.145	44,542.65	4,551.45	LT
	Apr 22, 13	290.000	69.918	20,276.22	78.145	22,662.05	2,385.83	LT
	Jul 12, 13	195.000	67.417	13,146.41	78.145	15,238.27	2,091.86	LT
Security total		1,055.000	69.587	73,413.83		82,442.97	9,029.14	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE EAI: \$1,596 Current yield: 2.32%								
	Jul 22, 13	1,700.000	26.445	44,957.18	31.130	52,921.00	7,963.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	31.130	15,876.30	2,458.61	LT
Security total		2,210.000	26.414	58,374.87		68,797.30	10,422.43	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE EAI: \$2,327 Current yield: 2.33%								
	Jan 29, 13	590.000	67.877	40,047.61	102.400	60,416.00	20,368.39	LT
	Apr 22, 13	240.000	72.512	17,403.02	102.400	24,576.00	7,172.98	LT
	Jul 12, 13	145.000	73.450	10,650.38	102.400	14,848.00	4,197.62	LT
Security total		975.000	69.847	68,101.01		99,840.00	31,738.99	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC EAI: \$1,045 Current yield: 3.28%								
	Jan 21, 14	710.000	8.052	5,717.56	7.740	5,495.40	-222.16	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.740	1,702.80	-99.04	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.740	2,438.10	-170.82	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.740	1,431.90	-75.35	LT
	Jan 27, 14	135.000	8.019	1,082.58	7.740	1,044.90	-37.68	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.740	2,051.10	-80.98	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 29, 14	390.000	7.991	3,116.53	7.740	3,018.60	-97.93	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.740	1,896.30	-26.93	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.740	2,012.40	-36.06	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.740	1,431.90	-10.06	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.740	1,818.90	16.21	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.740	1,896.30	3.01	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.740	1,277.10	29.40	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.740	2,399.40	65.53	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.740	1,935.00	32.75	LT
Security total		4,115.000	7.913	32,560.21		31,850.10	-710.11	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.59%								
	Jan 29, 13	690.000	39.442	27,215.25	45.100	31,119.00	3,903.75	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,451 Current yield: 2.28%								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.980	46,568.20	12,411.35	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.980	9,169.80	2,047.13	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.980	7,911.20	1,778.21	LT
Security total		3,540.000	13.393	47,412.51		63,649.20	16,236.69	
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 5.52%								
	Jan 29, 13	185.000	74.585	13,798.31	68.150	12,607.75	-1,190.56	LT
	Apr 22, 13	215.000	65.856	14,159.06	68.150	14,652.25	493.19	LT
Security total		400.000	69.893	27,957.37		27,260.00	-697.37	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,306 Current yield: 2.24%								
	Jan 29, 13	720.000	48.754	35,103.55	48.860	35,179.20	75.65	LT
	Apr 22, 13	275.000	52.654	14,480.06	48.860	13,436.50	-1,043.56	LT
	Jul 12, 13	200.000	52.424	10,484.90	48.860	9,772.00	-712.90	LT
Security total		1,195.000	50.267	60,068.51		58,387.70	-1,680.81	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC EAI: \$1,380 Current yield: 2.47%								
	Jan 29, 13	250.000	110.110	27,527.50	111.620	27,905.00	377.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	111.620	22,324.00	2,297.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	111.620	5,581.00	218.17	LT
<b>Security total</b>		500.000	105.834	52,917.17		55,810.00	2,892.83	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC EAI: \$2,243 Current yield: 4.08%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.115	32,981.90	3,026.30	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.115	13,690.60	569.45	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.115	8,245.47	149.30	LT
<b>Security total</b>		1,765.000	28.993	51,172.92		54,917.97	3,745.05	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC EAI: \$967 Current yield: 3.43%								
	Jan 29, 13	1,245.000	19.750	24,588.75	17.920	22,310.40	-2,278.35	LT
	Apr 22, 13	330.000	18.685	6,166.05	17.920	5,913.60	-252.45	LT
<b>Security total</b>		1,575.000	19.527	30,754.80		28,224.00	-2,530.80	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE EAI: \$2,137 Current yield: 7.84%								
	Jan 29, 13	610.000	26.533	16,185.31	18.810	11,474.10	-4,711.21	LT
	Apr 22, 13	205.000	25.765	5,281.88	18.810	3,856.05	-1,425.83	LT
	Apr 22, 13	170.000	23.274	3,956.72	18.810	3,197.70	-759.02	LT
	Apr 22, 13	135.000	25.785	3,481.05	18.810	2,539.35	-941.70	LT
	Jul 12, 13	330.000	21.423	7,069.66	18.810	6,207.30	-862.36	LT
<b>Security total</b>		1,450.000	24.810	35,974.62		27,274.50	-8,700.12	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE EAI: \$332 Current yield: 1.63%								
	Jan 29, 13	800.000	17.800	14,240.00	24.530	19,624.00	5,384.00	LT
	Jul 12, 13	30.000	18.434	553.04	24.530	735.90	182.86	LT
<b>Security total</b>		830.000	17.823	14,793.04		20,359.90	5,566.86	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Feb 27 (\$)	Value on Feb 27 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE								
EAI: \$2,745 Current yield: 4.78%								
	Jan 29, 13	460.000	54.547	25,092.04	53.630	24,669.80	-422.24	LT
	Apr 22, 13	510.000	46.879	23,908.70	53.630	27,351.30	3,442.60	LT
	Jul 12, 13	100.000	50.459	5,045.96	53.630	5,363.00	317.04	LT
<b>Security total</b>		<b>1,070.000</b>	<b>50.511</b>	<b>54,046.70</b>		<b>57,384.10</b>	<b>3,337.40</b>	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE								
EAI: \$2,039 Current yield: 2.88%								
	Jan 29, 13	930.000	40.500	37,665.00	43.470	40,427.10	2,762.10	LT
	Apr 22, 13	445.000	41.074	18,278.06	43.470	19,344.15	1,066.09	LT
	Jul 12, 13	255.000	41.271	10,524.33	43.470	11,084.85	560.52	LT
<b>Security total</b>		<b>1,630.000</b>	<b>40.778</b>	<b>66,467.39</b>		<b>70,856.10</b>	<b>4,388.71</b>	
<b>UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR</b>								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.29%								
	Jan 29, 13	900.000	30.540	27,486.00	33.960	30,564.00	3,078.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	33.960	3,396.00	46.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	33.960	8,490.00	195.00	LT
<b>Security total</b>		<b>1,250.000</b>	<b>31.304</b>	<b>39,130.24</b>		<b>42,450.00</b>	<b>3,319.76</b>	
<b>VODAFONE GROUP PLC SPON ADR</b>								
Symbol: VOD Exchange: OTC								
EAI: \$1,851 Current yield: 5.15%								
	Jan 29, 13	796.294	50.164	39,945.60	34.560	27,519.92	-12,425.68	LT
	Apr 22, 13	2.727	54.627	148.97	34.560	94.25	-54.72	LT
	Jul 12, 13	239.979	54.033	12,966.80	34.560	8,293.67	-4,673.13	LT
<b>Security total</b>		<b>1,039.000</b>	<b>51.070</b>	<b>53,061.37</b>		<b>35,907.84</b>	<b>-17,153.53</b>	
<b>ZURICH INS GROUP LTD SPON ADR</b>								
Symbol: ZURVY Exchange: OTC								
	Jan 29, 13	1,050.000	28.470	29,893.50	31.970	33,568.50	3,675.00	LT
	Apr 22, 13	685.000	26.251	17,982.21	31.970	21,899.45	3,917.24	LT
	Jul 12, 13	290.000	26.739	7,754.57	31.970	9,271.30	1,516.73	LT
<b>Security total</b>		<b>2,025.000</b>	<b>27.472</b>	<b>55,630.28</b>		<b>64,739.25</b>	<b>9,108.97</b>	
<b>Total</b>				<b>\$1,357,147.40</b>		<b>\$1,509,002.99</b>	<b>\$151,855.59</b>	
<b>Total estimated annual income: \$49,606</b>								

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Your assets (continued)

Your total assets

		Value on Feb 27 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	53,060.99	3.40%	53,060.99		
Equities	Common stock	1,509,002.99	96.60%	1,357,147.40	49,606.00	151,855.59
<b>Total</b>		<b>\$1,562,063.98</b>	<b>100.00%</b>	<b>\$1,410,208.39</b>	<b>\$49,606.00</b>	<b>\$151,855.59</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Feb 20	Foreign Tax Reclaim	BNP PARIBAS SA ADR	6.30
	<b>Total deposits and other funds credited</b>			<b>\$6.30</b>
<b>Dividend and interest income</b>	Date	Activity	Description	Amount (\$)
<i>Taxable dividends</i>	Feb 4	Foreign Dividend	VODAFONE GROUP PLC SPON ADR PAID ON 1039 CUSIP: 92857W308	565.36
	Feb 5	Foreign Dividend	SIEMENS A G SPON ADR AS OF 02/04/15 CUSIP: 826197501	1,874.20
	Feb 24	Foreign Dividend	IMPERIAL TOBACCO GROUP PLC SPON ADR PAID ON 515 CUSIP: 453142101	1,409.30
	<b>Total taxable dividends</b>			<b>\$3,848.86</b>
<i>Taxable interest</i>	Feb 6	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 02/05/15	0.19
	<b>Total taxable interest</b>			<b>\$0.19</b>
	<b>Total dividend and interest income</b>			<b>\$3,849.05</b>
<b>Fees</b>	Date	Activity	Description	Amount (\$)
	Feb 4	Fee	VODAFONE GROUP PLC SPON ADR	-15.59
	Feb 13	Fee	SIEMENS A G SPON ADR	-10.00
	<b>Total fees</b>			<b>-\$25.59</b>
<b>Other funds debited</b>	Date	Activity	Description	Amount (\$)
	Feb 5	Foreign Tax Withheld	SIEMENS A G SPON ADR AS OF 02/04/15	-494.35

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b> (continued)	Feb 17	Foreign Tax Withheld	SANOFI SPON ADR AS OF 06/05/14	21.94
<b>Total other funds debited</b>				<b>-\$472.41</b>

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Feb 12	Sold	ROCHE HLDG LTD SPONS ADR SWITZ ADR CUSIP: 771195104	-1,400,000		33.067142	46,292.98		
<b>Total</b>						<b>\$46,292.98</b>		

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Jan 30</b>	<b>Balance forward</b>		<b>\$3,410.66</b>
	Feb 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT	549.77
	Feb 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 02/05/15	0.19
	Feb 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,379.85
	Feb 13	Deposit	UBS BANK USA DEPOSIT ACCOUNT	46,292.98
	Feb 17	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 02/13/15	-10.00
	Feb 18	Deposit	UBS BANK USA DEPOSIT ACCOUNT	21.94
	Feb 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	6.30
	Feb 25	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,409.30
	<b>Feb 27</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$53,060.99</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ROCHE HLDG LTD SPONS ADR									
SWITZ ADR	FIFO	1,100.000	Jan 29, 13	Feb 09, 15	36,373.06	30,299.50			6,073.56
	FIFO	300.000	Apr 22, 13	Feb 09, 15	9,919.92	9,080.31			839.61
<b>Total</b>					<b>\$46,292.98</b>	<b>\$39,379.81</b>			<b>\$6,913.17</b>
<b>Net long-term capital gains or losses</b>									<b>\$6,913.17</b>
<b>Net capital gains/losses:</b>									<b>\$6,913.17</b>

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountsforbna](http://www.ubs.com/accountsforbna).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacyonly](http://www.ubs.com/privacyonly).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

March 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

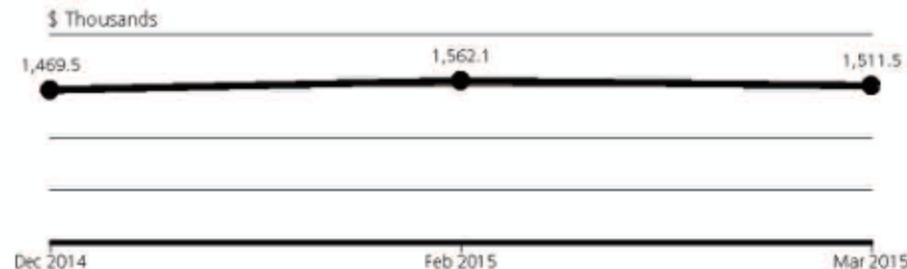
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on February 27 (\$)	on March 31 (\$)
Your assets	1,562,063.98	1,511,538.09
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,562,063.98</b>	<b>\$1,511,538.09</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$2,611.37
Your investment return:	
Dividend and interest income	\$8,667.85
Change in market value	\$36,000.61
<b>Value of your account on Mar 31, 2015</b>	<b>\$1,511,538.09</b>

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## Your account balance sheet

### Summary of your assets

	Value on March 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	55,404.37	3.67%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,456,133.72	96.33%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,511,538.09</b>	<b>100.00%</b>

**Value of your account** **\$1,511,538.09**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	March 2015	Year to date
S&P 500	-1.58%	0.95%
Russell 3000	-1.02%	1.80%
MSCI - Europe, Australia & Far East	-1.43%	5.00%
Barclays Capital U.S. Aggregate Bond Index	0.46%	1.61%

#### Interest rates on March 31, 2015

3-month Treasury bills: 0.03%  
One-month LIBOR: 0.18%

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## Change in the value of your account

	March 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,562,063.98</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	6.30
Withdrawals and fees, including investments transferred out	-146.46	-2,617.67
Dividend and interest income	2,489.84	8,667.85
Change in market value	-52,869.27	36,000.61
<b>Closing account value</b>	<b>\$1,511,538.09</b>	<b>\$1,511,538.09</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	March 2015 (\$)	Year to date (\$)
Taxable dividends	2,488.78	8,666.60
Taxable interest	1.06	1.25
<b>Total current year</b>	<b>\$2,489.84</b>	<b>\$8,667.85</b>
<b>Total dividend &amp; interest</b>	<b>\$2,489.84</b>	<b>\$8,667.85</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	March 2015 (\$)	Year to date (\$)	
Long term	0.00	6,913.17	98,986.35

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *important information about your statement* on the last two pages of this document for details.

	March 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$53,060.99</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	6.30
Dividend and interest income	2,489.84	8,667.85
Proceeds from investment transactions	0.00	46,292.98
<b>Total additions</b>	<b>\$2,489.84</b>	<b>\$54,967.13</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-1,811.69
Other fees	-14.55	-80.79
Other funds debited	-131.91	-725.19
<b>Total subtractions</b>	<b>-\$146.46</b>	<b>-\$2,617.67</b>
<b>Net cash flow</b>	<b>\$2,343.38</b>	<b>\$52,349.46</b>
<b>Closing balances</b>	<b>\$55,404.37</b>	<b>\$55,404.37</b>



## Withholdings and tax summary

	March 2015 (\$)	Year to date (\$)
Foreign taxes paid	-131.91	-740.83
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$131.91</b>	<b>-\$718.89</b>

## UBS Bank USA Deposit Account APY

Interest period Feb 6 - Mar 5

Opening UBS Bank USA Deposit balance Feb 6	\$3,960.62
Closing UBS Bank USA Deposit balance Mar 5	\$53,062.05
Number of days in interest period	28
Average daily balance	\$40,520.71
Interest earned	\$1.06
Annual percentage yield earned	0.03%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 31 (\$)	Price per share on Mar 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	539.40					
UBS BANK USA DEP ACCT	53,060.99	54,864.97					250,000.00
<b>Total</b>	<b>\$53,060.99</b>	<b>\$55,404.37</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$847 Current yield: 2.71%								
	Jan 29, 13	825.000	21.573	17,797.89	21.170	17,465.25	-332.64	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.170	13,760.50	-26.65	LT
Security total		1,475.000	21.414	31,585.04		31,225.75	-359.29	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,015 Current yield: 4.06%								
	Jan 29, 13	210.000	50.130	10,527.30	68.430	14,370.30	3,843.00	LT
	Apr 22, 13	85.000	51.335	4,363.53	68.430	5,816.55	1,453.02	LT
	Jul 12, 13	70.000	49.441	3,460.92	68.430	4,790.10	1,329.18	LT
Security total		365.000	50.279	18,351.75		24,976.95	6,625.20	

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Page 5 of 14

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,234 Current yield: 3.99%								
	Jan 29, 13	1,000.000	22.160	22,160.00	30.955	30,955.00	8,795.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.40%								
	Jan 29, 13	200.000	99.560	19,912.00	150.265	30,053.00	10,141.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	150.265	6,761.92	2,182.72	LT
Security total		245.000	99.964	24,491.20		36,814.92	12,323.72	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 5.34%								
	Jan 29, 13	320.000	78.520	25,126.50	46.470	14,870.40	-10,256.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	46.470	1,394.10	-521.85	LT
	Jul 12, 13	85.000	61.002	5,185.23	46.470	3,949.95	-1,235.28	LT
Security total		435.000	74.087	32,227.68		20,214.45	-12,013.23	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,726 Current yield: 4.45%								
	Jan 29, 13	340.000	104.097	35,393.08	103.770	35,281.80	-111.28	LT
	Apr 22, 13	150.000	107.325	16,098.78	103.770	15,565.50	-533.28	LT
	Jul 12, 13	100.000	105.544	10,554.44	103.770	10,377.00	-177.44	LT
Security total		590.000	105.163	62,046.30		61,224.30	-822.00	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.55%								
	Sep 16, 13	1,275.000	13.387	17,069.45	18.235	23,249.63	6,180.18	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	18.235	20,970.25	5,607.40	LT
	Sep 18, 13	990.000	13.393	13,259.17	18.235	18,052.65	4,793.48	LT
	Oct 10, 13	855.000	15.481	13,236.68	18.235	15,590.93	2,354.25	LT
Security total		4,270.000	13.801	58,928.15		77,863.45	18,935.31	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.41%								
	Jan 29, 13	640.000	23.900	15,296.00	31.320	20,044.80	4,748.80	LT
	Apr 22, 13	720.000	22.581	16,258.75	31.320	22,550.40	6,291.65	LT
Security total		1,360.000	23.202	31,554.75		42,595.20	11,040.45	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$1,709 Current yield: 4.36%								
	Jan 29, 13	720.000	20.779	14,960.95	19.815	14,266.80	-694.15	LT
	Apr 22, 13	380.000	20.919	7,949.37	19.815	7,529.70	-419.67	LT
	Jul 12, 13	215.000	20.144	4,330.97	19.815	4,260.23	-70.74	LT
	Aug 21, 13	285.000	22.200	6,327.10	19.815	5,647.28	-679.82	LT
	Aug 22, 13	140.000	22.508	3,151.20	19.815	2,774.10	-377.10	LT
	Aug 23, 13	240.000	22.418	5,380.51	19.815	4,755.60	-624.91	LT
Security total		1,980.000	21.263	42,100.10		39,233.70	-2,866.39	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,635 Current yield: 5.63%								
	Jan 29, 13	330.000	45.615	15,052.95	46.150	15,229.50	176.55	LT
	Feb 13, 13	320.000	45.645	14,606.46	46.150	14,768.00	161.54	LT
	Apr 22, 13	245.000	50.630	12,404.47	46.150	11,306.75	-1,097.72	LT
	Jul 12, 13	120.000	52.904	6,348.48	46.150	5,538.00	-810.48	LT
Security total		1,015.000	47.697	48,412.36		46,842.25	-1,570.11	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,073 Current yield: 4.58%								
	Apr 25, 13	60.000	70.891	4,253.51	87.960	5,277.60	1,024.09	LT
	Apr 26, 13	375.000	70.792	26,547.34	87.960	32,985.00	6,437.66	LT
	Jul 12, 13	80.000	68.375	5,470.00	87.960	7,036.80	1,566.80	LT
Security total		515.000	70.429	36,270.85		45,299.40	9,028.55	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	14.070	1,449.21	491.24	LT
	Apr 22, 13	20.400	9.849	200.93	14.070	287.03	86.10	LT
	Jul 12, 13	17.600	9.829	173.00	14.070	247.63	74.63	LT
Security total		141.000	9.446	1,331.90		1,983.87	651.97	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,250 Current yield: 5.03%								
	Jan 29, 13	1,660.000	19.580	32,502.80	17.055	28,311.30	-4,191.50	LT
	Apr 22, 13	590.000	17.390	10,260.10	17.055	10,062.45	-197.65	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	17.055	6,395.62	-811.69	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>44,769.37</b>	<b>-5,200.84</b>	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC								
EAI: \$3,291 Current yield: 5.85%								
	Jan 29, 13	1,650.000	18.280	30,162.00	21.555	35,565.75	5,403.75	LT
	Apr 22, 13	425.000	19.544	8,306.29	21.555	9,160.88	854.59	LT
	Jul 12, 13	535.000	19.059	10,196.73	21.555	11,531.93	1,335.20	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>56,258.55</b>	<b>7,593.54</b>	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,052 Current yield: 2.59%								
	Jan 29, 13	570.000	70.160	39,991.20	75.220	42,875.40	2,884.20	LT
	Apr 22, 13	290.000	69.918	20,276.22	75.220	21,813.80	1,537.58	LT
	Jul 12, 13	195.000	67.417	13,146.41	75.220	14,667.90	1,521.49	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>79,357.10</b>	<b>5,943.27</b>	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE								
EAI: \$725 Current yield: 1.06%								
	Jul 22, 13	1,700.000	26.445	44,957.18	30.820	52,394.00	7,436.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	30.820	15,718.20	2,300.51	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>68,112.20</b>	<b>9,737.33</b>	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$2,327 Current yield: 2.42%								
	Jan 29, 13	590.000	67.877	40,047.61	98.610	58,179.90	18,132.29	LT
	Apr 22, 13	240.000	72.512	17,403.02	98.610	23,666.40	6,263.38	LT
	Jul 12, 13	145.000	73.450	10,650.38	98.610	14,298.45	3,648.07	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>96,144.75</b>	<b>28,043.74</b>	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,045 Current yield: 3.37%								
	Jan 21, 14	710.000	8.052	5,717.56	7.530	5,346.30	-371.26	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.530	1,656.60	-145.24	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.530	2,371.95	-236.97	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.530	1,393.05	-114.20	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	7.530	1,016.55	-66.03	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.530	1,995.45	-136.63	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.530	2,936.70	-179.83	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.530	1,844.85	-78.38	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.530	1,957.80	-90.66	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.530	1,393.05	-48.91	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.530	1,769.55	-33.14	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.530	1,844.85	-48.44	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.530	1,242.45	-5.25	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.530	2,334.30	0.43	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.530	1,882.50	-19.75	LT
Security total		4,115.000	7.913	32,560.21		30,985.95	-1,574.26	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$805 Current yield: 2.68%								
	Jan 29, 13	690.000	39.442	27,215.25	43.540	30,042.60	2,827.35	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,451 Current yield: 2.40%								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.110	44,314.90	10,158.05	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.110	8,726.10	1,603.43	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.110	7,528.40	1,395.41	LT
Security total		3,540.000	13.393	47,412.51		60,569.40	13,156.89	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 6.00%								
	Jan 29, 13	185.000	74.585	13,798.31	62.700	11,599.50	-2,198.81	LT
	Apr 22, 13	215.000	65.856	14,159.06	62.700	13,480.50	-678.56	LT
Security total		400.000	69.893	27,957.37		25,080.00	-2,877.37	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,306 Current yield: 2.21%								
	Jan 29, 13	720.000	48.754	35,103.55	49.440	35,596.80	493.25	LT
	Apr 22, 13	275.000	52.654	14,480.06	49.440	13,596.00	-884.06	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	200.000	52.424	10,484.90	49.440	9,888.00	-596.90	LT
Security total		1,195.000	50.267	60,068.51		59,080.80	-987.71	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.55%								
	Jan 29, 13	250.000	110.110	27,527.50	108.200	27,050.00	-477.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	108.200	21,640.00	1,613.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	108.200	5,410.00	47.17	LT
Security total		500.000	105.834	52,917.17		54,100.00	1,182.83	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,243 Current yield: 3.99%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.865	33,776.90	3,821.30	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.865	14,020.60	899.45	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.865	8,444.22	348.05	LT
Security total		1,765.000	28.993	51,172.92		56,241.72	5,068.80	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC								
EAI: \$962 Current yield: 3.70%								
	Jan 29, 13	1,245.000	19.750	24,588.75	16.500	20,542.50	-4,046.25	LT
	Apr 22, 13	330.000	18.685	6,166.05	16.500	5,445.00	-721.05	LT
Security total		1,575.000	19.527	30,754.80		25,987.50	-4,767.30	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$2,130 Current yield: 8.35%								
	Jan 29, 13	610.000	26.533	16,185.31	17.590	10,729.90	-5,455.41	LT
	Apr 22, 13	205.000	25.765	5,281.88	17.590	3,605.95	-1,675.93	LT
	Apr 22, 13	170.000	23.274	3,956.72	17.590	2,990.30	-966.42	LT
	Apr 22, 13	135.000	25.785	3,481.05	17.590	2,374.65	-1,106.40	LT
	Jul 12, 13	330.000	21.423	7,069.66	17.590	5,804.70	-1,264.96	LT
Security total		1,450.000	24.810	35,974.62		25,505.50	-10,469.12	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.70%								
	Jan 29, 13	800.000	17.800	14,240.00	23.480	18,784.00	4,544.00	LT
	Jul 12, 13	30.000	18.434	553.04	23.480	704.40	151.36	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		830.000	17.823	14,793.04		19,488.40	4,695.36	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$2,745 Current yield: 5.17%								
	Jan 29, 13	460.000	54.547	25,092.04	49.660	22,843.60	-2,248.44	LT
	Apr 22, 13	510.000	46.879	23,908.70	49.660	25,326.60	1,417.90	LT
	Jul 12, 13	100.000	50.459	5,045.96	49.660	4,966.00	-79.96	LT
Security total		1,070.000	50.511	54,046.70		53,136.20	-910.50	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$2,039 Current yield: 3.00%								
	Jan 29, 13	930.000	40.500	37,665.00	41.760	38,836.80	1,171.80	LT
	Apr 22, 13	445.000	41.074	18,278.06	41.760	18,583.20	305.14	LT
	Jul 12, 13	255.000	41.271	10,524.33	41.760	10,648.80	124.47	LT
Security total		1,630.000	40.778	66,467.39		68,068.80	1,601.41	
UNTD OVERSEAS BK LTD SPONS ADR								
SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,398 Current yield: 3.35%								
	Jan 29, 13	900.000	30.540	27,486.00	33.350	30,015.00	2,529.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	33.350	3,335.00	-14.24	LT
	Jul 12, 13	250.000	33.180	8,295.00	33.350	8,337.50	42.50	LT
Security total		1,250.000	31.304	39,130.24		41,687.50	2,557.26	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$1,851 Current yield: 5.45%								
	Jan 29, 13	796.294	50.164	39,945.60	32.680	26,022.89	-13,922.71	LT
	Apr 22, 13	2.727	54.627	148.97	32.680	89.12	-59.85	LT
	Jul 12, 13	239.979	54.033	12,966.80	32.680	7,842.51	-5,124.29	LT
Security total		1,039.000	51.070	53,061.37		33,954.52	-19,106.85	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$3,574 Current yield: 5.23%								
	Jan 29, 13	1,050.000	28.470	29,893.50	33.745	35,432.25	5,538.75	LT
	Apr 22, 13	685.000	26.251	17,982.21	33.745	23,115.32	5,133.11	LT
	Jul 12, 13	290.000	26.739	7,754.57	33.745	9,786.05	2,031.48	LT
Security total		2,025.000	27.472	55,630.28		68,333.62	12,703.34	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 31 (\$)	Value on Mar 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Total</b>				<b>\$1,357,147.40</b>		<b>\$1,456,133.72</b>	<b>\$98,986.35</b>	
<b>Total estimated annual income: \$53,459</b>								

Your total assets

		Value on Mar 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>55,404.37</b>	<b>3.67%</b>	<b>55,404.37</b>		
<b>Equities</b>	<b>Common stock</b>	<b>1,456,133.72</b>	<b>96.33%</b>	<b>1,357,147.40</b>	<b>53,459.00</b>	<b>98,986.35</b>
<b>Total</b>		<b>\$1,511,538.09</b>	<b>100.00%</b>	<b>\$1,412,551.77</b>	<b>\$53,459.00</b>	<b>\$98,986.35</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	Mar 6	Foreign Dividend	STATOIL ASA SPON ADR PAID ON 1450 CUSIP: 85771P102	341.49
	Mar 11	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 1630 CUSIP: 904784709	538.39
	Mar 20	Foreign Dividend	ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR PAID ON 400 CUSIP: 780259107	376.00
	Mar 23	Foreign Dividend	ASTRAZENECA PLC SPON ADR PAID ON 365 CUSIP: 046353108	693.50
	Mar 31	Foreign Dividend	BHP BILLITON LTD SPON ADR PAID ON 435 CUSIP: 088606108	539.40
		<b>Total taxable dividends</b>		<b>\$2,488.78</b>
<i>Taxable interest</i>				
	Mar 6	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/05/15	1.06
		<b>Total taxable interest</b>		<b>\$1.06</b>
		<b>Total dividend and interest income</b>		<b>\$2,489.84</b>
<b>Fees</b>				
	Mar 6	Fee	STATOIL ASA SPON ADR	-7.25
	Mar 23	Fee	ASTRAZENECA PLC SPON ADR	-7.30
		<b>Total fees</b>		<b>-\$14.55</b>

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Other funds debited</b>	Mar 6	Foreign Tax Withheld	STATOIL ASA SPON ADR	-51.22
	Mar 11	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-80.69
	<b>Total other funds debited</b>			<b>-\$131.91</b>
<b>Money balance activities</b>	<b>Feb 27</b>	<b>Balance forward</b>		<b>\$53,060.99</b>
	Mar 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 03/05/15	1.06
	Mar 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	283.02
	Mar 12	Deposit	UBS BANK USA DEPOSIT ACCOUNT	457.70
	Mar 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	376.00
	Mar 24	Deposit	UBS BANK USA DEPOSIT ACCOUNT	686.20
	<b>Mar 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$54,864.97</b>

The UBS Bank, USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RNABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

April 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

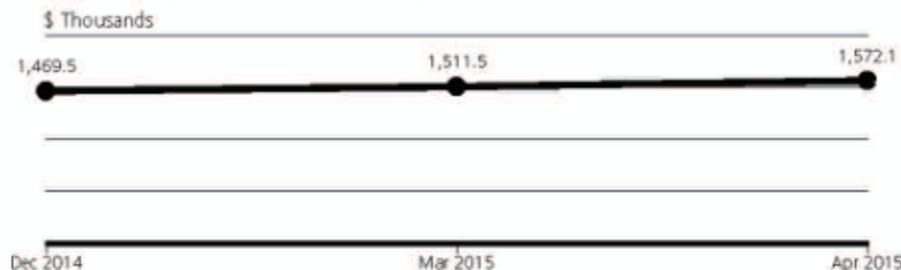
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on March 31 (\$)	on April 30 (\$)
Your assets	1,511,538.09	1,572,079.07
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,511,538.09</b>	<b>\$1,572,079.07</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$5,361.13
Your investment return:	
Dividend and interest income	\$17,936.54
Change in market value	\$90,022.66
<b>Value of your account on Apr 30, 2015</b>	<b>\$1,572,079.07</b>

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## Your account balance sheet

### Summary of your assets

	Value on April 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	61,923.30	3.94%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,510,155.77	96.06%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,572,079.07</b>	<b>100.00%</b>

**Value of your account** **\$1,572,079.07**

### Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

### Eye on the markets

Index	Percentage change	
	April 2015	Year to date
S&P 500	0.96%	1.92%
Russell 3000	0.45%	2.26%
MSCI - Europe, Australia & Far East	4.16%	9.37%
Barclays Capital U.S. Aggregate Bond Index	-0.36%	1.24%

#### Interest rates on April 30, 2015

3-month Treasury bills: 0.01%  
One-month LIBOR: 0.18%

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## Change in the value of your account

	April 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,511,538.09</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	6.30
Withdrawals and fees, including investments transferred out	-2,749.76	-5,367.43
Dividend and interest income	9,268.69	17,936.54
Change in market value	54,022.05	90,022.66
<b>Closing account value</b>	<b>\$1,572,079.07</b>	<b>\$1,572,079.07</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2015 (\$)	Year to date (\$)
Taxable dividends	9,267.38	17,933.98
Taxable interest	1.31	2.56
<b>Total current year</b>	<b>\$9,268.69</b>	<b>\$17,936.54</b>
<b>Total dividend &amp; interest</b>	<b>\$9,268.69</b>	<b>\$17,936.54</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	April 2015 (\$)	Year to date (\$)	
Long term	0.00	6,913.17	153,008.37

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *important information about your statement* on the last two pages of this document for details.

	April 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$55,404.37</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	6.30
Dividend and interest income	9,268.69	17,936.54
Proceeds from investment transactions	0.00	46,292.98
<b>Total additions</b>	<b>\$9,268.69</b>	<b>\$64,235.82</b>
<i>Subtractions</i>		
Professional management fees and related services	-1,884.25	-3,695.94
Other fees	-109.19	-189.98
Other funds debited	-756.32	-1,481.51
<b>Total subtractions</b>	<b>-\$2,749.76</b>	<b>-\$5,367.43</b>
<b>Net cash flow</b>	<b>\$6,518.93</b>	<b>\$58,868.39</b>
<b>Closing balances</b>	<b>\$61,923.30</b>	<b>\$61,923.30</b>



## Withholdings and tax summary

	April 2015 (\$)	Year to date (\$)
Foreign taxes paid	-756.32	-1,497.15
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$756.32</b>	<b>-\$1,475.21</b>

## UBS Bank USA Deposit Account APY

Interest period Mar 6 - Apr 7

Opening UBS Bank USA Deposit balance Mar 6	\$53,062.05
Closing UBS Bank USA Deposit balance Apr 7	\$55,405.68
Number of days in interest period	33
Average daily balance	\$54,302.45
Interest earned	\$1.31
Annual percentage yield earned	0.03%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	539.40	0.00					
UBS BANK USA DEP ACCT	54,864.97	61,923.30					250,000.00
<b>Total</b>	<b>\$55,404.37</b>	<b>\$61,923.30</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$847 Current yield: 2.64%								
	Jan 29, 13	825.000	21.573	17,797.89	21.770	17,960.25	162.36	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.770	14,150.50	363.35	LT
Security total		1,475.000	21.414	31,585.04		32,110.75	525.71	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,015 Current yield: 4.06%								
	Jan 29, 13	210.000	50.130	10,527.30	68.480	14,380.80	3,853.50	LT
	Apr 22, 13	85.000	51.335	4,363.53	68.480	5,820.80	1,457.27	LT
	Jul 12, 13	70.000	49.441	3,460.92	68.480	4,793.60	1,332.68	LT
Security total		365.000	50.279	18,351.75		24,995.20	6,643.45	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,234 Current yield: 3.94%								
	Jan 29, 13	1,000.000	22.160	22,160.00	31.320	31,320.00	9,160.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$517 Current yield: 1.45%								
	Jan 29, 13	200.000	99.560	19,912.00	145.300	29,060.00	9,148.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	145.300	6,538.50	1,959.30	LT
Security total		245.000	99.964	24,491.20		35,598.50	11,107.30	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 4.84%								
	Jan 29, 13	320.000	78.520	25,126.50	51.290	16,412.80	-8,713.70	LT
	Apr 22, 13	30.000	63.865	1,915.95	51.290	1,538.70	-377.25	LT
	Jul 12, 13	85.000	61.002	5,185.23	51.290	4,359.65	-825.58	LT
Security total		435.000	74.087	32,227.68		22,311.15	-9,916.53	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,726 Current yield: 4.20%								
	Jan 29, 13	340.000	104.097	35,393.08	110.110	37,437.40	2,044.32	LT
	Apr 22, 13	150.000	107.325	16,098.78	110.110	16,516.50	417.72	LT
	Jul 12, 13	100.000	105.544	10,554.44	110.110	11,011.00	456.56	LT
Security total		590.000	105.163	62,046.30		64,964.90	2,918.60	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,763 Current yield: 3.52%								
	Sep 16, 13	1,275.000	13.387	17,069.45	18.370	23,421.75	6,352.30	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	18.370	21,125.50	5,762.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	18.370	18,186.30	4,927.13	LT
	Oct 10, 13	855.000	15.481	13,236.68	18.370	15,706.35	2,469.67	LT
Security total		4,270.000	13.801	58,928.15		78,439.90	19,511.75	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,451 Current yield: 3.23%								
	Jan 29, 13	640.000	23.900	15,296.00	33.010	21,126.40	5,830.40	LT
	Apr 22, 13	720.000	22.581	16,258.75	33.010	23,767.20	7,508.45	LT
Security total		1,360.000	23.202	31,554.75		44,893.60	13,338.85	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$1,709 Current yield: 4.25%								
	Jan 29, 13	720.000	20.779	14,960.95	20.290	14,608.80	-352.15	LT
	Apr 22, 13	380.000	20.919	7,949.37	20.290	7,710.20	-239.17	LT
	Jul 12, 13	215.000	20.144	4,330.97	20.290	4,362.35	31.38	LT
	Aug 21, 13	285.000	22.200	6,327.10	20.290	5,782.65	-544.45	LT
	Aug 22, 13	140.000	22.508	3,151.20	20.290	2,840.60	-310.60	LT
	Aug 23, 13	240.000	22.418	5,380.51	20.290	4,869.60	-510.91	LT
Security total		1,980.000	21.263	42,100.10		40,174.20	-1,925.90	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,635 Current yield: 5.63%								
	Jan 29, 13	330.000	45.615	15,052.95	46.150	15,229.50	176.55	LT
	Feb 13, 13	320.000	45.645	14,606.46	46.150	14,768.00	161.54	LT
	Apr 22, 13	245.000	50.630	12,404.47	46.150	11,306.75	-1,097.72	LT
	Jul 12, 13	120.000	52.904	6,348.48	46.150	5,538.00	-810.48	LT
Security total		1,015.000	47.697	48,412.36		46,842.25	-1,570.11	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,073 Current yield: 4.14%								
	Apr 25, 13	60.000	70.891	4,253.51	97.230	5,833.80	1,580.29	LT
	Apr 26, 13	375.000	70.792	26,547.34	97.230	36,461.25	9,913.91	LT
	Jul 12, 13	80.000	68.375	5,470.00	97.230	7,778.40	2,308.40	LT
Security total		515.000	70.429	36,270.85		50,073.45	13,802.60	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	15.370	1,583.11	625.14	LT
	Apr 22, 13	20.400	9.849	200.93	15.370	313.55	112.62	LT
	Jul 12, 13	17.600	9.829	173.00	15.370	270.51	97.51	LT
Security total		141.000	9.446	1,331.90		2,167.17	835.27	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,286 Current yield: 4.26%								
	Jan 29, 13	1,660.000	19.580	32,502.80	20.420	33,897.20	1,394.40	LT
	Apr 22, 13	590.000	17.390	10,260.10	20.420	12,047.80	1,787.70	LT

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	20.420	7,657.50	450.19	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>53,602.50</b>	<b>3,632.29</b>	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC								
EAI: \$1,477 Current yield: 2.91%								
	Jan 29, 13	1,650.000	18.280	30,162.00	19.470	32,125.50	1,963.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	19.470	8,274.75	-31.54	LT
	Jul 12, 13	535.000	19.059	10,196.73	19.470	10,416.45	219.72	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>50,816.70</b>	<b>2,151.68</b>	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,052 Current yield: 2.51%								
	Jan 29, 13	570.000	70.160	39,991.20	77.620	44,243.40	4,252.20	LT
	Apr 22, 13	290.000	69.918	20,276.22	77.620	22,509.80	2,233.58	LT
	Jul 12, 13	195.000	67.417	13,146.41	77.620	15,135.90	1,989.49	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>81,889.10</b>	<b>8,475.27</b>	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE								
EAI: \$725 Current yield: 0.97%								
	Jul 22, 13	1,700.000	26.445	44,957.18	33.680	57,256.00	12,298.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	33.680	17,176.80	3,759.11	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>74,432.80</b>	<b>16,057.93</b>	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$2,203 Current yield: 2.22%								
	Jan 29, 13	590.000	67.877	40,047.61	101.800	60,062.00	20,014.39	LT
	Apr 22, 13	240.000	72.512	17,403.02	101.800	24,432.00	7,028.98	LT
	Jul 12, 13	145.000	73.450	10,650.38	101.800	14,761.00	4,110.62	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>99,255.00</b>	<b>31,153.99</b>	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,029 Current yield: 3.21%								
	Jan 21, 14	710.000	8.052	5,717.56	7.800	5,538.00	-179.56	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.800	1,716.00	-85.84	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.800	2,457.00	-151.92	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.800	1,443.00	-64.25	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	7.800	1,053.00	-29.58	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.800	2,067.00	-65.08	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.800	3,042.00	-74.53	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.800	1,911.00	-12.23	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.800	2,028.00	-20.46	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.800	1,443.00	1.04	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.800	1,833.00	30.31	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.800	1,911.00	17.71	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.800	1,287.00	39.30	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.800	2,418.00	84.13	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.800	1,950.00	47.75	LT
Security total		4,115.000	7.913	32,560.21		32,097.00	-463.21	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$653 Current yield: 2.29%								
	Jan 29, 13	690.000	39.442	27,215.25	41.380	28,552.20	1,336.95	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,451 Current yield: 2.29%								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.920	46,412.80	12,255.95	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.920	9,139.20	2,016.53	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.920	7,884.80	1,751.81	LT
Security total		3,540.000	13.393	47,412.51		63,436.80	16,024.29	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 5.82%								
	Jan 29, 13	185.000	74.585	13,798.31	64.590	11,949.15	-1,849.16	LT
	Apr 22, 13	215.000	65.856	14,159.06	64.590	13,886.85	-272.21	LT
Security total		400.000	69.893	27,957.37		25,836.00	-2,121.37	
SANOFI SPON ADR Symbol: SNY Exchange: NYSE EAI: \$1,306 Current yield: 2.16%								
	Jan 29, 13	720.000	48.754	35,103.55	50.550	36,396.00	1,292.45	LT
	Apr 22, 13	275.000	52.654	14,480.06	50.550	13,901.25	-578.81	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	200.000	52.424	10,484.90	50.550	10,110.00	-374.90	LT
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>60,407.25</b>	<b>338.74</b>	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.54%								
	Jan 29, 13	250.000	110.110	27,527.50	108.790	27,197.50	-330.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	108.790	21,758.00	1,731.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	108.790	5,439.50	76.67	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>54,395.00</b>	<b>1,477.83</b>	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,243 Current yield: 3.80%								
	Jan 29, 13	1,060.000	28.260	29,955.60	33.480	35,488.80	5,533.20	LT
	Apr 22, 13	440.000	29.820	13,121.15	33.480	14,731.20	1,610.05	LT
	Jul 12, 13	265.000	30.551	8,096.17	33.480	8,872.20	776.03	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>59,092.20</b>	<b>7,919.28</b>	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$972 Current yield: 3.52%								
	Jan 29, 13	1,245.000	19.750	24,588.75	17.510	21,799.95	-2,788.80	LT
	Apr 22, 13	330.000	18.685	6,166.05	17.510	5,778.30	-387.75	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>27,578.25</b>	<b>-3,176.55</b>	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$2,130 Current yield: 6.92%								
	Jan 29, 13	610.000	26.533	16,185.31	21.230	12,950.30	-3,235.01	LT
	Apr 22, 13	205.000	25.765	5,281.88	21.230	4,352.15	-929.73	LT
	Apr 22, 13	170.000	23.274	3,956.72	21.230	3,609.10	-347.62	LT
	Apr 22, 13	135.000	25.785	3,481.05	21.230	2,866.05	-615.00	LT
	Jul 12, 13	330.000	21.423	7,069.66	21.230	7,005.90	-63.76	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>30,783.50</b>	<b>-5,191.12</b>	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$332 Current yield: 1.64%								
	Jan 29, 13	800.000	17.800	14,240.00	24.440	19,552.00	5,312.00	LT
	Jul 12, 13	30.000	18.434	553.04	24.440	733.20	180.16	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		830.000	17.823	14,793.04		20,285.20	5,492.16	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$2,725 Current yield: 4.71%								
	Jan 29, 13	460.000	54.547	25,092.04	54.100	24,886.00	-206.04	LT
	Apr 22, 13	510.000	46.879	23,908.70	54.100	27,591.00	3,682.30	LT
	Jul 12, 13	100.000	50.459	5,045.96	54.100	5,410.00	364.04	LT
Security total		1,070.000	50.511	54,046.70		57,887.00	3,840.30	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$2,013 Current yield: 2.84%								
	Jan 29, 13	930.000	40.500	37,665.00	43.480	40,436.40	2,771.40	LT
	Apr 22, 13	445.000	41.074	18,278.06	43.480	19,348.60	1,070.54	LT
	Jul 12, 13	255.000	41.271	10,524.33	43.480	11,087.40	563.07	LT
Security total		1,630.000	40.778	66,467.39		70,872.40	4,405.01	
UNTD OVERSEAS BK LTD SPONS ADR								
SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC								
EAI: \$1,328 Current yield: 2.88%								
	Jan 29, 13	900.000	30.540	27,486.00	36.850	33,165.00	5,679.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	36.850	3,685.00	335.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	36.850	9,212.50	917.50	LT
Security total		1,250.000	31.304	39,130.24		46,062.50	6,932.26	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$1,851 Current yield: 5.06%								
	Jan 29, 13	796.294	50.164	39,945.60	35.200	28,029.55	-11,916.05	LT
	Apr 22, 13	2.727	54.627	148.97	35.200	95.99	-52.98	LT
	Jul 12, 13	239.979	54.033	12,966.80	35.200	8,447.26	-4,519.54	LT
Security total		1,039.000	51.070	53,061.37		36,572.80	-16,488.57	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$3,479 Current yield: 5.57%								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.820	32,361.00	2,467.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.820	21,111.70	3,129.49	LT
	Jul 12, 13	290.000	26.739	7,754.57	30.820	8,937.80	1,183.23	LT
Security total		2,025.000	27.472	55,630.28		62,410.50	6,780.22	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Apr 30 (\$)	Value on Apr 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Total</b>				<b>\$1,357,147.40</b>		<b>\$1,510,155.77</b>	<b>\$153,008.37</b>	
<b>Total estimated annual income: \$51,188</b>								

Your total assets

		Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>61,923.30</b>	<b>3.94%</b>	<b>61,923.30</b>		
<b>Equities</b>	<b>Common stock</b>	<b>1,510,155.77</b>	<b>96.06%</b>	<b>1,357,147.40</b>	<b>51,188.00</b>	<b>153,008.37</b>
<b>Total</b>		<b>\$1,572,079.07</b>	<b>100.00%</b>	<b>\$1,419,070.70</b>	<b>\$51,188.00</b>	<b>\$153,008.37</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	Apr 9	Foreign Dividend	MTN GROUP LTD SPON ADR PAID ON CUSIP: 62474M108 2625	1,726.90
	Apr 9	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP: 37733W105 1015	705.67
	Apr 15	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR PAID ON CUSIP: 89151E109 1070	715.93
	Apr 20	Foreign Dividend	ZURICH INS GROUP LTD SPON ADR PAID ON CUSIP: 989825104 2025	3,519.58
	Apr 20	Foreign Dividend	NOVARTIS AG SPON ADR PAID ON CUSIP: 66987V109 975	2,599.30
		<b>Total taxable dividends</b>		<b>\$9,267.38</b>
<i>Taxable interest</i>				
	Apr 8	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/07/15	1.31
		<b>Total taxable interest</b>		<b>\$1.31</b>
		<b>Total dividend and interest income</b>		<b>\$9,268.69</b>
<b>Fees</b>				
	Apr 9	Fee	MTN GROUP LTD SPON ADR	-52.50
	Apr 15	Fee	TOTAL S.A. FRANCE SPON ADR	-5.35
	Apr 16	Fee	INDIVIOR PLC SPON ADR	-3.53

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees (continued)</b>	Apr 20	Fee	ZURICH INS GROUP LTD SPON ADR	-40.50
	Apr 20	Fee	NOVARTIS AG SPON ADR	-7.31
	Apr 23	Fee Charged	QUARTERLY FEE	-1,884.25
	<b>Total professional management fees</b>			<b>-\$1,884.25</b>
	<b>Total other fees</b>			<b>-\$109.19</b>
<b>Other funds debited</b>	Apr 9	Foreign Tax Withheld	MTN GROUP LTD SPON ADR	-259.04
	Apr 15	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR	-107.39
	Apr 20	Foreign Tax Withheld	NOVARTIS AG SPON ADR	-389.89
	<b>Total other funds debited</b>			<b>-\$756.32</b>
	<b>Money balance activities</b>	<b>Mar 31</b>	<b>Balance forward</b>	
Apr 1		Deposit	UBS BANK USA DEPOSIT ACCOUNT	539.40
Apr 8		Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/07/15	1.31
Apr 10		Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,121.03
Apr 16		Deposit	UBS BANK USA DEPOSIT ACCOUNT	603.19
Apr 17		Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/16/15	-3.53
Apr 21		Deposit	UBS BANK USA DEPOSIT ACCOUNT	5,681.18
Apr 24		Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 04/23/15	-1,884.25
<b>Apr 30</b>		<b>Closing UBS Bank USA Deposit Account</b>		<b>\$61,923.30</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountsforbna](http://www.ubs.com/accountsforbna).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities' deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
 299 Park Avenue  
 25th Floor  
 New York NY 10171-0002  
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# UBS Strategic Advisor

May 2015

GHISLAINE MAXWELL  
 [REDACTED]  
 NEW YORK NY [REDACTED]

**Account name:** GHISLAINE MAXWELL  
**Friendly account name:** [REDACTED]  
**Account number:** [REDACTED]

**Your Financial Advisor:**  
 SCOTT STACKMAN/LYLE CASRIEL  
 Phone: [REDACTED]

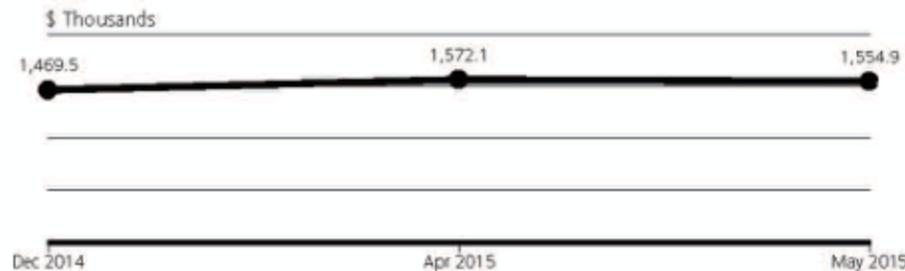
**Questions about your statement?**  
 Call your Financial Advisor or the  
 RMA ResourceLine at 800-RMA-1000,  
 account [REDACTED]

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on April 30 (\$)	on May 29 (\$)
Your assets	1,572,079.07	1,554,867.09
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,572,079.07</b>	<b>\$1,554,867.09</b>

## Tracking the value of your account



### Sources of your account growth during 2015

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$7,025.26
Your investment return:	
Dividend and interest income	\$28,990.41
Change in market value	\$63,420.94
<b>Value of your account on May 29, 2015</b>	<b>\$1,554,867.09</b>

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## Your account balance sheet

### Summary of your assets

	Value on May 29 (\$)	Percentage of your account
<b>A</b> Cash and money balances	71,313.04	4.59%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,483,554.05	95.41%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,554,867.09</b>	<b>100.00%</b>

**Value of your account** **\$1,554,867.09**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	May 2015	Year to date
S&P 500	1.29%	3.23%
Russell 3000	1.38%	3.68%
MSCI - Europe, Australia & Far East	-0.40%	8.93%
Barclays Capital U.S. Aggregate Bond Index	-0.24%	1.00%

#### Interest rates on May 29, 2015

3-month Treasury bills: 0.01%  
One-month LIBOR: 0.18%

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## Change in the value of your account

	May 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,572,079.07</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	6.30
Withdrawals and fees, including investments transferred out	-1,664.13	-7,031.56
Dividend and interest income	11,053.87	28,990.41
Change in market value	-26,601.72	63,420.94
<b>Closing account value</b>	<b>\$1,554,867.09</b>	<b>\$1,554,867.09</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2015 (\$)	Year to date (\$)
Taxable dividends	11,053.00	28,986.98
Taxable interest	0.87	3.43
<b>Total current year</b>	<b>\$11,053.87</b>	<b>\$28,990.41</b>
<b>Total dividend &amp; interest</b>	<b>\$11,053.87</b>	<b>\$28,990.41</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	May 2015 (\$)	Year to date (\$)	
Short term	0.00	0.00	-127.02
Long term	0.00	6,913.17	124,967.66
<b>Total</b>	<b>\$0.00</b>	<b>\$6,913.17</b>	<b>\$124,840.64</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	May 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$61,923.30</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	6.30
Dividend and interest income	11,053.87	28,990.41
Proceeds from investment transactions	0.00	46,292.98
<b>Total additions</b>	<b>\$11,053.87</b>	<b>\$75,289.69</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-3,695.94
Other fees	-360.41	-550.39
Other funds debited	-1,303.72	-2,785.23
<b>Total subtractions</b>	<b>-\$1,664.13</b>	<b>-\$7,031.56</b>
<b>Net cash flow</b>	<b>\$9,389.74</b>	<b>\$68,258.13</b>
<b>Closing balances</b>	<b>\$71,313.04</b>	<b>\$71,313.04</b>

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## Withholdings and tax summary

	May 2015 (\$)	Year to date (\$)
Foreign taxes paid	-1,303.72	-2,800.87
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$1,303.72</b>	<b>-\$2,778.93</b>

## UBS Bank USA Deposit Account APY

Interest period Apr 8 - May 6

Opening UBS Bank USA Deposit balance Apr 8	\$55,405.68
Closing UBS Bank USA Deposit balance May 6	\$61,924.17
Number of days in interest period	29
Average daily balance	\$60,039.47
Interest earned	\$0.87
Annual percentage yield earned	0.02%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on May 1 (\$)	Closing balance on May 29 (\$)	Price per share on May 29 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	2,916.42					
UBS BANK USA DEP ACCT	61,923.30	68,396.62					250,000.00
<b>Total</b>	<b>\$61,923.30</b>	<b>\$71,313.04</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$842 Current yield: 2.61%								
	Jan 29, 13	825.000	21.573	17,797.89	21.840	18,018.00	220.11	LT
	Apr 22, 13	650.000	21.211	13,787.15	21.840	14,196.00	408.85	LT
Security total		1,475.000	21.414	31,585.04		32,214.00	628.96	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,015 Current yield: 4.12%								
	Jan 29, 13	210.000	50.130	10,527.30	67.550	14,185.50	3,658.20	LT
	Apr 22, 13	85.000	51.335	4,363.53	67.550	5,741.75	1,378.22	LT
	Jul 12, 13	70.000	49.441	3,460.92	67.550	4,728.50	1,267.58	LT
Security total		365.000	50.279	18,351.75		24,655.75	6,304.00	

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Page 5 of 14

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,234 Current yield: 3.90%								
	Jan 29, 13	1,000.000	22.160	22,160.00	31.620	31,620.00	9,460.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$455 Current yield: 1.30%								
	Jan 29, 13	200.000	99.560	19,912.00	142.810	28,562.00	8,650.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	142.810	6,426.45	1,847.25	LT
Security total		245.000	99.964	24,491.20		34,988.45	10,497.25	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 5.56%								
	Jan 29, 13	320.000	78.520	25,126.50	44.630	14,281.60	-10,844.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	44.630	1,338.90	-577.05	LT
	Jul 12, 13	85.000	61.002	5,185.23	44.630	3,793.55	-1,391.68	LT
Security total		435.000	74.087	32,227.68		19,414.05	-12,813.63	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,700 Current yield: 4.13%								
	Jan 29, 13	340.000	104.097	35,393.08	110.720	37,644.80	2,251.72	LT
	Apr 22, 13	150.000	107.325	16,098.78	110.720	16,608.00	509.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	110.720	11,072.00	517.56	LT
Security total		590.000	105.163	62,046.30		65,324.80	3,278.50	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,255 Current yield: 3.07%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.220	21,955.50	4,886.05	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	17.220	19,803.00	4,440.15	LT
	Sep 18, 13	990.000	13.393	13,259.17	17.220	17,047.80	3,788.63	LT
	Oct 10, 13	855.000	15.481	13,236.68	17.220	14,723.10	1,486.42	LT
Security total		4,270.000	13.801	58,928.15		73,529.40	14,601.25	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$932 Current yield: 2.27%								
	Jan 29, 13	640.000	23.900	15,296.00	30.250	19,360.00	4,064.00	LT
	Apr 22, 13	720.000	22.581	16,258.75	30.250	21,780.00	5,521.25	LT
Security total		1,360.000	23.202	31,554.75		41,140.00	9,585.25	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$1,746 Current yield: 4.34%								
	Jan 29, 13	720.000	20.779	14,960.95	20.310	14,623.20	-337.75	LT
	Apr 22, 13	380.000	20.919	7,949.37	20.310	7,717.80	-231.57	LT
	Jul 12, 13	215.000	20.144	4,330.97	20.310	4,366.65	35.68	LT
	Aug 21, 13	285.000	22.200	6,327.10	20.310	5,788.35	-538.75	LT
	Aug 22, 13	140.000	22.508	3,151.20	20.310	2,843.40	-307.80	LT
	Aug 23, 13	240.000	22.418	5,380.51	20.310	4,874.40	-506.11	LT
Security total		1,980.000	21.263	42,100.10		40,213.80	-1,886.30	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,566 Current yield: 5.70%								
	Jan 29, 13	330.000	45.615	15,052.95	44.370	14,642.10	-410.85	LT
	Feb 13, 13	320.000	45.645	14,606.46	44.370	14,198.40	-408.06	LT
	Apr 22, 13	245.000	50.630	12,404.47	44.370	10,870.65	-1,533.82	LT
	Jul 12, 13	120.000	52.904	6,348.48	44.370	5,324.40	-1,024.08	LT
Security total		1,015.000	47.697	48,412.36		45,035.55	-3,376.81	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,411 Current yield: 4.53%								
	Apr 25, 13	60.000	70.891	4,253.51	103.380	6,202.80	1,949.29	LT
	Apr 26, 13	375.000	70.792	26,547.34	103.380	38,767.50	12,220.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	103.380	8,270.40	2,800.40	LT
Security total		515.000	70.429	36,270.85		53,240.70	16,969.85	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	17.700	1,823.10	865.13	LT
	Apr 22, 13	20.400	9.849	200.93	17.700	361.08	160.15	LT
	Jul 12, 13	17.600	9.829	173.00	17.700	311.52	138.52	LT
Security total		141.000	9.446	1,331.90		2,495.70	1,163.80	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,286 Current yield: 4.86%								
	Jan 29, 13	1,660.000	19.580	32,502.80	17.920	29,747.20	-2,755.60	LT
	Apr 22, 13	590.000	17.390	10,260.10	17.920	10,572.80	312.70	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	17.920	6,720.00	-487.31	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>47,040.00</b>	<b>-2,930.21</b>	
MUNICH RE GROUP ADR								
Symbol: MURGY Exchange: OTC								
EAI: \$1,477 Current yield: 3.07%								
	Jan 29, 13	1,650.000	18.280	30,162.00	18.450	30,442.50	280.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	18.450	7,841.25	-465.04	LT
	Jul 12, 13	535.000	19.059	10,196.73	18.450	9,870.75	-325.98	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>48,154.50</b>	<b>-510.52</b>	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,015 Current yield: 2.47%								
	Jan 29, 13	570.000	70.160	39,991.20	77.440	44,140.80	4,149.60	LT
	Apr 22, 13	290.000	69.918	20,276.22	77.440	22,457.60	2,181.38	LT
	Jul 12, 13	195.000	67.417	13,146.41	77.440	15,100.80	1,954.39	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>81,699.20</b>	<b>8,285.37</b>	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,459 Current yield: 1.90%								
	Jul 22, 13	1,700.000	26.445	44,957.18	34.670	58,939.00	13,981.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	34.670	17,681.70	4,264.01	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>76,620.70</b>	<b>18,245.83</b>	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,203 Current yield: 2.20%								
	Jan 29, 13	590.000	67.877	40,047.61	102.730	60,610.70	20,563.09	LT
	Apr 22, 13	240.000	72.512	17,403.02	102.730	24,655.20	7,252.18	LT
	Jul 12, 13	145.000	73.450	10,650.38	102.730	14,895.85	4,245.47	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>100,161.75</b>	<b>32,060.74</b>	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,029 Current yield: 3.20%								
	Jan 21, 14	710.000	8.052	5,717.56	7.820	5,552.20	-165.36	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.820	1,720.40	-81.44	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.820	2,463.30	-145.62	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.820	1,446.70	-60.55	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	7.820	1,055.70	-26.88	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.820	2,072.30	-59.78	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.820	3,049.80	-66.73	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.820	1,915.90	-7.33	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.820	2,033.20	-15.26	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.820	1,446.70	4.74	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.820	1,837.70	35.01	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.820	1,915.90	22.61	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.820	1,290.30	42.60	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.820	2,424.20	90.33	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.820	1,955.00	52.75	LT
Security total		4,115.000	7.913	32,560.21		32,179.30	-380.91	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$644 Current yield: 2.16%								
	Jan 29, 13	690.000	39.442	27,215.25	43.260	29,849.40	2,634.15	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,451 Current yield: 2.25%								
	Jan 29, 13	2,590.000	13.187	34,156.85	18.240	47,241.60	13,084.75	LT
	Apr 22, 13	510.000	13.966	7,122.67	18.240	9,302.40	2,179.73	LT
	Jul 12, 13	440.000	13.938	6,132.99	18.240	8,025.60	1,892.61	LT
Security total		3,540.000	13.393	47,412.51		64,569.60	17,157.09	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 6.20%								
	Jan 29, 13	185.000	74.585	13,798.31	60.690	11,227.65	-2,570.66	LT
	Apr 22, 13	215.000	65.856	14,159.06	60.690	13,048.35	-1,110.71	LT
Security total		400.000	69.893	27,957.37		24,276.00	-3,681.37	
SANOFI SPON ADR								
Symbol: SNY Exchange: NYSE								
EAI: \$1,299 Current yield: 2.20%								
	Jan 29, 13	720.000	48.754	35,103.55	49.410	35,575.20	471.65	LT
	Apr 22, 13	275.000	52.654	14,480.06	49.410	13,587.75	-892.31	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	200.000	52.424	10,484.90	49.410	9,882.00	-602.90	LT
Security total		1,195.000	50.267	60,068.51		59,044.95	-1,023.56	
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.62%								
	Jan 29, 13	250.000	110.110	27,527.50	105.300	26,325.00	-1,202.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	105.300	21,060.00	1,033.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	105.300	5,265.00	-97.83	LT
Security total		500.000	105.834	52,917.17		52,650.00	-267.17	
SINGAPORE TELECOM LTD NEW 2006 SPON ADR								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,243 Current yield: 4.15%								
	Jan 29, 13	1,060.000	28.260	29,955.60	30.640	32,478.40	2,522.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	30.640	13,481.60	360.45	LT
	Jul 12, 13	265.000	30.551	8,096.17	30.640	8,119.60	23.43	LT
Security total		1,765.000	28.993	51,172.92		54,079.60	2,906.68	
SMITHS GROUP PLC SPON ADR								
Symbol: SMGZY Exchange: OTC								
EAI: \$972 Current yield: 3.36%								
	Jan 29, 13	1,245.000	19.750	24,588.75	18.370	22,870.65	-1,718.10	LT
	Apr 22, 13	330.000	18.685	6,166.05	18.370	6,062.10	-103.95	LT
Security total		1,575.000	19.527	30,754.80		28,932.75	-1,822.05	
SOUTH32 LTD SPON ADR								
Exchange: OTC								
	May 18, 15	128.000	9.000	1,152.00	8.270	1,058.56	-93.44	ST
	May 18, 15	34.000	9.000	306.00	8.270	281.18	-24.82	ST
	May 18, 15	12.000	9.000	108.00	8.270	99.24	-8.76	ST
Security total		174.000	9.000	1,566.00		1,438.98	-127.02	
STATOIL ASA SPON ADR								
Symbol: STO Exchange: NYSE								
EAI: \$1,111 Current yield: 4.12%								
	Jan 29, 13	610.000	26.533	16,185.31	18.580	11,333.80	-4,851.51	LT
	Apr 22, 13	205.000	25.765	5,281.88	18.580	3,808.90	-1,472.98	LT
	Apr 22, 13	170.000	23.274	3,956.72	18.580	3,158.60	-798.12	LT
	Apr 22, 13	135.000	25.785	3,481.05	18.580	2,508.30	-972.75	LT
	Jul 12, 13	330.000	21.423	7,069.66	18.580	6,131.40	-938.26	LT
Security total		1,450.000	24.810	35,974.62		26,941.00	-9,033.62	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE EAI: \$332 Current yield: 1.65%								
	Jan 29, 13	800.000	17.800	14,240.00	24.280	19,424.00	5,184.00	LT
	Jul 12, 13	30.000	18.434	553.04	24.280	728.40	175.36	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>20,152.40</b>	<b>5,359.36</b>	
<b>TOTAL S.A. FRANCE SPON ADR</b>								
Symbol: TOT Exchange: NYSE EAI: \$2,587 Current yield: 4.79%								
	Jan 29, 13	460.000	54.547	25,092.04	50.490	23,225.40	-1,866.64	LT
	Apr 22, 13	510.000	46.879	23,908.70	50.490	25,749.90	1,841.20	LT
	Jul 12, 13	100.000	50.459	5,045.96	50.490	5,049.00	3.04	LT
<b>Security total</b>		<b>1,070.000</b>	<b>50.511</b>	<b>54,046.70</b>		<b>54,024.30</b>	<b>-22.40</b>	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE EAI: \$2,013 Current yield: 2.89%								
	Jan 29, 13	930.000	40.500	37,665.00	42.700	39,711.00	2,046.00	LT
	Apr 22, 13	445.000	41.074	18,278.06	42.700	19,001.50	723.44	LT
	Jul 12, 13	255.000	41.271	10,524.33	42.700	10,888.50	364.17	LT
<b>Security total</b>		<b>1,630.000</b>	<b>40.778</b>	<b>66,467.39</b>		<b>69,601.00</b>	<b>3,133.61</b>	
<b>UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR</b>								
Symbol: UOVEY Exchange: OTC EAI: \$1,338 Current yield: 3.11%								
	Jan 29, 13	900.000	30.540	27,486.00	34.380	30,942.00	3,456.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	34.380	3,438.00	88.76	LT
	Jul 12, 13	250.000	33.180	8,295.00	34.380	8,595.00	300.00	LT
<b>Security total</b>		<b>1,250.000</b>	<b>31.304</b>	<b>39,130.24</b>		<b>42,975.00</b>	<b>3,844.76</b>	
<b>VODAFONE GROUP PLC SPON ADR</b>								
Symbol: VOD Exchange: OTC EAI: \$1,760 Current yield: 4.34%								
	Jan 29, 13	796.294	50.164	39,945.60	39.030	31,079.35	-8,866.25	LT
	Apr 22, 13	2.727	54.627	148.97	39.030	106.43	-42.54	LT
	Jul 12, 13	239.979	54.033	12,966.80	39.030	9,366.38	-3,600.42	LT
<b>Security total</b>		<b>1,039.000</b>	<b>51.070</b>	<b>53,061.37</b>		<b>40,552.17</b>	<b>-12,509.21</b>	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on May 29 (\$)	Value on May 29 (\$)	Unrealized gain or loss (\$)	Holding period
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$3,479 Current yield: 5.37%								
	Jan 29, 13	1,050.000	28.470	29,893.50	31.970	33,568.50	3,675.00	LT
	Apr 22, 13	685.000	26.251	17,982.21	31.970	21,899.45	3,917.24	LT
	Jul 12, 13	290.000	26.739	7,754.57	31.970	9,271.30	1,516.73	LT
Security total		2,025.000	27.472	55,630.28		64,739.25	9,108.97	
<b>Total</b>				<b>\$1,358,713.40</b>		<b>\$1,483,554.05</b>	<b>\$124,840.64</b>	
<b>Total estimated annual income: \$49,817</b>								

Your total assets

		Value on May 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	71,313.04	4.59%	71,313.04		
Equities	Common stock	1,483,554.05	95.41%	1,358,713.40	49,817.00	124,840.64
<b>Total</b>		<b>\$1,554,867.09</b>	<b>100.00%</b>	<b>\$1,430,026.44</b>	<b>\$49,817.00</b>	<b>\$124,840.64</b>

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	May 6	Foreign Dividend	SMITHS GROUP PLC SPON ADR PAID ON 1575 AS OF 05/01/15 CUSIP: 83238P203	310.05
	May 8	Foreign Dividend	ORKLA ASA A SHS SPON ADR PAID ON 4115 CUSIP: 686331109	1,329.20
	May 11	Foreign Dividend	MUNICH RE GROUP ADR PAID ON 2610 CUSIP: 626188106	2,184.97
	May 12	Foreign Dividend	BRITISH AMER TOBACCO PLC GB SPON ADR PAID ON 590 CUSIP: 110448107	1,806.38
	May 14	Foreign Dividend	ABB LTD SPON ADR PAID ON 1475 CUSIP: 000375204	871.47
	May 26	Foreign Dividend	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR CUSIP: 911271302	93.69

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable dividends (continued)</i>	May 26	Foreign Dividend	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR PAID ON 1250 CUSIP: 911271302	936.89
	May 29	Foreign Dividend	GDF SUEZ SPON ADR PAID ON 1980 CUSIP: 361608105	1,118.90
	May 29	Foreign Dividend	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR PAID ON 1055 CUSIP: 641069406	2,401.45
		<b>Total taxable dividends</b>		<b>\$11,053.00</b>
<i>Taxable interest</i>	May 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 05/06/15	0.87
		<b>Total taxable interest</b>		<b>\$0.87</b>
		<b>Total dividend and interest income</b>		<b>\$11,053.87</b>
<b>Fees</b>				
	May 6	Fee	SMITHS GROUP PLC SPON ADR AS OF 05/01/15	-15.75
	May 8	Fee	ORKLA ASA A SHS SPON ADR	-102.88
	May 11	Fee	MUNICH RE GROUP ADR	-130.50
	May 12	Fee	BRITISH AMER TOBACCO PLC GB SPON ADR	-5.90
	May 14	Fee	ABB LTD SPON ADR	-29.50
	May 29	Fee	GDF SUEZ SPON ADR	-49.50
	May 29	Fee	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	-26.38
		<b>Total fees</b>		<b>-\$360.41</b>
<b>Other funds debited</b>				
	May 8	Foreign Tax Withheld	ORKLA ASA A SHS SPON ADR	-199.38
	May 11	Foreign Tax Withheld	MUNICH RE GROUP ADR	-576.29
	May 29	Foreign Tax Withheld	GDF SUEZ SPON ADR	-167.83
	May 29	Foreign Tax Withheld	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	-360.22
		<b>Total other funds debited</b>		<b>-\$1,303.72</b>

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Account activity this month (continued)

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
May 29	Spin Off	SOUTH32 LTD SPON ADR SYMBOL: N3A FROM BHP BILLITON LTD SPON ADR SYMBOL: BHP RATE: 0.40 CUSIP: 84473L105	174.000					

Total

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Apr 30</b>	<b>Balance forward</b>		<b>\$61,923.30</b>
	May 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 05/06/15	0.87
	May 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT	294.30
	May 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,026.94
	May 12	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,478.18
	May 13	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,800.48
	May 15	Deposit	UBS BANK USA DEPOSIT ACCOUNT	841.97
	May 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,030.58
	<b>May 29</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$68,396.62</b>

The UBS Bank, USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacyonly](http://www.ubs.com/privacyonly).

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

June 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

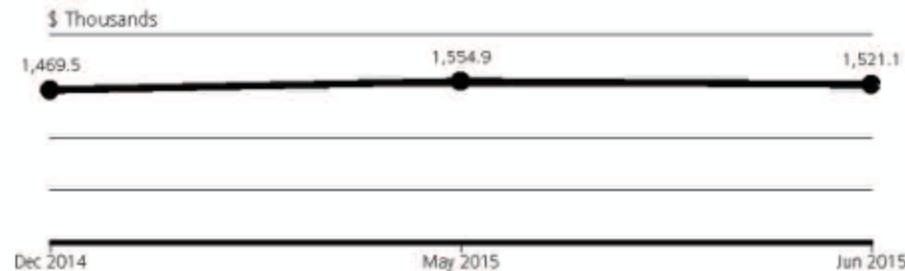
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on May 29 (\$)	on June 30 (\$)
Your assets	1,554,867.09	1,521,095.06
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,554,867.09</b>	<b>\$1,521,095.06</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$8,128.07
Your investment return:	
Dividend and interest income	\$38,572.72
Change in market value	\$21,169.41
<b>Value of your account on Jun 30, 2015</b>	<b>\$1,521,095.06</b>

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## Your account balance sheet

### Summary of your assets

	Value on June 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	79,793.94	5.25%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,441,301.12	94.75%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,521,095.06</b>	<b>100.00%</b>

**Value of your account** **\$1,521,095.06**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	June 2015	Year to date
S&P 500	-1.94%	1.23%
Russell 3000	-1.67%	1.94%
MSCI - Europe, Australia & Far East	-2.80%	5.88%
Barclays Capital U.S. Aggregate Bond Index	-1.09%	-0.10%

#### Interest rates on June 30, 2015

3-month Treasury bills: 0.02%  
One-month LIBOR: 0.19%

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## Change in the value of your account

	June 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,554,867.09</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	6.30
Withdrawals and fees, including investments transferred out	-1,102.81	-8,134.37
Dividend and interest income	9,582.31	38,572.72
Change in market value	-42,251.53	21,169.41
<b>Closing account value</b>	<b>\$1,521,095.06</b>	<b>\$1,521,095.06</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2015 (\$)	Year to date (\$)
Taxable dividends	9,581.20	38,568.18
Taxable interest	1.11	4.54
<b>Total current year</b>	<b>\$9,582.31</b>	<b>\$38,572.72</b>
<b>Total dividend &amp; interest</b>	<b>\$9,582.31</b>	<b>\$38,572.72</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	June 2015 (\$)	Year to date (\$)	
Short term	0.00	0.00	-398.46
Long term	0.00	6,913.17	82,644.10
<b>Total</b>	<b>\$0.00</b>	<b>\$6,913.17</b>	<b>\$82,245.64</b>

## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$71,313.04</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	6.30
Dividend and interest income	9,582.31	38,572.72
Proceeds from investment transactions	1.40	46,294.38
<b>Total additions</b>	<b>\$9,583.71</b>	<b>\$84,873.40</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-3,695.94
Other fees	-340.14	-890.53
Other funds debited	-762.67	-3,547.90
<b>Total subtractions</b>	<b>-\$1,102.81</b>	<b>-\$8,134.37</b>
<b>Net cash flow</b>	<b>\$8,480.90</b>	<b>\$76,739.03</b>
<b>Closing balances</b>	<b>\$79,793.94</b>	<b>\$79,793.94</b>

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## Withholdings and tax summary

	June 2015 (\$)	Year to date (\$)
Foreign taxes paid	-762.67	-3,563.54
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$762.67</b>	<b>-\$3,541.60</b>

## UBS Bank USA Deposit Account APY

Interest period May 7 - Jun 4

Opening UBS Bank USA Deposit balance May 7	\$61,924.17
Closing UBS Bank USA Deposit balance Jun 4	\$74,574.42
Number of days in interest period	29
Average daily balance	\$67,253.79
Interest earned	\$1.11
Annual percentage yield earned	0.02%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	2,916.42	0.00					
UBS BANK USA DEP ACCT	68,396.62	79,793.94					250,000.00
<b>Total</b>	<b>\$71,313.04</b>	<b>\$79,793.94</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$842 Current yield: 2.73%								
	Jan 29, 13	825.000	21.573	17,797.89	20.880	17,226.00	-571.89	LT
	Apr 22, 13	650.000	21.211	13,787.15	20.880	13,572.00	-215.15	LT
Security total		1,475.000	21.414	31,585.04		30,798.00	-787.04	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,015 Current yield: 4.36%								
	Jan 29, 13	210.000	50.130	10,527.30	63.710	13,379.10	2,851.80	LT
	Apr 22, 13	85.000	51.335	4,363.53	63.710	5,415.35	1,051.82	LT
	Jul 12, 13	70.000	49.441	3,460.92	63.710	4,459.70	998.78	LT
Security total		365.000	50.279	18,351.75		23,254.15	4,902.40	

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Page 5 of 16

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,222 Current yield: 4.31%								
	Jan 29, 13	1,000.000	22.160	22,160.00	28.370	28,370.00	6,210.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$439 Current yield: 1.27%								
	Jan 29, 13	200.000	99.560	19,912.00	140.985	28,197.00	8,285.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	140.985	6,344.32	1,765.12	LT
Security total		245.000	99.964	24,491.20		34,541.32	10,050.12	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 6.09%								
	Jan 29, 13	320.000	78.520	25,126.50	40.710	13,027.20	-12,099.30	LT
	Apr 22, 13	30.000	63.865	1,915.95	40.710	1,221.30	-694.65	LT
	Jul 12, 13	85.000	61.002	5,185.23	40.710	3,460.35	-1,724.88	LT
Security total		435.000	74.087	32,227.68		17,708.85	-14,518.83	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,700 Current yield: 4.23%								
	Jan 29, 13	340.000	104.097	35,393.08	108.250	36,805.00	1,411.92	LT
	Apr 22, 13	150.000	107.325	16,098.78	108.250	16,237.50	138.72	LT
	Jul 12, 13	100.000	105.544	10,554.44	108.250	10,825.00	270.56	LT
Security total		590.000	105.163	62,046.30		63,867.50	1,821.20	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,276 Current yield: 3.10%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.215	21,949.13	4,879.68	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	17.215	19,797.25	4,434.40	LT
	Sep 18, 13	990.000	13.393	13,259.17	17.215	17,042.85	3,783.68	LT
	Oct 10, 13	855.000	15.481	13,236.68	17.215	14,718.83	1,482.15	LT
Security total		4,270.000	13.801	58,928.15		73,508.05	14,579.91	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,238 Current yield: 3.09%								
	Jan 29, 13	640.000	23.900	15,296.00	29.460	18,854.40	3,558.40	LT
	Apr 22, 13	720.000	22.581	16,258.75	29.460	21,211.20	4,952.45	LT
Security total		1,360.000	23.202	31,554.75		40,065.60	8,510.85	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>GDF SUEZ SPON ADR</b>								
Symbol: GDFZY Exchange: OTC EAI: \$1,746 Current yield: 4.73%								
	Jan 29, 13	720.000	20.779	14,960.95	18.625	13,410.00	-1,550.95	LT
	Apr 22, 13	380.000	20.919	7,949.37	18.625	7,077.50	-871.87	LT
	Jul 12, 13	215.000	20.144	4,330.97	18.625	4,004.38	-326.59	LT
	Aug 21, 13	285.000	22.200	6,327.10	18.625	5,308.13	-1,018.97	LT
	Aug 22, 13	140.000	22.508	3,151.20	18.625	2,607.50	-543.70	LT
	Aug 23, 13	240.000	22.418	5,380.51	18.625	4,470.00	-910.51	LT
Security total		1,980.000	21.263	42,100.10		36,877.50	-5,222.59	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,566 Current yield: 6.07%								
	Jan 29, 13	330.000	45.615	15,052.95	41.650	13,744.50	-1,308.45	LT
	Feb 13, 13	320.000	45.645	14,606.46	41.650	13,328.00	-1,278.46	LT
	Apr 22, 13	245.000	50.630	12,404.47	41.650	10,204.25	-2,200.22	LT
	Jul 12, 13	120.000	52.904	6,348.48	41.650	4,998.00	-1,350.48	LT
Security total		1,015.000	47.697	48,412.36		42,274.75	-6,137.61	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,411 Current yield: 4.85%								
	Apr 25, 13	60.000	70.891	4,253.51	96.500	5,790.00	1,536.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	96.500	36,187.50	9,640.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	96.500	7,720.00	2,250.00	LT
Security total		515.000	70.429	36,270.85		49,697.50	13,426.65	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
	Jan 29, 13	103.000	9.300	957.97	17.950	1,848.85	890.88	LT
	Apr 22, 13	20.400	9.849	200.93	17.950	366.18	165.25	LT
	Jul 12, 13	17.600	9.829	173.00	17.950	315.92	142.92	LT
Security total		141.000	9.446	1,331.90		2,530.95	1,199.05	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,286 Current yield: 4.65%								
	Jan 29, 13	1,660.000	19.580	32,502.80	18.740	31,108.40	-1,394.40	LT
	Apr 22, 13	590.000	17.390	10,260.10	18.740	11,056.60	796.50	LT

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Page 7 of 16

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	18.740	7,027.50	-179.81	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>49,192.50</b>	<b>-777.71</b>	
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC								
EAI: \$1,477 Current yield: 3.18%								
	Jan 29, 13	1,650.000	18.280	30,162.00	17.800	29,370.00	-792.00	LT
	Apr 22, 13	425.000	19.544	8,306.29	17.800	7,565.00	-741.29	LT
	Jul 12, 13	535.000	19.059	10,196.73	17.800	9,523.00	-673.73	LT
<b>Security total</b>		<b>2,610.000</b>	<b>18.646</b>	<b>48,665.02</b>		<b>46,458.00</b>	<b>-2,207.02</b>	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$2,015 Current yield: 2.65%								
	Jan 29, 13	570.000	70.160	39,991.20	72.160	41,131.20	1,140.00	LT
	Apr 22, 13	290.000	69.918	20,276.22	72.160	20,926.40	650.18	LT
	Jul 12, 13	195.000	67.417	13,146.41	72.160	14,071.20	924.79	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>76,128.80</b>	<b>2,714.97</b>	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE								
EAI: \$1,441 Current yield: 1.80%								
	Jul 22, 13	1,700.000	26.445	44,957.18	36.290	61,693.00	16,735.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	36.290	18,507.90	5,090.21	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>80,200.90</b>	<b>21,826.03</b>	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$2,203 Current yield: 2.30%								
	Jan 29, 13	590.000	67.877	40,047.61	98.340	58,020.60	17,972.99	LT
	Apr 22, 13	240.000	72.512	17,403.02	98.340	23,601.60	6,198.58	LT
	Jul 12, 13	145.000	73.450	10,650.38	98.340	14,259.30	3,608.92	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>95,881.50</b>	<b>27,780.49</b>	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,029 Current yield: 3.15%								
	Jan 21, 14	710.000	8.052	5,717.56	7.937	5,635.27	-82.29	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.937	1,746.14	-55.70	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.937	2,500.15	-108.77	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.937	1,468.34	-38.91	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 27, 14	135.000	8.019	1,082.58	7.937	1,071.49	-11.09	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.937	2,103.30	-28.78	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.937	3,095.43	-21.10	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.937	1,944.56	21.33	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.937	2,063.62	15.16	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.937	1,468.34	26.38	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.937	1,865.19	62.50	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.937	1,944.56	51.27	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.937	1,309.60	61.90	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.937	2,460.47	126.60	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.937	1,984.25	82.00	LT
Security total		4,115.000	7.913	32,560.21		32,660.75	100.50	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$644 Current yield: 2.15%								
	Jan 29, 13	690.000	39.442	27,215.25	43.390	29,939.10	2,723.85	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,448 Current yield: 2.36%								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.360	44,962.40	10,805.55	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.360	8,853.60	1,730.93	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.360	7,638.40	1,505.41	LT
Security total		3,540.000	13.393	47,412.51		61,454.40	14,041.89	
ROYAL DUTCH SHELL PLC CL A SPON ADR Symbol: RDS.A Exchange: NYSE EAI: \$19 Current yield: 5.55%								
		6.000	---	---This information was unavailable---	57.010	342.06		
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 6.56%								
	Jan 29, 13	185.000	74.585	13,798.31	57.350	10,609.75	-3,188.56	LT
	Apr 22, 13	215.000	65.856	14,159.06	57.350	12,330.25	-1,828.81	LT
Security total		400.000	69.893	27,957.37		22,940.00	-5,017.37	

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SANOFI SPON ADR</b>								
Symbol: SNY Exchange: NYSE								
EAI: \$1,299 Current yield: 2.19%								
	Jan 29, 13	720.000	48.754	35,103.55	49.530	35,661.60	558.05	LT
	Apr 22, 13	275.000	52.654	14,480.06	49.530	13,620.75	-859.31	LT
	Jul 12, 13	200.000	52.424	10,484.90	49.530	9,906.00	-578.90	LT
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>59,188.35</b>	<b>-880.16</b>	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.72%								
	Jan 29, 13	250.000	110.110	27,527.50	101.530	25,382.50	-2,145.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	101.530	20,306.00	279.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	101.530	5,076.50	-286.33	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>50,765.00</b>	<b>-2,152.17</b>	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$3,611 Current yield: 6.53%								
	Jan 29, 13	1,060.000	28.260	29,955.60	31.340	33,220.40	3,264.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	31.340	13,789.60	668.45	LT
	Jul 12, 13	265.000	30.551	8,096.17	31.340	8,305.10	208.93	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>55,315.10</b>	<b>4,142.18</b>	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$972 Current yield: 3.46%								
	Jan 29, 13	1,245.000	19.750	24,588.75	17.830	22,198.35	-2,390.40	LT
	Apr 22, 13	330.000	18.685	6,166.05	17.830	5,883.90	-282.15	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>28,082.25</b>	<b>-2,672.55</b>	
<b>SOUTH32 LTD SPON ADR</b>								
Symbol: SOUHY Exchange: OTC								
	May 18, 15	128.000	9.000	1,152.00	6.710	858.88	-293.12	ST
	May 18, 15	34.000	9.000	306.00	6.710	228.14	-77.86	ST
	May 18, 15	12.000	9.000	108.00	6.710	80.52	-27.48	ST
<b>Security total</b>		<b>174.000</b>	<b>9.000</b>	<b>1,566.00</b>		<b>1,167.54</b>	<b>-398.46</b>	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,103 Current yield: 4.25%								
	Jan 29, 13	610.000	26.533	16,185.31	17.900	10,919.00	-5,266.31	LT
	Apr 22, 13	205.000	25.765	5,281.88	17.900	3,669.50	-1,612.38	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	170.000	23.274	3,956.72	17.900	3,043.00	-913.72	LT
	Apr 22, 13	135.000	25.785	3,481.05	17.900	2,416.50	-1,064.55	LT
	Jul 12, 13	330.000	21.423	7,069.66	17.900	5,907.00	-1,162.66	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>25,955.00</b>	<b>-10,019.62</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE EAI: \$815 Current yield: 4.32%								
	Jan 29, 13	800.000	17.800	14,240.00	22.710	18,168.00	3,928.00	LT
	Jul 12, 13	30.000	18.434	553.04	22.710	681.30	128.26	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>18,849.30</b>	<b>4,056.26</b>	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE EAI: \$2,587 Current yield: 4.92%								
	Jan 29, 13	460.000	54.547	25,092.04	49.170	22,618.20	-2,473.84	LT
	Apr 22, 13	510.000	46.879	23,908.70	49.170	25,076.70	1,168.00	LT
	Jul 12, 13	100.000	50.459	5,045.96	49.170	4,917.00	-128.96	LT
<b>Security total</b>		<b>1,070.000</b>	<b>50.511</b>	<b>54,046.70</b>		<b>52,611.90</b>	<b>-1,434.80</b>	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE EAI: \$2,013 Current yield: 2.95%								
	Jan 29, 13	930.000	40.500	37,665.00	41.840	38,911.20	1,246.20	LT
	Apr 22, 13	445.000	41.074	18,278.06	41.840	18,618.80	340.74	LT
	Jul 12, 13	255.000	41.271	10,524.33	41.840	10,669.20	144.87	LT
<b>Security total</b>		<b>1,630.000</b>	<b>40.778</b>	<b>66,467.39</b>		<b>68,199.20</b>	<b>1,731.81</b>	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC EAI: \$1,338 Current yield: 3.11%								
	Jan 29, 13	900.000	30.540	27,486.00	34.435	30,991.50	3,505.50	LT
	Apr 22, 13	100.000	33.492	3,349.24	34.435	3,443.50	94.26	LT
	Jul 12, 13	250.000	33.180	8,295.00	34.435	8,608.75	313.75	LT
<b>Security total</b>		<b>1,250.000</b>	<b>31.304</b>	<b>39,130.24</b>		<b>43,043.75</b>	<b>3,913.51</b>	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC EAI: \$1,760 Current yield: 4.65%								
	Jan 29, 13	796.294	50.164	39,945.60	36.450	29,024.92	-10,920.68	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jun 30 (\$)	Value on Jun 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	2,727	54.627	148.97	36.450	99.40	-49.57	LT
	Jul 12, 13	239,979	54.033	12,966.80	36.450	8,747.23	-4,219.57	LT
Security total		1,039,000	51.070	53,061.37		37,871.55	-15,189.82	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$3,479 Current yield: 5.65%								
	Jan 29, 13	1,050,000	28.470	29,893.50	30.400	31,920.00	2,026.50	LT
	Apr 22, 13	685,000	26.251	17,982.21	30.400	20,824.00	2,841.79	LT
	Jul 12, 13	290,000	26.739	7,754.57	30.400	8,816.00	1,061.43	LT
Security total		2,025,000	27.472	55,630.28		61,560.00	5,929.72	
<b>Total</b>				<b>\$1,358,713.40</b>		<b>\$1,441,301.12</b>	<b>\$82,245.64</b>	

Total estimated annual income: \$51,957

Your total assets

		Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	79,793.94	5.25%	79,793.94		
Equities	* Common stock	1,441,301.12	94.75%	1,358,713.40	51,957.00	82,245.64
<b>Total</b>		<b>\$1,521,095.06</b>	<b>100.00%</b>	<b>\$1,438,507.34</b>	<b>\$51,957.00</b>	<b>\$82,245.64</b>

\* Missing cost basis information.

Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>			
<i>Taxable dividends</i>			
Jun 2	Foreign Dividend	SANOFI SPON ADR PAID ON CUSIP: 80105N105 1195	1,923.11
Jun 3	Foreign Dividend	DEUTSCHE POST AG SPON ADR PAID ON CUSIP: 25157Y202 1360	1,264.55
Jun 3	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON CUSIP: 904784709 1630	519.97
Jun 5	Foreign Dividend	RECKITT BENCKISER PLC SPON ADR PAID ON CUSIP: 756255204 3540	848.88

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable dividends (continued)</i>				
	Jun 5	Foreign Dividend	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/15 CUSIP: 715684106	779.33
	Jun 5	Foreign Dividend	P.T. TELEKOMUNIKASI INDONESIA SPON ADR PAID ON 690 CUSIP: 715684106	155.86
	Jun 5	Foreign Dividend	STATOIL ASA SPON ADR PAID ON 1450 CUSIP: 85771P102	334.82
	Jun 8	Foreign Dividend	BAE SYSTEMS PLC SPON ADR PAID ON 1000 CUSIP: 05523R107	746.71
	Jun 8	Foreign Dividend	BAYER A G SPON ADR PAID ON 245 CUSIP: 072730302	602.96
	Jun 24	Foreign Dividend	DEUTSCHE TELEKOM AG DE SPON ADR PAID ON 4270 CUSIP: 251566105	2,405.01
		<b>Total taxable dividends</b>		<b>\$9,581.20</b>
<i>Taxable interest</i>				
	Jun 5	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/04/15	1.11
		<b>Total taxable interest</b>		<b>\$1.11</b>
		<b>Total dividend and interest income</b>		<b>\$9,582.31</b>
<b>Fees</b>				
	Jun 2	Fee	SANOFI SPON ADR	-53.78
	Jun 3	Fee	DEUTSCHE POST AG SPON ADR	-27.20
	Jun 4	Fee	MUNICH RE GROUP ADR AS OF 05/11/15	-130.50
	Jun 4	Cancel Of Fee	MUNICH RE GROUP ADR AS OF 05/11/15	130.50
	Jun 5	Fee	RECKITT BENCKISER PLC SPON ADR	-49.56
	Jun 5	Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/15	-13.80
	Jun 5	Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR	-16.83
	Jun 5	Fee	STATOIL ASA SPON ADR	-7.25
	Jun 8	Fee	BAE SYSTEMS PLC SPON ADR	-20.00
	Jun 8	Fee	BAYER A G SPON ADR	-4.90
	Jun 11	Fee	SOUTH32 LTD SPON ADR AS OF 05/29/15	-21.75
	Jun 24	Fee	DEUTSCHE TELEKOM AG DE SPON ADR	-128.10
	Jun 29	Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/05/15	-13.80

continued next page

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Fees (continued)</b>	Jun 29	Cancel Of Fee	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/05/15	16.83
		<b>Total fees</b>		<b>-\$340.14</b>
<b>Other funds debited</b>	Jun 2	Foreign Tax Withheld	SANOFI SPON ADR	-288.47
	Jun 3	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-77.91
	Jun 4	Foreign Tax Withheld	MUNICH RE GROUP ADR AS OF 05/11/15	-576.29
	Jun 4	Cancel Of Foreign Tx Wh	MUNICH RE GROUP ADR AS OF 05/11/15	576.29
	Jun 5	Foreign Tax Withheld	P.T. TELEKOMUNIKASI INDONESIA SPON ADR AS OF 06/04/15	-155.87
	Jun 5	Foreign Tax Withheld	P.T. TELEKOMUNIKASI INDONESIA SPON ADR	-31.17
	Jun 5	Foreign Tax Withheld	STATOIL ASA SPON ADR	-50.22
	Jun 8	Foreign Tax Withheld	BAYER A G SPON ADR	-159.03
		<b>Total other funds debited</b>		<b>-\$762.67</b>

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jun 22	Cash In Lieu	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206				1.40		
Jun 22	Spin Off	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206	6.000					
<b>Total</b>						<b>\$1.40</b>		

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>May 29</b>	<b>Balance forward</b>		<b>\$68,396.62</b>
	Jun 1	Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,916.42
	Jun 3	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,580.86
	Jun 4	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,679.41
	Jun 5	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/04/15	1.11
	Jun 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,794.19
	Jun 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,165.74
	Jun 10	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 06/09/15	-21.75
	Jun 23	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1.40
	Jun 24	Deposit	UBS BANK USA DEPOSIT ACCOUNT	3.03
	Jun 25	Deposit	UBS BANK USA DEPOSIT ACCOUNT	2,276.91
	<b>Jun 30</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$79,793.94</b>

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ROYAL DUTCH SHELL PLC CL A SPON ADR	FIFO	0.022	Jun 22, 15	Jun 22, 15	1.40	0.00		---This information was unavailable---	

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

- Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
- Provide your name and account number (if any).
  - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
  - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountsforbna](http://www.ubs.com/accountsforbna).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

July 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

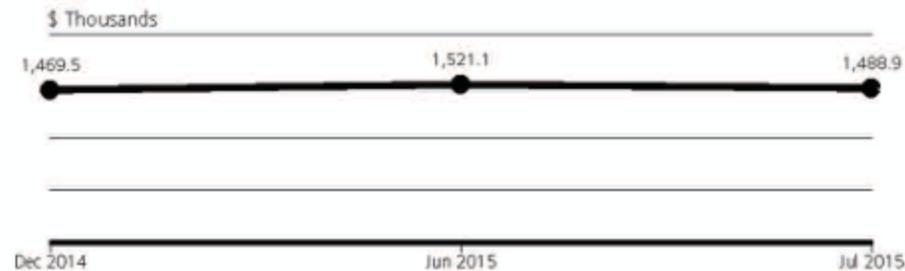
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on June 30 (\$)	on July 31 (\$)
Your assets	1,521,095.06	1,488,945.99
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,521,095.06</b>	<b>\$1,488,945.99</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$90,330.51
Your investment return:	
Dividend and interest income	\$41,693.85
Change in market value	\$68,101.65
<b>Value of your account on Jul 31, 2015</b>	<b>\$1,488,945.99</b>

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## Your account balance sheet

### Summary of your assets

	Value on July 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	67.06	0.00%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,488,878.93	100.00%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,488,945.99</b>	<b>100.00%</b>

**Value of your account** **\$1,488,945.99**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	July 2015	Year to date
S&P 500	2.10%	3.35%
Russell 3000	1.67%	3.65%
MSCI - Europe, Australia & Far East	2.08%	8.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	0.59%

#### Interest rates on July 31, 2015

3-month Treasury bills: 0.08%  
One-month LIBOR: 0.19%

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## Change in the value of your account

	July 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,521,095.06</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	1,500.00	1,506.30
Withdrawals and fees, including investments transferred out	-83,702.44	-91,836.81
Dividend and interest income	3,121.13	41,693.85
Change in market value	46,932.24	68,101.65
<b>Closing account value</b>	<b>\$1,488,945.99</b>	<b>\$1,488,945.99</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2015 (\$)	Year to date (\$)
Taxable dividends	3,119.81	41,687.99
Taxable interest	1.32	5.86
<b>Total current year</b>	<b>\$3,121.13</b>	<b>\$41,693.85</b>
<b>Total dividend &amp; interest</b>	<b>\$3,121.13</b>	<b>\$41,693.85</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	July 2015 (\$)	Year to date (\$)	
Short term	0.00	0.00	-462.42
Long term	0.00	6,913.17	129,637.51
<b>Total</b>	<b>\$0.00</b>	<b>\$6,913.17</b>	<b>\$129,175.09</b>



## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$79,793.94</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	1,500.00	1,506.30
Dividend and interest income	3,121.13	41,693.85
Proceeds from investment transactions	0.00	46,294.38
<b>Total additions</b>	<b>\$4,621.13</b>	<b>\$89,494.53</b>
<i>Subtractions</i>		
Professional management fees and related services	-1,917.00	-5,612.94
Other fees	-26.27	-916.80
Other funds debited	-81,759.17	-85,307.07
Funds withdrawn for investments bought	-645.57	-645.57
<b>Total subtractions</b>	<b>-\$84,348.01</b>	<b>-\$92,482.38</b>
<b>Net cash flow</b>	<b>-\$79,726.88</b>	<b>-\$2,987.85</b>
<b>Closing balances</b>	<b>\$67.06</b>	<b>\$67.06</b>

## Withholdings and tax summary

	July 2015 (\$)	Year to date (\$)
Foreign taxes paid	-316.60	-3,880.14
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$316.60</b>	<b>-\$3,858.20</b>

## UBS Bank USA Deposit Account APY

Interest period Jun 5 - Jul 7

Opening UBS Bank USA Deposit balance Jun 5	\$74,574.42
Closing UBS Bank USA Deposit balance Jul 7	\$80,510.15
Number of days in interest period	33
Average daily balance	\$78,131.38
Interest earned	\$1.32
Annual percentage yield earned	0.02 %

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jul 1 (\$)	Closing balance on Jul 31 (\$)	Price per share on Jul 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	79,793.94	67.06					250,000.00

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABB LTD SPON ADR</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$842 Current yield: 2.81%								
	Jan 29, 13	825.000	21.573	17,797.89	20.280	16,731.00	-1,066.89	LT
	Apr 22, 13	650.000	21.211	13,787.15	20.280	13,182.00	-605.15	LT
<b>Security total</b>		1,475.000	21.414	31,585.04		29,913.00	-1,672.04	
<b>ASTRAZENECA PLC SPON ADR</b>								
Symbol: AZN Exchange: NYSE								
EAI: \$1,007 Current yield: 4.08%								
	Jan 29, 13	420.000	25.065	10,527.30	33.790	14,191.80	3,664.50	LT
	Apr 22, 13	170.000	25.667	4,363.53	33.790	5,744.30	1,380.77	LT
	Jul 12, 13	140.000	24.720	3,460.92	33.790	4,730.60	1,269.68	LT
<b>Security total</b>		730.000	25.139	18,351.75		24,666.70	6,314.95	
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,222 Current yield: 4.07%								
	Jan 29, 13	1,000.000	22.160	22,160.00	29.990	29,990.00	7,830.00	LT

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Your assets » Equities » Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$439 Current yield: 1.21%								
	Jan 29, 13	200.000	99.560	19,912.00	147.760	29,552.00	9,640.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	147.760	6,649.20	2,070.00	LT
<b>Security total</b>		245.000	99.964	24,491.20		36,201.20	11,710.00	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 6.46%								
	Jan 29, 13	320.000	78.520	25,126.50	38.370	12,278.40	-12,848.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	38.370	1,151.10	-764.85	LT
	Jul 12, 13	85.000	61.002	5,185.23	38.370	3,261.45	-1,923.78	LT
<b>Security total</b>		435.000	74.087	32,227.68		16,690.95	-15,536.73	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,706 Current yield: 3.85%								
	Jan 29, 13	340.000	104.097	35,393.08	119.000	40,460.00	5,066.92	LT
	Apr 22, 13	150.000	107.325	16,098.78	119.000	17,850.00	1,751.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	119.000	11,900.00	1,345.56	LT
<b>Security total</b>		590.000	105.163	62,046.30		70,210.00	8,163.70	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,276 Current yield: 2.96%								
	Sep 16, 13	1,275.000	13.387	17,069.45	18.000	22,950.00	5,880.55	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	18.000	20,700.00	5,337.15	LT
	Sep 18, 13	990.000	13.393	13,259.17	18.000	17,820.00	4,560.83	LT
	Oct 10, 13	855.000	15.481	13,236.68	18.000	15,390.00	2,153.32	LT
<b>Security total</b>		4,270.000	13.801	58,928.15		76,860.00	17,931.85	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,238 Current yield: 3.01%								
	Jan 29, 13	640.000	23.900	15,296.00	30.280	19,379.20	4,083.20	LT
	Apr 22, 13	720.000	22.581	16,258.75	30.280	21,801.60	5,542.85	LT
<b>Security total</b>		1,360.000	23.202	31,554.75		41,180.80	9,626.05	
<b>ENGIE SPON ADR</b>								
Symbol: ENGIY Exchange: OTC								
EAI: \$1,746 Current yield: 4.57%								
	Jan 29, 13	720.000	20.779	14,960.95	19.280	13,881.60	-1,079.35	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	380.000	20.919	7,949.37	19.280	7,326.40	-622.97	LT
	Jul 12, 13	215.000	20.144	4,330.97	19.280	4,145.20	-185.77	LT
	Aug 21, 13	285.000	22.200	6,327.10	19.280	5,494.80	-832.30	LT
	Aug 22, 13	140.000	22.508	3,151.20	19.280	2,699.20	-452.00	LT
	Aug 23, 13	240.000	22.418	5,380.51	19.280	4,627.20	-753.31	LT
<b>Security total</b>		<b>1,980.000</b>	<b>21.263</b>	<b>42,100.10</b>		<b>38,174.40</b>	<b>-3,925.70</b>	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE								
EAI: \$2,514 Current yield: 5.70%								
	Jan 29, 13	330.000	45.615	15,052.95	43.440	14,335.20	-717.75	LT
	Feb 13, 13	320.000	45.645	14,606.46	43.440	13,900.80	-705.66	LT
	Apr 22, 13	245.000	50.630	12,404.47	43.440	10,642.80	-1,761.67	LT
	Jul 12, 13	120.000	52.904	6,348.48	43.440	5,212.80	-1,135.68	LT
<b>Security total</b>		<b>1,015.000</b>	<b>47.697</b>	<b>48,412.36</b>		<b>44,091.60</b>	<b>-4,320.76</b>	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,416 Current yield: 4.48%								
	Apr 25, 13	60.000	70.891	4,253.51	104.760	6,285.60	2,032.09	LT
	Apr 26, 13	375.000	70.792	26,547.34	104.760	39,285.00	12,737.66	LT
	Jul 12, 13	80.000	68.375	5,470.00	104.760	8,380.80	2,910.80	LT
<b>Security total</b>		<b>515.000</b>	<b>70.429</b>	<b>36,270.85</b>		<b>53,951.40</b>	<b>17,680.55</b>	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC								
EAI: \$23 Current yield: 0.80%								
	Jan 29, 13	103.000	9.300	957.97	20.430	2,104.29	1,146.32	LT
	Apr 22, 13	20.400	9.849	200.93	20.430	416.77	215.84	LT
	Jul 12, 13	17.600	9.829	173.00	20.430	359.57	186.57	LT
<b>Security total</b>		<b>141.000</b>	<b>9.446</b>	<b>1,331.90</b>		<b>2,880.63</b>	<b>1,548.73</b>	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC								
EAI: \$2,286 Current yield: 5.21%								
	Jan 29, 13	1,660.000	19.580	32,502.80	16.730	27,771.80	-4,731.00	LT
	Apr 22, 13	590.000	17.390	10,260.10	16.730	9,870.70	-389.40	LT
	Jul 12, 13	375.000	19.219	7,207.31	16.730	6,273.75	-933.56	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>43,916.25</b>	<b>-6,053.96</b>	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MUNICH RE GROUP ADR</b>								
Symbol: MURGY Exchange: OTC EAI: \$1,477 Current yield: 3.08%								
	Jan 29, 13	1,650.000	18.280	30,162.00	18.370	30,310.50	148.50	LT
	Apr 22, 13	425.000	19.544	8,306.29	18.370	7,807.25	-499.04	LT
	Jul 12, 13	535.000	19.059	10,196.73	18.370	9,827.95	-368.78	LT
Security total		2,610.000	18.646	48,665.02		47,945.70	-719.32	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,015 Current yield: 2.53%								
	Jan 29, 13	570.000	70.160	39,991.20	75.600	43,092.00	3,100.80	LT
	Apr 22, 13	290.000	69.918	20,276.22	75.600	21,924.00	1,647.78	LT
	Jul 12, 13	195.000	67.417	13,146.41	75.600	14,742.00	1,595.59	LT
Security total		1,055.000	69.587	73,413.83		79,758.00	6,344.17	
<b>NIPPON TELEG &amp; TEL CORP SPON ADR</b>								
Symbol: NTT Exchange: NYSE EAI: \$1,441 Current yield: 1.69%								
	Jul 22, 13	1,700.000	26.445	44,957.18	38.630	65,671.00	20,713.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	38.630	19,701.30	6,283.61	LT
Security total		2,210.000	26.414	58,374.87		85,372.30	26,997.43	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE EAI: \$2,203 Current yield: 2.18%								
	Jan 29, 13	590.000	67.877	40,047.61	103.750	61,212.50	21,164.89	LT
	Apr 22, 13	240.000	72.512	17,403.02	103.750	24,900.00	7,496.98	LT
	Jul 12, 13	145.000	73.450	10,650.38	103.750	15,043.75	4,393.37	LT
Security total		975.000	69.847	68,101.01		101,156.25	33,055.24	
<b>ORKLA ASA A SHS SPON ADR</b>								
Symbol: ORKLY Exchange: OTC EAI: \$1,029 Current yield: 3.14%								
	Jan 21, 14	710.000	8.052	5,717.56	7.970	5,658.70	-58.86	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.970	1,753.40	-48.44	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.970	2,510.55	-98.37	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.970	1,474.45	-32.80	LT
	Jan 27, 14	135.000	8.019	1,082.58	7.970	1,075.95	-6.63	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.970	2,112.05	-20.03	LT

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 29, 14	390.000	7.991	3,116.53	7.970	3,108.30	-8.23	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.970	1,952.65	29.42	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.970	2,072.20	23.74	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.970	1,474.45	32.49	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.970	1,872.95	70.26	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.970	1,952.65	59.36	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.970	1,315.05	67.35	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.970	2,470.70	136.83	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.970	1,992.50	90.25	LT
Security total		4,115.000	7.913	32,560.21		32,796.55	236.34	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR								
Symbol: TLK Exchange: NYSE								
EAI: \$644 Current yield: 2.17%								
	Jan 29, 13	690.000	39.442	27,215.25	43.010	29,676.90	2,461.65	LT
RECKITT BENCKISER PLC SPON ADR								
Symbol: RBGLY Exchange: OTC								
EAI: \$1,317 Current yield: 1.92%								
	Jan 29, 13	2,590.000	13.187	34,156.85	19.400	50,246.00	16,089.15	LT
	Apr 22, 13	510.000	13.966	7,122.67	19.400	9,894.00	2,771.33	LT
	Jul 12, 13	440.000	13.938	6,132.99	19.400	8,536.00	2,403.01	LT
Security total		3,540.000	13.393	47,412.51		68,676.00	21,263.49	
ROYAL DUTCH SHELL PLC CL A SPON ADR								
Symbol: RDS.A Exchange: NYSE								
EAI: \$19 Current yield: 5.51%								
		6.000	---	---	57.480	344.88		
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR								
Symbol: RDS.B Exchange: NYSE								
EAI: \$1,504 Current yield: 6.47%								
	Jan 29, 13	185.000	74.585	13,798.31	58.150	10,757.75	-3,040.56	LT
	Apr 22, 13	215.000	65.856	14,159.06	58.150	12,502.25	-1,656.81	LT
Security total		400.000	69.893	27,957.37		23,260.00	-4,697.37	

continued next page

**CONFIDENTIAL**



Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SANOFI SPON ADR</b>								
Symbol: SNY Exchange: NYSE								
EAI: \$1,299 Current yield: 2.01%								
	Jan 29, 13	720.000	48.754	35,103.55	53.990	38,872.80	3,769.25	LT
	Apr 22, 13	275.000	52.654	14,480.06	53.990	14,847.25	367.19	LT
	Jul 12, 13	200.000	52.424	10,484.90	53.990	10,798.00	313.10	LT
<b>Security total</b>		<b>1,195.000</b>	<b>50.267</b>	<b>60,068.51</b>		<b>64,518.05</b>	<b>4,449.54</b>	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.58%								
	Jan 29, 13	250.000	110.110	27,527.50	107.070	26,767.50	-760.00	LT
	Apr 22, 13	200.000	100.134	20,026.84	107.070	21,414.00	1,387.16	LT
	Jul 12, 13	50.000	107.256	5,362.83	107.070	5,353.50	-9.33	LT
<b>Security total</b>		<b>500.000</b>	<b>105.834</b>	<b>52,917.17</b>		<b>53,535.00</b>	<b>617.83</b>	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$3,611 Current yield: 6.80%								
	Jan 29, 13	1,060.000	28.260	29,955.60	30.070	31,874.20	1,918.60	LT
	Apr 22, 13	440.000	29.820	13,121.15	30.070	13,230.80	109.65	LT
	Jul 12, 13	265.000	30.551	8,096.17	30.070	7,968.55	-127.62	LT
<b>Security total</b>		<b>1,765.000</b>	<b>28.993</b>	<b>51,172.92</b>		<b>53,073.55</b>	<b>1,900.63</b>	
<b>SMITHS GROUP PLC SPON ADR</b>								
Symbol: SMGZY Exchange: OTC								
EAI: \$972 Current yield: 3.49%								
	Jan 29, 13	1,245.000	19.750	24,588.75	17.670	21,999.15	-2,589.60	LT
	Apr 22, 13	330.000	18.685	6,166.05	17.670	5,831.10	-334.95	LT
<b>Security total</b>		<b>1,575.000</b>	<b>19.527</b>	<b>30,754.80</b>		<b>27,830.25</b>	<b>-2,924.55</b>	
<b>SOUTH32 LTD SPON ADR</b>								
Symbol: SOUHY Exchange: OTC								
	May 18, 15	128.000	9.000	1,152.00	6.370	815.36	-336.64	ST
	May 18, 15	34.000	9.000	306.00	6.370	216.58	-89.42	ST
	May 18, 15	12.000	9.000	108.00	6.370	76.44	-31.56	ST
<b>Security total</b>		<b>174.000</b>	<b>9.000</b>	<b>1,566.00</b>		<b>1,108.38</b>	<b>-457.62</b>	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,028 Current yield: 4.19%								
	Jan 29, 13	610.000	26.533	16,185.31	16.910	10,315.10	-5,870.21	LT
	Apr 22, 13	205.000	25.765	5,281.88	16.910	3,466.55	-1,815.33	LT

continued next page

**CONFIDENTIAL**



Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	170.000	23.274	3,956.72	16.910	2,874.70	-1,082.02	LT
	Apr 22, 13	135.000	25.785	3,481.05	16.910	2,282.85	-1,198.20	LT
	Jul 12, 13	330.000	21.423	7,069.66	16.910	5,580.30	-1,489.36	LT
<b>Security total</b>		<b>1,450.000</b>	<b>24.810</b>	<b>35,974.62</b>		<b>24,519.50</b>	<b>-11,455.12</b>	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE EAI: \$480 Current yield: 2.62%								
	Jan 29, 13	800.000	17.800	14,240.00	22.110	17,688.00	3,448.00	LT
	Jul 12, 13	30.000	18.434	553.04	22.110	663.30	110.26	LT
<b>Security total</b>		<b>830.000</b>	<b>17.823</b>	<b>14,793.04</b>		<b>18,351.30</b>	<b>3,558.26</b>	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE EAI: \$2,621 Current yield: 4.91%								
	Jan 29, 13	460.000	54.547	25,092.04	49.290	22,673.40	-2,418.64	LT
	Apr 22, 13	510.000	46.879	23,908.70	49.290	25,137.90	1,229.20	LT
	Jul 12, 13	100.000	50.459	5,045.96	49.290	4,929.00	-116.96	LT
	Earnings	13.000	49.659	645.57	49.290	640.77	-4.80	
<b>Security total</b>		<b>1,083.000</b>	<b>50.501</b>	<b>54,692.27</b>		<b>53,381.07</b>	<b>-1,311.20</b>	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE EAI: \$2,013 Current yield: 2.75%								
	Jan 29, 13	930.000	40.500	37,665.00	44.830	41,691.90	4,026.90	LT
	Apr 22, 13	445.000	41.074	18,278.06	44.830	19,949.35	1,671.29	LT
	Jul 12, 13	255.000	41.271	10,524.33	44.830	11,431.65	907.32	LT
<b>Security total</b>		<b>1,630.000</b>	<b>40.778</b>	<b>66,467.39</b>		<b>73,072.90</b>	<b>6,605.51</b>	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR								
Symbol: UOVEY Exchange: OTC EAI: \$1,338 Current yield: 3.28%								
	Jan 29, 13	900.000	30.540	27,486.00	32.640	29,376.00	1,890.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	32.640	3,264.00	-85.24	LT
	Jul 12, 13	250.000	33.180	8,295.00	32.640	8,160.00	-135.00	LT
<b>Security total</b>		<b>1,250.000</b>	<b>31.304</b>	<b>39,130.24</b>		<b>40,800.00</b>	<b>1,669.76</b>	

continued next page

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Jul 31 (\$)	Value on Jul 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>VODAFONE GROUP PLC SPON ADR</b>								
Symbol: VOD Exchange: OTC EAI: \$1,766 Current yield: 4.50%								
	Jan 29, 13	796.294	50.164	39,945.60	37.780	30,083.99	-9,861.61	LT
	Apr 22, 13	2.727	54.627	148.97	37.780	103.03	-45.94	LT
	Jul 12, 13	239.979	54.033	12,966.80	37.780	9,066.41	-3,900.39	LT
Security total		1,039.000	51.070	53,061.37		39,253.42	-13,807.94	
<b>ZURICH INS GROUP LTD SPON ADR</b>								
Symbol: ZURVY Exchange: OTC EAI: \$3,479 Current yield: 5.64%								
	Jan 29, 13	1,050.000	28.470	29,893.50	30.480	32,004.00	2,110.50	LT
	Apr 22, 13	685.000	26.251	17,982.21	30.480	20,878.80	2,896.59	LT
	Jul 12, 13	290.000	26.739	7,754.57	30.480	8,839.20	1,084.63	LT
Security total		2,025.000	27.472	55,630.28		61,722.00	6,091.72	
<b>Total</b>				<b>\$1,359,358.97</b>		<b>\$1,488,878.93</b>	<b>\$129,175.09</b>	

Total estimated annual income: \$51,430

Your total assets

		Value on Jul 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>67.06</b>		<b>67.06</b>		
<b>Equities</b>	<b>* Common stock</b>	<b>1,488,878.93</b>	<b>100.00%</b>	<b>1,359,358.97</b>	<b>51,430.00</b>	<b>129,175.09</b>
<b>Total</b>		<b>\$1,488,945.99</b>	<b>100.00%</b>	<b>\$1,359,426.03</b>	<b>\$51,430.00</b>	<b>\$129,175.09</b>

\* Missing cost basis information.

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>	Jul 27	Transfer	FM Y1 23575 0100	1,500.00
	<b>Total deposits and other funds credited</b>			<b>\$1,500.00</b>
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>	Jul 6	Foreign Dividend	NIPPON TELEG & TEL CORP SPON ADR PAID ON 2210 CUSIP: 654624105	809.06

continued next page

**CONFIDENTIAL**



Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable dividends (continued)</i>				
	Jul 8	Foreign Dividend	IMPERIAL TOBACCO GROUP PLC SPON ADR PAID ON CUSIP: 453142101 515	344.49
	Jul 9	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP: 37733W105 1015	594.94
	Jul 20	Foreign Dividend	TOTAL S.A. FRANCE SPON ADR AS OF 07/10/15 CUSIP: 89151E109	771.36
	Jul 23	Foreign Dividend	TAIWAN SEMICONDUCTOR MFG CO LTD ADR PAID ON CUSIP: 874039100 830	599.96
		<b>Total taxable dividends</b>		<b>\$3,119.81</b>
<i>Taxable interest</i>				
	Jul 8	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/07/15	1.32
		<b>Total taxable interest</b>		<b>\$1.32</b>
		<b>Total dividend and interest income</b>		<b>\$3,121.13</b>
<b>Fees</b>				
	Jul 6	Fee	NIPPON TELEG & TEL CORP SPON ADR	-13.26
	Jul 8	Fee	IMPERIAL TOBACCO GROUP PLC SPON ADR	-1.93
	Jul 9	Fee	GLAXO SMITHKLINE PLC ADR	-5.08
	Jul 24	Fee Charged	QUARTERLY FEE	-1,917.00
	Jul 28	Fee	TOTAL S.A. FRANCE SPON ADR AS OF 07/10/15	-0.65
	Jul 28	Fee	TOTAL S.A. FRANCE SPON ADR AS OF 07/10/15	-5.35
		<b>Total professional management fees</b>		<b>-\$1,917.00</b>
		<b>Total other fees</b>		<b>-\$26.27</b>
<b>Other funds debited</b>				
	Jul 6	Foreign Tax Withheld	NIPPON TELEG & TEL CORP SPON ADR	-80.91
	Jul 20	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-81,442.57
	Jul 20	Foreign Tax Withheld	TOTAL S.A. FRANCE SPON ADR AS OF 07/10/15	-115.70
	Jul 23	Foreign Tax Withheld	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	-119.99
		<b>Total other funds debited</b>		<b>-\$81,759.17</b>

**CONFIDENTIAL**



Account activity this month (continued)

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jul 20	Reinvestment	TOTAL S.A. FRANCE SPON ADR AS OF 07/10/15 CUSIP: 89151E109	13.000				-645.57	
Jul 27	Dividend	ASTRAZENECA PLC SPON ADR STOCK SPLIT SYMBOL: AZN RATE: 1.00 PAID ON 365 CUSIP: 046353108	365.000					
Jul 31	Exchange	ENGIE SPON ADR CUSIP: 29286D105	1,980.000					
Jul 31	Exchange	GDF SUEZ **NAME CHANGE EFF: 07/2015** SPON ADR CUSIP: 36160B105	-1,980.000					
<b>Total</b>							<b>-\$645.57</b>	

Date	Activity	Description	Amount (\$)
<b>Jun 30</b>	<b>Balance forward</b>		<b>\$79,793.94</b>
Jul 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT	714.89
Jul 8	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/07/15	1.32
Jul 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	342.56
Jul 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	589.86
Jul 21	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/20/15	-81,432.48
Jul 24	Deposit	UBS BANK USA DEPOSIT ACCOUNT	479.97
Jul 27	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/24/15	-490.06
Jul 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	73.06
Jul 29	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 07/28/15	-6.00
<b>Jul 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$67.06</b>

The UBS Bank, USA Deposit Account is your primary sweep option.

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

August 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

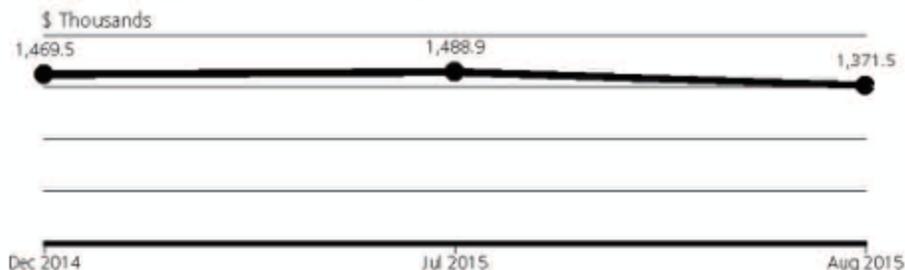
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on July 31 (\$)	on August 31 (\$)
Your assets	1,488,945.99	1,371,541.00
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,488,945.99</b>	<b>\$1,371,541.00</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$91,930.86
Your investment return:	
Dividend and interest income	\$44,533.43
Change in market value	-\$50,542.57
<b>Value of your account on Aug 31, 2015</b>	<b>\$1,371,541.00</b>

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## Your account balance sheet

### Summary of your assets

	Value on August 31 (\$)	Percentage of your account
<b>A</b> Cash and money balances	121,343.80	8.85%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,250,197.20	91.15%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,371,541.00</b>	<b>100.00%</b>

**Value of your account** **\$1,371,541.00**

### Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

### Eye on the markets

Index	Percentage change	
	August 2015	Year to date
S&P 500	-6.03%	-2.88%
Russell 3000	-6.04%	-2.61%
MSCI - Europe, Australia & Far East	-7.35%	0.14%
Barclays Capital U.S. Aggregate Bond Index	-0.14%	0.45%

#### Interest rates on August 31, 2015

3-month Treasury bills: 0.04%  
One-month LIBOR: 0.20%

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## Change in the value of your account

	August 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,488,945.99</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	1,506.30
Withdrawals and fees, including investments transferred out	-1,600.35	-93,437.16
Dividend and interest income	2,839.58	44,533.43
Change in market value	-118,644.22	-50,542.57
<b>Closing account value</b>	<b>\$1,371,541.00</b>	<b>\$1,371,541.00</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	August 2015 (\$)	Year to date (\$)
Taxable dividends	2,839.10	44,527.09
Taxable interest	0.48	6.34
<b>Total current year</b>	<b>\$2,839.58</b>	<b>\$44,533.43</b>
<b>Total dividend &amp; interest</b>	<b>\$2,839.58</b>	<b>\$44,533.43</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	August 2015 (\$)	Year to date (\$)	
Short term	-89.21	-89.21	-624.66
Long term	-13,985.37	-7,072.20	25,257.43
<b>Total</b>	<b>-\$14,074.58</b>	<b>-\$7,161.41</b>	<b>\$24,632.77</b>



## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	August 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$67.06</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	1,506.30
Dividend and interest income	2,839.58	44,533.43
Proceeds from investment transactions	120,037.51	166,331.89
<b>Total additions</b>	<b>\$122,877.09</b>	<b>\$212,371.62</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-5,612.94
Other fees	-66.08	-982.88
Other funds debited	-1,534.27	-86,841.34
Funds withdrawn for investments bought	0.00	-645.57
<b>Total subtractions</b>	<b>-\$1,600.35</b>	<b>-\$94,082.73</b>
<b>Net cash flow</b>	<b>\$121,276.74</b>	<b>\$118,288.89</b>
<b>Closing balances</b>	<b>\$121,343.80</b>	<b>\$121,343.80</b>

## Withholdings and tax summary

	August 2015 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-3,880.14
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>\$0.00</b>	<b>-\$3,858.20</b>

## UBS Bank USA Deposit Account APY

Interest period Jul 8 - Aug 6

Opening UBS Bank USA Deposit balance Jul 8	\$80,510.15
Closing UBS Bank USA Deposit balance Aug 6	\$1,544.27
Number of days in interest period	30
Average daily balance	\$32,599.21
Interest earned	\$0.48
Annual percentage yield earned	0.02 %

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

## Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 31 (\$)	Price per share on Aug 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	25,947.65					
UBS BANK USA DEP ACCT	67.06	95,396.15					250,000.00
<b>Total</b>	<b>\$67.06</b>	<b>\$121,343.80</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Aug 31 (\$)	Value on Aug 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$842 Current yield: 2.96%								
	Jan 29, 13	825.000	21.573	17,797.89	19.310	15,930.75	-1,867.14	LT
	Apr 22, 13	650.000	21.211	13,787.15	19.310	12,551.50	-1,235.65	LT
Security total		1,475.000	21.414	31,585.04		28,482.25	-3,102.79	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,007 Current yield: 4.41%								
	Jan 29, 13	420.000	25.065	10,527.30	31.280	13,137.60	2,610.30	LT
	Apr 22, 13	170.000	25.667	4,363.53	31.280	5,317.60	954.07	LT
	Jul 12, 13	140.000	24.720	3,460.92	31.280	4,379.20	918.28	LT
Security total		730.000	25.139	18,351.75		22,834.40	4,482.65	

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Page 5 of 14

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 31 (\$)	Value on Aug 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,231 Current yield: 4.47%								
	Jan 29, 13	1,000.000	22.160	22,160.00	27.560	27,560.00	5,400.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$439 Current yield: 1.32%								
	Jan 29, 13	200.000	99.560	19,912.00	135.595	27,119.00	7,207.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	135.595	6,101.77	1,522.57	LT
Security total		245.000	99.964	24,491.20		33,220.77	8,729.57	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 6.73%								
	Jan 29, 13	320.000	78.520	25,126.50	36.830	11,785.60	-13,340.90	LT
	Apr 22, 13	30.000	63.865	1,915.95	36.830	1,104.90	-811.05	LT
	Jul 12, 13	85.000	61.002	5,185.23	36.830	3,130.55	-2,054.68	LT
Security total		435.000	74.087	32,227.68		16,021.05	-16,206.63	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,706 Current yield: 4.33%								
	Jan 29, 13	340.000	104.097	35,393.08	105.980	36,033.20	640.12	LT
	Apr 22, 13	150.000	107.325	16,098.78	105.980	15,897.00	-201.78	LT
	Jul 12, 13	100.000	105.544	10,554.44	105.980	10,598.00	43.56	LT
Security total		590.000	105.163	62,046.30		62,528.20	481.90	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,276 Current yield: 3.13%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.050	21,738.75	4,669.30	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	17.050	19,607.50	4,244.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	17.050	16,879.50	3,620.33	LT
	Oct 10, 13	855.000	15.481	13,236.68	17.050	14,577.75	1,341.07	LT
Security total		4,270.000	13.801	58,928.15		72,803.50	13,875.35	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$1,238 Current yield: 3.29%								
	Jan 29, 13	640.000	23.900	15,296.00	27.640	17,689.60	2,393.60	LT
	Apr 22, 13	720.000	22.581	16,258.75	27.640	19,900.80	3,642.05	LT
Security total		1,360.000	23.202	31,554.75		37,590.40	6,035.65	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug.31 (\$)	Value on Aug.31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ENGIE SPON ADR</b>								
Symbol: ENGIY Exchange: OTC EAI: \$1,746 Current yield: 4.92%								
	Jan 29, 13	720.000	20.779	14,960.95	17.920	12,902.40	-2,058.55	LT
	Apr 22, 13	380.000	20.919	7,949.37	17.920	6,809.60	-1,139.77	LT
	Jul 12, 13	215.000	20.144	4,330.97	17.920	3,852.80	-478.17	LT
	Aug 21, 13	285.000	22.200	6,327.10	17.920	5,107.20	-1,219.90	LT
	Aug 22, 13	140.000	22.508	3,151.20	17.920	2,508.80	-642.40	LT
	Aug 23, 13	240.000	22.418	5,380.51	17.920	4,300.80	-1,079.71	LT
Security total		1,980.000	21.263	42,100.10		35,481.60	-6,618.50	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE EAI: \$2,514 Current yield: 6.05%								
	Jan 29, 13	330.000	45.615	15,052.95	40.930	13,506.90	-1,546.05	LT
	Feb 13, 13	320.000	45.645	14,606.46	40.930	13,097.60	-1,508.86	LT
	Apr 22, 13	245.000	50.630	12,404.47	40.930	10,027.85	-2,376.62	LT
	Jul 12, 13	120.000	52.904	6,348.48	40.930	4,911.60	-1,436.88	LT
Security total		1,015.000	47.697	48,412.36		41,543.95	-6,868.41	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC EAI: \$2,094 Current yield: 4.23%								
	Apr 25, 13	60.000	70.891	4,253.51	96.075	5,764.50	1,510.99	LT
	Apr 26, 13	375.000	70.792	26,547.34	96.075	36,028.12	9,480.78	LT
	Jul 12, 13	80.000	68.375	5,470.00	96.075	7,686.00	2,216.00	LT
Security total		515.000	70.429	36,270.85		49,478.62	13,207.77	
<b>INDIVIOR PLC SPON ADR</b>								
Symbol: INVVY Exchange: OTC EAI: \$23 Current yield: 0.94%								
	Jan 29, 13	103.000	9.300	957.97	17.275	1,779.32	821.35	LT
	Apr 22, 13	20.400	9.849	200.93	17.275	352.41	151.48	LT
	Jul 12, 13	17.600	9.829	173.00	17.275	304.04	131.04	LT
Security total		141.000	9.446	1,331.90		2,435.77	1,103.87	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC EAI: \$2,210 Current yield: 6.30%								
	Jan 29, 13	1,660.000	19.580	32,502.80	13.360	22,177.60	-10,325.20	LT
	Apr 22, 13	590.000	17.390	10,260.10	13.360	7,882.40	-2,377.70	LT

continued next page  
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Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 31 (\$)	Value on Aug 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jul 12, 13	375.000	19.219	7,207.31	13.360	5,010.00	-2,197.31	LT
<b>Security total</b>		<b>2,625.000</b>	<b>19.036</b>	<b>49,970.21</b>		<b>35,070.00</b>	<b>-14,900.21</b>	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol: NSRGY Exchange: OTC EAI: \$2,015 Current yield: 2.59%								
	Jan 29, 13	570.000	70.160	39,991.20	73.648	41,979.36	1,988.16	LT
	Apr 22, 13	290.000	69.918	20,276.22	73.648	21,357.92	1,081.70	LT
	Jul 12, 13	195.000	67.417	13,146.41	73.648	14,361.36	1,214.95	LT
<b>Security total</b>		<b>1,055.000</b>	<b>69.587</b>	<b>73,413.83</b>		<b>77,698.64</b>	<b>4,284.81</b>	
NIPPON TELEG & TEL CORP SPON ADR Symbol: NTT Exchange: NYSE EAI: \$1,441 Current yield: 1.71%								
	Jul 22, 13	1,700.000	26.445	44,957.18	38.240	65,008.00	20,050.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	38.240	19,502.40	6,084.71	LT
<b>Security total</b>		<b>2,210.000</b>	<b>26.414</b>	<b>58,374.87</b>		<b>84,510.40</b>	<b>26,135.53</b>	
NOVARTIS AG SPON ADR Symbol: NVS Exchange: NYSE EAI: \$2,203 Current yield: 2.32%								
	Jan 29, 13	590.000	67.877	40,047.61	97.220	57,359.80	17,312.19	LT
	Apr 22, 13	240.000	72.512	17,403.02	97.220	23,332.80	5,929.78	LT
	Jul 12, 13	145.000	73.450	10,650.38	97.220	14,096.90	3,446.52	LT
<b>Security total</b>		<b>975.000</b>	<b>69.847</b>	<b>68,101.01</b>		<b>94,789.50</b>	<b>26,688.49</b>	
ORKLA ASA A SHS SPON ADR Symbol: ORKLY Exchange: OTC EAI: \$1,029 Current yield: 3.42%								
	Jan 21, 14	710.000	8.052	5,717.56	7.315	5,193.65	-523.91	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.315	1,609.30	-192.54	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.315	2,304.22	-304.70	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.315	1,353.27	-153.98	LT
	Jan 27, 14	135.000	8.019	1,082.58	7.315	987.52	-95.06	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.315	1,938.47	-193.61	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.315	2,852.85	-263.68	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.315	1,792.17	-131.06	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.315	1,901.90	-146.56	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.315	1,353.27	-88.69	LT

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug.31 (\$)	Value on Aug.31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 4, 14	235.000	7.671	1,802.69	7.315	1,719.02	-83.67	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.315	1,792.17	-101.12	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.315	1,206.97	-40.73	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.315	2,267.65	-66.22	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.315	1,828.75	-73.50	LT
Security total		4,115.000	7.913	32,560.21		30,101.22	-2,459.03	
P.T. TELEKOMUNIKASI INDONESIA SPON ADR Symbol: TLK Exchange: NYSE EAI: \$644 Current yield: 2.32%								
	Jan 29, 13	690.000	39.442	27,215.25	40.210	27,744.90	529.65	LT
RECKITT BENCKISER PLC SPON ADR Symbol: RBGLY Exchange: OTC EAI: \$1,317 Current yield: 2.10%								
	Jan 29, 13	2,590.000	13.187	34,156.85	17.740	45,946.60	11,789.75	LT
	Apr 22, 13	510.000	13.966	7,122.67	17.740	9,047.40	1,924.73	LT
	Jul 12, 13	440.000	13.938	6,132.99	17.740	7,805.60	1,672.61	LT
Security total		3,540.000	13.393	47,412.51		62,799.60	15,387.09	
ROYAL DUTCH SHELL PLC CL A SPON ADR Symbol: RDS.A Exchange: NYSE EAI: \$19 Current yield: 5.98%								
		6.000	---This information was unavailable---		52.920	317.52		
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 7.10%								
	Jan 29, 13	185.000	74.585	13,798.31	52.930	9,792.05	-4,006.26	LT
	Apr 22, 13	215.000	65.856	14,159.06	52.930	11,379.95	-2,779.11	LT
Security total		400.000	69.893	27,957.37		21,172.00	-6,785.37	
SANOFI SPON ADR Symbol: SNY Exchange: NYSE EAI: \$1,299 Current yield: 2.22%								
	Jan 29, 13	720.000	48.754	35,103.55	48.920	35,222.40	118.85	LT
	Apr 22, 13	275.000	52.654	14,480.06	48.920	13,453.00	-1,027.06	LT
	Jul 12, 13	200.000	52.424	10,484.90	48.920	9,784.00	-700.90	LT
Security total		1,195.000	50.267	60,068.51		58,459.40	-1,609.11	

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 31 (\$)	Value on Aug 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC								
EAI: \$1,380 Current yield: 2.78%								
	Jan 29, 13	250.000	110.110	27,527.50	99.140	24,785.00	-2,742.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	99.140	19,828.00	-198.84	LT
	Jul 12, 13	50.000	107.256	5,362.83	99.140	4,957.00	-405.83	LT
<b>Security total</b>		500.000	105.834	52,917.17		49,570.00	-3,347.17	
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC								
EAI: \$2,173 Current yield: 4.65%								
	Jan 29, 13	1,060.000	28.260	29,955.60	26.480	28,068.80	-1,886.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	26.480	11,651.20	-1,469.95	LT
	Jul 12, 13	265.000	30.551	8,096.17	26.480	7,017.20	-1,078.97	LT
<b>Security total</b>		1,765.000	28.993	51,172.92		46,737.20	-4,435.72	
<b>SOUTH32 LTD SPON ADR</b>								
Symbol: SOUHY Exchange: OTC								
	May 18, 15	128.000	9.000	1,152.00	5.410	692.48	-459.52	ST
	May 18, 15	34.000	9.000	306.00	5.410	183.94	-122.06	ST
	May 18, 15	12.000	9.000	108.00	5.410	64.92	-43.08	ST
<b>Security total</b>		174.000	9.000	1,566.00		941.34	-624.66	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE								
EAI: \$1,028 Current yield: 4.58%								
	Jan 29, 13	610.000	26.533	16,185.31	15.490	9,448.90	-6,736.41	LT
	Apr 22, 13	205.000	25.765	5,281.88	15.490	3,175.45	-2,106.43	LT
	Apr 22, 13	170.000	23.274	3,956.72	15.490	2,633.30	-1,323.42	LT
	Apr 22, 13	135.000	25.785	3,481.05	15.490	2,091.15	-1,389.90	LT
	Jul 12, 13	330.000	21.423	7,069.66	15.490	5,111.70	-1,957.96	LT
<b>Security total</b>		1,450.000	24.810	35,974.62		22,460.50	-13,514.12	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE								
EAI: \$480 Current yield: 2.91%								
	Jan 29, 13	800.000	17.800	14,240.00	19.880	15,904.00	1,664.00	LT
	Jul 12, 13	30.000	18.434	553.04	19.880	596.40	43.36	LT
<b>Security total</b>		830.000	17.823	14,793.04		16,500.40	1,707.36	

continued next page

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Aug 31 (\$)	Value on Aug 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol: UN Exchange: NYSE EAI: \$2,016 Current yield: 3.08%								
	Jan 29, 13	930.000	40.500	37,665.00	40.120	37,311.60	-353.40	LT
	Apr 22, 13	445.000	41.074	18,278.06	40.120	17,853.40	-424.66	LT
	Jul 12, 13	255.000	41.271	10,524.33	40.120	10,230.60	-293.73	LT
Security total		1,630.000	40.778	66,467.39		65,395.60	-1,071.79	
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol: UOVEY Exchange: OTC EAI: \$1,559 Current yield: 4.51%								
	Jan 29, 13	900.000	30.540	27,486.00	27.630	24,867.00	-2,619.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	27.630	2,763.00	-586.24	LT
	Jul 12, 13	250.000	33.180	8,295.00	27.630	6,907.50	-1,387.50	LT
Security total		1,250.000	31.304	39,130.24		34,537.50	-4,592.74	
VODAFONE GROUP PLC SPON ADR Symbol: VOD Exchange: OTC EAI: \$1,766 Current yield: 4.93%								
	Jan 29, 13	796.294	50.164	39,945.60	34.480	27,456.22	-12,489.38	LT
	Apr 22, 13	2.727	54.627	148.97	34.480	94.03	-54.94	LT
	Jul 12, 13	239.979	54.033	12,966.80	34.480	8,274.48	-4,692.32	LT
Security total		1,039.000	51.070	53,061.37		35,824.72	-17,236.64	
ZURICH INS GROUP LTD SPON ADR Symbol: ZURVY Exchange: OTC EAI: \$3,479 Current yield: 6.26%								
	Jan 29, 13	1,050.000	28.470	29,893.50	27.450	28,822.50	-1,071.00	LT
	Apr 22, 13	685.000	26.251	17,982.21	27.450	18,803.25	821.04	LT
	Jul 12, 13	290.000	26.739	7,754.57	27.450	7,960.50	205.93	LT
Security total		2,025.000	27.472	55,630.28		55,586.25	-44.03	
<b>Total</b>				<b>\$1,225,246.88</b>		<b>\$1,250,197.20</b>	<b>\$24,632.77</b>	
<b>Total estimated annual income: \$44,757</b>								

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Your assets (continued)

Your total assets

		Value on Aug 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>121,343.80</b>	<b>8.85%</b>	<b>121,343.80</b>		
<b>Equities</b>	<b>* Common stock</b>	<b>1,250,197.20</b>	<b>91.15%</b>	<b>1,225,246.88</b>	<b>44,757.00</b>	<b>24,632.77</b>
<b>Total</b>		<b>\$1,371,541.00</b>	<b>100.00%</b>	<b>\$1,346,590.68</b>	<b>\$44,757.00</b>	<b>\$24,632.77</b>

\* Missing cost basis information.

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>				
	Aug 5	Foreign Dividend	ABB LTD SPON ADR PAID ON CUSIP: 000375204 1475	259.74
	Aug 5	Foreign Dividend	VODAFONE GROUP PLC SPON ADR PAID ON CUSIP: 92857W308 1039	1,237.77
	Aug 26	Foreign Dividend	SINGAPORE TELECOM LTD NEW 2006 SPON ADR PAID ON CUSIP: 82929R304 1765	1,341.59
			<b>Total taxable dividends</b>	<b>\$2,839.10</b>
<i>Taxable interest</i>				
	Aug 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/06/15	0.48
			<b>Total taxable interest</b>	<b>\$0.48</b>
			<b>Total dividend and interest income</b>	<b>\$2,839.58</b>
<b>Fees</b>				
	Aug 5	Fee	VODAFONE GROUP PLC SPON ADR	-20.78
	Aug 14	Fee	SIEMENS A G SPON ADR	-10.00
	Aug 26	Fee	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	-35.30
			<b>Total fees</b>	<b>-\$66.08</b>
<b>Other funds debited</b>				
	Aug 18	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-1,534.27
			<b>Total other funds debited</b>	<b>-\$1,534.27</b>

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Account activity this month (continued)

**Investment transactions**

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Aug 28	Sold	MUNICH RE GROUP ADR CUSIP: 626188106	-2,610.000		18.291915	47,741.02		
Aug 28	Sold	TOTAL S.A. FRANCE SPON ADR CUSIP: 89151E109	-1,083.000		42.797500	46,348.84		
Aug 31	Sold	SMITHS GROUP PLC SPON ADR CUSIP: 83238P203	-1,575.000		16.475000	25,947.65		
<b>Total</b>						<b>\$120,037.51</b>		

Date	Activity	Description	Amount (\$)
<b>Jul 31</b>	<b>Balance forward</b>		<b>\$67.06</b>
Aug 6	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,476.73
Aug 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/06/15	0.48
Aug 17	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/14/15	-10.00
Aug 19	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 08/18/15	-1,534.27
Aug 27	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,306.29
Aug 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	94,089.86
<b>Aug 31</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$95,396.15</b>

The UBS Bank USA Deposit Account is your primary sweep option.

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## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
TOTAL S.A. FRANCE SPON ADR	FIFO	13.000	Jul 10, 15	Aug 25, 15	556.36	645.57		-89.21	

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
MUNICH RE GROUP ADR	FIFO	1,650.000	Jan 29, 13	Aug 25, 15	30,181.10	30,162.00			19.10
	FIFO	425.000	Apr 22, 13	Aug 25, 15	7,773.93	8,306.29		-532.36	
	FIFO	535.000	Jul 12, 13	Aug 25, 15	9,785.99	10,196.73		-410.74	
SMITHS GROUP PLC SPON ADR	FIFO	1,245.000	Jan 29, 13	Aug 26, 15	20,511.00	24,588.75		-4,077.75	
	FIFO	330.000	Apr 22, 13	Aug 26, 15	5,436.65	6,166.05		-729.40	
TOTAL S.A. FRANCE SPON ADR	FIFO	460.000	Jan 29, 13	Aug 25, 15	19,686.49	25,092.04		-5,405.55	
	FIFO	510.000	Apr 22, 13	Aug 25, 15	21,826.32	23,908.70		-2,082.38	
	FIFO	100.000	Jul 12, 13	Aug 25, 15	4,279.67	5,045.96		-766.29	
<b>Total</b>					<b>\$119,481.15</b>	<b>\$133,466.52</b>		<b>-\$14,004.47</b>	<b>\$19.10</b>
<b>Net long-term capital gains or losses</b>								<b>-\$13,985.37</b>	
<b>Net capital gains/losses:</b>								<b>-\$14,074.58</b>	

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

- Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
- Provide your name and account number (if any).
  - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
  - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accountsforbna](http://www.ubs.com/accountsforbna).

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
  - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
  - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
  - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
  - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
  - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
  - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
  - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
  - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
  - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
  - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
  - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
  - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
  - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
  - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.  
299 Park Avenue  
25th Floor  
New York NY 10171-0002

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# UBS Strategic Advisor

September 2015

GHISLAINE MAXWELL

NEW YORK NY

**Account name:** GHISLAINE MAXWELL

**Friendly account name:** [REDACTED]

**Account number:** [REDACTED]

**Your Financial Advisor:**

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

**Questions about your statement?**

Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account [REDACTED]

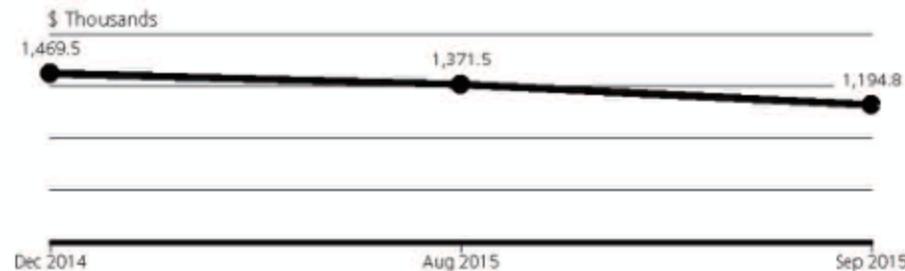
**Visit our website:**

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

## Value of your account

	on August 31 (\$)	on September 30 (\$)
Your assets	1,371,541.00	1,194,795.62
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,371,541.00</b>	<b>\$1,194,795.62</b>

## Tracking the value of your account



**Sources of your account growth during 2015**

Value of your account at year end 2014	\$1,469,481.00
Net deposits and withdrawals	-\$234,034.46
Your investment return:	
Dividend and interest income	\$47,814.79
Change in market value	-\$88,465.71
<b>Value of your account on Sep 30, 2015</b>	<b>\$1,194,795.62</b>

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## Your account balance sheet

### Summary of your assets

	Value on September 30 (\$)	Percentage of your account
<b>A</b> Cash and money balances	51,987.08	4.35%
<b>B</b> Cash alternatives	0.00	0.00%
<b>C</b> Equities	1,142,808.54	95.65%
<b>D</b> Fixed income	0.00	0.00%
<b>E</b> Non-traditional	0.00	0.00%
<b>F</b> Commodities	0.00	0.00%
<b>G</b> Other	0.00	0.00%
<b>Total assets</b>	<b>\$1,194,795.62</b>	<b>100.00%</b>

**Value of your account** **\$1,194,795.62**

### Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

### Eye on the markets

Index	Percentage change	
	September 2015	Year to date
S&P 500	-2.47%	-5.29%
Russell 3000	-2.91%	-5.45%
MSCI - Europe, Australia & Far East	-5.04%	-4.91%
Barclays Capital U.S. Aggregate Bond Index	0.68%	1.13%

#### Interest rates on September 30, 2015

3-month Treasury bills: 0.00%  
One-month LIBOR: 0.19%

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## Change in the value of your account

	September 2015 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,371,541.00</b>	<b>\$1,469,481.00</b>
Deposits, including investments transferred in	0.00	1,506.30
Withdrawals and fees, including investments transferred out	-142,103.60	-235,540.76
Dividend and interest income	3,281.36	47,814.79
Change in market value	-37,923.14	-88,465.71
<b>Closing account value</b>	<b>\$1,194,795.62</b>	<b>\$1,194,795.62</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2015 (\$)	Year to date (\$)
Taxable dividends	3,281.31	47,808.40
Taxable interest	0.05	6.39
<b>Total current year</b>	<b>\$3,281.36</b>	<b>\$47,814.79</b>
<b>Total dividend &amp; interest</b>	<b>\$3,281.36</b>	<b>\$47,814.79</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	September 2015 (\$)	Year to date (\$)	
Short term	-715.16	-804.37	0.00
Long term	-6,911.78	-13,983.98	-5,954.83
<b>Total</b>	<b>-\$7,626.94</b>	<b>-\$14,788.35</b>	<b>-\$5,954.83</b>



## Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2015 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$121,343.80</b>	<b>\$3,054.91</b>
<i>Additions</i>		
Deposits and other funds credited	0.00	1,506.30
Dividend and interest income	3,281.36	47,814.79
Proceeds from investment transactions	69,465.52	235,797.41
<b>Total additions</b>	<b>\$72,746.88</b>	<b>\$285,118.50</b>
<i>Subtractions</i>		
Professional management fees and related services	0.00	-5,612.94
Other fees	-67.05	-1,049.93
Other funds debited	-142,036.55	-228,877.89
Funds withdrawn for investments bought	0.00	-645.57
<b>Total subtractions</b>	<b>-\$142,103.60</b>	<b>-\$236,186.33</b>
<b>Net cash flow</b>	<b>-\$69,356.72</b>	<b>\$48,932.17</b>
<b>Closing balances</b>	<b>\$51,987.08</b>	<b>\$51,987.08</b>

## Withholdings and tax summary

	September 2015 (\$)	Year to date (\$)
Foreign taxes paid	-267.76	-4,147.90
Prior year(s) adjustment	0.00	21.94
<b>Total withholdings and taxes</b>	<b>-\$267.76</b>	<b>-\$4,125.96</b>

## Loan summary

Opening loan balance on August 22	\$0
Closing loan balance on September 21	\$0
Average loan balance	\$16,782
Loan interest period	August 22 to September 21
Number of loan (debit) days	6
Average loan interest rate	9.875%
September 2015 loan interest	\$27.62
Year to date loan interest	\$27.62

## UBS Bank USA Deposit Account APY

*Interest period Aug 7 - Sep 7*

Opening UBS Bank USA Deposit balance Aug 7	\$1,544.27
Closing UBS Bank USA Deposit balance Sep 7	\$0.00
Number of days in interest period	32
Average daily balance	\$3,674.01
Interest earned	\$0.05
Annual percentage yield earned	0.02%

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**

Current income & capital appreciation

**Your risk profile:**

Primary - Aggressive

Investment eligibility consideration - None selected

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## Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.

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Your notes

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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Sep 1 (\$)	Closing balance on Sep 30 (\$)	Price per share on Sep 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	25,947.65	49,027.89					
UBS BANK USA DEP ACCT	95,396.15	2,959.19					250,000.00
<b>Total</b>	<b>\$121,343.80</b>	<b>\$51,987.08</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
ABB LTD SPON ADR								
Symbol: ABB Exchange: NYSE								
EAI: \$571 Current yield: 3.23%								
	Jan 29, 13	350.000	21.573	7,550.62	17.670	6,184.50	-1,366.12	LT
	Apr 22, 13	650.000	21.211	13,787.15	17.670	11,485.50	-2,301.65	LT
Security total		1,000.000	21.338	21,337.77		17,670.00	-3,667.77	
ASTRAZENECA PLC SPON ADR								
Symbol: AZN Exchange: NYSE								
EAI: \$1,007 Current yield: 4.34%								
	Jan 29, 13	420.000	25.065	10,527.30	31.820	13,364.40	2,837.10	LT
	Apr 22, 13	170.000	25.667	4,363.53	31.820	5,409.40	1,045.87	LT
	Jul 12, 13	140.000	24.720	3,460.92	31.820	4,454.80	993.88	LT
Security total		730.000	25.139	18,351.75		23,228.60	4,876.85	

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Page 7 of 18

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BAE SYSTEMS PLC SPON ADR</b>								
Symbol: BAESY Exchange: OTC								
EAI: \$1,231 Current yield: 4.56%								
	Jan 29, 13	1,000.000	22.160	22,160.00	27.010	27,010.00	4,850.00	LT
<b>BAYER A G SPON ADR</b>								
Symbol: BAYRY Exchange: OTC								
EAI: \$439 Current yield: 1.40%								
	Jan 29, 13	200.000	99.560	19,912.00	128.040	25,608.00	5,696.00	LT
	Apr 22, 13	45.000	101.760	4,579.20	128.040	5,761.80	1,182.60	LT
Security total		245.000	99.964	24,491.20		31,369.80	6,878.60	
<b>BHP BILLITON LTD SPON ADR</b>								
Symbol: BHP Exchange: NYSE								
EAI: \$1,079 Current yield: 7.84%								
	Jan 29, 13	320.000	78.520	25,126.50	31.620	10,118.40	-15,008.10	LT
	Apr 22, 13	30.000	63.865	1,915.95	31.620	948.60	-967.35	LT
	Jul 12, 13	85.000	61.002	5,185.23	31.620	2,687.70	-2,497.53	LT
Security total		435.000	74.087	32,227.68		13,754.70	-18,472.98	
<b>BRITISH AMER TOBACCO PLC GB SPON ADR</b>								
Symbol: BTI Exchange: AMEX								
EAI: \$2,706 Current yield: 4.17%								
	Jan 29, 13	340.000	104.097	35,393.08	110.080	37,427.20	2,034.12	LT
	Apr 22, 13	150.000	107.325	16,098.78	110.080	16,512.00	413.22	LT
	Jul 12, 13	100.000	105.544	10,554.44	110.080	11,008.00	453.56	LT
Security total		590.000	105.163	62,046.30		64,947.20	2,900.90	
<b>DEUTSCHE TELEKOM AG DE SPON ADR</b>								
Symbol: DTEGY Exchange: OTC								
EAI: \$2,276 Current yield: 3.00%								
	Sep 16, 13	1,275.000	13.387	17,069.45	17.770	22,656.75	5,587.30	LT
	Sep 17, 13	1,150.000	13.359	15,362.85	17.770	20,435.50	5,072.65	LT
	Sep 18, 13	990.000	13.393	13,259.17	17.770	17,592.30	4,333.13	LT
	Oct 10, 13	855.000	15.481	13,236.68	17.770	15,193.35	1,956.67	LT
Security total		4,270.000	13.801	58,928.15		75,877.90	16,949.75	
<b>DEUTSCHE POST AG SPON ADR</b>								
Symbol: DPSGY Exchange: OTC								
EAI: \$910 Current yield: 3.28%								
	Jan 29, 13	280.000	23.900	6,692.00	27.720	7,761.60	1,069.60	LT
	Apr 22, 13	720.000	22.581	16,258.75	27.720	19,958.40	3,699.65	LT
Security total		1,000.000	22.951	22,950.75		27,720.00	4,769.25	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ENGIE SPON ADR</b>								
Symbol: ENGIY Exchange: OTC								
EAI: \$1,370 Current yield: 5.67%								
	Jan 29, 13	240.000	20.779	4,986.98	16.100	3,864.00	-1,122.98	LT
	Apr 22, 13	380.000	20.919	7,949.37	16.100	6,118.00	-1,831.37	LT
	Jul 12, 13	215.000	20.144	4,330.97	16.100	3,461.50	-869.47	LT
	Aug 21, 13	285.000	22.200	6,327.10	16.100	4,588.50	-1,738.60	LT
	Aug 22, 13	140.000	22.508	3,151.20	16.100	2,254.00	-897.20	LT
	Aug 23, 13	240.000	22.418	5,380.51	16.100	3,864.00	-1,516.51	LT
Security total		1,500.000	21.417	32,126.13		24,150.00	-7,976.13	
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE								
EAI: \$2,498 Current yield: 6.40%								
	Jan 29, 13	330.000	45.615	15,052.95	38.450	12,688.50	-2,364.45	LT
	Feb 13, 13	320.000	45.645	14,606.46	38.450	12,304.00	-2,302.46	LT
	Apr 22, 13	245.000	50.630	12,404.47	38.450	9,420.25	-2,984.22	LT
	Jul 12, 13	120.000	52.904	6,348.48	38.450	4,614.00	-1,734.48	LT
Security total		1,015.000	47.697	48,412.36		39,026.75	-9,385.61	
<b>IMPERIAL TOBACCO GROUP PLC SPON ADR</b>								
Symbol: ITYBY Exchange: OTC								
EAI: \$2,094 Current yield: 3.93%								
	Apr 25, 13	60.000	70.891	4,253.51	103.500	6,210.00	1,956.49	LT
	Apr 26, 13	375.000	70.792	26,547.34	103.500	38,812.50	12,265.16	LT
	Jul 12, 13	80.000	68.375	5,470.00	103.500	8,280.00	2,810.00	LT
Security total		515.000	70.429	36,270.85		53,302.50	17,031.65	
<b>MTN GROUP LTD SPON ADR</b>								
Symbol: MTNOY Exchange: OTC								
EAI: \$1,638 Current yield: 6.43%								
	Jan 29, 13	1,025.000	19.580	20,069.50	12.800	13,120.00	-6,949.50	LT
	Apr 22, 13	590.000	17.390	10,260.10	12.800	7,552.00	-2,708.10	LT
	Jul 12, 13	375.000	19.219	7,207.31	12.800	4,800.00	-2,407.31	LT
Security total		1,990.000	18.863	37,536.91		25,472.00	-12,064.91	
<b>NESTLE S A SPONSORED ADR</b>								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$1,719 Current yield: 2.54%								
	Jan 29, 13	415.000	70.160	29,116.40	75.240	31,224.60	2,108.20	LT

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Your assets » **Equities** » **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 22, 13	290.000	69.918	20,276.22	75.240	21,819.60	1,543.38	LT
	Jul 12, 13	195.000	67.417	13,146.41	75.240	14,671.80	1,525.39	LT
Security total		900.000	69.488	62,539.03		67,716.00	5,176.97	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol: NTT Exchange: NYSE								
EAI: \$1,441 Current yield: 1.85%								
	Jul 22, 13	1,700.000	26.445	44,957.18	35.310	60,027.00	15,069.82	LT
	Aug 6, 13	510.000	26.309	13,417.69	35.310	18,008.10	4,590.41	LT
Security total		2,210.000	26.414	58,374.87		78,035.10	19,660.23	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$2,203 Current yield: 2.46%								
	Jan 29, 13	590.000	67.877	40,047.61	91.920	54,232.80	14,185.19	LT
	Apr 22, 13	240.000	72.512	17,403.02	91.920	22,060.80	4,657.78	LT
	Jul 12, 13	145.000	73.450	10,650.38	91.920	13,328.40	2,678.02	LT
Security total		975.000	69.847	68,101.01		89,622.00	21,520.99	
ORKLA ASA A SHS SPON ADR								
Symbol: ORKLY Exchange: OTC								
EAI: \$1,029 Current yield: 3.43%								
	Jan 21, 14	710.000	8.052	5,717.56	7.300	5,183.00	-534.56	LT
	Jan 22, 14	220.000	8.190	1,801.84	7.300	1,606.00	-195.84	LT
	Jan 23, 14	315.000	8.282	2,608.92	7.300	2,299.50	-309.42	LT
	Jan 24, 14	185.000	8.147	1,507.25	7.300	1,350.50	-156.75	LT
	Jan 27, 14	135.000	8.019	1,082.58	7.300	985.50	-97.08	LT
	Jan 28, 14	265.000	8.045	2,132.08	7.300	1,934.50	-197.58	LT
	Jan 29, 14	390.000	7.991	3,116.53	7.300	2,847.00	-269.53	LT
	Jan 30, 14	245.000	7.849	1,923.23	7.300	1,788.50	-134.73	LT
	Jan 31, 14	260.000	7.878	2,048.46	7.300	1,898.00	-150.46	LT
	Feb 3, 14	185.000	7.794	1,441.96	7.300	1,350.50	-91.46	LT
	Feb 4, 14	235.000	7.671	1,802.69	7.300	1,715.50	-87.19	LT
	Feb 5, 14	245.000	7.727	1,893.29	7.300	1,788.50	-104.79	LT
	Feb 6, 14	165.000	7.561	1,247.70	7.300	1,204.50	-43.20	LT
	Feb 7, 14	310.000	7.528	2,333.87	7.300	2,263.00	-70.87	LT
	Feb 10, 14	250.000	7.609	1,902.25	7.300	1,825.00	-77.25	LT
Security total		4,115.000	7.913	32,560.21		30,039.50	-2,520.71	

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>P.T. TELEKOMUNIKASI INDONESIA SPON ADR</b>								
Symbol: TLK Exchange: NYSE EAI: \$644 Current yield: 2.62%								
	Jan 29, 13	690.000	39.442	27,215.25	35.650	24,598.50	-2,616.75	LT
<b>RECKITT BENCKISER PLC SPON ADR</b>								
Symbol: RBGLY Exchange: OTC EAI: \$1,299 Current yield: 2.01%								
	Jan 29, 13	2,590.000	13.187	34,156.85	18.250	47,267.50	13,110.65	LT
	Apr 22, 13	510.000	13.966	7,122.67	18.250	9,307.50	2,184.83	LT
	Jul 12, 13	440.000	13.938	6,132.99	18.250	8,030.00	1,897.01	LT
<b>Security total</b>		3,540.000	13.393	47,412.51		64,605.00	17,192.49	
<b>ROYAL DUTCH SHELL PLC CL A SPON ADR</b>								
Symbol: RDS.A Exchange: NYSE EAI: \$38 Current yield: 6.68%								
		12.000	---	---This information was unavailable---	47.390	568.68		
<b>ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR</b>								
Symbol: RDS.B Exchange: NYSE EAI: \$1,504 Current yield: 7.92%								
	Jan 29, 13	185.000	74.585	13,798.31	47.480	8,783.80	-5,014.51	LT
	Apr 22, 13	215.000	65.856	14,159.06	47.480	10,208.20	-3,950.86	LT
<b>Security total</b>		400.000	69.893	27,957.37		18,992.00	-8,965.37	
<b>SANOFI SPON ADR</b>								
Symbol: SNY Exchange: NYSE EAI: \$1,087 Current yield: 2.29%								
	Jan 29, 13	525.000	48.754	25,596.34	47.470	24,921.75	-674.59	LT
	Apr 22, 13	275.000	52.654	14,480.06	47.470	13,054.25	-1,425.81	LT
	Jul 12, 13	200.000	52.424	10,484.90	47.470	9,494.00	-990.90	LT
<b>Security total</b>		1,000.000	50.561	50,561.30		47,470.00	-3,091.30	
<b>SIEMENS A G SPON ADR</b>								
Symbol: SIEGY Exchange: OTC EAI: \$1,380 Current yield: 3.09%								
	Jan 29, 13	250.000	110.110	27,527.50	89.300	22,325.00	-5,202.50	LT
	Apr 22, 13	200.000	100.134	20,026.84	89.300	17,860.00	-2,166.84	LT
	Jul 12, 13	50.000	107.256	5,362.83	89.300	4,465.00	-897.83	LT
<b>Security total</b>		500.000	105.834	52,917.17		44,650.00	-8,267.17	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SINGAPORE TELECOM LTD NEW 2006 SPON ADR</b>								
Symbol: SGAPY Exchange: OTC EAI: \$2,173 Current yield: 4.88%								
	Jan 29, 13	1,060.000	28.260	29,955.60	25.230	26,743.80	-3,211.80	LT
	Apr 22, 13	440.000	29.820	13,121.15	25.230	11,101.20	-2,019.95	LT
	Jul 12, 13	265.000	30.551	8,096.17	25.230	6,685.95	-1,410.22	LT
Security total		1,765.000	28.993	51,172.92		44,530.95	-6,641.97	
<b>STATOIL ASA SPON ADR</b>								
Symbol: STO Exchange: NYSE EAI: \$1,022 Current yield: 4.84%								
	Jan 29, 13	610.000	26.533	16,185.31	14.560	8,881.60	-7,303.71	LT
	Apr 22, 13	205.000	25.765	5,281.88	14.560	2,984.80	-2,297.08	LT
	Apr 22, 13	170.000	23.274	3,956.72	14.560	2,475.20	-1,481.52	LT
	Apr 22, 13	135.000	25.785	3,481.05	14.560	1,965.60	-1,515.45	LT
	Jul 12, 13	330.000	21.423	7,069.66	14.560	4,804.80	-2,264.86	LT
Security total		1,450.000	24.810	35,974.62		21,112.00	-14,862.62	
<b>TAIWAN SEMICONDUCTOR MFG CO LTD ADR</b>								
Symbol: TSM Exchange: NYSE EAI: \$480 Current yield: 2.79%								
	Jan 29, 13	800.000	17.800	14,240.00	20.750	16,600.00	2,360.00	LT
	Jul 12, 13	30.000	18.434	553.04	20.750	622.50	69.46	LT
Security total		830.000	17.823	14,793.04		17,222.50	2,429.46	
<b>UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR</b>								
Symbol: UN Exchange: NYSE EAI: \$1,936 Current yield: 2.95%								
	Jan 29, 13	930.000	40.500	37,665.00	40.200	37,386.00	-279.00	LT
	Apr 22, 13	445.000	41.074	18,278.06	40.200	17,889.00	-389.06	LT
	Jul 12, 13	255.000	41.271	10,524.33	40.200	10,251.00	-273.33	LT
Security total		1,630.000	40.778	66,467.39		65,526.00	-941.39	
<b>UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR</b>								
Symbol: UOVEY Exchange: OTC EAI: \$1,309 Current yield: 4.78%								
	Jan 29, 13	700.000	30.540	21,378.00	26.100	18,270.00	-3,108.00	LT
	Apr 22, 13	100.000	33.492	3,349.24	26.100	2,610.00	-739.24	LT
	Jul 12, 13	250.000	33.180	8,295.00	26.100	6,525.00	-1,770.00	LT

continued next page

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Sep 30 (\$)	Value on Sep 30 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,050.000	31.450	33,022.24		27,405.00	-5,617.24	
VODAFONE GROUP PLC SPON ADR								
Symbol: VOD Exchange: OTC								
EAI: \$1,766 Current yield: 5.36%								
	Jan 29, 13	796.294	50.164	39,945.60	31.740	25,274.37	-14,671.23	LT
	Apr 22, 13	2.727	54.627	148.97	31.740	86.55	-62.42	LT
	Jul 12, 13	239.979	54.033	12,966.80	31.740	7,616.93	-5,349.87	LT
Security total		1,039.000	51.070	53,061.37		32,977.86	-20,083.52	
ZURICH INS GROUP LTD SPON ADR								
Symbol: ZURVY Exchange: OTC								
EAI: \$3,092 Current yield: 6.99%								
	Jan 29, 13	825.000	28.470	23,487.75	24.560	20,262.00	-3,225.75	LT
	Apr 22, 13	685.000	26.251	17,982.21	24.560	16,823.60	-1,158.61	LT
	Jul 12, 13	290.000	26.739	7,754.57	24.560	7,122.40	-632.17	LT
Security total		1,800.000	27.347	49,224.53		44,208.00	-5,016.53	
<b>Total</b>				<b>\$1,148,194.68</b>		<b>\$1,142,808.54</b>	<b>-\$5,954.83</b>	

Total estimated annual income: **\$41,941**

Your total assets

		Value on Sep 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>Cash and money balances</b>	<b>51,987.08</b>	<b>4.35%</b>	<b>51,987.08</b>		
<b>Equities</b>	<b>* Common stock</b>	<b>1,142,808.54</b>	<b>95.65%</b>	<b>1,148,194.68</b>	<b>41,941.00</b>	<b>-5,954.83</b>
<b>Total</b>		<b>\$1,194,795.62</b>	<b>100.00%</b>	<b>\$1,200,181.76</b>	<b>\$41,941.00</b>	<b>-\$5,954.83</b>

\* Missing cost basis information.

Account activity this month

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>				
<i>Taxable dividends</i>	Sep 3	Foreign Dividend	STATOIL ASA SPON ADR PAID ON CUSIP: 85771P102	1450 312.59

continued next page

**CONFIDENTIAL**



Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>				
<i>Taxable dividends (continued)</i>				
	Sep 8	Foreign Dividend	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR PAID ON 1250 CUSIP: 91 1271302	622.38
	Sep 9	Foreign Dividend	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR PAID ON 1630 CUSIP: 904784709	534.97
	Sep 10	Foreign Dividend	MTN GROUP LTD SPON ADR PAID ON 2625 CUSIP: 62474M108	937.83
	Sep 14	Foreign Dividend	ASTRAZENECA PLC SPON ADR PAID ON 730 CUSIP: 046353108	328.50
	Sep 21	Foreign Dividend	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206	5.64
	Sep 29	Foreign Dividend	BHP BILLITON LTD SPON ADR PAID ON 435 CUSIP: 088606108	539.40
		<b>Total taxable dividends</b>		<b>\$3,281.31</b>
<i>Taxable interest</i>	Sep 8	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 09/04/15	0.05
		<b>Total taxable interest</b>		<b>\$0.05</b>
		<b>Total dividend and interest income</b>		<b>\$3,281.36</b>
<b>Fees</b>				
	Sep 3	Fee	STATOIL ASA SPON ADR	-7.25
	Sep 10	Fee	MTN GROUP LTD SPON ADR	-52.50
	Sep 14	Fee	ASTRAZENECA PLC SPON ADR	-7.30
		<b>Total fees</b>		<b>-\$67.05</b>
<b>Other funds debited</b>				
	Sep 1	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-121,343.80
	Sep 3	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-20,397.37
	Sep 3	Foreign Tax Withheld	STATOIL ASA SPON ADR	-46.89
	Sep 9	Foreign Tax Withheld	UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	-80.20
	Sep 10	Foreign Tax Withheld	MTN GROUP LTD SPON ADR	-140.67
	Sep 22	Loan Charge	LOAN INTEREST CHARGED	-27.62
		<b>Total other funds debited</b>		<b>-\$142,036.55</b>

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Account activity this month (continued)

Investment transactions

For more information about the price value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Sep 8	Sold	MTN GROUP LTD SPON ADR CUSIP: 62474M108	-635.000		13.317874	8,456.69		
Sep 8	Sold	INDIVIOR PLC SPON ADR CUSIP: 45579E105	-141.000		16.50	2,326.46		
Sep 8	Sold	SANOFI SPON ADR CUSIP: 80105N105	-195.000		49.304600	9,614.22		
Sep 21	Cash In Lieu	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206				40.26		
Sep 21	Spin Off	ROYAL DUTCH SHELL PLC CL A SPON ADR CUSIP: 780259206	6.000					
Sep 30	Sold	DEUTSCHE POST AG SPON ADR CUSIP: 25157Y202	-360.000		26.93	9,694.62		
Sep 30	Sold	ENGIE SPON ADR CUSIP: 29286D105	-480.000		16.345000	7,845.46		
Sep 30	Sold	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR CUSIP: 641069406	-155.000		74.305000	11,517.07		
Sep 30	Sold	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR CUSIP: 911271302	-200.000		26.43	5,285.90		
Sep 30	Sold	ZURICH INS GROUP LTD SPON ADR CUSIP: 989825104	-225.000		24.71	5,559.65		
Sep 30	Sold	ABB LTD SPON ADR CUSIP: 000375204	-475.000		17.42	8,274.35		
Sep 30	Sold	SOUTH32 LTD SPON ADR CUSIP: 84473L105	-174.000		4.89	850.84		
<b>Total</b>						<b>\$69,465.52</b>		

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
<b>Money balance activities</b>	<b>Aug 31</b>	<b>Balance forward</b>		<b>\$95,396.15</b>
	Sep 1	Deposit	UBS BANK USA DEPOSIT ACCOUNT	25,947.65
	Sep 2	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 09/01/15	-121,343.80
	Sep 9	Deposit	UBS BANK USA DEPOSIT ACCOUNT	880.88
	Sep 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	454.77
	Sep 11	Deposit	UBS BANK USA DEPOSIT ACCOUNT	744.66
	Sep 15	Deposit	UBS BANK USA DEPOSIT ACCOUNT	321.20
	Sep 22	Deposit	UBS BANK USA DEPOSIT ACCOUNT	45.90
	Sep 23	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 09/22/15	-27.62
	Sep 30	Deposit	UBS BANK USA DEPOSIT ACCOUNT	539.40
	<b>Sep 30</b>	<b>Closing UBS Bank USA Deposit Account</b>		<b>\$2,959.19</b>

The UBS Bank USA Deposit Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
SOUTH2 LTD SPON ADR	FIFO	128.000	May 18, 15	Sep 25, 15	625.91	1,152.00		-526.09	
	FIFO	12.000	May 18, 15	Sep 25, 15	58.67	108.00		-49.33	
	FIFO	34.000	May 18, 15	Sep 25, 15	166.26	306.00		-139.74	
<b>Total</b>					<b>\$850.84</b>	<b>\$1,566.00</b>		<b>-\$715.16</b>	
<b>Net short-term capital gains and losses</b>								<b>-\$715.16</b>	

continued next page

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Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ABB LTD SPON ADR	FIFO	475.000	Jan 29, 13	Sep 25, 15	8,274.35	10,247.27		-1,972.92	
DEUTSCHE POST AG SPON ADR	FIFO	360.000	Jan 29, 13	Sep 25, 15	9,694.62	8,604.00			1,090.62
ENGIE SPON ADR	FIFO	480.000	Jan 29, 13	Sep 25, 15	7,845.46	9,973.97		-2,128.51	
INDIVIOR PLC SPON ADR	FIFO	103.000	Jan 29, 13	Sep 02, 15	1,699.47	957.97			741.50
	FIFO	20.400	Apr 22, 13	Sep 02, 15	336.59	200.93			135.66
	FIFO	17.600	Jul 12, 13	Sep 02, 15	290.40	173.00			117.40
MTN GROUP LTD SPON ADR	FIFO	635.000	Jan 29, 13	Sep 02, 15	8,456.69	12,433.30		-3,976.61	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	FIFO	155.000	Jan 29, 13	Sep 25, 15	11,517.07	10,874.80			642.27
SANOFI SPON ADR	FIFO	195.000	Jan 29, 13	Sep 02, 15	9,614.22	9,507.21			107.01
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR	FIFO	200.000	Jan 29, 13	Sep 25, 15	5,285.90	6,108.00		-822.10	
ZURICH INS GROUP LTD SPON ADR	FIFO	225.000	Jan 29, 13	Sep 25, 15	5,559.65	6,405.75		-846.10	
<b>Total</b>					<b>\$68,574.42</b>	<b>\$75,486.20</b>		<b>-\$9,746.24</b>	<b>\$2,834.46</b>
<b>Net long-term capital gains or losses</b>								<b>-\$6,911.78</b>	
<b>Net capital gains/losses:</b>								<b>-\$7,626.94</b>	

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ROYAL DUTCH SHELL PLC CL A SPON ADR	FIFO	0.719	Jun 22, 15	Sep 21, 15	40.26	0.00		---This information was unavailable---	

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Your notes

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# Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.  
1200 Harbor Boulevard  
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

## Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at [REDACTED] or toll-free at [REDACTED] 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call [REDACTED] or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

## UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit [www.fdic.gov](http://www.fdic.gov). Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at [www.ubs.com/wealthkids](http://www.ubs.com/wealthkids), from your Financial Advisor or by calling [REDACTED].

## UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at [REDACTED] or by visiting the SIPC website at [www.sipc.org](http://www.sipc.org).

## Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

## Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

## Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

## Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

## Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

## Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

## Account overview

- **Value of your accounts/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

## Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at [www.ubs.com/accounts/crb](http://www.ubs.com/accounts/crb)

## Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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## Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

### Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

### Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

### Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

### Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

### Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at [www.ubs.com/privacypolicy](http://www.ubs.com/privacypolicy).

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:  
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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