



UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

February 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

Visit our website:

www.ubs.com/financialservices

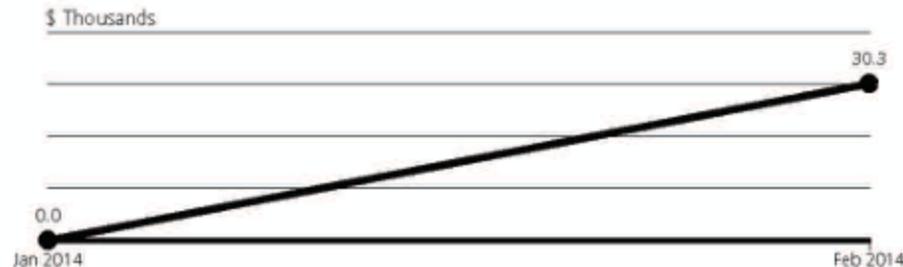
Items for your attention

- ▶ If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to ubs.com/onlineservices.

Value of your account

	on January 31 (\$)	on February 28 (\$)
Your assets	0.00	30,265.73
Your liabilities	0.00	0.00
Value of your account	\$0.00	\$30,265.73

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$30,265.73
Your investment return:	
Change in market value	\$0.00
Value of your account on Feb 28, 2014	\$30,265.73

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Your account balance sheet

Summary of your assets

	Value on February 28 (\$)	Percentage of your account
A Cash and money balances	30,265.73	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$30,265.73	100.00%

Value of your account **\$30,265.73**

Your current asset allocation



A

► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	February 2014	Year to date
S&P 500	4.57%	0.96%
Russell 3000	4.74%	1.43%
MSCI - Europe, Australia & Far East	5.59%	1.34%
Barclays Capital U.S. Aggregate Bond Index	0.53%	2.02%

Interest rates on February 28, 2014

3-month Treasury bills: 0.05%
One-month LIBOR: 0.16%

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Change in the value of your account

	February 2014 (\$)	Year to date (\$)
Opening account value	\$0.00	\$0.00
Deposits, including investments transferred in	30,265.73	30,265.73
Closing account value	\$30,265.73	\$30,265.73

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	February 2014 (\$)	Year to date (\$)
Opening balances	\$0.00	\$0.00
<i>Additions</i>		
Deposits and other funds credited	30,265.73	30,265.73
Total additions	\$30,265.73	\$30,265.73
Net cash flow	\$30,265.73	\$30,265.73
Closing balances	\$30,265.73	\$30,265.73

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.



Your notes

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 (\$)	Closing balance on Feb 28 (\$)	Price per share on Feb 28 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	0.00	30,265.73					250,000.00

Your total assets

		Value on Feb 28 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	30,265.73	100.00%	30,265.73		
Total		\$30,265.73	100.00%	\$30,265.73		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 31		Cash and money balance					\$0.00
Feb 26	Deposit	FEDERAL FUNDS DEPOSIT BY 116 EAST 65TH ST LLC AT CHASUS33				30,265.73	30,265.73
Feb 28		Closing cash and money balance					\$30,265.73

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities	Jan 31	Balance forward		\$0.00
	Feb 27	Deposit	UBS BANK USA BUSINESS ACCOUNT	30,265.73
	Feb 28	Closing UBS Bank USA Business Account		\$30,265.73

The UBS Bank USA Business Account is your primary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
 - If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.
- All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
 - In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201402

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

March 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

Visit our website:

www.ubs.com/financialservices

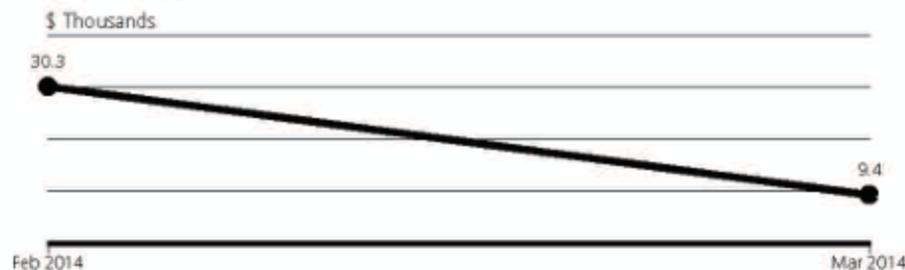
Items for your attention

► If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to ubs.com/onlineservices.

Value of your account

	on February 28 (\$)	on March 31 (\$)
Your assets	30,265.73	9,421.01
Your liabilities	0.00	0.00
Value of your account	\$30,265.73	\$9,421.01

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$9,420.57
Your investment return:	
Dividend and interest income	\$0.44
Change in market value	\$0.00
Value of your account on Mar 31, 2014	\$9,421.01

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Your account balance sheet

Summary of your assets

	Value on March 31 (\$)	Percentage of your account
A Cash and money balances	9,421.01	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$9,421.01	100.00%

Value of your account **\$9,421.01**

Your current asset allocation



A

► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	March 2014	Year to date
S&P 500	0.84%	1.81%
Russell 3000	0.53%	1.97%
MSCI - Europe, Australia & Far East	-0.57%	0.77%
Barclays Capital U.S. Aggregate Bond Index	-0.17%	1.84%

Interest rates on March 31, 2014

3-month Treasury bills: 0.04%
One-month LIBOR: 0.15%

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Change in the value of your account

	March 2014 (\$)	Year to date (\$)
Opening account value	\$30,265.73	\$0.00
Deposits, including investments transferred in	0.00	30,265.73
Withdrawals and fees, including investments transferred out	-20,845.16	-20,845.16
Dividend and interest income	0.44	0.44
Closing account value	\$9,421.01	\$9,421.01

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	March 2014 (\$)	Year to date (\$)
Taxable interest	0.44	0.44
Total current year	\$0.44	\$0.44
Total dividend & interest	\$0.44	\$0.44

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	March 2014 (\$)	Year to date (\$)
Opening balances	\$30,265.73	\$0.00
<i>Additions</i>		
Deposits and other funds credited	0.00	30,265.73
Dividend and interest income	0.44	0.44
Total additions	\$0.44	\$30,266.17
<i>Subtractions</i>		
Checks and bill payments	-9,699.52	-9,699.52
Other funds debited	-11,145.64	-11,145.64
Total subtractions	-\$20,845.16	-\$20,845.16
Net cash flow	-\$20,844.72	\$9,421.01
Closing balances	\$9,421.01	\$9,421.01

UBS Bank USA Business Account APY

Interest period Feb 7 - Mar 6

Opening UBS Bank USA Business balance Feb 7	\$0.00
Closing UBS Bank USA Business balance Mar 6	\$19,411.98
Number of days in interest period	28
Average daily balance	\$7,872.08
Interest earned	\$0.08
Annual percentage yield earned	0.01%

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Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 31 (\$)	Price per share on Mar 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	-61.20					
UBS BANK USA BUS ACCT	30,265.73	0.00					250,000.00
UBS AG DEPOSIT ACCOUNT	0.00	9,482.21					
Total	\$30,265.73	\$9,421.01					

Your total assets

	Value on Mar 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	9,421.01	100.00%	9,421.01		
Total	\$9,421.01	100.00%	\$9,421.01		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 28		Cash and money balance					\$30,265.73
Mar 5	Withdrawal	FEDERAL FUNDS TO 116 East 65th Street LLC AT JPMORGAN CHASE BANK, NA				-10,853.83	19,411.90

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 03/06/14				.08	19,411.98
Mar 10	Bsa Check	JOSHUA PERRY CHECK PAID 001001				-450.00	18,961.98
Mar 12	Withdrawal	FEDERAL FUNDS TO 116 East 65th Street LLC AT JPMORGAN CHASE BANK, NA				-291.81	18,670.17
Mar 21	Bsa Check	MANBER STORAGE CORP CHECK PAID 001003				-816.57	
Mar 21	Bsa Check	CONSOLIADATED ELEVATOR SER CHECK PAID 001004				-832.23	17,021.37
Mar 24	Bsa Check	ARGO GENERAL MACHINE WORKS CHECK PAID 001005				-3,375.13	13,646.24
Mar 25	Bsa Check	FURMAN & FURMAN CHECK PAID 001006				-643.33	
Mar 25	Bsa Check	CT CORP CHECK PAID 001007				-138.98	12,863.93
Mar 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,408.74	
Mar 26	Interest	UBS BANK USA BUSINESS ACCOUNT				.36	10,455.55
Mar 27	Bsa Check	DYNAIRE SERV CORP CHECK PAID 001008				-973.34	9,482.21
Mar 31	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-61.20	9,421.01
Mar 31	Closing cash and money balance						\$9,421.01

	Date	Activity	Description	Amount (\$)	
Money balance activities	Feb 28	Balance forward		\$30,265.73	
	Mar 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/05/14	-10,853.83	
	Mar 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 03/06/14	0.08	
	Mar 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/10/14	-450.00	
	Mar 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/12/14	-291.81	
	Mar 24	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/21/14	-1,648.80	
	Mar 25	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/24/14	-3,375.13	
	Mar 26	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/25/14	-782.31	
	Mar 26	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-12,863.93	
	Mar 31	Closing UBS Bank USA Business Account		\$0.00	
	The UBS Bank USA Business Account is your primary sweep option.				
	Feb 28	Balance forward		\$0.00	
	Mar 26	Deposit	UBS AG DEPOSIT ACCOUNT	12,863.93	
Mar 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/26/14	-2,408.38		

continued next page

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Business Services Account
March 2014

Account name: 116 EAST 65TH STREET LLC
Friendly account name: 116 LLC
Account number: [REDACTED]

Your Financial Advisor:
SCOTT STACKMAN/YLE CASBIEL
[REDACTED]

Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Mar 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/27/14	-973.34
	Mar 31	Closing UBS AG Deposit Account		\$9,482.21

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.

- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201402

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

April 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

Visit our website:

www.ubs.com/financialservices

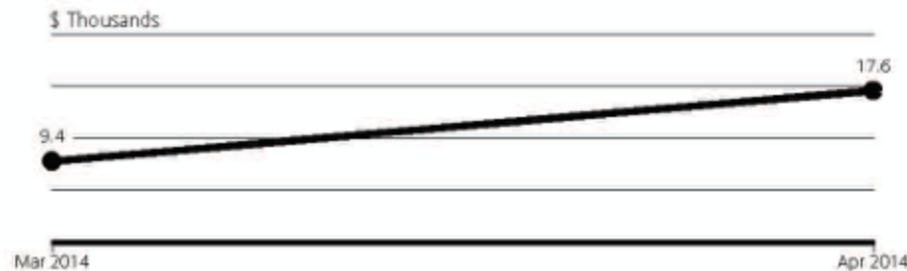
Items for your attention

- If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to ubs.com/onlineservices.

Value of your account

	on March 31 (\$)	on April 30 (\$)
Your assets	9,421.01	17,583.11
Your liabilities	0.00	0.00
Value of your account	\$9,421.01	\$17,583.11

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$17,582.46
Your investment return:	
Dividend and interest income	\$0.65
Change in market value	\$0.00
Value of your account on Apr 30, 2014	\$17,583.11

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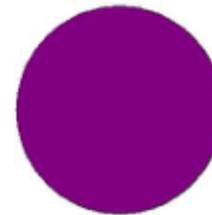
Your account balance sheet

Summary of your assets

	Value on April 30 (\$)	Percentage of your account
A Cash and money balances	17,583.11	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$17,583.11	100.00%

Value of your account **\$17,583.11**

Your current asset allocation



A

► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	April 2014	Year to date
S&P 500	0.74%	2.56%
Russell 3000	0.12%	2.10%
MSCI - Europe, Australia & Far East	1.53%	2.31%
Barclays Capital U.S. Aggregate Bond Index	0.84%	2.70%

Interest rates on April 30, 2014

3-month Treasury bills: 0.02%
One-month LIBOR: 0.15%

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Change in the value of your account

	April 2014 (\$)	Year to date (\$)
Opening account value	\$9,421.01	\$0.00
Deposits, including investments transferred in	40,688.03	70,953.76
Withdrawals and fees, including investments transferred out	-32,526.14	-53,371.30
Dividend and interest income	0.21	0.65
Closing account value	\$17,583.11	\$17,583.11

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2014 (\$)	Year to date (\$)
Taxable interest	0.21	0.65
Total current year	\$0.21	\$0.65
Total dividend & interest	\$0.21	\$0.65

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	April 2014 (\$)	Year to date (\$)
Opening balances	\$9,421.01	\$0.00
<i>Additions</i>		
Deposits and other funds credited	40,688.03	70,953.76
Dividend and interest income	0.21	0.65
Total additions	\$40,688.24	\$70,954.41
<i>Subtractions</i>		
Checks and bill payments	-22,696.94	-32,396.46
Cash/ATM transactions	-9,018.00	-9,018.00
ATM fee rebates	18.00	18.00
Card payments	-829.20	-829.20
Other funds debited	0.00	-11,145.64
Total subtractions	-\$32,526.14	-\$53,371.30
Net cash flow	\$8,162.10	\$17,583.11
Closing balances	\$17,583.11	\$17,583.11

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UBS Bank USA Business Account APY

Interest period Mar 7 - Apr 6

Opening UBS Bank USA Business balance Mar 7	\$19,411.98
Closing UBS Bank USA Business balance Apr 6	\$11,934.43
Number of days in interest period	31
Average daily balance	\$13,172.43
Interest earned	\$0.42
Annual percentage yield earned	0.04%

UBS AG Deposit Account APY

Interest period Mar 7 - Apr 6

Opening UBS AG Deposit balance Mar 7	\$0.00
Closing UBS AG Deposit balance Apr 6	\$0.00
Number of days in interest period	31
Average daily balance	\$1,864.69
Interest earned	\$0.06
Annual percentage yield earned	0.04%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-61.20	0.00				
UBS AG DEPOSIT ACCOUNT	9,482.21	17,583.11				
Total	\$9,421.01	\$17,583.11				

Your total assets

		Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	17,583.11	100.00%	17,583.11		
Total		\$17,583.11	100.00%	\$17,583.11		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$9,421.01
Apr 1	Interest	UBS AG DEPOSIT ACCOUNT				.06	9,421.07
Apr 2	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				20,000.00	29,421.07
Apr 3	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 3	Bsa Check	PERRY CHECK PAID 001009				-450.00	
Apr 3	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	
Apr 3	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	
Apr 3	Bank Card	CASH WITHDRAWAL				-2,003.00	
Apr 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-12,553.67	11,934.37
Apr 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 04/04/14				.06	11,934.43
Apr 9	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-75.26	
Apr 9	Bsa Check	ROBERT BERMAN CHECK PAID 001010				-1,850.00	
Apr 9	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-4.00	10,005.17
Apr 15	Rebate	ATM FEE REBATE				3.00	
Apr 15	Bank Card	CASH WITHDRAWAL				-2,003.00	
Apr 15	Bank Card	CASH WITHDRAWAL				-2,003.00	
Apr 15	Rebate	ATM FEE REBATE				3.00	6,005.17
Apr 17	Rebate	ATM FEE REBATE				3.00	
Apr 17	Bank Card	CASH WITHDRAWAL				-1,003.00	
Apr 17	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	
Apr 17	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	2,522.14
Apr 21	Bank Card	CASH WITHDRAWAL				-1,003.00	
Apr 21	Rebate	ATM FEE REBATE				3.00	1,522.14
Apr 23	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	1,456.29
Apr 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,143.01	
Apr 24	Interest	UBS BANK USA BUSINESS ACCOUNT				.09	-686.63
Apr 25	Transfer	FM Y1 23575 0100				20,688.03	20,001.40
Apr 28	Rebate	ATM FEE REBATE				3.00	
Apr 28	Bank Card	CASH WITHDRAWAL				-1,003.00	
Apr 28	Bank Card	DEBIT CARD ACTIVITY				-829.20	18,172.20
Apr 29	Bsa Check	95 245 E GARAGE CORP CHECK PAID 001011				-520.85	
Apr 29	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-68.24	17,583.11
Apr 30		Closing cash and money balance					\$17,583.11

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL</i>			
Apr 02	Apr 02	CITIBAN0002394 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Apr 14	Apr 14	CITIBAN0002399 NY NY	-\$2,003.00
		CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Apr 16	Apr 16	CITIBAN0009591 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
Apr 18	Apr 18	CITIBAN0002394 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
Apr 25	Apr 25	CITIBAN0002394 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$9,018.00
Total rebates			\$18.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Purchases</i>		
Apr 02	RICKY'S #102 NEW YORK NY 164093000301760091	\$39.13
Apr 02	CVS PHARMACY #2400 Q NEW YORK NY 004093100209038448	\$7.20
Apr 03	DUANE READE #14221 NEW YORK NY 004094600160313242	\$164.99
Apr 04	CHEESE ON 62ND NEW YORK NY 094094207996800053	\$77.28
Apr 04	FOOD EMPORIUM #3674 NEW YORK NY 334094720022382657	\$35.35
Apr 06	DUANE READE NEW YORK NY 004097600143804009	\$13.35
Apr 07	GRACE'S MARKETPLACE NEW YORK NY 334097720017733812	\$111.42
Apr 07	FOOD EMPORIUM #3670 NEW YORK NY 334097720019500623	\$43.73
Apr 08	GRACE'S MARKETPLACE NEW YORK NY 334098720018204184	\$145.95
Apr 08	FOOD EMPORIUM #3674 NEW YORK NY 334098720020010918	\$2.99

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL (continued)</i>		
Professional services	\$ 18.00	\$ 18.00
Total card purchases	\$829.20	\$829.20

Date	Activity	Description	Amount (\$)
Money balance activities			
Mar 31	Balance forward		\$0.00
Apr 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	9,421.01
Apr 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	20,000.06
Apr 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/03/14	-17,486.70
Apr 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 04/04/14	0.06
Apr 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/09/14	-1,929.26
Apr 16	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/15/14	-4,000.00
Apr 21	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/17/14	-3,483.03
Apr 22	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/21/14	-1,000.00
Apr 24	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/23/14	-65.85
Apr 24	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-1,456.29
Apr 30	Closing UBS Bank USA Business Account		\$0.00

The UBS Bank USA Business Account is your primary sweep option.

Mar 31	Balance forward		\$9,482.21
Apr 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/31/14	-61.20
Apr 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-9,421.01
Apr 24	Deposit	UBS AG DEPOSIT ACCOUNT	1,456.29
Apr 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/24/14	-1,456.29
Apr 28	Deposit	UBS AG DEPOSIT ACCOUNT	20,001.40
Apr 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/28/14	-1,829.20
Apr 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/29/14	-589.09
Apr 30	Closing UBS AG Deposit Account		\$17,583.11

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.

- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANP7000625131 0514 Y1 0

Business Services Account

May 2014

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

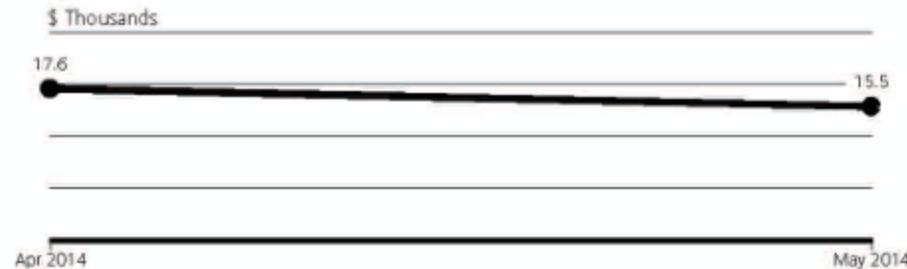
Visit our website:

www.ubs.com/financialservices

Value of your account

	on April 30 (\$)	on May 30 (\$)
Your assets	17,583.11	15,492.90
Your liabilities	0.00	0.00
Value of your account	\$17,583.11	\$15,492.90

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$15,491.90
Your investment return:	
Dividend and interest income	\$1.00
Change in market value	\$0.00
Value of your account on May 30, 2014	\$15,492.90

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Your account balance sheet

Summary of your assets

	Value on May 30 (\$)	Percentage of your account
A Cash and money balances	15,492.90	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$15,492.90	100.00%

Value of your account **\$15,492.90**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	May 2014	Year to date
S&P 500	2.35%	4.97%
Russell 3000	2.18%	4.32%
MSCI - Europe, Australia & Far East	1.76%	4.11%
Barclays Capital U.S. Aggregate Bond Index	1.14%	3.87%

Interest rates on May 30, 2014

3-month Treasury bills: 0.04%
One-month LIBOR: 0.15%

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Change in the value of your account

	May 2014 (\$)	Year to date (\$)
Opening account value	\$17,583.11	\$0.00
Deposits, including investments transferred in	25,000.00	95,953.76
Withdrawals and fees, including investments transferred out	-27,090.56	-80,461.86
Dividend and interest income	0.35	1.00
Closing account value	\$15,492.90	\$15,492.90

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2014 (\$)	Year to date (\$)
Taxable interest	0.35	1.00
Total current year	\$0.35	\$1.00
Total dividend & interest	\$0.35	\$1.00

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	May 2014 (\$)	Year to date (\$)
Opening balances	\$17,583.11	\$0.00
<i>Additions</i>		
Deposits and other funds credited	25,000.00	95,953.76
Dividend and interest income	0.35	1.00
Total additions	\$25,000.35	\$95,954.76
<i>Subtractions</i>		
Checks and bill payments	-23,183.73	-55,580.19
Cash/ATM transactions	-3,059.00	-12,077.00
ATM fee rebates	9.00	27.00
Card payments	-856.83	-1,686.03
Other funds debited	0.00	-11,145.64
Total subtractions	-\$27,090.56	-\$80,461.86
Net cash flow	-\$2,090.21	\$15,492.90
Closing balances	\$15,492.90	\$15,492.90

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UBS Bank USA Business Account APY

Interest period Apr 7 - May 6

Opening UBS Bank USA Business balance Apr 7	\$11,934.43
Closing UBS Bank USA Business balance May 6	\$4,736.76
Number of days in interest period	30
Average daily balance	\$6,012.44
Interest earned	\$0.13
Annual percentage yield earned	0.03%

UBS AG Deposit Account APY

Interest period Apr 7 - May 6

Opening UBS AG Deposit balance Apr 7	\$0.00
Closing UBS AG Deposit balance May 6	\$0.00
Number of days in interest period	30
Average daily balance	\$1,777.95
Interest earned	\$0.04
Annual percentage yield earned	0.03%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on May 1 (\$)	Closing balance on May 30 (\$)	Price per share on May 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-520.85				
UBS AG DEPOSIT ACCOUNT	17,583.11	16,013.75				
Total	\$17,583.11	\$15,492.90				

Your total assets

		Value on May 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	15,492.90	100.00%	15,492.90		
Total		\$15,492.90	100.00%	\$15,492.90		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 30		Cash and money balance					\$17,583.11
May 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.47	
May 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.55	
May 1	Interest	UBS AG DEPOSIT ACCOUNT				.04	15,100.13

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 5	Rebate	ATM FEE REBATE				3.00	
May 5	Rebate	ATM FEE REBATE				3.00	
May 5	Bank Card	CASH WITHDRAWAL				-103.00	
May 5	Bsa Check	JOSHUA PERRY CHECK PAID 001013				-450.00	
May 5	Bank Card	CASH WITHDRAWAL				-953.00	
May 5	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-8,863.41	4,736.72
May 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/14				.04	
May 7	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	4,670.91
May 8	Bsa Check	WIDE PLANK NY FLOORING CHECK PAID 001012				-3,540.00	1,130.91
May 14	Transfer	FM Y1 23575 0100				25,000.00	26,130.91
May 16	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	
May 16	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	23,647.88
May 21	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	23,582.03
May 22	Interest	UBS BANK USA BUSINESS ACCOUNT				.27	23,582.30
May 23	Rebate	ATM FEE REBATE				3.00	
May 23	Bank Card	CASH WITHDRAWAL				-2,003.00	
May 23	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,228.69	19,353.61
May 28	Bank Card	DEBIT CARD ACTIVITY				-867.72	
May 28	Bank Card	DEBIT CARD ACTIVITY				10.89	18,496.78
May 29	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	
May 29	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	16,013.75
May 30	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-520.85	15,492.90
May 30		Closing cash and money balance					\$15,492.90

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL</i>			
May 01	May 02	CHASE NEW YORK NY	-\$103.00
		ATM FEE REBATE	\$3.00
May 02	May 02	CITIBAN0009591 NY NY	-\$953.00
		ATM FEE REBATE	\$3.00
May 22	May 22	CITIBAN0009591 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$3,059.00
Total rebates			\$9.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Purchases</i>		
Apr 25	FOOD EMPORIUM #3674 NEW YORK NY 334115720023060166	\$33.14
Apr 25	FOOD EMPORIUM #3674 NEW YORK NY 334115720023060398	\$4.99
Apr 26	GRACE'S MARKETPLACE NEW YORK NY 334116720020417384	\$55.79
Apr 28	FOOD EMPORIUM #3674 NEW YORK NY 334118720019854249	\$35.72
Apr 28	FOOD EMPORIUM #3674 NEW YORK NY 334118720019855063	\$11.10
Apr 28	CVS PHARMACY #2400 Q NEW YORK NY 004119100221306369	\$2.17
Apr 30	EMBASSY LIQUORS II NEW YORK NY 094121200074700193	\$14.14
May 01	STOP & SHOP #851 TEANECK NJ 0000000000000000	\$72.17
May 01	GRACE'S MARKETPLACE NEW YORK NY 334121720020110198	\$94.65
May 01	FOOD EMPORIUM #3674 NEW YORK NY 334121720022005156	\$23.46
May 02	DUANE READE #14221 NEW YORK NY 004123600162609980	\$2.59
May 02	GRACE'S MARKETPLACE NEW YORK NY 334122720021607795	\$37.67
May 09	GRACE'S MARKETPLACE NEW YORK NY 334129720021988461	\$125.76
May 09	FOOD EMPORIUM #3670 NEW YORK NY 334129720024017425	\$26.30
May 21	GRACIOUS HOME I NEW YORK NY 384141200099301223	\$120.79
May 21	DUANE READE NEW YORK NY 004142600158558891	\$13.05

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)	
Money balance activities	Apr 30	Balance forward		\$0.00	
	May 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	17,583.11	
	May 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/01/14	-2,482.98	
	May 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/05/14	-10,363.41	
	May 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/14	0.04	
	May 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/07/14	-65.85	
	May 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/08/14	-3,540.00	
	May 15	Deposit	UBS BANK USA BUSINESS ACCOUNT	25,000.00	
	May 19	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/16/14	-2,483.03	
	May 22	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/21/14	-65.85	
	May 22	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-23,582.03	
	May 30	Closing UBS Bank USA Business Account		\$0.00	
	The UBS Bank USA Business Account is your primary sweep option.				
	Apr 30	Balance forward		\$17,583.11	
	May 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-17,583.11	
	May 22	Deposit	UBS AG DEPOSIT ACCOUNT	23,582.03	
	May 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/23/14	-4,228.42	
May 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/28/14	-856.83		
May 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/29/14	-2,483.03		
May 30	Closing UBS AG Deposit Account		\$16,013.75		
The UBS AG Deposit Account is your secondary sweep option.					

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).

- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.

- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweeeyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio:* Net of assets and liabilities.
- *Assets:* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities:* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances:* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201402

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

June 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

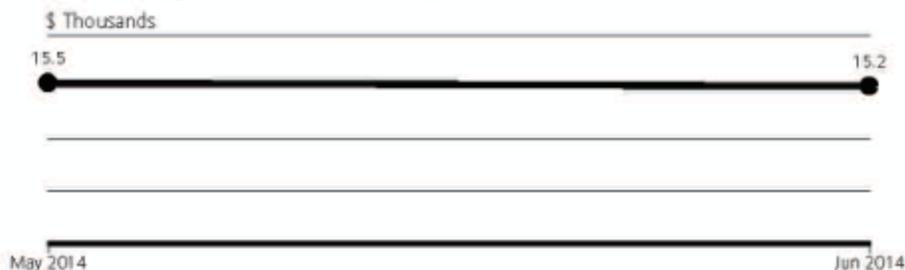
Visit our website:

www.ubs.com/financialservices

Value of your account

	on May 30 (\$)	on June 30 (\$)
Your assets	15,492.90	15,197.41
Your liabilities	0.00	0.00
Value of your account	\$15,492.90	\$15,197.41

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$15,195.56
Your investment return:	
Dividend and interest income	\$1.85
Change in market value	\$0.00
Value of your account on Jun 30, 2014	\$15,197.41

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Your account balance sheet

Summary of your assets

	Value on June 30 (\$)	Percentage of your account
A Cash and money balances	15,197.41	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$15,197.41	100.00%

Value of your account **\$15,197.41**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	June 2014	Year to date
S&P 500	2.07%	7.14%
Russell 3000	2.51%	6.94%
MSCI - Europe, Australia & Far East	0.99%	5.14%
Barclays Capital U.S. Aggregate Bond Index	0.05%	3.93%

Interest rates on June 30, 2014

3-month Treasury bills: 0.04%
One-month LIBOR: 0.16%

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Change in the value of your account

	June 2014 (\$)	Year to date (\$)
Opening account value	\$15,492.90	\$0.00
Deposits, including investments transferred in	90,565.00	186,518.76
Withdrawals and fees, including investments transferred out	-90,861.34	-171,323.20
Dividend and interest income	0.85	1.85
Closing account value	\$15,197.41	\$15,197.41

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2014 (\$)	Year to date (\$)
Taxable interest	0.85	1.85
Total current year	\$0.85	\$1.85
Total dividend & interest	\$0.85	\$1.85

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2014 (\$)	Year to date (\$)
Opening balances	\$15,492.90	\$0.00
<i>Additions</i>		
Deposits and other funds credited	90,565.00	186,518.76
Dividend and interest income	0.85	1.85
Total additions	\$90,565.85	\$186,520.61
<i>Subtractions</i>		
Checks and bill payments	-84,359.42	-139,939.61
Cash/ATM transactions	-4,509.00	-16,586.00
ATM fee rebates	9.00	36.00
Card payments	-2,001.92	-3,687.95
Other funds debited	0.00	-11,145.64
Total subtractions	-\$90,861.34	-\$171,323.20
Net cash flow	-\$295.49	\$15,197.41
Closing balances	\$15,197.41	\$15,197.41

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UBS Bank USA Business Account APY

Interest period May 7 - Jun 5

Opening UBS Bank USA Business balance May 7	\$4,736.76
Closing UBS Bank USA Business balance Jun 5	\$50,705.08
Number of days in interest period	30
Average daily balance	\$8,356.63
Interest earned	\$0.37
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period May 7 - Jun 5

Opening UBS AG Deposit balance May 7	\$0.00
Closing UBS AG Deposit balance Jun 5	\$0.00
Number of days in interest period	30
Average daily balance	\$6,711.31
Interest earned	\$0.26
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-520.85	0.00				
UBS AG DEPOSIT ACCOUNT	16,013.75	15,197.41				
Total	\$15,492.90	\$15,197.41				

Your total assets

		Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	15,197.41	100.00%	15,197.41		
Total		\$15,197.41	100.00%	\$15,197.41		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 30		Cash and money balance					\$15,492.90
Jun 2	Rebate	ATM FEE REBATE				3.00	
Jun 2	Withdrawal	ACH WITHDRAWAL TIME WARNER CABL				-172.25	
Jun 2	Bsa Check	JOSHUA PERRY CHECK PAID 001014				-450.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 2	Bank Card	CASH WITHDRAWAL				-2,003.00	
Jun 2	Interest	UBS AG DEPOSIT ACCOUNT				.26	12,870.91
Jun 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-9,579.23	3,291.68
Jun 4	Bsa Check	245 E GARAGE CORP CHECK PAID 001015				-520.85	
Jun 4	Transfer	FM Y1 23575 0100				50,000.00	
Jun 4	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	52,704.98
Jun 5	Bank Card	CASH WITHDRAWAL				-2,003.00	
Jun 5	Rebate	ATM FEE REBATE				3.00	50,704.98
Jun 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/05/14				.10	50,705.08
Jun 9	Bank Card	CASH WITHDRAWAL				-503.00	
Jun 9	Rebate	ATM FEE REBATE				3.00	50,205.08
Jun 11	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	
Jun 11	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	47,722.05
Jun 12	Bsa Check	UNITED TOWER CHECK PAID 001020				-1,900.00	
Jun 12	Bsa Check	UNITED TOWER CHECK PAID 001021				-168.63	45,653.42
Jun 13	Bsa Check	SAWYERS CONTROL SYSTEMS CHECK PAID 001019				-416.16	
Jun 13	Deposit	LOCAL CHECK				565.00	
Jun 13	Bsa Check	DYNAIRE CORP CHECK PAID 001017				-2,421.38	
Jun 13	Interest	UBS BANK USA BUSINESS ACCOUNT				.49	43,381.37
Jun 16	Bsa Check	INSURANCE OFFICE OF CENTRAL CHECK PAID 001018				-22,147.00	21,234.37
Jun 18	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	21,168.52
Jun 23	Bsa Check	CEI NYC ELEVATOR CHECK PAID 001016				-277.41	20,891.11
Jun 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,732.67	19,158.44
Jun 25	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.49	
Jun 25	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.54	
Jun 25	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				40,000.00	56,675.41
Jun 27	Bank Card	DEBIT CARD ACTIVITY				14.36	
Jun 27	Bank Card	DEBIT CARD ACTIVITY				-2,016.28	
Jun 27	Withdrawal	ACH WITHDRAWAL NYC REAL ESTATE				-39,476.08	15,197.41
Jun 30		Closing cash and money balance					\$15,197.41

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL</i>			
May 30	May 30	CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Jun 04	Jun 04	CITIBAN0009594 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Jun 05	Jun 06	CITIBAN0009594 NY NY	-\$503.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$4,509.00
Total rebates			\$9.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Building/Home improvements</i>		
Jun 24	JANOVIG PLAZA/EAST NEW YORK NY 734176599000014596	\$17.40
Jun 25	CNS BENJAMIN MOORE 9 NEW YORK NY 000000000000000000	\$13.03
Jun 25	CNS BENJAMIN MOORE 0 NEW YORK NY 000000000000000000	\$36.96
Jun 25	JANOVIG PLAZA/EAST NEW YORK NY 734177599000014363	\$75.09
Jun 25	THE HOME DEPOT #6177 NEW YORK NY 814176000238967270	\$30.59
Total - Building/Home improvements		\$173.07
<i>Purchases</i>		
May 27	DUANE READE #14221 NEW YORK NY 004148600155657369	\$10.98
May 27	GRACE'S MARKETPLACE NEW YORK NY 334147720018876941	\$29.62
May 27	FOOD EMPORIUM #3670 NEW YORK NY 334147720020694456	\$9.12
May 27	FOOD EMPORIUM #3674 NEW YORK NY 334147720020750548	\$3.18
May 27	LOBELS NEW YORK NY 604148100676430971	\$66.50
May 28	GRACIOUS HOME 1 NEW YORK NY 384148200099301713	\$15.00
Jun 05	DUANE READE NEW YORK NY 004157600159731614	\$5.42
Jun 05	EMBASSY LIQUORS II NEW YORK NY 094157200074300355	\$13.05
Jun 05	GRACE'S MARKETPLACE NEW YORK NY 334156720020445618	\$437.84

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Jun 05	FOOD EMPORIUM #3670 NEW YORK NY 33415672002231 1958	\$30.95
	Jun 05	FOOD EMPORIUM #3674 NEW YORK NY 334156720022353687	\$12.98
	Jun 05	FOOD EMPORIUM #3674 NEW YORK NY 334156720022355302	\$18.62
	Jun 05	Garnet Wines & Liquo New York NY 804157715040430204	\$44.04
	Jun 05	DUANE READE NEW YORK NY 004158600170367280	\$5.42
	Jun 06	DUANE READE NEW YORK NY 004158600170367363	\$6.69
	Jun 06	GRACE'S MARKETPLACE NEW YORK NY 334157720021420809	\$97.75
	Jun 06	GRACE'S MARKETPLACE NEW YORK NY 334157720021423217	\$18.21
	Jun 10	GRACIOUS HOME 1 NEW YORK NY 384161200099300816	\$78.37
	Jun 10	WALGREENS #10417 NEW YORK NY 004162600153874207	\$19.59
	Jun 10	UNLEASHED 5706635570 NEW YORK NY 074161730090389507	\$16.86
	Jun 11	DUANE READE NEW YORK NY 004163600157248951	\$11.49
	Jun 12	UNLEASHED 5706635570 NEW YORK NY 074163730080391149	\$25.86
	Jun 13	USPS 359614001328013 NEW YORK NY 074164418203966240	\$6.60
	Jun 13	UNLEASHED 5706635570 NEW YORK NY 074164730090392094	\$30.66
	Jun 13	UNLEASHED 5706635570 NEW YORK NY 074164730090054251	-\$14.36
	Jun 15	DUANE READE NEW YORK NY 004167600148871230	\$5.00
	Jun 19	UNLEASHED 5706635570 NEW YORK NY 074170730110219568	\$33.02
	Jun 20	DUANE READE NEW YORK NY 004172600163269974	\$6.69
	Jun 20	MYERS OF KESWICK NEW YORK NY 624172207654800467	\$43.23
	Jun 20	GRACE'S MARKETPLACE NEW YORK NY 334171720021473743	\$121.95
	Jun 20	FOOD EMPORIUM #3670 NEW YORK NY 334171720023501467	\$1.96
	Jun 20	FOOD EMPORIUM #3670 NEW YORK NY 334171720023502580	\$17.46
	Jun 20	FOOD EMPORIUM #3670 NEW YORK NY 334171720023502630	\$23.35
	Jun 22	DUANE READE NEW YORK NY 004174600134513011	\$9.87
	Jun 22	DUANE READE NEW YORK NY 004175600158342809	\$6.49
	Jun 23	GRACIOUS HOME 1 NEW YORK NY 384174200099300456	\$33.28
	Jun 23	UNLEASHED 5706635570 NEW YORK NY 074174730080221202	\$39.81
	Jun 24	DUANE READE NEW YORK NY 004176600154689566	\$22.85
	Total - Purchases		\$1,365.40

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL (continued)</i>			
<i>Restaurants</i>	May 27	DOC WATSONS NEW YORK NY 774149458369600031	\$10.10
	May 28	STARBUCKS #00821 NEW New York NY 164148000713243996	\$3.43
	May 30	STARBUCKS #00821 NEW New York NY 164150000517362633	\$4.30
	Jun 02	STARBUCKS #00821 NEW New York NY 164153000859831192	\$3.43
	Jun 06	FARINELLA - 788 NEW YORK NY 054157985370442653	\$46.82
	Jun 06	STARBUCKS #00821 NEW New York NY 164157000809292467	\$3.43
	Jun 09	STARBUCKS #00821 NEW New York NY 164160000154037579	\$3.43
	Jun 09	LE PAIN QUOTIDIEN NEW YORK NY 054161286688801303	\$3.35
	Jun 11	STARBUCKS #00821 NEW New York NY 164162000916010714	\$4.30
	Jun 11	STARBUCKS #00821 NEW New York NY 164162000995270296	\$3.54
	Jun 12	STARBUCKS #00821 NEW New York NY 164163000460910029	\$17.38
	Jun 12	LE PAIN QUOTIDIEN NEW YORK NY 054164286688801714	\$3.35
	Jun 13	STARBUCKS #00821 NEW New York NY 164164000858118812	\$3.43
	Jun 15	STARBUCKS #00821 NEW New York NY 164166000683342361	\$4.30
	Jun 20	STARBUCKS #00821 NEW New York NY 164171000888512027	\$3.54
	Jun 23	STARBUCKS #00821 NEW New York NY 164174000159731618	\$3.54
	Jun 24	STARBUCKS #00821 NEW New York NY 164175000538876539	\$3.54
	Jun 24	STARBUCKS #00821 NEW New York NY 164175000619026897	\$3.54
	Jun 25	STARBUCKS #00821 NEW New York NY 164176000962077215	\$3.54
	Total - Restaurants		\$132.29
<i>Transportation</i>	Jun 06	SHELL OIL 5754441620 NEW YORK NY 054158548116039854	\$80.00
	Jun 18	MTA MVM*59TH STREET/ NEW YORK NY 434170004046493127	\$20.00
	Total - Transportation		\$100.00
<i>Professional services</i>	Jun 10	LEATHER SPA NEW YORK NY 054162158184671213	\$167.00
	Total - Professional services		\$167.00

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Account activity this month (continued)

Date	Description	Amount (\$)
Card purchases (continued)		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL (continued)</i>		
<i>Miscellaneous/Unclassified</i>		
May 27	METROPOLITAN PLANT-F FORT LEE NJ 774148275353182292	\$64.16
Total - Miscellaneous/Unclassified		\$64.16
Total Card charges		\$2,001.92

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
Building/Home improvements	\$173.07	\$173.07
Purchases	\$1,365.40	\$2,807.33
Restaurants	\$132.29	\$184.47
Transportation	\$100.00	\$140.28
Professional services	\$167.00	\$185.00
Miscellaneous/Unclassified	\$64.16	\$197.80
Total card purchases	\$2,001.92	\$3,687.95

Date	Activity	Description	Amount (\$)
Money balance activities			
May 30	Balance forward		\$0.00
Jun 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	15,492.90
Jun 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/02/14	-2,621.99
Jun 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/03/14	-9,579.23
Jun 5	Deposit	UBS BANK USA BUSINESS ACCOUNT	49,413.30
Jun 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/05/14	-2,000.00
Jun 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 06/05/14	0.10
Jun 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/09/14	-500.00
Jun 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/11/14	-2,483.03
Jun 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/12/14	-2,068.63
Jun 13	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-45,653.42
Jun 30	Closing UBS Bank USA Business Account		\$0.00

The UBS Bank USA Business Account is your primary sweep option.

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	May 30	Balance forward		\$16,013.75
	Jun 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/30/14	-520.85
	Jun 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-15,492.90
	Jun 13	Deposit	UBS AG DEPOSIT ACCOUNT	45,653.42
	Jun 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/13/14	-2,272.05
	Jun 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/16/14	-22,147.00
	Jun 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/18/14	-65.85
	Jun 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/23/14	-277.41
	Jun 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/24/14	-1,732.67
	Jun 26	Deposit	UBS AG DEPOSIT ACCOUNT	37,516.97
	Jun 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/27/14	-41,478.00
	Jun 30	Closing UBS AG Deposit Account		\$15,197.41

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
 - If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.
- All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
 - In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity.

The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

July 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

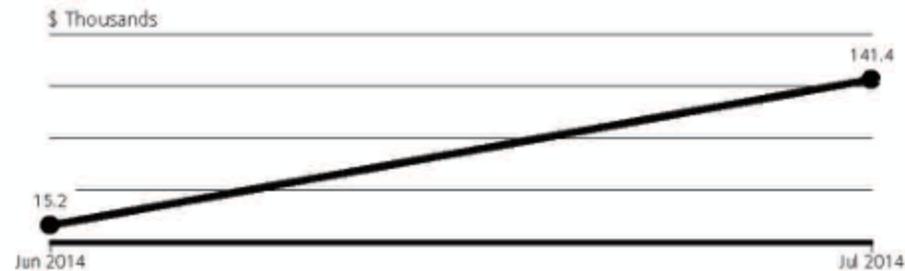
Visit our website:

www.ubs.com/financialservices

Value of your account

	on June 30 (\$)	on July 31 (\$)
Your assets	15,197.41	141,432.83
Your liabilities	0.00	0.00
Value of your account	\$15,197.41	\$141,432.83

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$141,429.85
Your investment return:	
Dividend and interest income	\$2.98
Change in market value	\$0.00
Value of your account on Jul 31, 2014	\$141,432.83

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Your account balance sheet

Summary of your assets

	Value on July 31 (\$)	Percentage of your account
A Cash and money balances	141,432.83	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$141,432.83	100.00%

Value of your account **\$141,432.83**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	July 2014	Year to date
S&P 500	-1.38%	5.66%
Russell 3000	-1.97%	4.83%
MSCI - Europe, Australia & Far East	-1.96%	3.08%
Barclays Capital U.S. Aggregate Bond Index	-0.25%	3.66%

Interest rates on July 31, 2014

3-month Treasury bills: 0.03%
One-month LIBOR: 0.16%

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Change in the value of your account

	July 2014 (\$)	Year to date (\$)
Opening account value	\$15,197.41	\$0.00
Deposits, including investments transferred in	160,000.00	346,518.76
Withdrawals and fees, including investments transferred out	-33,765.71	-205,088.91
Dividend and interest income	1.13	2.98
Closing account value	\$141,432.83	\$141,432.83

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2014 (\$)	Year to date (\$)
Taxable interest	1.13	2.98
Total current year	\$1.13	\$2.98
Total dividend & interest	\$1.13	\$2.98

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2014 (\$)	Year to date (\$)
Opening balances	\$15,197.41	\$0.00
<i>Additions</i>		
Deposits and other funds credited	160,000.00	346,518.76
Dividend and interest income	1.13	2.98
Total additions	\$160,001.13	\$346,521.74
<i>Subtractions</i>		
Checks and bill payments	-27,540.36	-167,479.97
Cash/ATM transactions	-5,735.00	-22,321.00
ATM fee rebates	15.00	51.00
Card payments	-505.35	-4,193.30
Other funds debited	0.00	-11,145.64
Total subtractions	-\$33,765.71	-\$205,088.91
Net cash flow	\$126,235.42	\$141,432.83
Closing balances	\$141,432.83	\$141,432.83

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UBS Bank USA Business Account APY

Interest period Jun 6 - Jul 7

Opening UBS Bank USA Business balance Jun 6	\$50,705.08
Closing UBS Bank USA Business balance Jul 7	\$0.00
Number of days in interest period	32
Average daily balance	\$11,652.26
Interest earned	\$0.53
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Jun 6 - Jul 7

Opening UBS AG Deposit balance Jun 6	\$0.00
Closing UBS AG Deposit balance Jul 7	\$0.00
Number of days in interest period	32
Average daily balance	\$14,222.77
Interest earned	\$0.64
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jul 1 (\$)	Closing balance on Jul 31 (\$)	Price per share on Jul 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-520.85				
UBS AG DEPOSIT ACCOUNT	15,197.41	141,953.68				
Total	\$15,197.41	\$141,432.83				

Your total assets

		Value on Jul 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	141,432.83	100.00%	141,432.83		
Total		\$141,432.83	100.00%	\$141,432.83		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 30		Cash and money balance					\$15,197.41
Jul 1	Rebate	ATM FEE REBATE				3.00	
Jul 1	Withdrawal	ACH WITHDRAWAL TIME WARNER CABL				-258.50	
Jul 1	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-520.85	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 1	Bank Card	CASH WITHDRAWAL				-903.00	
Jul 1	Interest	UBS AG DEPOSIT ACCOUNT				.64	13,518.70
Jul 2	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-65.85	13,452.85
Jul 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-13,782.69	-329.84
Jul 7	Rebate	ATM FEE REBATE				3.00	
Jul 7	Bank Card	CASH WITHDRAWAL				-783.00	
Jul 7	Transfer	FM Y1 23575 0100				50,000.00	
Jul 7	Bsa Check	JOSHUA PERRY CHECK PAID 001023				-450.00	48,440.16
Jul 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 07/07/14				.04	48,440.20
Jul 10	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.54	
Jul 10	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.49	45,957.17
Jul 11	Bank Card	CASH WITHDRAWAL				-2,003.00	
Jul 11	Rebate	ATM FEE REBATE				3.00	43,957.17
Jul 14	Bank Card	CASH WITHDRAWAL				-843.00	
Jul 14	Rebate	ATM FEE REBATE				3.00	43,117.17
Jul 15	Rebate	ATM FEE REBATE				3.00	
Jul 15	Bsa Check	MANBER STORAGE CORP CHECK PAID 001024				-800.00	
Jul 15	Bank Card	CASH WITHDRAWAL				-1,203.00	
Jul 15	Interest	UBS BANK USA BUSINESS ACCOUNT				.45	41,117.62
Jul 16	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-81.06	41,036.56
Jul 21	Bsa Check	MANBER CHECK PAID 001025				-1,500.00	39,536.56
Jul 23	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.47	
Jul 23	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.55	37,053.54
Jul 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-3,011.61	34,041.93
Jul 28	Bank Card	DEBIT CARD ACTIVITY				-505.35	
Jul 28	Bsa Check	MANBER TRUCKING CHECK PAID 001026				-1,500.00	
Jul 28	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-11.90	32,024.68
Jul 30	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-71.00	
Jul 30	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				110,000.00	141,953.68
Jul 31	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-520.85	141,432.83
Jul 31		Closing cash and money balance					\$141,432.83

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHISLAINE MAXWELL</i>			
Jun 27	Jun 30	CITIBAN0009591 NY NY	-\$903.00
		ATM FEE REBATE	\$3.00
Jul 03	Jul 03	CITIBAN0002396 NY NY	-\$783.00
		ATM FEE REBATE	\$3.00
Jul 10	Jul 10	CITIBAN0002396 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Jul 11	Jul 11	CITIBAN0002396 NY NY	-\$843.00
		ATM FEE REBATE	\$3.00
Jul 14	Jul 14	CITIBAN0002390 NY NY	-\$1,203.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,735.00
Total rebates			\$15.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Purchases</i>		
Jul 02	UNLEASHED 5706635570 NEW YORK NY 0741837301 10308390	\$11.97
Jul 07	USPS 3596140014 NEW YORK NY 000000000000000000	\$3.17
Jul 07	BARNES & NOBLE #2618 NEW YORK NY 004189100242022271	\$1.00
Jul 08	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$12.31
Jul 08	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$1.79
Jul 09	GRACE'S MARKETPLACE NEW YORK NY 000000000000000000	\$7.19
Jul 10	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.05
Jul 11	USPS 3596140014 NEW YORK NY 000000000000000000	\$30.40
Jul 21	UNLEASHED 5706635570 NEW YORK NY 0742027301 10233215	\$38.63
Jul 22	EMBASSY LIQUORS II NEW YORK NY 094204200078800304	\$28.29
Jul 22	BLOOMYS 001 1000 THI NEW YORK NY 604203000184546237	\$140.00
Jul 24	GRACE'S MARKETPLACE NEW YORK NY 000000000000000000	\$76.40

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 5202</i>			
<i>GHSILAINE MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Jul 24	UNLEASHED 5706635570 NEW YORK NY 074205730070326402	\$45.33
	Total - Purchases		\$411.53
<i>Restaurants</i>			
	Jul 08	STARBUCKS #00821 NEW New York NY 164189000742935711	\$3.54
	Jul 09	STARBUCKS #00821 NEW New York NY 164190000077088711	\$3.54
	Jul 10	STARBUCKS #00821 NEW New York NY 164191000537305506	\$3.54
	Jul 14	STARBUCKS #00821 NEW New York NY 164195000348000276	\$3.54
	Jul 15	STARBUCKS #00821 NEW New York NY 164196000654113712	\$3.54
	Jul 15	STARBUCKS #00821 NEW New York NY 164196000655461201	\$3.54
	Jul 15	STARBUCKS #00821 NEW New York NY 164196000744044216	\$3.54
	Jul 22	STARBUCKS #18509 NEW New York NY 164203000770015693	\$4.30
	Jul 22	STARBUCKS #18509 NEW New York NY 164203000779094004	\$3.54
	Jul 23	STARBUCKS #18509 NEW New York NY 164204000133950643	\$3.54
	Jul 24	STARBUCKS #18509 NEW New York NY 164205000612960609	\$4.08
	Total - Restaurants		\$40.24
<i>Transportation</i>			
	Jul 10	SHELL Service Statio NEW YORK NY 964191000120031066	\$32.08
	Jul 24	NYC TAXI 8L97 WOODSIDE NY 074205090009526733	\$8.00
	Total - Transportation		\$40.08
<i>Professional services</i>			
	Jul 15	MERIT CLEANERS NEW YORK NY 054197987145379766	\$13.50
	Total - Professional services		\$13.50
	Total Card charges		\$505.35

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHSILAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$411.53	\$3,218.86
Restaurants	\$40.24	\$224.71
Transportation	\$40.08	\$180.36

continued next page

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL (continued)</i>		
Professional services	\$13.50	\$198.50
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$505.35	\$4,193.30

Date	Activity	Description	Amount (\$)
Money balance activities			
Jun 30	Balance forward		\$0.00
Jul 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	15,197.41
Jul 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/01/14	-1,678.71
Jul 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/02/14	-65.85
Jul 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/03/14	-13,452.85
Jul 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	48,440.16
Jul 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/10/14	-2,482.99
Jul 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/11/14	-2,000.00
Jul 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/14/14	-840.00
Jul 15	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-43,117.17
Jul 31	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Jun 30	Balance forward		\$15,197.41
Jul 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-15,197.41
Jul 15	Deposit	UBS AG DEPOSIT ACCOUNT	43,117.17
Jul 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/15/14	-1,999.55
Jul 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/16/14	-81.06
Jul 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/21/14	-1,500.00
Jul 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/23/14	-2,483.02
Jul 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/24/14	-3,011.61
Jul 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/28/14	-2,017.25
Jul 31	Deposit	UBS AG DEPOSIT ACCOUNT	109,929.00
Jul 31	Closing UBS AG Deposit Account		\$141,953.68
The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

- Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
- Provide your name and account number (if any).
 - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
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Business Services Account

August 2014

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

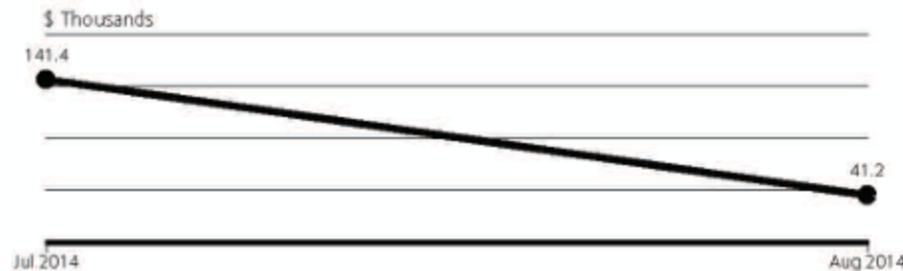
Visit our website:

www.ubs.com/financialservices

Value of your account

	on July 31 (\$)	on August 29 (\$)
Your assets	141,432.83	41,184.07
Your liabilities	0.00	0.00
Value of your account	\$141,432.83	\$41,184.07

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$41,179.54
Your investment return:	
Dividend and interest income	\$4.53
Change in market value	\$0.00
Value of your account on Aug 29, 2014	\$41,184.07

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Your account balance sheet

Summary of your assets

	Value on August 29 (\$)	Percentage of your account
A Cash and money balances	41,184.07	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$41,184.07	100.00%

Value of your account **\$41,184.07**

Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

Eye on the markets

Index	Percentage change	
	August 2014	Year to date
S&P 500	4.00%	9.89%
Russell 3000	4.20%	9.23%
MSCI - Europe, Australia & Far East	-0.15%	2.93%
Barclays Capital U.S. Aggregate Bond Index	1.10%	4.81%

Interest rates on August 29, 2014

3-month Treasury bills: 0.02%
One-month LIBOR: 0.16%

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Change in the value of your account

	August 2014 (\$)	Year to date (\$)
Opening account value	\$141,432.83	\$0.00
Deposits, including investments transferred in	50,000.00	396,518.76
Withdrawals and fees, including investments transferred out	-150,250.31	-355,339.22
Dividend and interest income	1.55	4.53
Closing account value	\$41,184.07	\$41,184.07

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	August 2014 (\$)	Year to date (\$)
Taxable interest	1.55	4.53
Total current year	\$1.55	\$4.53
Total dividend & interest	\$1.55	\$4.53

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	August 2014 (\$)	Year to date (\$)
Opening balances	\$141,432.83	\$0.00
<i>Additions</i>		
Deposits and other funds credited	50,000.00	396,518.76
Dividend and interest income	1.55	4.53
Total additions	\$50,001.55	\$396,523.29
<i>Subtractions</i>		
Checks and bill payments	-141,951.21	-309,431.18
Cash/ATM transactions	-7,968.00	-30,289.00
ATM fee rebates	18.00	69.00
Card payments	-349.10	-4,542.40
Other funds debited	0.00	-11,145.64
Total subtractions	-\$150,250.31	-\$355,339.22
Net cash flow	-\$100,248.76	\$41,184.07
Closing balances	\$41,184.07	\$41,184.07

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UBS Bank USA Business Account APY

Interest period Jul 8 - Aug 6

Opening UBS Bank USA Business balance Jul 8	\$0.00
Closing UBS Bank USA Business balance Aug 6	\$7,366.53
Number of days in interest period	30
Average daily balance	\$21,823.72
Interest earned	\$0.90
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Jul 8 - Aug 6

Opening UBS AG Deposit balance Jul 8	\$0.00
Closing UBS AG Deposit balance Aug 6	\$0.00
Number of days in interest period	30
Average daily balance	\$24,536.72
Interest earned	\$1.04
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 29 (\$)	Price per share on Aug 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-520.85	-2,343.81				
UBS AG DEPOSIT ACCOUNT	141,953.68	43,527.88				
Total	\$141,432.83	\$41,184.07				

Your total assets

		Value on Aug 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	41,184.07	100.00%	41,184.07		
Total		\$41,184.07	100.00%	\$41,184.07		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 31		Cash and money balance					\$141,432.83
Aug 1	Withdrawal	ACH WITHDRAWAL TIME WARNER CABL				-256.50	
Aug 1	Bsa Check	SIMPLY ELEGANT CHECK PAID 001030				-63,933.60	
Aug 1	Interest	UBS AG DEPOSIT ACCOUNT				1.04	77,243.77

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 4	Bsa Check	UNITED TOWER CHECK PAID 001029				-6,963.65	
Aug 4	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-21,386.13	48,893.99
Aug 6	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.51	
Aug 6	Bsa Check	DYNAIRE SERV CORP CHECK PAID 001028				-14,044.88	
Aug 6	Bsa Check	DYNAIRE CORP CHECK PAID 001027				-25,000.00	
Aug 6	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.52	7,366.08
Aug 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/14				.45	7,366.53
Aug 8	Bsa Check	JOSHUA PERRY CHECK PAID 001031				-450.00	6,916.53
Aug 11	Bank Card	CASH WITHDRAWAL				-863.00	
Aug 11	Rebate	ATM FEE REBATE				3.00	6,056.53
Aug 12	Bank Card	CASH WITHDRAWAL				-2,003.00	
Aug 12	Rebate	ATM FEE REBATE				3.00	4,056.53
Aug 13	Rebate	ATM FEE REBATE				3.00	
Aug 13	Bank Card	CASH WITHDRAWAL				-2,003.00	
Aug 13	Interest	UBS BANK USA BUSINESS ACCOUNT				.06	2,056.59
Aug 15	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-77.52	1,979.07
Aug 19	Bank Card	CASH WITHDRAWAL				-293.00	
Aug 19	Rebate	ATM FEE REBATE				3.00	1,689.07
Aug 21	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.52	
Aug 21	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.51	
Aug 21	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	49,206.04
Aug 22	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,529.06	46,676.98
Aug 25	Rebate	ATM FEE REBATE				3.00	
Aug 25	Bank Card	CASH WITHDRAWAL				-803.00	
Aug 25	Bank Card	CASH WITHDRAWAL				-2,003.00	
Aug 25	Rebate	ATM FEE REBATE				3.00	43,876.98
Aug 27	Bank Card	DEBIT CARD ACTIVITY				-349.10	43,527.88
Aug 29	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	
Aug 29	Bsa Check	JMC STONE CHECK PAID 001034				-1,750.00	
Aug 29	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-520.85	41,184.07
Aug 29		Closing cash and money balance					\$41,184.07

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHISLAINE MAXWELL</i>			
Aug 08	Aug 08	CITIBAN0002398 NY NY	-\$863.00
		ATM FEE REBATE	\$3.00
Aug 11	Aug 11	CITIBAN0002398 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Aug 12	Aug 12	CITIBAN0002398 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Aug 17	Aug 18	CITIBAN0080392 NY 10003 NY	-\$293.00
		ATM FEE REBATE	\$3.00
Aug 22	Aug 22	CITIBAN0002395 NY NY	-\$2,003.00
		CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$7,968.00
Total rebates			\$18.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Purchases</i>		
Jul 25	USPS 359614001328013 NEW YORK NY 074206418213964888	\$36.65
Jul 25	USPS3596147500503903 NEW YORK NY 074206418213899126	\$7.28
Jul 25	Duane Reade 1111 3RD NEW YORK NY 984206000225142595	\$16.39
Jul 25	FOOD EMPORIUM #3674 NEW YORK NY 334206720023818852	\$21.09
Jul 25	FOOD EMPORIUM #3674 NEW YORK NY 334206720023821211	\$10.74
Total - Purchases		\$92.15
<i>Restaurants</i>		
Jul 25	STARBUCKS #18509 NEW New York NY 164206000061680483	\$3.54
Total - Restaurants		\$3.54
Total Card charges		\$95.69

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHISLAINE MAXWELL</i>			
<i>Building/Home improvements</i>	Aug 06	JANOVIG PLAZA/87TH NEW YORK NY 734219599000021541	\$2.71
	Aug 07	THE HOME DEPOT #6177 NEW YORK NY 814219000157978000	\$8.00
	Aug 20	THE HOME DEPOT #6177 NEW YORK NY 814232000195976803	\$56.63
	Aug 25	THE HOME DEPOT #6177 NEW YORK NY 814237000163093479	\$3.26
	Total - Building/Home improvements		\$70.60
<i>Purchases</i>	Aug 07	USPS 3596140014 NEW YORK NY 000000000000000000	\$40.25
	Aug 25	CHANEL #20 NEW YORK NY 214237498000004379	\$38.11
	Total - Purchases		\$78.36
<i>Restaurants</i>	Jul 28	STARBUCKS #18509 NEW New York NY 164209000348425139	\$3.54
	Jul 28	STARBUCKS #18509 NEW New York NY 164209000317918437	\$3.54
	Jul 28	LE PAIN QUOTIDIEN NEW YORK NY 054210286688801080	\$3.35
	Jul 29	STARBUCKS #18509 NEW New York NY 164210000712338212	\$4.30
	Aug 06	HUMMUS KITCHEN EAST NEWYORK NY 044218900013216795	\$89.72
	Total - Restaurants		\$104.45
	Total Card charges		\$253.41
	Total - Charges		\$349.10

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$92.15	\$3,311.01
Restaurants	\$3.54	\$228.25
Transportation	\$0.00	\$180.36
Professional services	\$0.00	\$198.50
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$95.69	\$4,288.99

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>GHISLAINE MAXWELL</i>		
Building/Home improvements	\$70.60	\$70.60
Purchases	\$78.36	\$78.36
Restaurants	\$104.45	\$104.45
Total card purchases	\$253.41	\$253.41
Total purchases	\$349.10	\$4,542.40

Date	Activity	Description	Amount (\$)
Money balance activities			
Jul 31	Balance forward		\$0.00
Aug 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	141,432.83
Aug 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/01/14	-64,189.06
Aug 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/04/14	-28,349.78
Aug 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/14	-41,527.91
Aug 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/14	0.45
Aug 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/08/14	-450.00
Aug 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/11/14	-860.00
Aug 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/12/14	-2,000.00
Aug 13	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-4,056.53
Aug 29	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Jul 31	Balance forward		\$141,953.68
Aug 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/31/14	-520.85
Aug 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-141,432.83
Aug 13	Deposit	UBS AG DEPOSIT ACCOUNT	4,056.53
Aug 14	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/13/14	-1,999.94
Aug 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/15/14	-77.52
Aug 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/19/14	-290.00
Aug 22	Deposit	UBS AG DEPOSIT ACCOUNT	47,516.97
Aug 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/22/14	-2,529.06
Aug 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/25/14	-2,800.00

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Business Services Account
August 2014

Account name: 116 EAST 65TH STREET LLC
Friendly account name: 116 LLC
Account number: [REDACTED]

Your Financial Advisor:
SCOTT STACKMAN/LYLE CASRIEL
[REDACTED]

Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Aug 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/27/14	-349.10
	Aug 29	Closing UBS AG Deposit Account		\$43,527.88

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMBBSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities' deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

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UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANF70009844290914.X12.Y1.0

Business Services Account

September 2014

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

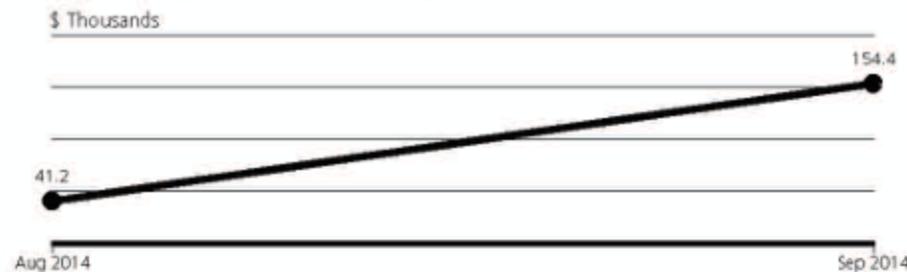
Visit our website:

www.ubs.com/financialservices

Value of your account

	on August 29 (\$)	on September 30 (\$)
Your assets	41,184.07	154,410.19
Your liabilities	0.00	0.00
Value of your account	\$41,184.07	\$154,410.19

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$154,404.50
Your investment return:	
Dividend and interest income	\$5.69
Change in market value	\$0.00
Value of your account on Sep 30, 2014	\$154,410.19

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Your account balance sheet

Summary of your assets

	Value on September 30 (\$)	Percentage of your account
A Cash and money balances	154,410.19	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$154,410.19	100.00%

Value of your account **\$154,410.19**

Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

Eye on the markets

Index	Percentage change	
	September 2014	Year to date
S&P 500	-1.40%	8.34%
Russell 3000	-2.08%	6.95%
MSCI - Europe, Australia & Far East	-3.81%	-0.99%
Barclays Capital U.S. Aggregate Bond Index	-0.68%	4.10%

Interest rates on September 30, 2014

3-month Treasury bills: 0.02%
One-month LIBOR: 0.16%

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Change in the value of your account

	September 2014 (\$)	Year to date (\$)
Opening account value	\$41,184.07	\$0.00
Deposits, including investments transferred in	150,000.00	546,518.76
Withdrawals and fees, including investments transferred out	-36,775.04	-392,114.26
Dividend and interest income	1.16	5.69
Closing account value	\$154,410.19	\$154,410.19

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2014 (\$)	Year to date (\$)
Taxable interest	1.16	5.69
Total current year	\$1.16	\$5.69
Total dividend & interest	\$1.16	\$5.69

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2014 (\$)	Year to date (\$)
Opening balances	\$41,184.07	\$0.00
<i>Additions</i>		
Deposits and other funds credited	150,000.00	546,518.76
Dividend and interest income	1.16	5.69
Total additions	\$150,001.16	\$546,524.45
<i>Subtractions</i>		
Checks and bill payments	-27,179.30	-336,610.48
Cash/ATM transactions	-8,055.98	-38,344.98
ATM fee rebates	15.98	84.98
Card payments	-1,555.74	-6,098.14
Other funds debited	0.00	-11,145.64
Total subtractions	-\$36,775.04	-\$392,114.26
Net cash flow	\$113,226.12	\$154,410.19
Closing balances	\$154,410.19	\$154,410.19

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UBS Bank USA Business Account APY

Interest period Aug 7 - Sep 7

Opening UBS Bank USA Business balance Aug 7	\$7,366.53
Closing UBS Bank USA Business balance Sep 7	\$19,305.53
Number of days in interest period	32
Average daily balance	\$5,466.89
Interest earned	\$0.26
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Aug 7 - Sep 7

Opening UBS AG Deposit balance Aug 7	\$0.00
Closing UBS AG Deposit balance Sep 7	\$0.00
Number of days in interest period	32
Average daily balance	\$15,521.05
Interest earned	\$0.70
Annual percentage yield earned	0.05%

Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Sep 1 (\$)	Closing balance on Sep 30 (\$)	Price per share on Sep 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-2,343.81	-20.00				
UBS AG DEPOSIT ACCOUNT	43,527.88	154,430.19				
Total	\$41,184.07	\$154,410.19				

Your total assets

	Value on Sep 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	154,410.19	100.00%	154,410.19		
Total	\$154,410.19	100.00%	\$154,410.19		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 29		Cash and money balance					\$41,184.07
Sep 2	Bsa Check	E&M MAINT INC CHECK PAID- 001033				-1,450.00	
Sep 2	Interest	UBS AG DEPOSIT ACCOUNT				.70	39,734.77
Sep 3	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.50	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 3	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.53	
Sep 3	Bsa Check	SAWYERS CONTROL CHECK PAID 001032				-3,165.55	
Sep 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-14,330.86	19,755.33
Sep 4	Bsa Check	JOSHUA PERRY CHECK PAID 001035				-450.00	19,305.33
Sep 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 09/05/14				.20	19,305.53
Sep 9	Bank Card	CASH WITHDRAWAL				-21.99	
Sep 9	Rebate	ATM FEE REBATE				1.99	19,285.53
Sep 12	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	19,212.57
Sep 16	Rebate	ATM FEE REBATE				3.00	
Sep 16	Rebate	ATM FEE REBATE				3.00	
Sep 16	Rebate	ATM FEE REBATE				3.00	
Sep 16	Bank Card	CASH WITHDRAWAL				-2,003.00	
Sep 16	Bank Card	CASH WITHDRAWAL				-2,003.00	
Sep 16	Bank Card	CASH WITHDRAWAL				-2,003.00	
Sep 16	Bank Card	CASH WITHDRAWAL				-2,003.00	
Sep 16	Rebate	ATM FEE REBATE				3.00	11,212.57
Sep 17	Interest	UBS BANK USA BUSINESS ACCOUNT				.26	11,212.83
Sep 18	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.52	
Sep 18	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.51	8,729.80
Sep 23	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,670.91	6,058.89
Sep 26	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	
Sep 26	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				150,000.00	155,985.93
Sep 29	Bank Card	DEBIT CARD ACTIVITY				-1,577.48	
Sep 29	Bank Card	DEBIT CARD ACTIVITY				21.74	154,430.19
Sep 30	Bank Card	CASH WITHDRAWAL				-21.99	
Sep 30	Rebate	ATM FEE REBATE				1.99	154,410.19
Sep 30		Closing cash and money balance					\$154,410.19

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHISLAINE MAXWELL</i>			
Sep 07	Sep 08	A A Discount New York NY	-\$21.99
		ATM FEE REBATE	\$1.99
Sep 12	Sep 15	CITIBAN0009595 NY NY	-\$2,003.00
		CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Sep 15	Sep 15	CITIBAN0009594 NY NY	-\$2,003.00
		CITIBAN0009594 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Sep 28	Sep 29	A A Discount New York NY	-\$21.99
		ATM FEE REBATE	\$1.99
Total transactions			-\$8,055.98
Total rebates			\$15.98

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Building/Home improvements</i>		
Sep 01	THE HOME DEPOT #0983 HACKENSACK NJ 814244000207569857	\$269.60
Sep 04	THE HOME DEPOT #0983 HACKENSACK NJ 814247000114055211	\$39.20
Sep 04	THE HOME DEPOT #0983 HACKENSACK NJ 814247000124089192	\$149.94
Sep 15	STOCK SEED FARMS, IN 402-8673771 NE 774259472594994040	\$31.46
Sep 22	THE HOME DEPOT 6 177 NEW YORK NY 814265000164930765	\$35.75
Sep 22	THE HOME DEPOT 6 177 NEW YORK NY 814265000178345617	-\$21.74
Total - Building/Home improvements		\$504.21
<i>Purchases</i>		
Aug 27	USPS 3596140014 NEW YORK NY 000000000000000000	\$12.35
Aug 27	RADIOSHACK COR NEW YORK NY 514239000165215341	\$36.98

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHSILAINE MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Sep 04	Staples, Inc NEW YORK NY 384247000144368615	\$29.70
	Sep 05	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$55.74
	Sep 05	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$23.96
	Sep 06	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$10.09
	Sep 08	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$5.19
	Sep 08	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$24.78
	Sep 08	UNLEASHED 5706635570 NEW YORK NY 074251730070361840	\$42.98
	Sep 08	RADIOSHACK COR NEW YORK NY 514251000113214431	\$14.14
	Sep 11	TOTAL WINE AND MORE RIVER EDGE NJ 304254000236018214	\$314.76
	Sep 12	USPS 3596140014 NEW YORK NY 000000000000000000	\$7.58
	Sep 13	BABETH'S FEAST NEW YORK NY 434257103006829728	\$83.94
	Sep 14	ROSA ROSA INC NY NY 514257200000000034	\$14.15
	Sep 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$40.70
	Sep 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$34.90
	Sep 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$9.89
	Sep 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$11.37
	Sep 15	Staples, Inc NEW YORK NY 384258000162749866	\$2.18
	Sep 15	PAPYRUS # 2234 NEW YORK NY 004259100227152387	\$19.60
	Sep 16	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$6.09
	Sep 19	Staples, Inc NEW YORK NY 384262000167161517	\$28.71
	Sep 20	UNLEASHED 5706635570 NEW YORK NY 074264730060372620	\$42.98
	Sep 22	RADIOSHACK COR NEW YORK NY 514265000122718780	\$21.76
	Sep 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.00
		Total - Purchases	\$909.52
Restaurants			
	Sep 08	STARBUCKS #18509 NEW New York NY 164251000887123357	\$3.54
	Sep 08	LE PAIN QUOTIDIEN NEW YORK NY 054252286688801642	\$3.35
	Sep 09	STARBUCKS #18509 NEW New York NY 164252000245833472	\$3.54
	Sep 09	STARBUCKS #18509 NEW New York NY 164252000306851801	\$3.54
	Sep 10	STARBUCKS #18509 NEW New York NY 164253000748205524	\$3.54
	Sep 10	STARBUCKS #18509 NEW New York NY 164253000718977565	\$4.30

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHISLAINE MAXWELL (continued)</i>			
<i>Restaurants (continued)</i>			
	Sep 11	STARBUCKS #18509 NEW New York NY 164254000159339977	\$4.30
	Sep 12	STARBUCKS #18509 NEW New York NY 164255000697913753	\$3.54
	Sep 13	STARBUCKS #18509 NEW New York NY 164256000090730464	\$4.30
	Sep 14	STARBUCKS #18509 NEW New York NY 164257000530027934	\$4.30
	Sep 14	LE PAIN QUOTIDIEN NEW YORK NY 054258286688801505	\$10.05
	Sep 15	STARBUCKS #18509 NEW New York NY 164258000034073789	\$4.30
	Sep 16	STARBUCKS #18509 NEW New York NY 164259000497150016	\$4.30
	Sep 17	STARBUCKS #18509 NEW New York NY 164260000936538612	\$4.30
	Sep 22	STARBUCKS #18509 NEW New York NY 164265000096255531	\$4.30
	Sep 22	PRET A MANGER #016 NEW YORK NY 054266838000456192	\$4.89
	Sep 23	STARBUCKS #18509 NEW New York NY 164266000504381621	\$4.30
	Sep 23	STARBUCKS #18509 NEW New York NY 164266000556111546	\$3.54
	Sep 23	PRET A MANGER #016 NEW YORK NY 054267838000459724	\$4.89
	Sep 24	STARBUCKS #18509 NEW New York NY 164267000918755591	\$4.30
	Sep 25	STARBUCKS #18509 NEW New York NY 164268000385439777	\$4.30
	Total - Restaurants		\$91.72
<i>Transportation</i>			
	Sep 17	NYC-TAXI ASTORIA NY 484261459435249055	\$11.30
	Sep 19	NYC TAXI 2Y22 LONG ISLAND NY 074262090742142426	\$9.60
	Total - Transportation		\$20.90
<i>Professional services</i>			
	Sep 19	LEATHER SPA NEW YORK NY 054264985302679711	\$8.00
	Total - Professional services		\$8.00
<i>Miscellaneous/Unclassified</i>			
	Sep 01	METROPOLITAN PLANT-F FORT LEE NJ 774245275390586580	\$21.39
	Total - Miscellaneous/Undassified		\$21.39
	Total Card charges		\$1,555.74

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$0.00	\$3,311.01
Restaurants	\$0.00	\$228.25
Transportation	\$0.00	\$180.36
Professional services	\$0.00	\$198.50
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$0.00	\$4,288.99

UBS Visa debit: XXX4 8834
GHISLAINE MAXWELL

Building/Home improvements	\$504.21	\$574.81
Purchases	\$909.52	\$987.88
Restaurants	\$91.72	\$196.17
Transportation	\$20.90	\$20.90
Professional services	\$8.00	\$8.00
Miscellaneous/Unclassified	\$21.39	\$21.39
Total card purchases	\$1,555.74	\$1,809.15
Total purchases	\$1,555.74	\$6,098.14

Date	Activity	Description	Amount (\$)
Money balance activities			
Aug 29	Balance forward		\$0.00
Sep 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	41,184.07
Sep 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/02/14	-1,449.30
Sep 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/03/14	-19,979.44
Sep 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/04/14	-450.00
Sep 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 09/05/14	0.20
Sep 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/09/14	-20.00
Sep 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/12/14	-72.96
Sep 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/16/14	-8,000.00

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Sep 17	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-11,212.57
	Sep 30	Closing UBS Bank USA Business Account		\$0.00
	The UBS Bank USA Business Account is your primary sweep option.			
	Aug 29	Balance forward		\$43,527.88
	Sep 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/29/14	-2,343.81
	Sep 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-41,184.07
	Sep 17	Deposit	UBS AG DEPOSIT ACCOUNT	11,212.57
	Sep 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/18/14	-2,482.77
	Sep 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/23/14	-2,670.91
	Sep 29	Deposit	UBS AG DEPOSIT ACCOUNT	149,927.04
	Sep 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/29/14	-1,555.74
	Sep 30	Closing UBS AG Deposit Account		\$154,430.19
	The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANP7000567057 1014 Y1 0

Business Services Account

October 2014

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

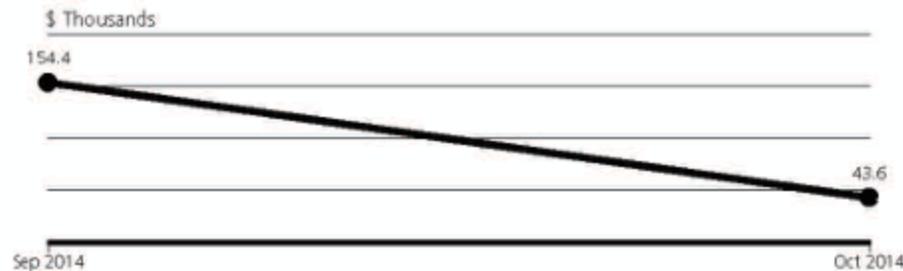
Visit our website:

www.ubs.com/financialservices

Value of your account

	on September 30 (\$)	on October 31 (\$)
Your assets	154,410.19	43,570.90
Your liabilities	0.00	0.00
Value of your account	\$154,410.19	\$43,570.90

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$43,563.62
Your investment return:	
Dividend and interest income	\$7.28
Change in market value	\$0.00
Value of your account on Oct 31, 2014	\$43,570.90

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Your account balance sheet

Summary of your assets

	Value on October 31 (\$)	Percentage of your account
A Cash and money balances	43,570.90	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$43,570.90	100.00%

Value of your account **\$43,570.90**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	October 2014	Year to date
S&P 500	2.44%	10.99%
Russell 3000	2.75%	9.90%
MSCI - Europe, Australia & Far East	-1.45%	-2.42%
Barclays Capital U.S. Aggregate Bond Index	0.98%	5.12%

Interest rates on October 31, 2014

3-month Treasury bills: 0.01%
One-month LIBOR: 0.16%

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Change in the value of your account

	October 2014 (\$)	Year to date (\$)
Opening account value	\$154,410.19	\$0.00
Deposits, including investments transferred in	0.00	546,518.76
Withdrawals and fees, including investments transferred out	-110,840.88	-502,955.14
Dividend and interest income	1.59	7.28
Closing account value	\$43,570.90	\$43,570.90

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	October 2014 (\$)	Year to date (\$)
Taxable interest	1.59	7.28
Total current year	\$1.59	\$7.28
Total dividend & interest	\$1.59	\$7.28

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	October 2014 (\$)	Year to date (\$)
Opening balances	\$154,410.19	\$0.00
<i>Additions</i>		
Deposits and other funds credited	0.00	546,518.76
Dividend and interest income	1.59	7.28
Total additions	\$1.59	\$546,526.04
<i>Subtractions</i>		
Checks and bill payments	-105,239.68	-441,850.16
Cash/ATM transactions	-5,009.00	-43,353.98
ATM fee rebates	9.00	93.98
Card payments	-601.20	-6,699.34
Other funds debited	0.00	-11,145.64
Total subtractions	-\$110,840.88	-\$502,955.14
Net cash flow	-\$110,839.29	\$43,570.90
Closing balances	\$43,570.90	\$43,570.90

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UBS Bank USA Business Account APY

Interest period Sep 8 - Oct 6

Opening UBS Bank USA Business balance Sep 8	\$19,305.53
Closing UBS Bank USA Business balance Oct 6	\$63,556.21
Number of days in interest period	29
Average daily balance	\$26,779.03
Interest earned	\$1.11
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Sep 8 - Oct 6

Opening UBS AG Deposit balance Sep 8	\$0.00
Closing UBS AG Deposit balance Oct 6	\$0.00
Number of days in interest period	29
Average daily balance	\$13,795.01
Interest earned	\$0.56
Annual percentage yield earned	0.05%

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-20.00	0.00				
UBS AG DEPOSIT ACCOUNT	154,430.19	43,570.90				
Total	\$154,410.19	\$43,570.90				

Your total assets

	Value on Oct 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash					
Cash and money balances	43,570.90	100.00%	43,570.90		
Total	\$43,570.90	100.00%	\$43,570.90		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 30		Cash and money balance					\$154,410.19
Oct 1	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-520.85	
Oct 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-898.51	
Oct 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,584.52	

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHISLAINE MAXWELL</i>			
Oct 02	Oct 03	CITIBAN0009594 NY NY	-\$2,003.00
		CITIBAN0009594 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Oct 25	Oct 27	CITIBAN0009591 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,009.00
Total rebates			\$9.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>GHISLAINE MAXWELL</i>		
<i>Building/Home improvements</i>		
Sep 30	THE HOME DEPOT 6177 NEW YORK NY 814273000150015688	\$35.79
Oct 08	THE HOME DEPOT 6177 NEW YORK NY 814281000191555445	\$15.49
Oct 09	CNS BENJAMIN MOORE 7 NEW YORK NY 000000000000000000	\$16.97
Total - Building/Home improvements		\$68.25
<i>Purchases</i>		
Sep 30	L OCCITANE 248 NEW YORK NY 424274732747655112	\$19.60
Oct 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$48.30
Oct 01	RADIOSHACK COR NEW YORK NY 514274000137469022	\$11.08
Oct 01	Papyrus #2234 Papyru New York NY 594274000196281575	\$20.63
Oct 01	Staples, Inc NEW YORK NY 384274000192532803	\$18.82
Oct 02	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$11.98
Oct 10	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$25.28
Oct 22	DUANE READE NEW YORK NY 004296600152217282	\$22.85
Oct 22	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$9.98
Oct 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$2.49
Oct 23	THE VITAMIN SHOPPE 1 NEW YORK NY 000000000000000000	\$4.99

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>GHSILAINE MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Oct 24	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$36.70
	Total - Purchases		\$232.70
<i>Restaurants</i>			
	Sep 27	STARBUCKS #18509 NEW New York NY 164270000249759481	\$4.30
	Sep 28	STARBUCKS #18509 NEW New York NY 164271000667228968	\$4.30
	Sep 29	STARBUCKS #18509 NEW New York NY 164272000077575643	\$4.30
	Sep 30	STARBUCKS #18509 NEW New York NY 164273000511572676	\$4.30
	Oct 01	STARBUCKS #18509 NEW New York NY 164274000962509234	\$4.30
	Oct 02	STARBUCKS #18509 NEW New York NY 164275000455873427	\$4.30
	Oct 06	STARBUCKS #18509 NEW New York NY 164279000454784209	\$11.95
	Oct 10	PRET A MANGER #016 NEW YORK NY 054284838000574851	\$4.89
	Oct 11	LE PAIN QUOTIDIEN NEW YORK NY 054285286688800231	\$21.62
	Oct 23	STARBUCKS #18509 NEW New York NY 164296000824291504	\$4.30
	Oct 23	LE PAIN QUOTIDIEN NEW YORK NY 054297286688800633	\$3.35
	Total - Restaurants		\$71.91
<i>Professional services</i>			
	Oct 11	ESPA CORP NEW YORK NY 474285900011400014	\$50.00
	Total - Professional services		\$50.00
<i>Miscellaneous/Unclassified</i>			
	Oct 07	ELEGANCE IN HARDWARE 201-978-0006 NY 694281100434107698	\$178.34
	Total - Miscellaneous/Unclassified		\$178.34
	Total Card charges		\$601.20

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHSILAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$0.00	\$3,311.01
Restaurants	\$0.00	\$228.25
Transportation	\$0.00	\$180.36
Professional services	\$0.00	\$198.50

continued next page

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHSILAINÉ MAXWELL (continued)</i>		
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$0.00	\$4,288.99

UBS Visa debit: XXX4 8834
GHSILAINÉ MAXWELL

Building/Home improvements	\$68.25	\$643.06
Purchases	\$232.70	\$1,220.58
Restaurants	\$71.91	\$268.08
Transportation	\$0.00	\$20.90
Professional services	\$50.00	\$58.00
Miscellaneous/Unclassified	\$178.34	\$199.73
Total card purchases	\$601.20	\$2,410.35
Total purchases	\$601.20	\$6,699.34

Date	Activity	Description	Amount (\$)
Money balance activities			
Sep 30	Balance forward		\$0.00
Oct 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	154,410.19
Oct 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/01/14	-3,003.32
Oct 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/02/14	-40,014.69
Oct 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/03/14	-16,388.04
Oct 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/14	-31,448.78
Oct 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/14	0.85
Oct 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/07/14	-1,290.17
Oct 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/08/14	-277.41
Oct 9	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-61,988.63
Oct 31	Closing UBS Bank USA Business Account		\$0.00

The UBS Bank, USA Business Account is your primary sweep option.

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Sep 30	Balance forward		\$154,430.19
	Oct 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/30/14	-20.00
	Oct 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-154,410.19
	Oct 9	Deposit	UBS AG DEPOSIT ACCOUNT	61,988.63
	Oct 10	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/09/14	-9,062.51
	Oct 14	Withdrawal	UBS AG DEPOSIT ACCOUNT	-72.96
	Oct 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/17/14	-2,483.03
	Oct 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/23/14	-2,642.04
	Oct 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/24/14	-72.96
	Oct 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/27/14	-601.20
	Oct 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/28/14	-1,000.00
	Oct 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/29/14	-2,483.03
	Oct 31	Closing UBS AG Deposit Account		\$43,570.90

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: #NABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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Rev. 201407

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

November 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIE

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

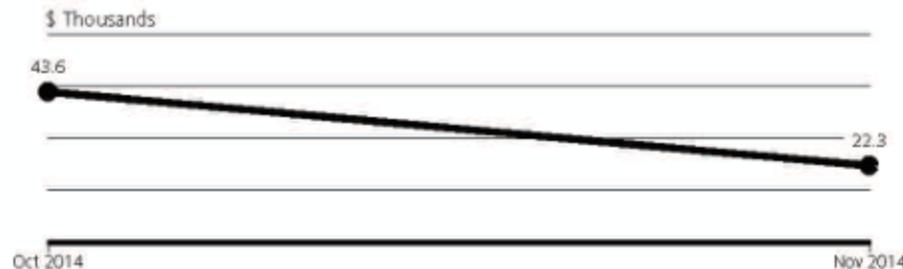
Visit our website:

www.ubs.com/financialservices

Value of your account

	on October 31 (\$)	on November 28 (\$)
Your assets	43,570.90	22,264.50
Your liabilities	0.00	0.00
Value of your account	\$43,570.90	\$22,264.50

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$22,254.69
Your investment return:	
Dividend and interest income	\$9.81
Change in market value	\$0.00
Value of your account on Nov 28, 2014	\$22,264.50

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Your account balance sheet

Summary of your assets

	Value on November 28 (\$)	Percentage of your account
A Cash and money balances	22,264.50	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$22,264.50	100.00%

Value of your account **\$22,264.50**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	November 2014	Year to date
S&P 500	2.69%	13.98%
Russell 3000	2.42%	12.56%
MSCI - Europe, Australia & Far East	1.37%	-1.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	5.86%

Interest rates on November 28, 2014

3-month Treasury bills: 0.01%
One-month LIBOR: 0.15%

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Change in the value of your account

	November 2014 (\$)	Year to date (\$)
Opening account value	\$43,570.90	\$0.00
Deposits, including investments transferred in	0.00	546,518.76
Withdrawals and fees, including investments transferred out	-21,308.93	-524,264.07
Dividend and interest income	2.53	9.81
Closing account value	\$22,264.50	\$22,264.50

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2014 (\$)	Year to date (\$)
Taxable interest	2.53	9.81
Total current year	\$2.53	\$9.81
Total dividend & interest	\$2.53	\$9.81

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2014 (\$)	Year to date (\$)
Opening balances	\$43,570.90	\$0.00
<i>Additions</i>		
Deposits and other funds credited	0.00	546,518.76
Dividend and interest income	2.53	9.81
Total additions	\$2.53	\$546,528.57
<i>Subtractions</i>		
Checks and bill payments	-19,347.77	-461,197.93
Cash/ATM transactions	-824.99	-44,178.97
ATM fee rebates	4.99	98.97
Card payments	-1,141.16	-7,840.50
Other funds debited	0.00	-11,145.64
Total subtractions	-\$21,308.93	-\$524,264.07
Net cash flow	-\$21,306.40	\$22,264.50
Closing balances	\$22,264.50	\$22,264.50

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UBS Bank USA Business Account APY

Interest period Oct 7 - Nov 6

Opening UBS Bank USA Business balance Oct 7	\$63,556.21
Closing UBS Bank USA Business balance Nov 6	\$31,103.13
Number of days in interest period	31
Average daily balance	\$8,022.07
Interest earned	\$0.34
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Oct 7 - Nov 6

Opening UBS AG Deposit balance Oct 7	\$0.00
Closing UBS AG Deposit balance Nov 6	\$0.00
Number of days in interest period	31
Average daily balance	\$39,582.80
Interest earned	\$1.69
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 28 (\$)	Price per share on Nov 28 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-1,141.16				
UBS AG DEPOSIT ACCOUNT	43,570.90	23,405.66				
Total	\$43,570.90	\$22,264.50				

Your total assets

		Value on Nov 28 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	22,264.50	100.00%	22,264.50		
Total		\$22,264.50	100.00%	\$22,264.50		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 31		Cash and money balance					\$43,570.90
Nov 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-12,451.86	
Nov 3	Interest	UBS AG DEPOSIT ACCOUNT				1.69	31,120.73
Nov 4	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-17.76	31,102.97

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 11/06/14				.16	
Nov 7	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	31,030.17
Nov 13	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.51	
Nov 13	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.52	28,547.14
Nov 17	Bank Card	CASH WITHDRAWAL				-803.00	
Nov 17	Rebate	ATM FEE REBATE				3.00	27,747.14
Nov 21	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	
Nov 21	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,608.31	26,065.87
Nov 24	Interest	UBS BANK USA BUSINESS ACCOUNT				.68	26,066.55
Nov 25	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.51	
Nov 25	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.52	23,583.52
Nov 26	Rebate	ATM FEE REBATE				1.99	
Nov 26	Bank Card	CASH WITHDRAWAL				-21.99	
Nov 26	Bsa Check	ABBEY LOCKSMITHS CHECK PAID 001041				-157.86	23,405.66
Nov 28	Bank Card	DEBIT CARD ACTIVITY				-1,141.16	22,264.50
Nov 28		Closing cash and money balance					\$22,264.50

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
Nov 14	Nov 14	CITIBAN0009594 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
Nov 24	Nov 25	A A Discount New York NY	-\$21.99
		ATM FEE REBATE	\$1.99
Total transactions			-\$824.99
Total rebates			\$4.99

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>	Nov 18	THE HOME DEPOT 6177 NEW YORK NY 814322000162498865	\$60.10
	Total - Building/Home improvements		\$60.10
<i>Purchases</i>	Oct 24	BEDBATH&BEYOND# BEDB NEW YORK NY 564297000193081821	\$38.01
	Oct 24	Duane Reade 1111 3RD NEW YORK NY 984297000184068609	\$10.84
	Oct 24	Duane Reade 1111 3RD NEW YORK NY 984298000006378870	\$13.55
	Oct 27	Duane Reade 773 LEXI NEW YORK NY 984300000167468540	\$102.39
	Oct 28	Duane Reade 1111 3RD NEW YORK NY 984301000172504445	\$22.85
	Oct 30	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.68
	Oct 30	JUST BULBS NEW YORK NY 594304980015326391	\$27.22
	Nov 03	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.00
	Nov 03	CARTIER NEW YORK NY 054308207000278206	\$212.31
	Nov 04	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.48
	Nov 04	RADIOSHACK COR NEW YORK NY 514308000093747734	\$9.79
	Nov 10	GRACE'S MARKETPLACE NEW YORK NY 000000000000000000	\$44.15
	Nov 10	MORRIS LOBEL & SONS 212-737-1372 NY 164315000208691029	\$92.92
	Nov 12	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$7.50
	Nov 12	APPLE STORE #R095 NEW YORK NY 164317000365312185	\$86.01
	Nov 20	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$44.55
	Nov 20	JUST BULBS NEW YORK NY 594324980015326397	\$61.24
	Nov 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$4.19
	Nov 24	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$36.75
	Nov 24	GRACE'S MARKETPLACE NEW YORK NY 000000000000000000	\$31.73
	Total - Purchases		\$897.16
<i>Restaurants</i>	Oct 24	STARBUCKS #18509 NEW New York NY 164297000479978198	\$24.90
	Oct 26	LE PAIN QUOTIDIEN NEW YORK NY 054300286688801909	\$3.35
	Oct 28	STARBUCKS #00821 NEW New York NY 164301000165564181	\$4.30
	Nov 03	STARBUCKS #18509 NEW New York NY 164307000774269986	\$4.30
	Nov 04	STARBUCKS #00821 NEW New York NY 164308000286328998	\$11.95

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Restaurants (continued)</i>			
	Nov 10	STARBUCKS #18509 NEW New York NY 164314000059687440	\$4.30
	Nov 12	STARBUCKS #18509 NEW New York NY 164316000949933226	\$4.30
	Nov 12	STARBUCKS #18509 NEW New York NY 164316000004275679	\$4.30
	Nov 13	STARBUCKS #18509 NEW New York NY 164317000372003124	\$4.30
	Nov 21	STARBUCKS #18509 NEW New York NY 164325000071493048	\$4.30
	Nov 22	STARBUCKS #18509 NEW New York NY 164326000686060884	\$4.30
	Nov 23	STARBUCKS #18509 NEW New York NY 164327000082088124	\$4.30
	Total - Restaurants		\$78.90
<i>Transportation</i>			
	Nov 14	MTA MVM*LEXINGTON AV NEW YORK NY 434319004049505572	\$30.00
	Total - Transportation		\$30.00
<i>Professional services</i>			
	Nov 21	LEATHER SPA NEW YORK NY 054327985336710396	\$12.00
	Nov 24	LEATHER SPA NEW YORK NY 054329985338035295	\$63.00
	Total - Professional services		\$75.00
	Total Card charges		\$1,141.16

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHISLAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$0.00	\$3,311.01
Restaurants	\$0.00	\$228.25
Transportation	\$0.00	\$180.36
Professional services	\$0.00	\$198.50
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$0.00	\$4,288.99

UBS Visa debit: XXX4 8834
G. MAXWELL

Building/Home improvements	\$60.10	\$703.16
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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
Purchases	\$897.16	\$2,117.74
Restaurants	\$78.90	\$346.98
Transportation	\$30.00	\$50.90
Professional services	\$75.00	\$133.00
Miscellaneous/Unclassified	\$0.00	\$199.73
Total card purchases	\$1,141.16	\$3,551.51
Total purchases	\$1,141.16	\$7,840.50

Date	Activity	Description	Amount (\$)
Money balance activities			
Oct 31	Balance forward		\$0.00
Nov 3	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	43,570.90
Nov 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/03/14	-12,450.17
Nov 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/04/14	-17.76
Nov 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 11/06/14	0.16
Nov 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/07/14	-72.96
Nov 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/13/14	-2,483.03
Nov 18	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/17/14	-800.00
Nov 24	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/21/14	-1,681.27
Nov 24	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-26,065.87
Nov 28	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Oct 31	Balance forward		\$43,570.90
Nov 3	Withdrawal	UBS AG DEPOSIT ACCOUNT	-43,570.90
Nov 24	Deposit	UBS AG DEPOSIT ACCOUNT	26,065.87
Nov 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/25/14	-2,482.35
Nov 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/26/14	-177.86
Nov 28	Closing UBS AG Deposit Account		\$23,405.66
The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMBBSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

December 2014

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

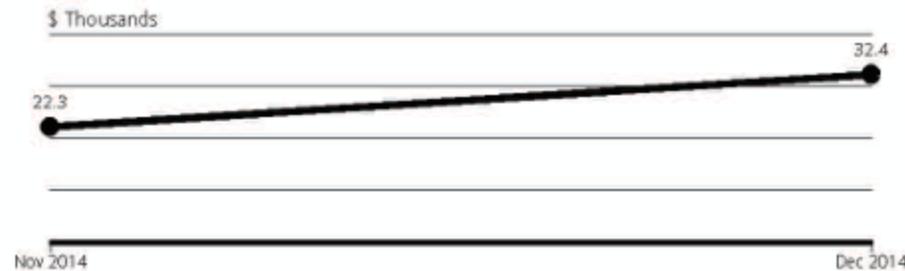
Visit our website:

www.ubs.com/financialservices

Value of your account

	on November 28 (\$)	on December 31 (\$)
Your assets	22,264.50	32,377.14
Your liabilities	0.00	0.00
Value of your account	\$22,264.50	\$32,377.14

Tracking the value of your account



Sources of your account growth during 2014

Value of your account at year end 2013	\$0.00
Net deposits and withdrawals	\$32,365.95
Your investment return:	
Dividend and interest income	\$11.19
Change in market value	\$0.00
Value of your account on Dec 31, 2014	\$32,377.14

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Your account balance sheet

Summary of your assets

	Value on December 31 (\$)	Percentage of your account
A Cash and money balances	32,377.14	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$32,377.14	100.00%

Value of your account **\$32,377.14**

Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

Eye on the markets

Index	Percentage change	
	December 2014	Year to date
S&P 500	-0.25%	13.69%
Russell 3000	-0.00%	12.56%
MSCI - Europe, Australia & Far East	-3.44%	-4.48%
Barclays Capital U.S. Aggregate Bond Index	0.09%	5.97%

Interest rates on December 31, 2014

3-month Treasury bills: 0.04%
One-month LIBOR: 0.17%

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Change in the value of your account

	December 2014 (\$)	Year to date (\$)
Opening account value	\$22,264.50	\$0.00
Deposits, including investments transferred in	50,000.00	596,518.76
Withdrawals and fees, including investments transferred out	-39,888.74	-564,152.81
Dividend and interest income	1.38	11.19
Closing account value	\$32,377.14	\$32,377.14

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2014 (\$)	Year to date (\$)
Taxable interest	1.38	11.19
Total current year	\$1.38	\$11.19
Total dividend & interest	\$1.38	\$11.19

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	December 2014 (\$)	Year to date (\$)
Opening balances	\$22,264.50	\$0.00
<i>Additions</i>		
Deposits and other funds credited	50,000.00	596,518.76
Dividend and interest income	1.38	11.19
Total additions	\$50,001.38	\$596,529.95
<i>Subtractions</i>		
Checks and bill payments	-31,923.38	-493,121.31
Cash/ATM transactions	-6,216.99	-50,395.96
ATM fee rebates	16.99	115.96
Card payments	-1,765.36	-9,605.86
Other funds debited	0.00	-11,145.64
Total subtractions	-\$39,888.74	-\$564,152.81
Net cash flow	\$10,112.64	\$32,377.14
Closing balances	\$32,377.14	\$32,377.14

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UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 4

Opening UBS Bank USA Business balance Nov 7	\$31,103.13
Closing UBS Bank USA Business balance Dec 4	\$206.26
Number of days in interest period	28
Average daily balance	\$19,108.42
Interest earned	\$0.74
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Nov 7 - Dec 4

Opening UBS AG Deposit balance Nov 7	\$0.00
Closing UBS AG Deposit balance Dec 4	\$0.00
Number of days in interest period	28
Average daily balance	\$5,830.51
Interest earned	\$0.23
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-1,141.16	0.00				
UBS AG DEPOSIT ACCOUNT	23,405.66	32,377.14				
Total	\$22,264.50	\$32,377.14				

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	\$32,377.14	100.00%	\$32,377.14		
Total	\$32,377.14	100.00%	\$32,377.14		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 28		Cash and money balance					\$22,264.50
Dec 1	Interest	UBS AG DEPOSIT ACCOUNT				.23	22,264.73
Dec 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-21,519.92	744.81
Dec 4	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	206.20

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 5	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	
Dec 5	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/14				.06	
Dec 5	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	50,133.30
Dec 10	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,584.52	
Dec 10	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-898.51	47,650.27
Dec 19	Bsa Check	CEINY ELEVATOR CORP CHECK PAID 001043				-2,219.28	
Dec 19	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	45,358.03
Dec 22	Rebate	ATM FEE REBATE				3.00	
Dec 22	Bank Card	CASH WITHDRAWAL				-2,003.00	
Dec 22	Bank Card	CASH WITHDRAWAL				-2,003.00	
Dec 22	Rebate	ATM FEE REBATE				3.00	41,358.03
Dec 23	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-779.05	
Dec 23	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,703.98	38,875.00
Dec 24	Rebate	ATM FEE REBATE				1.99	
Dec 24	Rebate	ATM FEE REBATE				3.00	
Dec 24	Bank Card	CASH WITHDRAWAL				-201.99	
Dec 24	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,533.59	
Dec 24	Interest	UBS BANK USA BUSINESS ACCOUNT				1.09	35,342.50
Dec 26	Rebate	ATM FEE REBATE				3.00	
Dec 26	Bank Card	CASH WITHDRAWAL				-203.00	
Dec 26	Bank Card	CASH WITHDRAWAL				-1,003.00	
Dec 26	Rebate	ATM FEE REBATE				3.00	34,142.50
Dec 29	Bank Card	DEBIT CARD ACTIVITY				-1,765.36	32,377.14
Dec 31		Closing cash and money balance					\$32,377.14

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
Dec 18	Dec 19	CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Dec 19	Dec 19	CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
Dec 23	Dec 23	CITIBAN0009594 NY NY	-\$803.00
		A A Discount New York NY	-\$201.99
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$1.99
		TD BANK/1091 3RD AVE NEW YORK NY	-\$203.00
		TD BANK/1091 3RD AVE NEW YORK NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$6,216.99
Total rebates			\$16.99

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
<i>Purchases</i>		
Nov 27	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.00
Nov 28	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$8.98
Dec 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$24.29
Dec 02	PAPYRUS # 2234 NEW YORK NY 004337100191639134	\$5.39
Dec 10	GRACE'S MARKETPLACE NEW YORK NY 000000000000000000	\$7.99
Dec 10	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$44.43
Dec 10	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$32.46
Dec 10	USPS 359614001328013 NEW YORK NY 074344418173978038	\$12.65
Dec 10	MYERS OF KESWICK NEW YORK NY 624345091219000561	\$53.81
Dec 10	RICKY'S NYC 102 NEW YORK NY 664345001651488674	\$39.13

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Account activity this month (continued)

Date	Description	Amount (\$)
Card purchases (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
<i>Purchases (continued)</i>		
Dec 11	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$4.58
Dec 11	THE HEALTH NUTS NEW YORK NY 054346158123278566	\$20.68
Dec 17	SOU BEST BUY #835 56 NEW YORK NY 0000000000000000	\$76.72
Dec 17	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$13.27
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$1.15
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$19.60
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 18	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS 3596140014 NEW YORK NY 0000000000000000	\$98.00
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149552 NEW YORK NY 0000000000000000	\$5.75
Dec 19	USPS3596149553 NEW YORK NY 0000000000000000	\$5.75
Dec 20	IKEA PARAMUS NJ 344354000162287887	\$551.57
Dec 21	STOP & SHOP 0851 TEANECK NJ 1643550002261181 54	\$53.91
Dec 22	USPS 3596140014 NEW YORK NY 0000000000000000	\$65.08
Dec 22	STOP & SHOP 0851 TEANECK NJ 0000000000000000	\$53.91
Dec 22	Duane Reade NEW YORK NY 0000000000000000	\$6.99
Dec 22	Duane Reade NEW YORK NY 0000000000000000	\$3.50
Dec 22	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$146.94
Dec 22	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$7.79
Dec 23	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$40.93
Total - Purchases		\$1,477.75

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Restaurants</i>	Nov 26	STARBUCKS #18509 NEW New York NY 164330000628355537	\$4.30
	Nov 27	STARBUCKS #18509 NEW New York NY 164331000019163226	\$4.30
	Nov 28	STARBUCKS #18509 NEW New York NY 164332000409304504	\$4.30
	Nov 29	STARBUCKS #18509 NEW New York NY 164333000919697735	\$4.30
	Nov 30	STARBUCKS #18509 NEW New York NY 164334000445825387	\$4.30
	Nov 30	STARBUCKS #18509 NEW New York NY 164334000523966970	\$4.30
	Dec 01	STARBUCKS #18509 NEW New York NY 164335000979700179	\$4.30
	Dec 10	LEX SOUPS LLC NEW YORK NY 434345104015293405	\$16.05
	Dec 10	CORRADO BREAD & PAST 212-3559600 NY 554346141811763491	\$5.25
	Dec 11	STARBUCKS #18509 NEW New York NY 164345000516579423	\$4.30
	Dec 12	STARBUCKS #18509 NEW New York NY 164346000947527537	\$4.30
	Dec 13	STARBUCKS #18509 NEW New York NY 164347000550893423	\$4.30
	Dec 14	STARBUCKS #18509 NEW New York NY 164348000077431201	\$4.30
	Dec 14	STARBUCKS #18509 NEW New York NY 164348000167238466	\$4.30
	Dec 15	STARBUCKS #18509 NEW New York NY 164349000615733653	\$4.30
	Dec 16	STARBUCKS #18509 NEW New York NY 164350000203586006	\$4.30
	Dec 17	STARBUCKS #18509 NEW New York NY 164351000869138166	\$4.30
	Dec 18	STARBUCKS #18509 NEW New York NY 164352000338353734	\$4.30
	Dec 19	STARBUCKS #18509 NEW New York NY 164353000910045277	\$4.30
	Dec 22	STARBUCKS #18509 NEW New York NY 164356000645639029	\$4.30
	Dec 22	LE PAIN QUOTIDIEN NEW YORK NY 054357286688800143	\$3.35
	Dec 22	IDEAL CHEESE SHOP NEW YORK NY 054357987194415095	\$134.36
	Dec 23	STARBUCKS #18509 NEW New York NY 164357000106428978	\$4.30
	Total - Restaurants		\$240.71
<i>Transportation</i>	Dec 03	FEDEX 772057111707 MEMPHIS TN 074337741181740556	\$40.38
	Total - Transportation		\$40.38

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Account activity this month (continued)

Date	Description	Amount (\$)
Card purchases (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
	<i>Miscellaneous/Unclassified</i>	
Dec 20	STAPLES DIRECT 800-3333330 MA 074355105198225646	\$6.52
Total - Miscellaneous/Unclassified		\$6.52
Total Card charges		\$1,765.36

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 5202</i>		
<i>GHSILAINE MAXWELL</i>		
Building/Home improvements	\$0.00	\$173.07
Purchases	\$0.00	\$3,311.01
Restaurants	\$0.00	\$228.25
Transportation	\$0.00	\$180.36
Professional services	\$0.00	\$198.50
Miscellaneous/Unclassified	\$0.00	\$197.80
Total card purchases	\$0.00	\$4,288.99

UBS Visa debit: XXX4 8834
G. MAXWELL

Building/Home improvements	\$0.00	\$703.16
Purchases	\$1,477.75	\$3,595.49
Restaurants	\$240.71	\$587.69
Transportation	\$40.38	\$91.28
Professional services	\$0.00	\$133.00
Miscellaneous/Unclassified	\$6.52	\$206.25
Total card purchases	\$1,765.36	\$5,316.87
Total purchases	\$1,765.36	\$9,605.86

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)	
Money balance activities	Nov 28	Balance forward		\$0.00	
	Dec 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	22,264.50	
	Dec 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/03/14	-21,519.69	
	Dec 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/14	-538.61	
	Dec 5	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/14	0.06	
	Dec 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	49,927.04	
	Dec 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/10/14	-2,483.03	
	Dec 22	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/19/14	-2,292.24	
	Dec 23	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/22/14	-4,000.00	
	Dec 24	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/23/14	-2,483.03	
	Dec 24	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-38,875.00	
	Dec 31	Closing UBS Bank USA Business Account		\$0.00	
	The UBS Bank, USA Business Account is your primary sweep option.				
	Nov 28	Balance forward		\$23,405.66	
	Dec 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/28/14	-1,141.16	
	Dec 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-22,264.50	
Dec 24	Deposit	UBS AG DEPOSIT ACCOUNT	38,875.00		
Dec 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/24/14	-3,532.50		
Dec 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/26/14	-1,200.00		
Dec 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/29/14	-1,765.36		
Dec 31	Closing UBS AG Deposit Account		\$32,377.14		
The UBS AG Deposit Account is your secondary sweep option.					

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Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
116 East 65th Street LLC York NY 10065-7007	116 East 65th Street	New					
JPMORGAN CHASE BANK, NA	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Mar 12, 14

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMBBSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANF7000588903 0115 Y1 0

Business Services Account

January 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL
 Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

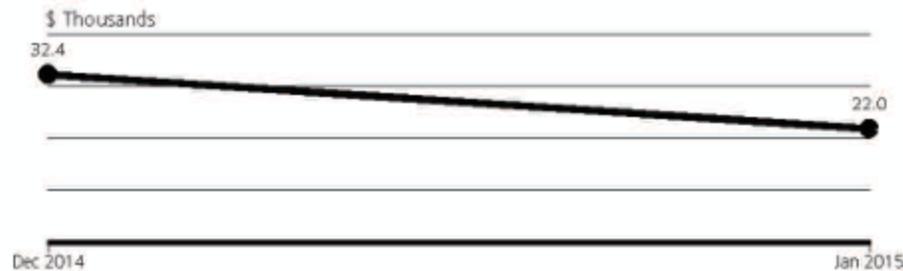
Visit our website:

www.ubs.com/financialservices

Value of your account

	on December 31 (\$)	on January 30 (\$)
Your assets	32,377.14	21,987.01
Your liabilities	0.00	0.00
Value of your account	\$32,377.14	\$21,987.01

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$10,391.45
Your investment return:	
Dividend and interest income	\$1.32
Change in market value	\$0.00
Value of your account on Jan 30, 2015	\$21,987.01

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Your account balance sheet

Summary of your assets

	Value on January 30 (\$)	Percentage of your account
A Cash and money balances	21,987.01	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$21,987.01	100.00%

Value of your account **\$21,987.01**

Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

Eye on the markets

Index	Percentage change	
	January 2015	Year to date
S&P 500	-3.00%	-3.00%
Russell 3000	-2.78%	-2.78%
MSCI - Europe, Australia & Far East	0.50%	0.50%
Barclays Capital U.S. Aggregate Bond Index	2.10%	2.10%

Interest rates on January 30, 2015

3-month Treasury bills: 0.01%
One-month LIBOR: 0.17%

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Change in the value of your account

	January 2015 (\$)	Year to date (\$)
Opening account value	\$32,377.14	\$32,377.14
Deposits, including investments transferred in	50,000.00	50,000.00
Withdrawals and fees, including investments transferred out	-60,391.45	-60,391.45
Dividend and interest income	1.32	1.32
Closing account value	\$21,987.01	\$21,987.01

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	January 2015 (\$)	Year to date (\$)
Taxable interest	0.94	0.94
Total current year	\$0.94	\$0.94
Prior year adjustment	0.38	0.38
Total dividend & interest	\$1.32	\$1.32

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	January 2015 (\$)	Year to date (\$)
Opening balances	\$32,377.14	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	50,000.00	50,000.00
Dividend and interest income	1.32	1.32
Total additions	\$50,001.32	\$50,001.32
<i>Subtractions</i>		
Checks and bill payments	-51,336.06	-51,336.06
Card payments	-9,055.39	-9,055.39
Total subtractions	-\$60,391.45	-\$60,391.45
Net cash flow	-\$10,390.13	-\$10,390.13
Closing balances	\$21,987.01	\$21,987.01

UBS Bank USA Business Account APY

Interest period Dec 5 - Jan 7

Opening UBS Bank USA Business balance Dec 5	\$206.26
Closing UBS Bank USA Business balance Jan 7	\$14,758.50
Number of days in interest period	34
Average daily balance	\$26,047.58
Interest earned	\$1.27
Annual percentage yield earned	0.05%

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UBS AG Deposit Account APY

Interest period Dec 5 - Jan 7

Opening UBS AG Deposit balance Dec 5	\$0.00
Closing UBS AG Deposit balance Jan 7	\$0.00
Number of days in interest period	34
Average daily balance	\$8,900.63
Interest earned	\$0.42
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jan 1 (\$)	Closing balance on Jan 30 (\$)	Price per share on Jan 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-72.96				
UBS AG DEPOSIT ACCOUNT	32,377.14	22,059.97				
Total	\$32,377.14	\$21,987.01				

Your total assets

		Value on Jan 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	21,987.01	100.00%	21,987.01		
Total		\$21,987.01	100.00%	\$21,987.01		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 31		Cash and money balance					\$32,377.14
Jan 2	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	
Jan 2	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	
Jan 2	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/31/14				.38	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 2	Interest	UBS AG DEPOSIT ACCOUNT				.04	31,765.99
Jan 5	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-17,007.67	14,758.32
Jan 7	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-839.18	
Jan 7	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,706.16	
Jan 7	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	62,212.98
Jan 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 01/07/15				.18	62,213.16
Jan 9	Bsa Check	SIMPLY ELEGANT CHECK PAID 001044				-23,998.62	38,214.54
Jan 15	Bsa Check	JOSEPH CRILLEY CHECK PAID 001046				-1,580.00	36,634.54
Jan 16	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	36,561.58
Jan 20	Bsa Check	LAREDO ELECTRIC CHECK PAID 001045				-402.84	36,158.74
Jan 21	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-839.17	
Jan 21	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,706.17	
Jan 21	Interest	UBS BANK USA BUSINESS ACCOUNT				.72	33,614.12
Jan 26	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-156.00	
Jan 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,276.06	31,182.06
Jan 27	Bank Card	DEBIT CARD ACTIVITY				13.58	
Jan 27	Bank Card	DEBIT CARD ACTIVITY				22.85	
Jan 27	Bank Card	DEBIT CARD ACTIVITY				-9,091.82	
Jan 27	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-66.70	22,059.97
Jan 30	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	21,987.01
Jan 30		Closing cash and money balance					\$21,987.01

Date	Description	Amount (\$)
Card purchases		
UBS Visa debit: XXX4 8834		
G. MAXWELL		
<i>Building@Home improvements</i>		
Dec 29	THE HOME DEPOT 6177 NEW YORK NY 814363000190748836	\$5.58
Dec 31	THE HOME DEPOT 6177 NEW YORK NY 814365000187472257	\$5.43
Jan 07	THE HOME DEPOT 6177 NEW YORK NY 815007000191830202	\$11.37
Jan 07	THE HOME DEPOT 6177 NEW YORK NY 815007000181628871	\$17.88
Jan 07	THE HOME DEPOT 6177 NEW YORK NY 815007000191783836	-\$13.58

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Building/Home improvements (continued)</i>	Jan 09	THE HOME DEPOT 6177 NEW YORK NY 815009000174837818	\$247.15
	Total - Building/Home improvements		\$273.83
<i>Communications</i>	Dec 29	T MOBILE 9192 NEW YORK NY 304363000188492852	\$10.88
	Total - Communications		\$10.88
<i>Purchases</i>	Dec 27	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$26.96
	Dec 31	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$9.73
	Jan 01	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$10.00
	Jan 08	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$7.46
	Jan 08	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$4.99
	Jan 08	UNLEASHED 5706 NEW YORK NY 515008000153990976	\$50.15
	Jan 08	GRACE'S MARKETPLACE NEW YORK NY 465008000154160625	\$169.28
	Jan 08	MORRIS LOBEL & SONS 212-737-1372 NY 165009000544934701	\$37.00
	Jan 09	UNLEASHED 5706 NEW YORK NY 515009000103910057	\$7.16
	Jan 15	WALGREENS NEW YORK NY 0000000000000000	\$22.85
	Jan 15	EBONY WIDE PLANK FLO YONKERS NY 065015200873000013	\$1,280.00
	Jan 15	WALGREENS #10417 NEW YORK NY 005016600154382499	-\$22.85
	Jan 16	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$7.50
	Jan 18	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$16.98
	Jan 18	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$18.17
	Jan 19	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$6.92
	Jan 20	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$8.16
	Jan 21	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$48.78
	Jan 26	Duane Reade NEW YORK NY 0000000000000000	\$15.23
	Total - Purchases		\$1,724.47
<i>Restaurants</i>	Dec 28	STARBUCKS #18509 NEW New York NY 164362000278843478	\$12.29
	Dec 29	STARBUCKS #18509 NEW New York NY 164363000826224022	\$3.54
	Dec 30	STARBUCKS #18509 NEW New York NY 164364000257541794	\$6.75
	Dec 30	STARBUCKS #18509 NEW New York NY 164364000278489858	\$4.30

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Restaurants (continued)</i>			
	Dec 31	STARBUCKS #18509 NEW New York NY 164365000665869950	\$6.75
	Dec 31	STARBUCKS #18509 NEW New York NY 164365000756608887	\$7.08
	Jan 01	STARBUCKS #18509 NEW New York NY 165001000143764242	\$6.75
	Jan 01	LE PAIN QUOTIDIEN NEW YORK NY 055002286688801139	\$10.35
	Jan 02	STARBUCKS #18509 NEW New York NY 165002000546842941	\$4.30
	Jan 02	STARBUCKS #18509 NEW New York NY 165002000742633094	\$4.84
	Jan 03	STARBUCKS #18509 NEW New York NY 165003000141248865	\$5.72
	Jan 03	SEL & POIVRE NEW YORK NY 295005980000129998	\$44.23
	Jan 04	STARBUCKS #18509 NEW New York NY 165004000494970791	\$4.84
	Jan 05	STARBUCKS #18509 NEW New York NY 165005000920320503	\$5.72
	Jan 08	IDEAL CHEESE SHOP NEW YORK NY 055009987190847845	\$32.75
	Jan 08	CORRADO BREAD & PAST 212-3559600 NY 555009193200438289	\$96.04
	Jan 12	STARBUCKS #18509 NEW New York NY 165012000072953847	\$4.30
	Jan 14	STARBUCKS #18509 NEW New York NY 165014000987314182	\$4.30
	Jan 16	STARBUCKS #18509 NEW New York NY 165016000907158709	\$4.30
	Jan 16	STARBUCKS #18509 NEW New York NY 165016000967124146	\$103.54
	Jan 18	LEX SOUPS LLC NEW YORK NY 435019104012779739	\$32.10
	Jan 20	SEL & POIVRE NEW YORK NY 295021980000129998	\$21.72
	Jan 21	DAWAT RESTAURANT NEW YORK NY 625021022130183960	\$39.91
		Total - Restaurants	\$466.42
<i>Transportation</i>			
	Jan 02	NYC-TAXI LONG IS CITY NY 485004464169188676	\$16.40
	Jan 11	NYC YELLOW CAB LONG IS CITY NY 485012262004546060	\$11.00
		Total - Transportation	\$27.40
<i>Professional services</i>			
	Jan 08	DYNAIRE SERVICE CORP 516-248-9550 NY 065009286117300010	\$6,552.39
		Total - Professional services	\$6,552.39
		Total Card charges	\$9,055.39

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
UBS Visa debit: XXX4 8834		
G. MAXWELL		
Building/Home improvements	\$273.83	\$273.83
Communications	\$10.88	\$10.88
Purchases	\$1,724.47	\$1,724.47
Restaurants	\$466.42	\$466.42
Transportation	\$27.40	\$27.40
Professional services	\$6,552.39	\$6,552.39
Total card purchases	\$9,055.39	\$9,055.39

Date	Activity	Description	Amount (\$)
Money balance activities			
Dec 31	Balance forward		\$0.00
Jan 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	32,377.14
Jan 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/02/15	-611.15
Jan 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/05/15	-17,007.67
Jan 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 01/07/15	0.18
Jan 8	Deposit	UBS BANK USA BUSINESS ACCOUNT	47,454.66
Jan 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/09/15	-23,998.62
Jan 16	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/15/15	-1,580.00
Jan 20	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/16/15	-72.96
Jan 21	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/20/15	-402.84
Jan 21	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-36,158.74
Jan 30	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Dec 31	Balance forward		\$32,377.14
Jan 2	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/31/14	0.38
Jan 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-32,377.52
Jan 21	Deposit	UBS AG DEPOSIT ACCOUNT	36,158.74
Jan 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/21/15	-2,544.62
Jan 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/26/15	-2,432.06

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Jan 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/27/15	-9,122.09
	Jan 30	Closing UBS AG Deposit Account		\$22,059.97

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANF7000682001 0215 Y1 0

Business Services Account

February 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

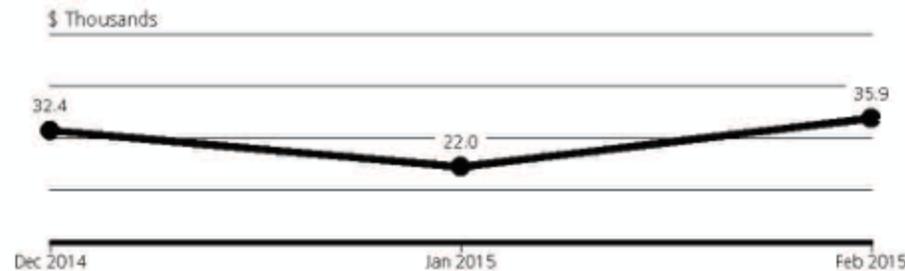
Visit our website:

www.ubs.com/financialservices

Value of your account

	on January 30 (\$)	on February 27 (\$)
Your assets	21,987.01	35,927.93
Your liabilities	0.00	0.00
Value of your account	\$21,987.01	\$35,927.93

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	\$3,548.45
Your investment return:	
Dividend and interest income	\$2.34
Change in market value	\$0.00
Value of your account on Feb 27, 2015	\$35,927.93

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Your account balance sheet

Summary of your assets

	Value on February 27 (\$)	Percentage of your account
A Cash and money balances	35,927.93	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$35,927.93	100.00%

Value of your account **\$35,927.93**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	February 2015	Year to date
S&P 500	5.75%	2.57%
Russell 3000	5.79%	2.85%
MSCI - Europe, Australia & Far East	5.99%	6.52%
Barclays Capital U.S. Aggregate Bond Index	-0.94%	1.14%

Interest rates on February 27, 2015

3-month Treasury bills: 0.03%
One-month LIBOR: 0.17%

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Change in the value of your account

	February 2015 (\$)	Year to date (\$)
Opening account value	\$21,987.01	\$32,377.14
Deposits, including investments transferred in	50,000.00	100,000.00
Withdrawals and fees, including investments transferred out	-36,060.10	-96,451.55
Dividend and interest income	1.02	2.34
Closing account value	\$35,927.93	\$35,927.93

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	February 2015 (\$)	Year to date (\$)
Taxable interest	1.02	1.96
Total current year	\$1.02	\$1.96
Prior year adjustment	0.00	0.38
Total dividend & interest	\$1.02	\$2.34

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	February 2015 (\$)	Year to date (\$)
Opening balances	\$21,987.01	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	50,000.00	100,000.00
Dividend and interest income	1.02	2.34
Total additions	\$50,001.02	\$100,002.34
<i>Subtractions</i>		
Checks and bill payments	-33,649.11	-84,985.17
Cash/ATM transactions	-926.00	-926.00
ATM fee rebates	6.00	6.00
Card payments	-1,490.99	-10,546.38
Total subtractions	-\$36,060.10	-\$96,451.55
Net cash flow	\$13,940.92	\$3,550.79
Closing balances	\$35,927.93	\$35,927.93

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UBS Bank USA Business Account APY

Interest period Jan 8 - Feb 5

Opening UBS Bank USA Business balance Jan 8	\$14,758.50
Closing UBS Bank USA Business balance Feb 5	\$13,442.09
Number of days in interest period	29
Average daily balance	\$19,841.32
Interest earned	\$0.81
Annual percentage yield earned	0.05%

UBS AG Deposit Account APY

Interest period Jan 8 - Feb 5

Opening UBS AG Deposit balance Jan 8	\$0.00
Closing UBS AG Deposit balance Feb 5	\$0.00
Number of days in interest period	29
Average daily balance	\$11,427.37
Interest earned	\$0.48
Annual percentage yield earned	0.05%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 (\$)	Closing balance on Feb 27 (\$)	Price per share on Feb 27 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-72.96	-1,490.99				
UBS AG DEPOSIT ACCOUNT	22,059.97	37,418.92				
Total	\$21,987.01	\$35,927.93				

Your total assets

		Value on Feb 27 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	35,927.93	100.00%	35,927.93		
Total		\$35,927.93	100.00%	\$35,927.93		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 30		Cash and money balance					\$21,987.01
Feb 2	Interest	UBS AG DEPOSIT ACCOUNT				.48	21,987.49
Feb 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-6,000.15	15,987.34
Feb 4	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,706.16	

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>	Feb 04	THE HOME DEPOT 6177 NEW YORK NY 815035000212491691	\$0.44
	Feb 11	THE HOME DEPOT 6177 NEW YORK NY 815042000180888705	\$3.42
	Feb 20	THE HOME DEPOT 6177 NEW YORK NY 815051000153226519	\$10.86
	Total - Building/Home improvements		\$14.72
<i>Purchases</i>	Jan 29	USPS 3596140014 NEW YORK NY 0000000000000000	\$3.79
	Jan 30	GRACE'S MARKETPLACE NEW YORK NY 465030000162644844	\$20.98
	Jan 31	TOTAL WINE AND MORE RIVER EDGE NJ 305031000236228521	\$121.26
	Feb 04	UNLEASHED 5706 NEW YORK NY 515035000111262135	\$14.33
	Feb 16	UNLEASHED 5706 NEW YORK NY 515047000153994864	\$17.95
	Feb 18	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$19.92
	Feb 18	DUANE READE NEW YORK NY 005050600153455843	\$25.98
	Feb 18	GRACE'S MARKETPLACE NEW YORK NY 465049000147078133	\$147.93
	Feb 21	GRACE'S MARKETPLACE NEW YORK NY 465052000136957960	\$82.22
	Feb 21	GRACE'S MARKETPLACE NEW YORK NY 465052000166571897	\$19.09
	Feb 21	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$19.26
	Feb 22	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$11.98
	Feb 22	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$35.58
	Feb 23	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$21.04
	Feb 24	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$5.59
	Feb 25	IKEA BROOKLYN BROOKLYN NY 345056000185003178	\$82.27
	Feb 25	IKEA BROOKLYN BROOKLYN NY 345056000195028249	\$136.04
	Feb 26	USPS 3596140014 NEW YORK NY 0000000000000000	\$4.91
	Total - Purchases		\$790.12
<i>Restaurants</i>	Feb 22	LE PAIN QUOTIDIEN NEW YORK NY 055054286688800096	\$6.70
	Total - Restaurants		\$6.70
<i>Transportation</i>	Feb 25	MTA VENDING MACHINES NEW YORK NY 465056000164250572	\$10.00
	Total - Transportation		\$10.00

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Account activity this month (continued)

Date	Description	Amount (\$)
Card purchases (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
<i>Professional services</i>		
Jan 26	THE UPS STORE 1083 NEW YORK NY 165027000678603039	\$43.42
Jan 28	DYNAIRE SERVICE CORP MINEOLA NY 065029286117300016	\$626.03
Total - Professional services		\$669.45
Total Card charges		\$1,490.99

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
Building/Home improvements	\$14.72	\$288.55
Communications	\$0.00	\$10.88
Purchases	\$790.12	\$2,514.59
Restaurants	\$6.70	\$473.12
Transportation	\$10.00	\$37.40
Professional services	\$669.45	\$7,221.84
Total card purchases	\$1,490.99	\$10,546.38

Date	Activity	Description	Amount (\$)
Money balance activities			
Jan 30	Balance forward		\$0.00
Feb 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	21,987.01
Feb 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/03/15	-5,999.67
Feb 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/04/15	-2,545.34
Feb 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 02/05/15	0.09
Feb 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/13/15	-92.96
Feb 18	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/17/15	-900.00
Feb 19	Deposit	UBS BANK USA BUSINESS ACCOUNT	47,468.05
Feb 20	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/19/15	-462.72
Feb 23	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/20/15	-72.96

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Feb 23	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-59,381.50
	Feb 27	Closing UBS Bank USA Business Account		\$0.00
	The UBS Bank USA Business Account is your primary sweep option.			
	Jan 30	Balance forward		\$22,059.97
	Feb 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/30/15	-72.96
	Feb 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-21,987.01
	Feb 23	Deposit	UBS AG DEPOSIT ACCOUNT	59,381.50
	Feb 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/24/15	-19,671.83
	Feb 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/25/15	-2,290.75
	Feb 27	Closing UBS AG Deposit Account		\$37,418.92
	The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: #NABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountinfo/borrow

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

March 2015

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

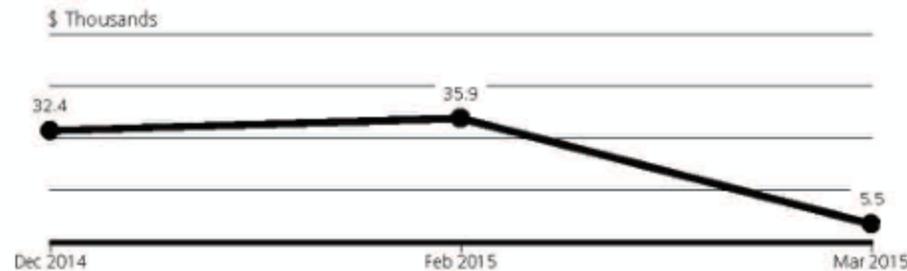
Visit our website:

www.ubs.com/financialservices

Value of your account

	on February 27 (\$)	on March 31 (\$)
Your assets	35,927.93	5,497.23
Your liabilities	0.00	0.00
Value of your account	\$35,927.93	\$5,497.23

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$26,882.75
Your investment return:	
Dividend and interest income	\$2.84
Change in market value	\$0.00
Value of your account on Mar 31, 2015	\$5,497.23

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Your account balance sheet

Summary of your assets

	Value on March 31 (\$)	Percentage of your account
A Cash and money balances	5,497.23	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$5,497.23	100.00%

Value of your account **\$5,497.23**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	March 2015	Year to date
S&P 500	-1.58%	0.95%
Russell 3000	-1.02%	1.80%
MSCI - Europe, Australia & Far East	-1.43%	5.00%
Barclays Capital U.S. Aggregate Bond Index	0.46%	1.61%

Interest rates on March 31, 2015

3-month Treasury bills: 0.03%
One-month LIBOR: 0.18%

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Change in the value of your account

	March 2015 (\$)	Year to date (\$)
Opening account value	\$35,927.93	\$32,377.14
Deposits, including investments transferred in	0.00	100,000.00
Withdrawals and fees, including investments transferred out	-30,431.20	-126,882.75
Dividend and interest income	0.50	2.84
Closing account value	\$5,497.23	\$5,497.23

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	March 2015 (\$)	Year to date (\$)
Taxable interest	0.50	2.46
Total current year	\$0.50	\$2.46
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.50	\$2.84

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	March 2015 (\$)	Year to date (\$)
Opening balances	\$35,927.93	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	0.00	100,000.00
Dividend and interest income	0.50	2.84
Total additions	\$0.50	\$100,002.84
<i>Subtractions</i>		
Checks and bill payments	-23,457.88	-108,443.05
Cash/ATM transactions	-5,695.00	-6,621.00
ATM fee rebates	15.00	21.00
Card payments	-1,293.32	-11,839.70
Total subtractions	-\$30,431.20	-\$126,882.75
Net cash flow	-\$30,430.70	-\$26,879.91
Closing balances	\$5,497.23	\$5,497.23

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UBS Bank USA Business Account APY

Interest period Feb 6 - Mar 5

Opening UBS Bank USA Business balance Feb 6	\$13,442.09
Closing UBS Bank USA Business balance Mar 5	\$22,197.82
Number of days in interest period	28
Average daily balance	\$18,393.54
Interest earned	\$0.54
Annual percentage yield earned	0.04%

UBS AG Deposit Account APY

Interest period Feb 6 - Mar 5

Opening UBS AG Deposit balance Feb 6	\$0.00
Closing UBS AG Deposit balance Mar 5	\$0.00
Number of days in interest period	28
Average daily balance	\$10,061.17
Interest earned	\$0.23
Annual percentage yield earned	0.03%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 31 (\$)	Price per share on Mar 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-1,490.99	0.00				
UBS AG DEPOSIT ACCOUNT	37,418.92	5,497.23				
Total	\$35,927.93	\$5,497.23				

Your total assets

		Value on Mar 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	5,497.23	100.00%	5,497.23		
Total		\$5,497.23	100.00%	\$5,497.23		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 27		Cash and money balance					\$35,927.93
Mar 2	Interest	UBS AG DEPOSIT ACCOUNT				.23	35,928.16
Mar 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-11,220.75	24,707.41
Mar 4	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,706.17	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Mar 4	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-803.51	22,197.73	
Mar 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 03/05/15				.09	22,197.82	
Mar 9	Rebate	ATM FEE REBATE				3.00		
Mar 9	Bank Card	CASH WITHDRAWAL				-2,003.00		
Mar 9	Bank Card	CASH WITHDRAWAL				-2,003.00		
Mar 9	Rebate	ATM FEE REBATE				3.00	18,197.82	
Mar 13	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	18,124.86	
Mar 18	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.60		
Mar 18	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.43	15,641.83	
Mar 19	Bsa Check	95 245 E GARAGE CORP CHECK PAID 001055				-1,077.22	14,564.61	
Mar 20	Interest	UBS BANK USA BUSINESS ACCOUNT				.18	14,564.79	
Mar 23	Rebate	ATM FEE REBATE				3.00		
Mar 23	Rebate	ATM FEE REBATE				3.00		
Mar 23	Rebate	ATM FEE REBATE				3.00		
Mar 23	Bank Card	CASH WITHDRAWAL				-83.00		
Mar 23	Bank Card	CASH WITHDRAWAL				-803.00		
Mar 23	Bank Card	CASH WITHDRAWAL				-803.00		
Mar 23	Bsa Check	CASH CHECK PAID 001056				-3,550.00	9,334.79	
Mar 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,471.28	6,863.51	
Mar 27	Bank Card	DEBIT CARD ACTIVITY				-1,293.32		
Mar 27	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	5,497.23	
Mar 31	Closing cash and money balance							\$5,497.23

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
Mar 06	Mar 06	CITIBAN0009595 NY NY	-\$2,003.00
		CITIBAN0009595 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
Mar 20	Mar 20	CHASE NEW YORK NY	-\$83.00
		CHASE NEW YORK NY	-\$803.00
		CHASE NEW YORK NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,695.00
Total rebates			\$15.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
<i>Building/Home improvements</i>		
Mar 13	THE HOME DEPOT 6177 NEW YORK NY 815072000192395486	\$20.11
Mar 18	JANOVIC 1102 LLC NEW YORK NY 315077000173126235	\$11.30
Mar 19	THE HOME DEPOT 6177 NEW YORK NY 815078000161297832	\$16.78
Mar 20	THE HOME DEPOT 6177 NEW YORK NY 815079000166441715	\$3.53
Mar 23	THE HOME DEPOT 6177 NEW YORK NY 815082000179682755	\$52.24
Mar 23	JANOVIC 1102 LLC NEW YORK NY 315082000217411455	\$6.74
Mar 25	THE HOME DEPOT 6177 NEW YORK NY 815084000181210163	\$4.29
Total - Building/Home improvements		\$114.99
<i>Communications</i>		
Mar 03	AT&T MOBILITY II LLC NEW YORK NY 395062000164195950	\$199.47
Total - Communications		\$199.47
<i>Purchases</i>		
Feb 27	JUST BULBS NEW YORK NY 595058980015326396	\$50.08
Feb 27	GRACE'S MARKETPLACE NEW YORK NY 465058000166270247	\$81.26
Feb 27	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$5.28
Feb 28	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$8.48
Mar 04	UNLEASHED 5706 NEW YORK NY 515063000105279413	\$17.91

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Mar 06	UNLEASHED 5706 NEW YORK NY 515065000117155146	\$21.49
	Mar 06	Staples, Inc NEW YORK NY 385065000161697579	\$25.02
	Mar 09	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$10.67
	Mar 09	EMBASSY WINES NEW YORK NY 395069408200873847	\$25.03
	Mar 09	GRACE'S MARKETPLACE NEW YORK NY 465068000189182427	\$141.28
	Mar 09	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$10.78
	Mar 12	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$25.00
	Mar 12	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$5.19
	Mar 12	RADIOSHACK COR NEW YORK NY 515071000094910242	\$10.88
	Mar 12	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$19.07
	Mar 13	USPS 3596140014 NEW YORK NY 000000000000000000	\$12.77
	Mar 15	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$12.24
	Mar 17	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$11.66
	Mar 18	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$17.02
	Mar 19	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$14.36
	Mar 19	EMBASSY WINES NEW YORK NY 395079406400878739	\$11.97
	Mar 19	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$12.62
	Mar 24	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.87
	Mar 25	Staples, Inc NEW YORK NY 385084000142620663	\$10.11
	Mar 25	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.47
	Total - Purchases		\$601.51
<i>Restaurants</i>			
	Feb 28	STARBUCKS #18509 NEW New York NY 165059000055854099	\$4.30
	Feb 28	LE PAIN QUOTIDIEN NEW YORK NY 055060206688800049	\$3.50
	Mar 01	STARBUCKS #18509 NEW New York NY 165060000546576464	\$8.38
	Mar 02	STARBUCKS #18509 NEW New York NY 165061000043003342	\$4.30
	Mar 02	STARBUCKS #18509 NEW New York NY 165061000068619410	\$9.15
	Mar 05	STARBUCKS #18509 NEW New York NY 165064000543807439	\$4.84
	Mar 06	STARBUCKS #18509 NEW New York NY 165065000011377989	\$4.84
	Mar 06	STARBUCKS #00821 NEW New York NY 165065000011976442	\$4.30
	Mar 06	CORRADO BREAD & PAST 212-3559600 NY 555066225100633518	\$9.53

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Restaurants (continued)</i>			
	Mar 07	STARBUCKS #18509 NEW New York NY 165066000524263345	\$3.54
	Mar 07	STARBUCKS #18509 NEW New York NY 165066000546007407	\$3.54
	Mar 08	STARBUCKS #18509 NEW New York NY 165067000896963034	\$4.30
	Mar 10	STARBUCKS #18509 NEW New York NY 165069000945745059	\$100.00
	Mar 12	SQ *JOE PRO SHOP New York NY 165071000795915204	\$41.77
	Mar 15	LE PAIN QUOTIDIEN NEW YORK NY 055075286688801669	\$1.90
	Total - Restaurants		\$208.19
<i>Transportation</i>			
	Mar 01	NYC-TAXI NEW YORK NY 485061439950020767	\$14.16
	Mar 12	MTA VENDING MACHINES NEW YORK NY 465071000167217330	\$20.00
	Total - Transportation		\$34.16
<i>Professional services</i>			
	Mar 11	JIM RAU DOG SHOWS LT 610-376-1880 PA 995071702173322522	\$135.00
	Total - Professional services		\$135.00
	Total Card charges		\$1,293.32
	Category	Current period(\$)	Year to date (\$)

Card purchase summary			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
	Building/Home improvements	\$114.99	\$403.54
	Communications	\$199.47	\$210.35
	Purchases	\$601.51	\$3,116.10
	Restaurants	\$208.19	\$681.31
	Transportation	\$34.16	\$71.56
	Professional services	\$135.00	\$7,356.84
	Total card purchases	\$1,293.32	\$11,839.70

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)	
Money balance activities	Feb 27	Balance forward		\$0.00	
	Mar 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	35,927.93	
	Mar 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/03/15	-11,220.52	
	Mar 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/04/15	-2,509.68	
	Mar 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 03/05/15	0.09	
	Mar 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/09/15	-4,000.00	
	Mar 16	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/13/15	-72.96	
	Mar 19	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/18/15	-2,483.03	
	Mar 20	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/19/15	-1,077.22	
	Mar 20	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-14,564.61	
	Mar 31	Closing UBS Bank USA Business Account		\$0.00	
	The UBS Bank USA Business Account is your primary sweep option.				
	Feb 27	Balance forward		\$37,418.92	
	Mar 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/27/15	-1,490.99	
Mar 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-35,927.93		
Mar 20	Deposit	UBS AG DEPOSIT ACCOUNT	14,564.61		
Mar 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/23/15	-5,229.82		
Mar 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/26/15	-2,471.28		
Mar 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/27/15	-1,366.28		
Mar 31	Closing UBS AG Deposit Account		\$5,497.23		
The UBS AG Deposit Account is your secondary sweep option.					

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

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UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
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Business Services Account

April 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL
 Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

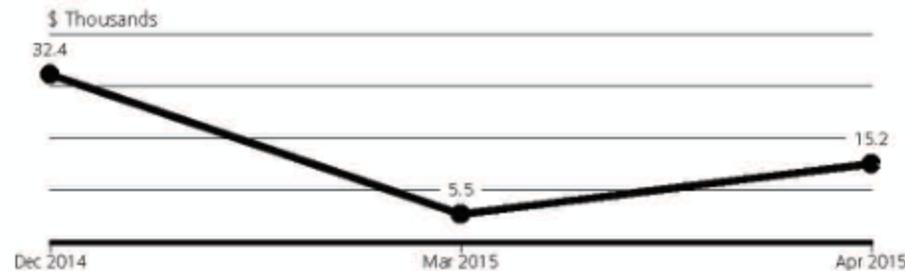
Visit our website:

www.ubs.com/financialservices

Value of your account

	on March 31 (\$)	on April 30 (\$)
Your assets	5,497.23	15,203.10
Your liabilities	0.00	0.00
Value of your account	\$5,497.23	\$15,203.10

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$17,177.45
Your investment return:	
Dividend and interest income	\$3.41
Change in market value	\$0.00
Value of your account on Apr 30, 2015	\$15,203.10

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Your account balance sheet

Summary of your assets

	Value on April 30 (\$)	Percentage of your account
A Cash and money balances	15,203.10	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$15,203.10	100.00%

Value of your account **\$15,203.10**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	April 2015	Year to date
S&P 500	0.96%	1.92%
Russell 3000	0.45%	2.26%
MSCI - Europe, Australia & Far East	4.16%	9.37%
Barclays Capital U.S. Aggregate Bond Index	-0.36%	1.24%

Interest rates on April 30, 2015

3-month Treasury bills: 0.01%
One-month LIBOR: 0.18%

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Change in the value of your account

	April 2015 (\$)	Year to date (\$)
Opening account value	\$5,497.23	\$32,377.14
Deposits, including investments transferred in	50,053.08	150,053.08
Withdrawals and fees, including investments transferred out	-40,347.78	-167,230.53
Dividend and interest income	0.57	3.41
Closing account value	\$15,203.10	\$15,203.10

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2015 (\$)	Year to date (\$)
Taxable interest	0.57	3.03
Total current year	\$0.57	\$3.03
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.57	\$3.41

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	April 2015 (\$)	Year to date (\$)
Opening balances	\$5,497.23	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	50,053.08	150,053.08
Dividend and interest income	0.57	3.41
Total additions	\$50,053.65	\$150,056.49
<i>Subtractions</i>		
Checks and bill payments	-33,565.78	-142,008.83
Cash/ATM transactions	-5,009.00	-11,630.00
ATM fee rebates	9.00	30.00
Card payments	-1,782.00	-13,621.70
Total subtractions	-\$40,347.78	-\$167,230.53
Net cash flow	\$9,705.87	-\$17,174.04
Closing balances	\$15,203.10	\$15,203.10

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UBS Bank USA Business Account APY

Interest period Mar 6 - Apr 7

Opening UBS Bank USA Business balance Mar 6	\$22,197.82
Closing UBS Bank USA Business balance Apr 7	\$39,046.12
Number of days in interest period	33
Average daily balance	\$16,844.30
Interest earned	\$0.34
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Mar 6 - Apr 7

Opening UBS AG Deposit balance Mar 6	\$0.00
Closing UBS AG Deposit balance Apr 7	\$0.00
Number of days in interest period	33
Average daily balance	\$3,213.57
Interest earned	\$0.10
Annual percentage yield earned	0.03%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
UBS AG DEPOSIT ACCOUNT	5,497.23	15,203.10				

Your total assets

		Value on Apr 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	15,203.10	100.00%	15,203.10		
Total		\$15,203.10	100.00%	\$15,203.10		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					\$5,497.23
Apr 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.43	
Apr 1	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.60	
Apr 1	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	
Apr 1	Interest	UBS AG DEPOSIT ACCOUNT				.10	53,014.30
Apr 6	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-13,968.34	39,045.96

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Apr 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 04/07/15				.16	39,046.12	
Apr 10	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	38,973.16	
Apr 14	Deposit	NON-LOCAL CHECK				53.08	39,026.24	
Apr 15	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.42		
Apr 15	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.61	36,543.21	
Apr 20	Rebate	ATM FEE REBATE				3.00		
Apr 20	Rebate	ATM FEE REBATE				3.00		
Apr 20	Bank Card	CASH WITHDRAWAL				-1,003.00		
Apr 20	Bank Card	CASH WITHDRAWAL				-2,003.00		
Apr 20	Bsa Check	NEXT LEVEL ELEVATOR SVC CHECK PAID 001057				-9,000.00	24,543.21	
Apr 23	Bsa Check	95 245 E GARAGE CORP CHECK PAID 001058				-538.61	24,004.60	
Apr 24	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96		
Apr 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,268.82	21,662.82	
Apr 27	Bsa Check	CASH CHECK PAID 001059				-195.00		
Apr 27	Bank Card	DEBIT CARD ACTIVITY				-1,782.00		
Apr 27	Interest	UBS BANK USA BUSINESS ACCOUNT				.31	19,686.13	
Apr 28	Bank Card	CASH WITHDRAWAL				-2,003.00		
Apr 28	Rebate	ATM FEE REBATE				3.00	17,686.13	
Apr 29	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.43		
Apr 29	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.60	15,203.10	
Apr 30	Closing cash and money balance							\$15,203.10

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
Apr 16	Apr 17	CITIBAN0002396 NY NY	-\$2,003.00
		CITIBAN0002396 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Apr 24	Apr 27	CITIBAN0002396 NY NY	-\$2,003.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,009.00
Total rebates			\$9.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
<i>Building/Home improvements</i>		
Mar 26	JANOVIC 1102 LLC NEW YORK NY 315085000167846384	\$4.34
Mar 27	JANOVIC 1102 LLC NEW YORK NY 315086000134252384	\$3.80
Mar 27	JANOVIC 1102 LLC NEW YORK NY 315086000148025750	\$7.60
Mar 31	THE HOME DEPOT 6177 NEW YORK NY 815090000179379683	\$21.12
Mar 31	THE HOME DEPOT 6177 NEW YORK NY 815090000189490447	\$10.76
Apr 01	THE HOME DEPOT 6177 NEW YORK NY 815091000153131174	\$12.76
Apr 02	THE HOME DEPOT 6177 NEW YORK NY 815092000158623893	\$4.87
Apr 03	LEXINGTON HARDWARE NEW YORK NY 055093627143107130	\$32.62
Apr 15	THE HOME DEPOT 6177 NEW YORK NY 815105000160031874	\$13.00
Apr 16	THE HOME DEPOT 6177 NEW YORK NY 815106000155340933	\$25.01
Apr 23	THE HOME DEPOT 6177 NEW YORK NY 815113000149567170	\$7.62
Total - Building/Home improvements		\$143.50
<i>Purchases</i>		
Mar 26	EMBASSY WINES NEW YORK NY 395086400400886496	\$11.97
Mar 26	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$7.31
Mar 27	USPS 3596149551 NEW YORK NY 000000000000000000	\$9.25
Mar 30	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$37.00
Mar 30	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$5.46
Mar 31	USPS 3596140014 NEW YORK NY 000000000000000000	\$13.35
Mar 31	BLOOMYS 001 1000 THI NEW YORK NY 605090000160237820	\$30.49
Apr 01	GRACE'S MARKETPLACE NEW YORK NY 465091000177933766	\$134.83

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Account activity this month (continued)

Date	Description	Amount (\$)
Card purchases (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
<i>Purchases (continued)</i>		
Apr 01	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$19.99
Apr 01	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$5.49
Apr 02	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$5.20
Apr 02	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$31.25
Apr 03	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$41.39
Apr 05	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$25.31
Apr 05	A&A DISCOUNTS NEW YORK NY 625096980001839491	\$11.38
Apr 06	GRACE'S MARKETPLACE NEW YORK NY 465096000156060040	\$60.30
Apr 06	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$5.49
Apr 07	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$5.00
Apr 07	BEST CELLARS 19-918 NEW YORK NY 0000000000000000	\$10.88
Apr 08	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$20.96
Apr 08	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$16.59
Apr 09	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$3.99
Apr 09	RADIO SHACK COR NEW YORK NY 515099000155398103	\$9.79
Apr 09	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$16.37
Apr 13	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$6.01
Apr 13	GRACE'S MARKETPLACE NEW YORK NY 465103000131940937	\$132.98
Apr 14	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$25.85
Apr 14	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$16.10
Apr 15	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$14.39
Apr 15	SUNSHINE FLOWERS NEW YORK NY 605105300380631751	\$48.96
Apr 15	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$13.20
Apr 16	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$10.97
Apr 16	TLF FELLAN FLORIST F NEW YORK NY 055107161074004375	\$108.88
Apr 16	TLF FELLAN FLORIST F NEW YORK NY 055107161074004383	\$81.66
Apr 17	DIPTYQUE DISTRIBUTIO NEW YORK NY 005108253039010082	\$261.30
Apr 17	TLF FELLAN FLORIST F NEW YORK NY 055108161085876240	\$108.88
Apr 20	Duane Reade NEW YORK NY 0000000000000000	\$10.99
Apr 20	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$25.30

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Apr 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$2.29
	Apr 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$14.60
	Apr 23	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$14.40
	Total - Purchases		\$1,435.80
<i>Restaurants</i>			
	Apr 02	LE PAIN QUOTIDIEN NEW YORK NY 055093286688800180	\$3.50
	Apr 08	STARBUCKS #18509 NEW New York NY 165098000595049294	\$4.30
	Apr 08	STARBUCKS #18509 NEW New York NY 165098000666309338	\$4.30
	Apr 10	STARBUCKS #18509 NEW New York NY 165100000575227049	\$4.30
	Apr 11	STARBUCKS #18509 NEW New York NY 165101000061702710	\$4.30
	Apr 11	STARBUCKS #18509 NEW New York NY 165101000079027670	\$1.90
	Apr 12	STARBUCKS #18509 NEW New York NY 165102000521021619	\$12.51
	Apr 13	STARBUCKS #18509 NEW New York NY 165103000976655399	\$4.30
	Apr 14	STARBUCKS #18509 NEW New York NY 165104000434968110	\$4.30
	Apr 14	LE PAIN QUOTIDIEN NEW YORK NY 055105286688801853	\$3.50
	Apr 14	LYRIC DINER NEW YORK NY 305104900019000979	\$19.80
	Apr 17	STARBUCKS #18509 NEW New York NY 165107000824689364	\$9.15
	Apr 18	GROOVE NEWYORK NY 045109900015638649	\$18.14
	Apr 18	ARTICHOKE BASILLES P NEW YORK NY 055109987102698806	\$6.50
	Apr 19	WHISKEY TAVERN NEW YORK NY 295109700125208351	\$10.00
	Total - Restaurants		\$110.80
<i>Transportation</i>			
	Mar 27	NYC TAXI 5C75 NEW YORK NY 075086090538369929	\$18.55
	Mar 28	MTA VENDING MACHINES NEW YORK NY 465088000017987331	\$20.00
	Apr 10	MTA VENDING MACHINES NEW YORK NY 465101000034509079	\$20.00
	Apr 14	MTA VENDING MACHINES NEW YORK NY 465104000040068976	\$20.00
	Apr 15	NYC TAXI 4N93 LONG ISLAND C NY 075105090170789431	\$13.35
	Total - Transportation		\$91.90
	Total Card charges		\$1,782.00

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Business Services Account
April 2015

Account name: 116 EAST 65TH STREET LLC
Friendly account name: 116 LLC
Account number: [REDACTED]

Your Financial Advisor:
SCOTT STACKMAN/LYLE CASRIEL
[REDACTED]

Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Apr 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/29/15	-2,483.03
	Apr 30	Closing UBS AG Deposit Account		\$15,203.10

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMBBSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities' deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
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Business Services Account

May 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

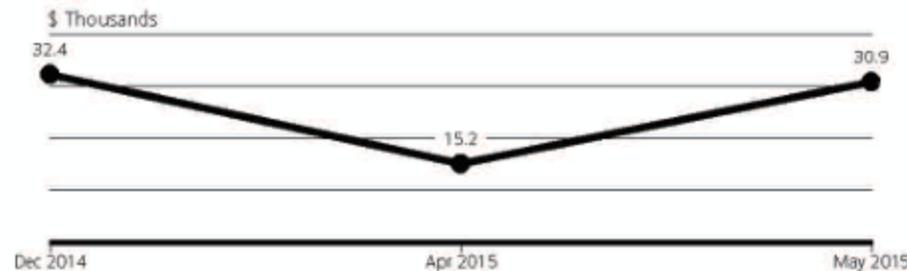
Visit our website:

www.ubs.com/financialservices

Value of your account

	on April 30 (\$)	on May 29 (\$)
Your assets	15,203.10	30,902.50
Your liabilities	0.00	0.00
Value of your account	\$15,203.10	\$30,902.50

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$1,478.45
Your investment return:	
Dividend and interest income	\$3.81
Change in market value	\$0.00
Value of your account on May 29, 2015	\$30,902.50

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Your account balance sheet

Summary of your assets

	Value on May 29 (\$)	Percentage of your account
A Cash and money balances	30,902.50	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$30,902.50	100.00%

Value of your account **\$30,902.50**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	May 2015	Year to date
S&P 500	1.29%	3.23%
Russell 3000	1.38%	3.68%
MSCI - Europe, Australia & Far East	-0.40%	8.93%
Barclays Capital U.S. Aggregate Bond Index	-0.24%	1.00%

Interest rates on May 29, 2015

3-month Treasury bills: 0.01%
One-month LIBOR: 0.18%

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Change in the value of your account

	May 2015 (\$)	Year to date (\$)
Opening account value	\$15,203.10	\$32,377.14
Deposits, including investments transferred in	50,000.00	200,053.08
Withdrawals and fees, including investments transferred out	-34,301.00	-201,531.53
Dividend and interest income	0.40	3.81
Closing account value	\$30,902.50	\$30,902.50

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2015 (\$)	Year to date (\$)
Taxable interest	0.40	3.43
Total current year	\$0.40	\$3.43
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.40	\$3.81

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	May 2015 (\$)	Year to date (\$)
Opening balances	\$15,203.10	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	50,000.00	200,053.08
Dividend and interest income	0.40	3.81
Total additions	\$50,000.40	\$200,056.89
<i>Subtractions</i>		
Checks and bill payments	-27,368.70	-169,377.53
Cash/ATM transactions	-803.00	-12,433.00
ATM fee rebates	3.00	33.00
Card payments	-6,132.30	-19,754.00
Total subtractions	-\$34,301.00	-\$201,531.53
Net cash flow	\$15,699.40	-\$1,474.64
Closing balances	\$30,902.50	\$30,902.50

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UBS Bank USA Business Account APY

Interest period Apr 8 - May 6

Opening UBS Bank USA Business balance Apr 8	\$39,046.12
Closing UBS Bank USA Business balance May 6	\$50,772.11
Number of days in interest period	29
Average daily balance	\$24,697.32
Interest earned	\$0.37
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Apr 8 - May 6

Opening UBS AG Deposit balance Apr 8	\$0.00
Closing UBS AG Deposit balance May 6	\$0.00
Number of days in interest period	29
Average daily balance	\$2,337.19
Interest earned	\$0.04
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on May 1 (\$)	Closing balance on May 29 (\$)	Price per share on May 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-72.96				
UBS AG DEPOSIT ACCOUNT	15,203.10	30,975.46				
Total	\$15,203.10	\$30,902.50				

Your total assets

	Value on May 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	30,902.50	100.00%	30,902.50		
Total	\$30,902.50	100.00%	\$30,902.50		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 30		Cash and money balance					\$15,203.10
May 1	Interest	UBS AG DEPOSIT ACCOUNT				.04	15,203.14
May 4	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-14,431.09	772.05
May 5	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	50,772.05

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/15				.06	50,772.11
May 8	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	50,699.15
May 12	Bsa Check	UNITED TOWER CHECK PAID 001061				-5,334.88	45,364.27
May 13	Bsa Check	245 E GARAGE CORP CHECK PAID 001060				-538.61	44,825.66
May 14	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.44	
May 14	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.59	42,342.63
May 18	Bank Card	CASH WITHDRAWAL				-803.00	
May 18	Rebate	ATM FEE REBATE				3.00	41,542.63
May 19	Interest	UBS BANK USA BUSINESS ACCOUNT				.30	41,542.93
May 22	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	41,469.97
May 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,879.18	39,590.79
May 27	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.42	
May 27	Bank Card	DEBIT CARD ACTIVITY				-6,132.30	
May 27	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.61	30,975.46
May 29	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	30,902.50
May 29		Closing cash and money balance					\$30,902.50

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
May 15	May 15	CITIBAN0002396 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$803.00
Total rebates			\$3.00

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>	Apr 24	THE HOME DEPOT 6 177 NEW YORK NY 815114000174353388	\$54.09
	Apr 24	JANOVIC 1102 LLC NEW YORK NY 315114000179580232	\$19.03
	Apr 24	JANOVIC 1102 LLC NEW YORK NY 315114000152881045	\$7.60
	Apr 24	JANOVIC 1102 LLC NEW YORK NY 315114000182918668	\$2.59
	Apr 28	THE HOME DEPOT 6 177 NEW YORK NY 815118000188724331	\$33.64
	Apr 29	THE HOME DEPOT 6 177 NEW YORK NY 815119000155419831	\$17.34
	May 01	THE HOME DEPOT 6 177 NEW YORK NY 815121000152470528	\$8.66
	May 11	THE HOME DEPOT 6 177 NEW YORK NY 815131000196528081	\$13.02
		Total - Building/Home improvements	\$155.97
<i>Employment related services</i>	May 05	ANNUAIRE MAIRIE 42ST ETIENNE 595126641342883405	\$33.55
		Total - Employment related services	\$33.55
<i>Purchases</i>	Apr 24	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.31
	Apr 24	Duane Reade NEW YORK NY 000000000000000000	\$10.08
	Apr 25	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$13.71
	Apr 26	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.21
	Apr 26	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.59
	Apr 26	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$6.96
	Apr 27	GRACE'S MARKETPLACE NEW YORK NY 465117000187968323	\$53.32
	Apr 27	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$21.25
	Apr 27	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$18.05
	Apr 27	TLF FELLAN FLORIST F NEW YORK NY 055118151185094727	\$48.99
	Apr 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$18.32
	Apr 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$29.24
	Apr 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$31.09
	Apr 29	Duane Reade NEW YORK NY 000000000000000000	\$9.26
	Apr 29	GRACE'S MARKETPLACE NEW YORK NY 465119000141860507	\$158.21
	Apr 29	MODELL'S SPORTING GO NEW YORK NY 465119000228843888	\$19.99
	Apr 29	GREEN GOURMET - BLUE NEW YORK NY 055120158131580853	\$8.28

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	Apr 30	SQ *GRACE'S MARKETPL New York NY 165120000922587091	\$2.72
	Apr 30	GRACE'S MARKETPLACE NEW YORK NY 465120000141728727	\$73.99
	Apr 30	BEST CELLARS 19-918 NEW YORK NY 000000000000000000	\$10.88
	Apr 30	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$11.52
	May 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$23.78
	May 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$38.62
	May 03	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.32
	May 04	WHOLEFDS MTE #10 250 New York NY 495124000157039956	\$63.53
	May 11	RICKY'S NYC 102 NEW YORK NY 665132001844410928	\$34.24
	May 12	USPS 3596100010 NEW YORK NY 000000000000000000	\$59.30
	May 12	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$16.87
	May 14	RADIOSHACK COR NEW YORK NY 515134000108637190	\$108.82
	May 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$23.49
	May 15	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$56.86
	May 17	Duane Reade NEW YORK NY 000000000000000000	\$10.89
	May 18	Duane Reade NEW YORK NY 000000000000000000	\$18.54
	May 18	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$29.40
	May 18	TLF FELLAN FLORIST F NEW YORK NY 055139151395594396	\$43.55
	May 18	TLF FELLAN FLORIST F NEW YORK NY 055139151395594404	\$54.25
	May 19	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.97
	May 20	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$121.54
	May 20	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$4.19
	May 20	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$6.67
	May 20	GRACE'S MARKETPLACE NEW YORK NY 465140000126046083	\$84.66
	May 20	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.02
	May 20	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$18.15
	May 21	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$29.67
	May 21	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$25.31
	May 21	USPS 3596140014 NEW YORK NY 000000000000000000	\$2.39

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Purchases (continued)</i>	May 21	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$17.33
	Total - Purchases		\$1,540.33
<i>Restaurants</i>	Apr 26	STARBUCKS #18509 NEW New York NY 165116000037893125	\$9.30
	Apr 26	STARBUCKS #18509 NEW New York NY 165116000096031492	\$3.54
	Apr 27	STARBUCKS #18509 NEW New York NY 165117000500781350	\$4.30
	Apr 27	STARBUCKS #18509 NEW New York NY 165117000617648237	\$4.63
	Apr 27	LUKE'S LOBSTER NEW YORK NY 295118700126745921	\$42.53
	Apr 28	STARBUCKS #18509 NEW New York NY 165118000981224549	\$4.30
	Apr 29	STARBUCKS #18509 NEW New York NY 165119000431910191	\$4.30
	Apr 30	STARBUCKS #18509 NEW New York NY 165120000831948020	\$4.30
	Apr 30	STARBUCKS #18509 NEW New York NY 165120000897354964	\$4.63
	May 01	STARBUCKS #18509 NEW New York NY 165121000423970720	\$7.51
	May 03	STARBUCKS #18509 NEW New York NY 165123000431413380	\$9.30
	May 03	STARBUCKS #18509 NEW New York NY 165123000448181913	\$3.54
	May 19	STARBUCKS #18509 NEW New York NY 165139000042744662	\$4.30
	May 20	STARBUCKS #18509 NEW New York NY 165140000530727572	\$3.54
	May 20	LE PAIN QUOTIDIEN NEW YORK NY 055141286688800059	\$11.75
	May 21	STARBUCKS #18509 NEW New York NY 165141000086800418	\$3.54
	Total - Restaurants		\$125.31
<i>Transportation</i>	Apr 24	MTA VENDING MACHINES NEW YORK NY 465115000034197994	\$20.00
	Apr 29	NYC TAXI 1B86 FLUSHING NY 075119090406794332	\$14.65
	May 02	NYC TAXI 6N67 PHILADELPHIA PA 075123090717157827	\$9.45
	May 03	NYC-TAXI NEW YORK NY 485125464037744340	\$28.50
	May 04	MTA VENDING MACHINES NEW YORK NY 465124000198691823	\$20.00
	May 12	MTA VENDING MACHINES NEW YORK NY 465132000192209606	\$20.00
	May 18	ACCEDE INC NEW YORK NY 000000000000000000	\$57.58
	May 18	POTAMKIN CADILLAC NEW YORK NY 005138000151136953	\$3,883.61
	May 18	ASTORIA TAXI LONG IS CITY NY 485139262890442885	\$14.69
	Total - Transportation		\$4,068.48

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Professional services</i>	Apr 28	THE UPS STORE #1083 NEW YORK NY 0000000000000000	\$8.65
	May 01	LEATHER SPA NEW YORK NY 055123985319575942	\$199.00
	Total - Professional services		\$207.65
<i>Miscellaneous/Unclassified</i>	May 06	FOREIGN TRANSACTION 595126641342883405	\$1.01
	Total - Miscellaneous/Undassified		\$1.01
	Total Card charges		\$6,132.30

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
Building/Home improvements	\$155.97	\$703.01
Communications	\$0.00	\$210.35
Employment related services	\$33.55	\$33.55
Purchases	\$1,540.33	\$6,092.23
Restaurants	\$125.31	\$917.42
Transportation	\$4,068.48	\$4,231.94
Professional services	\$207.65	\$7,564.49
Miscellaneous/Unclassified	\$1.01	\$1.01
Total card purchases	\$6,132.30	\$19,754.00

	Date	Activity	Description	Amount (\$)
Money balance activities	Apr 30	Balance forward		\$0.00
	May 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	15,203.10
	May 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/04/15	-14,431.05
	May 6	Deposit	UBS BANK USA BUSINESS ACCOUNT	50,000.00
	May 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/15	0.06
	May 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/08/15	-72.96
	May 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/12/15	-5,334.88
	May 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/13/15	-538.61

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	May 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/14/15	-2,483.03
	May 19	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/18/15	-800.00
	May 19	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-41,542.63
	May 29	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.				
	Apr 30	Balance forward		\$15,203.10
	May 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-15,203.10
	May 19	Deposit	UBS AG DEPOSIT ACCOUNT	41,542.63
	May 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/22/15	-72.66
	May 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/26/15	-1,879.18
	May 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/27/15	-8,615.33
	May 29	Closing UBS AG Deposit Account		\$30,975.46

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMBBSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities' deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

June 2015

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIE

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

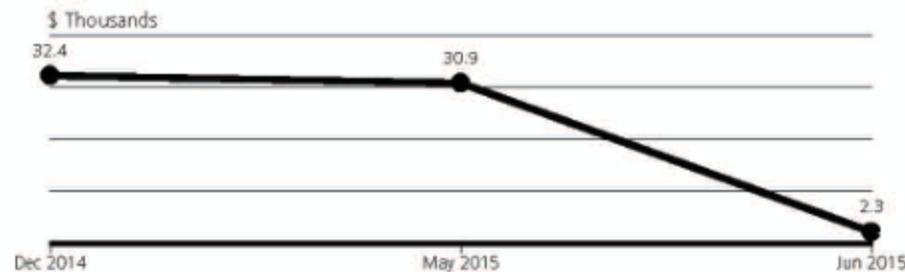
Visit our website:

www.ubs.com/financialservices

Value of your account

	on May 29 (\$)	on June 30 (\$)
Your assets	30,902.50	2,295.37
Your liabilities	0.00	0.00
Value of your account	\$30,902.50	\$2,295.37

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$30,085.99
Your investment return:	
Dividend and interest income	\$4.22
Change in market value	\$0.00
Value of your account on Jun 30, 2015	\$2,295.37

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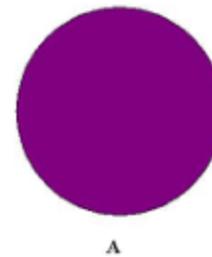
Your account balance sheet

Summary of your assets

	Value on June 30 (\$)	Percentage of your account
A Cash and money balances	2,295.37	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$2,295.37	100.00%

Value of your account **\$2,295.37**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	June 2015	Year to date
S&P 500	-1.94%	1.23%
Russell 3000	-1.67%	1.94%
MSCI - Europe, Australia & Far East	-2.80%	5.88%
Barclays Capital U.S. Aggregate Bond Index	-1.09%	-0.10%

Interest rates on June 30, 2015

3-month Treasury bills: 0.02%
One-month LIBOR: 0.19%

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Change in the value of your account

	June 2015 (\$)	Year to date (\$)
Opening account value	\$30,902.50	\$32,377.14
Deposits, including investments transferred in	0.00	200,053.08
Withdrawals and fees, including investments transferred out	-28,607.54	-230,139.07
Dividend and interest income	0.41	4.22
Closing account value	\$2,295.37	\$2,295.37

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2015 (\$)	Year to date (\$)
Taxable interest	0.41	3.84
Total current year	\$0.41	\$3.84
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.41	\$4.22

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2015 (\$)	Year to date (\$)
Opening balances	\$30,902.50	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	0.00	200,053.08
Dividend and interest income	0.41	4.22
Total additions	\$0.41	\$200,057.30
<i>Subtractions</i>		
Checks and bill payments	-20,880.44	-190,257.97
Cash/ATM transactions	-6,367.00	-18,800.00
ATM fee rebates	17.00	50.00
Card payments	-1,377.10	-21,131.10
Total subtractions	-\$28,607.54	-\$230,139.07
Net cash flow	-\$28,607.13	-\$30,081.77
Closing balances	\$2,295.37	\$2,295.37

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UBS Bank USA Business Account APY

Interest period May 7 - Jun 4

Opening UBS Bank USA Business balance May 7	\$50,772.11
Closing UBS Bank USA Business balance Jun 4	\$16,031.51
Number of days in interest period	29
Average daily balance	\$22,175.18
Interest earned	\$0.35
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period May 7 - Jun 4

Opening UBS AG Deposit balance May 7	\$0.00
Closing UBS AG Deposit balance Jun 4	\$0.00
Number of days in interest period	29
Average daily balance	\$16,715.76
Interest earned	\$0.26
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-72.96	0.00				
UBS AG DEPOSIT ACCOUNT	30,975.46	2,295.37				
Total	\$30,902.50	\$2,295.37				

Your total assets

	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	2,295.37	100.00%	2,295.37		
Total	\$2,295.37	100.00%	\$2,295.37		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 29		Cash and money balance					\$30,902.50
Jun 1	Rebate	ATM FEE REBATE				3.00	
Jun 1	Rebate	ATM FEE REBATE				3.00	
Jun 1	Bank Card	CASH WITHDRAWAL				-2,003.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 1	Bank Card	CASH WITHDRAWAL				-2,003.00	
Jun 1	Interest	UBS AG DEPOSIT ACCOUNT				.26	26,902.76
Jun 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-8,331.30	18,571.46
Jun 4	Bsa Check	NEXT LEVEL ELEVATOR CHECK PAID 001062				-2,540.00	16,031.46
Jun 5	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 06/04/15				.05	16,031.51
Jun 8	Bank Card	CASH WITHDRAWAL				-353.00	
Jun 8	Rebate	ATM FEE REBATE				3.00	15,681.51
Jun 10	Bsa Check	WASHINGTON CHECK PAID 001063				-130.00	
Jun 10	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.60	
Jun 10	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.43	13,068.48
Jun 12	Bank Card	CASH WITHDRAWAL				-202.00	
Jun 12	Rebate	ATM FEE REBATE				2.00	12,868.48
Jun 15	Interest	UBS BANK USA BUSINESS ACCOUNT				.10	12,868.58
Jun 16	Bsa Check	UNITED TOWER CHECK PAID 005001				-1,959.75	
Jun 16	Bsa Check	SAWYERS CONTROL SYSTEMS CHECK PAID 005003				-416.16	10,492.67
Jun 17	Bsa Check	DYNAIRE CORP CHECK PAID 005002				-76.21	10,416.46
Jun 19	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-72.96	10,343.50
Jun 22	Bank Card	CASH WITHDRAWAL				-903.00	
Jun 22	Rebate	ATM FEE REBATE				3.00	9,443.50
Jun 24	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.60	
Jun 24	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.43	6,960.47
Jun 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,388.00	4,572.47
Jun 29	Rebate	ATM FEE REBATE				3.00	
Jun 29	Bank Card	CASH WITHDRAWAL				-903.00	
Jun 29	Bank Card	DEBIT CARD ACTIVITY				-1,377.10	2,295.37
Jun 30		Closing cash and money balance					\$2,295.37

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
May 29	May 29	CITIBAN0002398 NY NY	-\$2,003.00
		CITIBAN0002390 NY NY	-\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Jun 05	Jun 05	CITIBAN0009595 NY NY	-\$353.00
		ATM FEE REBATE	\$3.00
Jun 11	Jun 11	837 LEXINGTO-274697 NEW YORK NY	-\$202.00
		ATM FEE REBATE	\$2.00
Jun 19	Jun 19	CHASE NEW YORK NY	-\$903.00
		ATM FEE REBATE	\$3.00
Jun 26	Jun 26	CHASE NEW YORK NY	-\$903.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$6,367.00
Total rebates			\$17.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
<i>Building/Home improvements</i>		
May 28	JANOVIC 1102 LLC NEW YORK NY 315148000207249339	\$3.80
Jun 16	JANOVIC 1102 LLC NEW YORK NY 315167000174739037	\$26.65
Jun 17	JANOVIC 1102 LLC NEW YORK NY 315168000134820264	\$4.89
Jun 17	JANOVIC 1102 LLC NEW YORK NY 315168000166726892	\$21.76
Total - Building/Home improvements		\$57.10
<i>Purchases</i>		
May 26	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$20.07
May 27	USPS 3596140014 NEW YORK NY 000000000000000000	\$18.60
May 27	SQ *URBAN GARDEN CEN New York NY 165147000833747460	\$212.14
May 27	SQ *URBAN GARDEN CEN New York NY 165147000851748002	\$27.22

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Purchases (continued)</i>			
	May 27	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$16.10
	May 27	RICKY'S NYC 102 NEW YORK NY 665148001979277540	\$14.14
	May 28	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$30.61
	May 28	GRACE'S MARKETPLACE NEW YORK NY 465148000117597336	\$42.57
	May 28	BEST CELLARS 19-918 NEW YORK NY 0000000000000000	\$10.88
	May 28	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$21.26
	May 29	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$8.98
	May 30	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$13.90
	May 31	EMBASSY WINES NEW YORK NY 39515240600407822	\$6.52
	May 31	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$15.96
	May 31	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$14.99
	Jun 01	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$57.76
	Jun 01	BEST CELLARS 19-918 NEW YORK NY 0000000000000000	\$10.88
	Jun 01	FOOD EMPORIUM #36703 NEW YORK NY 0000000000000000	\$11.38
	Jun 02	JUST BULBS NEW YORK NY 595153980015326390	\$48.94
	Jun 02	PAPYRUS # 2234 852 L NEW YORK NY 595153000165549674	\$6.42
	Jun 02	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$26.30
	Jun 02	A&A DISCOUNTS NEW YORK NY 625154980001839490	\$17.32
	Jun 03	Duane Reade NEW YORK NY 0000000000000000	\$15.80
	Jun 11	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$8.97
	Jun 11	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$25.00
	Jun 11	GRACE'S MARKETPLACE NEW YORK NY 465162000151621282	\$83.59
	Jun 11	ZARA USA 1260 NEW YORK NY 405162000222642932	\$69.90
	Jun 12	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$26.18
	Jun 15	Duane Reade NEW YORK NY 0000000000000000	\$23.97
	Jun 15	PAPYRUS # 2234 852 L NEW YORK NY 595166000150169061	\$4.36
	Jun 17	SQ *URBAN GARDEN CEN New York NY 165168000836262438	\$27.22
	Total - Purchases		\$937.93
<i>Restaurants</i>			
	May 26	LE PAIN QUOTIDIEN NEW YORK NY 055147286688801432	\$23.13
	May 28	STARBUCKS #18509 NEW New York NY 165148000366018098	\$11.95

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Account activity this month (continued)

	Date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
<i>Restaurants (continued)</i>			
	May 29	STARBUCKS #18509 NEW New York NY 165149000823309808	\$4.30
	May 30	STARBUCKS #18509 NEW New York NY 165150000306921745	\$4.30
	May 30	BLONDIES NEW YORK NY 395152000028033699	\$26.00
	May 31	MCDONALD'S F2663 NEW YORK NY 335151710048898049	\$13.71
	Jun 01	ORIGINAL RAY'S PIZZA NEW YORK NY 165152000927295147	\$7.75
	Jun 02	LIVE BAIT NEW YORK NY 045154900019323648	\$28.00
	Jun 03	SPIN NEW YORK NEW YORK NY 165154000209840709	\$22.86
	Jun 03	SPIN NEW YORK NEW YORK NY 165154000209840766	\$14.16
	Jun 03	SPIN NEW YORK NEW YORK NY 165154000209840824	\$43.00
	Jun 11	STARBUCKS #00821 NEW New York NY 165162000068753902	\$17.25
	Jun 11	STARBUCKS #00821 NEW New York NY 165162000096829740	\$4.30
	Jun 11	LE PAIN QUOTIDIEN NEW YORK NY 055163286688800896	\$3.50
	Jun 12	STARBUCKS #00821 NEW New York NY 165163000563644464	\$3.54
	Jun 15	STARBUCKS #00821 NEW New York NY 165166000981835345	\$8.60
	Jun 15	LE PAIN QUOTIDIEN NEW YORK NY 055167286688801858	\$45.18
		Total - Restaurants	\$281.53
<i>Transportation</i>			
	May 30	NYC-TAXI LONG IS CITY NY 485151452212264538	\$12.09
	May 31	NYC TAXI 1H37 PHILADELPHIA PA 075151090522363219	\$8.16
	May 31	7-ELEVEN NEW YORK NY 000000000000000000	\$24.11
	Jun 02	NYC-TAXI WOODSIDE NY 485154261010090285	\$16.64
	Jun 17	NYC-TAXI ASTORIA NY 485169459435240013	\$17.76
		Total - Transportation	\$78.76
<i>Professional services</i>			
	Jun 15	FREDERIC FEKKAI BEAU NEW YORK NY 505167005849122892	\$21.78
		Total - Professional services	\$21.78
		Total Card charges	\$1,377.10

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit: XXX4 8834		
G. MAXWELL		
Building/Home improvements	\$57.10	\$760.11
Communications	\$0.00	\$210.35
Employment related services	\$0.00	\$33.55
Purchases	\$937.93	\$7,030.16
Restaurants	\$281.53	\$1,198.95
Transportation	\$78.76	\$4,310.70
Professional services	\$21.78	\$7,586.27
Miscellaneous/Unclassified	\$0.00	\$1.01
Total card purchases	\$1,377.10	\$21,131.10

Date	Activity	Description	Amount (\$)
Money balance activities			
May 29	Balance forward		\$0.00
Jun 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	30,902.50
Jun 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/01/15	-3,999.74
Jun 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/03/15	-8,331.30
Jun 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/04/15	-2,540.00
Jun 5	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 06/04/15	0.05
Jun 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/08/15	-350.00
Jun 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/10/15	-2,613.03
Jun 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/12/15	-200.00
Jun 15	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-12,868.48
Jun 30	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
May 29	Balance forward		\$30,975.46
Jun 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/29/15	-72.96
Jun 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-30,902.50
Jun 15	Deposit	UBS AG DEPOSIT ACCOUNT	12,868.48
Jun 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/16/15	-2,375.81
Jun 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/17/15	-76.21

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Jun 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/19/15	-72.96
	Jun 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/22/15	-900.00
	Jun 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/24/15	-2,483.03
	Jun 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/26/15	-2,388.00
	Jun 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 06/29/15	-2,277.10
	Jun 30	Closing UBS AG Deposit Account		\$2,295.37

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: #NABSA Services.

- Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
- Provide your name and account number (if any).
 - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosure

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
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Business Services Account

July 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/MLF CASPRL
 Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

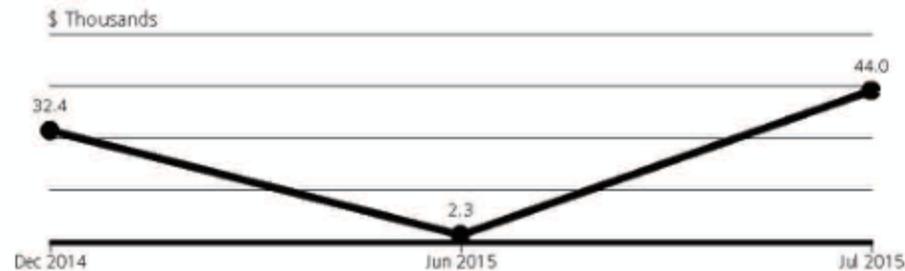
Visit our website:

www.ubs.com/financialservices

Value of your account

	on June 30 (\$)	on July 31 (\$)
Your assets	2,295.37	43,955.00
Your liabilities	0.00	0.00
Value of your account	\$2,295.37	\$43,955.00

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	\$11,573.19
Your investment return:	
Dividend and interest income	\$4.67
Change in market value	\$0.00
Value of your account on Jul 31, 2015	\$43,955.00

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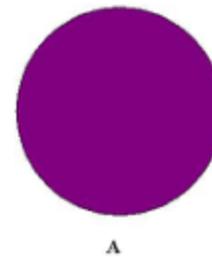
Your account balance sheet

Summary of your assets

	Value on July 31 (\$)	Percentage of your account
A Cash and money balances	43,955.00	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$43,955.00	100.00%

Value of your account **\$43,955.00**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	July 2015	Year to date
S&P 500	2.10%	3.35%
Russell 3000	1.67%	3.65%
MSCI - Europe, Australia & Far East	2.08%	8.08%
Barclays Capital U.S. Aggregate Bond Index	0.70%	0.59%

Interest rates on July 31, 2015

3-month Treasury bills: 0.08%
One-month LIBOR: 0.19%

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Change in the value of your account

	July 2015 (\$)	Year to date (\$)
Opening account value	\$2,295.37	\$32,377.14
Deposits, including investments transferred in	110,000.00	310,053.08
Withdrawals and fees, including investments transferred out	-68,340.82	-298,479.89
Dividend and interest income	0.45	4.67
Closing account value	\$43,955.00	\$43,955.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2015 (\$)	Year to date (\$)
Taxable interest	0.45	4.29
Total current year	\$0.45	\$4.29
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.45	\$4.67

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2015 (\$)	Year to date (\$)
Opening balances	\$2,295.37	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	110,000.00	310,053.08
Dividend and interest income	0.45	4.67
Total additions	\$110,000.45	\$310,057.75
<i>Subtractions</i>		
Checks and bill payments	-64,202.45	-254,460.42
Cash/ATM transactions	-2,906.00	-21,706.00
ATM fee rebates	6.00	56.00
Card payments	-1,238.37	-22,369.47
Total subtractions	-\$68,340.82	-\$298,479.89
Net cash flow	\$41,659.63	\$11,577.86
Closing balances	\$43,955.00	\$43,955.00

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UBS Bank USA Business Account APY

Interest period Jun 5 - Jul 7

Opening UBS Bank USA Business balance Jun 5	\$16,031.51
Closing UBS Bank USA Business balance Jul 7	\$5,166.72
Number of days in interest period	33
Average daily balance	\$12,303.35
Interest earned	\$0.22
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Jun 5 - Jul 7

Opening UBS AG Deposit balance Jun 5	\$0.00
Closing UBS AG Deposit balance Jul 7	\$0.00
Number of days in interest period	33
Average daily balance	\$3,828.50
Interest earned	\$0.10
Annual percentage yield earned	0.03%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jul 1 (\$)	Closing balance on Jul 31 (\$)	Price per share on Jul 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-1,314.74				
UBS AG DEPOSIT ACCOUNT	2,295.37	45,269.74				
Total	\$2,295.37	\$43,955.00				

Your total assets

		Value on Jul 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	43,955.00	100.00%	43,955.00		
Total		\$43,955.00	100.00%	\$43,955.00		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 30		Cash and money balance					\$2,295.37
Jul 1	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				60,000.00	
Jul 1	Interest	UBS AG DEPOSIT ACCOUNT				.10	62,295.47
Jul 6	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
		ATM FEE REBATE	\$3.00
Total transactions			-\$2,906.00
Total rebates			\$6.00

Date	Description	Amount (\$)
Card purchases		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL</i>		
<i>Building/Home improvements</i>		
Jun 30	THE HOME DEPOT 983 HACKENSACK NJ 815181000119109166	\$20.80
Jul 02	THE HOME DEPOT 983 HACKENSACK NJ 815183000114437107	\$35.38
Total - Building/Home improvements		\$56.18
<i>Purchases</i>		
Jul 02	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$32.01
Jul 02	JUST BULBS NEW YORK NY 595183980015326394	\$7.57
Jul 02	GRACE'S MARKETPLACE NEW YORK NY 465183000133557914	\$81.62
Jul 03	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$40.69
Jul 04	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$27.24
Jul 08	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$16.67
Jul 09	GRACE'S MARKETPLACE NEW YORK NY 465190000161734758	\$145.54
Jul 10	GRACE'S MARKETPLACE NEW YORK NY 465191000136438757	\$90.03
Jul 16	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$49.61
Jul 16	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$18.75
Jul 17	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$9.38
Jul 17	Duane Reade NEW YORK NY 000000000000000000	\$40.95
Jul 17	DUANE READE NEW YORK NY 005199600175530786	-\$10.19
Jul 20	Staples, Inc NEW YORK NY 385201000186267809	\$96.16
Jul 21	Duane Reade NEW YORK NY 000000000000000000	\$82.70
Jul 24	FOOD EMPORIUM #3674 NEW YORK NY 335205720025032393	\$2.64
Jul 24	FOOD EMPORIUM #3674 NEW YORK NY 335205720025033722	\$64.27

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit: XXX4 8834		
G. MAXWELL		
Building/Home improvements	\$56.18	\$816.29
Communications	\$0.00	\$210.35
Employment related services	\$0.00	\$33.55
Purchases	\$1,031.01	\$8,061.17
Restaurants	\$125.87	\$1,324.82
Transportation	\$9.00	\$4,319.70
Professional services	\$16.31	\$7,602.58
Miscellaneous/Unclassified	\$0.00	\$1.01
Total card purchases	\$1,238.37	\$22,369.47

Date	Activity	Description	Amount (\$)
Money balance activities			
Jun 30	Balance forward		\$0.00
Jul 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	2,295.37
Jul 2	Deposit	UBS BANK USA BUSINESS ACCOUNT	60,000.10
Jul 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/06/15	-57,128.87
Jul 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 07/07/15	0.12
Jul 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/08/15	-2,483.03
Jul 20	Deposit	UBS BANK USA BUSINESS ACCOUNT	49,923.40
Jul 21	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/20/15	-2,000.00
Jul 23	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/22/15	-2,483.03
Jul 27	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/24/15	-2,636.03
Jul 28	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/27/15	-218.29
Jul 28	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-45,269.74
Jul 31	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank, USA Business Account is your primary sweep option.			
Jun 30	Balance forward		\$2,295.37
Jul 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-2,295.37

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Jul 28	Deposit	UBS AG DEPOSIT ACCOUNT	45,269.74
	Jul 31	Closing UBS AG Deposit Account		\$45,269.74

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountsforbny

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.

Rev. 201407

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANP7000662263 0815 Y1 0

Business Services Account

August 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIE
 Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

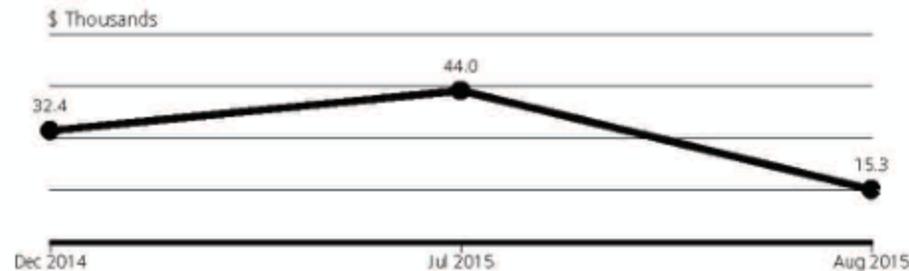
Visit our website:

www.ubs.com/financialservices

Value of your account

	on July 31 (\$)	on August 31 (\$)
Your assets	43,955.00	15,292.65
Your liabilities	0.00	0.00
Value of your account	\$43,955.00	\$15,292.65

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$17,089.45
Your investment return:	
Dividend and interest income	\$4.96
Change in market value	\$0.00
Value of your account on Aug 31, 2015	\$15,292.65

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Your account balance sheet

Summary of your assets

	Value on August 31 (\$)	Percentage of your account
A Cash and money balances	15,292.65	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$15,292.65	100.00%

Value of your account **\$15,292.65**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	August 2015	Year to date
S&P 500	-6.03%	-2.88%
Russell 3000	-6.04%	-2.61%
MSCI - Europe, Australia & Far East	-7.35%	0.14%
Barclays Capital U.S. Aggregate Bond Index	-0.14%	0.45%

Interest rates on August 31, 2015

3-month Treasury bills: 0.04%
One-month LIBOR: 0.20%

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Change in the value of your account

	August 2015 (\$)	Year to date (\$)
Opening account value	\$43,955.00	\$32,377.14
Deposits, including investments transferred in	0.00	310,053.08
Withdrawals and fees, including investments transferred out	-28,662.64	-327,142.53
Dividend and interest income	0.29	4.96
Closing account value	\$15,292.65	\$15,292.65

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	August 2015 (\$)	Year to date (\$)
Taxable interest	0.29	4.58
Total current year	\$0.29	\$4.58
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.29	\$4.96

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	August 2015 (\$)	Year to date (\$)
Opening balances	\$43,955.00	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	0.00	310,053.08
Dividend and interest income	0.29	4.96
Total additions	\$0.29	\$310,058.04
<i>Subtractions</i>		
Checks and bill payments	-23,724.37	-278,184.79
Cash/ATM transactions	-4,101.00	-25,807.00
ATM fee rebates	21.00	77.00
Card payments	-858.27	-23,227.74
Total subtractions	-\$28,662.64	-\$327,142.53
Net cash flow	-\$28,662.35	-\$17,084.49
Closing balances	\$15,292.65	\$15,292.65

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UBS Bank USA Business Account APY

Interest period Jul 8 - Aug 6

Opening UBS Bank USA Business balance Jul 8	\$5,166.72
Closing UBS Bank USA Business balance Aug 6	\$30,937.46
Number of days in interest period	30
Average daily balance	\$18,048.11
Interest earned	\$0.30
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Jul 8 - Aug 6

Opening UBS AG Deposit balance Jul 8	\$0.00
Closing UBS AG Deposit balance Aug 6	\$0.00
Number of days in interest period	30
Average daily balance	\$8,922.47
Interest earned	\$0.12
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 31 (\$)	Price per share on Aug 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-1,314.74	-580.00				
UBS AG DEPOSIT ACCOUNT	45,269.74	15,872.65				
Total	\$43,955.00	\$15,292.65				

Your total assets

		Value on Aug 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	15,292.65	100.00%	15,292.65		
Total		\$15,292.65	100.00%	\$15,292.65		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 31		Cash and money balance					\$43,955.00
Aug 3	Bank Card	FOOD EMPORIUM #36742				-2.99	
Aug 3	Rebate Cancellation	ATM FEE REBATE				-3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 3	Rebate	ATM FEE REBATE				3.00	
Aug 3	Rebate	ATM FEE REBATE				3.00	
Aug 3	Rebate	ATM FEE REBATE				3.00	
Aug 3	Rebate	ATM FEE REBATE				3.00	
Aug 3	Bank Card	WALGREENS				-10.58	
Aug 3	Bank Card	SOUEN				-80.00	
Aug 3	Bank Card	CASH WITHDRAWAL				-403.00	
Aug 3	Bank Card	CASH WITHDRAWAL				-803.00	
Aug 3	Bank Card	CASH WITHDRAWAL				-803.00	
Aug 3	Bank Card	CASH WITHDRAWAL				-2,003.00	
Aug 3	Cancel Of Bank Card	CASH WITHDRAWAL REVERSAL				2,003.00	
Aug 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-7,804.72	
Aug 3	Interest	UBS AG DEPOSIT ACCOUNT				.12	34,056.83
Aug 5	Bank Card	Duane Reade				-3.26	
Aug 5	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 5	Bank Card	GRACE'S MARKETPLACE				-35.94	
Aug 5	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.43	
Aug 5	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.60	
Aug 5	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	30,991.69
Aug 6	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 6	Bank Card	CANDLE CAFE				-50.00	30,937.39
Aug 7	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/15				.07	
Aug 7	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	30,928.86
Aug 10	Bank Card	STARBUCKS #18509 NEW YORK				-4.84	
Aug 10	Bank Card	TOTAL WINE AND MORE 303				-173.21	30,750.81
Aug 11	Bank Card	MTA VENDING MACHINES				-3.00	
Aug 11	Bank Card	MTA VENDING MACHINES				-3.00	
Aug 11	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 11	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 11	Bank Card	WILLIAM POLL				-20.00	
Aug 11	Bank Card	CORRADO BREAD & PASTRY				-4.00	30,712.21
Aug 12	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 12	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 12	Bank Card	PRET A MANGER #016				-5.43	
Aug 12	Bank Card	Duane Reade				-19.35	
Aug 12	Interest	UBS BANK USA BUSINESS ACCOUNT				.10	30,678.93
Aug 14	Bank Card	PRET A MANGER #016				-5.43	
Aug 14	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	30,596.90
Aug 17	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Aug 17	Bank Card	Staples, Inc				-13.93	
Aug 17	Bank Card	FOOD EMPORIUM #36742				-30.65	
Aug 17	Bank Card	GRACE'S MARKETPLACE				-103.35	
Aug 17	Bank Card	FOOD EMPORIUM #36742				-4.99	30,439.68
Aug 18	Rebate	ATM FEE REBATE				3.00	
Aug 18	Rebate	ATM FEE REBATE				3.00	
Aug 18	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Aug 18	Bank Card	STARBUCKS #18509 NEW YORK				-12.95	
Aug 18	Bank Card	IDEAL CHEESE SHOP				-29.55	
Aug 18	Bank Card	CASH WITHDRAWAL				-203.00	
Aug 18	Bank Card	CASH WITHDRAWAL				-803.00	
Aug 18	Bank Card	Duane Reade				-5.69	29,387.19
Aug 19	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.44	
Aug 19	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.59	26,904.16
Aug 20	Bank Card	STARBUCKS #18509 NEW YORK				-4.08	26,900.08
Aug 21	Bank Card	FOOD EMPORIUM #36742				-29.50	26,870.58
Aug 24	Bank Card	Duane Reade 1111 3RD AVE				-63.41	
Aug 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,829.06	23,978.11
Aug 25	Rebate	ATM FEE REBATE				3.00	
Aug 25	Bank Card	FOOD EMPORIUM #36742				-10.68	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Aug 25	Bank Card	GRACE'S MARKETPLACE				-42.27		
Aug 25	Bank Card	CASH WITHDRAWAL				-503.00		
Aug 25	Bank Card	FOOD EMPORIUM #36703				-7.93	23,417.23	
Aug 26	Bank Card	STARBUCKS #18509 NEW YORK				-4.30		
Aug 26	Bank Card	GRACE'S MARKETPLACE				-17.91	23,395.02	
Aug 27	Bank Card	FEDEXOFFICE 00002196				-13.05		
Aug 27	Bsa Check	NY STATE INS FUND CHECK PAID 005007				-83.55		
Aug 27	Bsa Check	SAWYERS CONTROL SYSTEMS CHECK PAID 005006				-161.95		
Aug 27	Bsa Check	NEW YORK STATE UNEMPLOYMENT CHECK PAID 005010				-1.47	23,135.00	
Aug 28	Bsa Check	DYNAIRE CORP CHECK PAID 005008				-680.47		
Aug 28	Bsa Check	DYNAIRE CORP CHECK PAID 005009				-6,505.28		
Aug 28	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	15,872.65	
Aug 31	Bank Card	CASH WITHDRAWAL				-583.00		
Aug 31	Rebate	ATM FEE REBATE				3.00	15,292.65	
Aug 31	Closing cash and money balance							\$15,292.65

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
Jul 31	Jul 31	CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009594 NY NY	-\$2,003.00
		CITIBAN0009591 NY NY	-\$403.00
		CITIBAN0009594 NY NY	-\$803.00
		CITIBAN0009594 NY NY	\$2,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	-\$3.00
Aug 14	Aug 17	CITIBAN0009595 NY NY	-\$803.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
		CITIBAN0009595 NY NY	-1203.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Aug 21	Aug 24	CITIBAN0009594 NY NY	-503.00
		ATM FEE REBATE	\$3.00
Aug 28	Aug 28	CITIBAN0009594 NY NY	-583.00
		ATM FEE REBATE	\$3.00
Total transactions			-4,101.00
Total rebates			\$21.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
<i>Purchases</i>			
Jul 31	Aug 03	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$2.99
Jul 31	Aug 03	WALGREENS NEW YORK NY 000000000000000000	\$10.58
Aug 03	Aug 05	GRACE'S MARKETPLACE NEW YORK NY 465215000137025043	\$35.94
Aug 04	Aug 05	Duane Reade NEW YORK NY 000000000000000000	\$3.26
Aug 06	Aug 10	TOTAL WINE AND MORE RIVER EDGE NJ 305218000235200021	\$173.21
Aug 11	Aug 12	Duane Reade NEW YORK NY 000000000000000000	\$19.35
Aug 13	Aug 17	Staples, Inc NEW YORK NY 385225000138925720	\$13.93
Aug 13	Aug 17	GRACE'S MARKETPLACE NEW YORK NY 465225000127899892	\$103.35
Aug 13	Aug 17	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$4.99
Aug 14	Aug 18	Duane Reade NEW YORK NY 000000000000000000	\$5.69
Aug 14	Aug 17	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$30.65
Aug 20	Aug 24	Duane Reade 1111 3RD NEW YORK NY 985232000180053717	\$63.41
Aug 20	Aug 21	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$29.50
Aug 21	Aug 25	GRACE'S MARKETPLACE NEW YORK NY 465233000169050180	\$42.27

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit: XXX4 8834</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases (continued)</i>				
	Aug 24	Aug 26	GRACE'S MARKETPLACE NEW YORK NY 465236000113789724	\$17.91
	Aug 24	Aug 25	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$10.68
	Aug 24	Aug 25	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$7.93
	Total - Purchases			\$575.64
<i>Restaurants</i>				
	Jul 29	Aug 03	SOUEN NEW YORK NY 055211985302629311	\$80.00
	Aug 03	Aug 05	STARBUCKS #18509 NEW New York NY 165215000252056838	\$4.30
	Aug 04	Aug 06	STARBUCKS #18509 NEW New York NY 165216000798011130	\$4.30
	Aug 04	Aug 06	CANDLE CAFE NEW YORK NY 225217207988700214	\$50.00
	Aug 05	Aug 07	STARBUCKS #18509 NEW New York NY 165217000293656503	\$4.30
	Aug 05	Aug 07	STARBUCKS #18509 NEW New York NY 165217000428031366	\$4.30
	Aug 06	Aug 10	STARBUCKS #18509 NEW New York NY 165218000811299686	\$4.84
	Aug 07	Aug 11	STARBUCKS #18509 NEW New York NY 165219000291742923	\$4.30
	Aug 07	Aug 11	WILLIAM POLL NEW YORK NY 055219627163969145	\$20.00
	Aug 07	Aug 11	CORRADO BREAD & PAST 212-7741904 NY 555221133607353774	\$4.00
	Aug 08	Aug 11	STARBUCKS #18509 NEW New York NY 165220000804026779	\$4.30
	Aug 10	Aug 12	STARBUCKS #18509 NEW New York NY 165222000698327059	\$4.30
	Aug 10	Aug 12	STARBUCKS #18509 NEW New York NY 165222000718454156	\$4.30
	Aug 10	Aug 12	PRET A MANGER #016 NEW YORK NY 055223838000425253	\$5.43
	Aug 12	Aug 18	STARBUCKS #18509 NEW New York NY 165227000001803536	\$4.30
	Aug 12	Aug 14	PRET A MANGER #016 NEW YORK NY 055225838000442116	\$5.43
	Aug 13	Aug 18	IDEAL CHEESE SHOP NEW YORK NY 055226987103463554	\$29.55
	Aug 13	Aug 17	STARBUCKS #00821 NEW New York NY 165225000171256103	\$4.30
	Aug 14	Aug 18	STARBUCKS #18509 NEW New York NY 165227000001818401	\$12.95
	Aug 18	Aug 20	STARBUCKS #18509 NEW New York NY 165230000621374533	\$4.08
	Aug 24	Aug 26	STARBUCKS #18509 NEW New York NY 165236000596181305	\$4.30
	Total - Restaurants			\$263.58
<i>Transportation</i>				
	Aug 08	Aug 11	MTA VENDING MACHINES NEW YORK NY 465220000190956706	\$3.00
	Aug 08	Aug 11	MTA VENDING MACHINES NEW YORK NY 465220000227225562	\$3.00
	Total - Transportation			\$6.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
		<i>Professional services</i>	
Aug 25	Aug 27	FEDEXOFFICE 000021 NEW YORK NY 075237069900295571	\$13.05
Total - Professional services			\$13.05
Total Card charges			\$858.27
Category	Current period(\$)	Year todate (\$)	

Card purchase summary
UBS Visa debit: XXX4 8834
G. MAXWELL

Category	Current period(\$)	Year todate (\$)
Building/Home improvements	\$0.00	\$816.29
Communications	\$0.00	\$210.35
Employment related services	\$0.00	\$33.55
Purchases	\$575.64	\$8,636.81
Restaurants	\$263.58	\$1,588.40
Transportation	\$6.00	\$4,325.70
Professional services	\$13.05	\$7,615.63
Miscellaneous/Unclassified	\$0.00	\$1.01
Total card purchases	\$858.27	\$23,227.74

Date	Activity	Description	Amount (\$)
Money balance activities			
Jul 31	Balance forward		\$0.00
Aug 3	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	43,955.00
Aug 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/03/15	-9,898.17
Aug 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/05/15	-3,065.14
Aug 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/15	-54.30
Aug 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 08/06/15	0.07
Aug 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/07/15	-8.60
Aug 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/10/15	-178.05
Aug 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/11/15	-38.60

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Aug 12	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-30,712.21
	Aug 31	Closing UBS Bank USA Business Account		\$0.00
	The UBS Bank, USA Business Account is your primary sweep option.			
	Jul 31	Balance forward		\$45,269.74
	Aug 3	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 07/31/15	-1,314.74
	Aug 3	Withdrawal	UBS AG DEPOSIT ACCOUNT	-43,955.00
	Aug 12	Deposit	UBS AG DEPOSIT ACCOUNT	30,712.21
	Aug 13	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/12/15	-33.28
	Aug 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/14/15	-82.03
	Aug 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/17/15	-157.22
	Aug 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/18/15	-1,052.49
	Aug 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/19/15	-2,483.03
	Aug 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/20/15	-4.08
	Aug 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/21/15	-29.50
	Aug 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/24/15	-2,892.47
	Aug 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/25/15	-560.88
	Aug 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/26/15	-22.21
	Aug 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/27/15	-260.02
	Aug 31	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/28/15	-7,262.35
	Aug 31	Closing UBS AG Deposit Account		\$15,872.65
	The UBS AG Deposit Account is your secondary sweep option.			

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

- Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.
- Provide your name and account number (if any).
 - Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
 - Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.

• **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.

To obtain current quotations, when available, contact your Financial Advisor.

• **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• **Revenue sharing and additional compensation.**

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

September 2015

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIE
Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

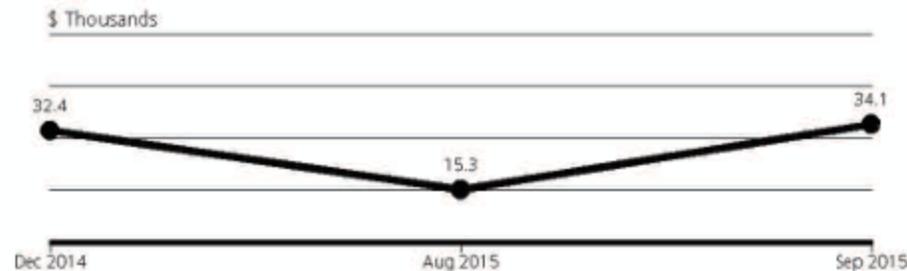
Visit our website:

www.ubs.com/financialservices

Value of your account

	on August 31 (\$)	on September 30 (\$)
Your assets	15,292.65	34,095.76
Your liabilities	0.00	0.00
Value of your account	\$15,292.65	\$34,095.76

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	\$1,713.36
Your investment return:	
Dividend and interest income	\$5.26
Change in market value	\$0.00
Value of your account on Sep 30, 2015	\$34,095.76

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Your account balance sheet

Summary of your assets

	Value on September 30 (\$)	Percentage of your account
A Cash and money balances	34,095.76	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$34,095.76	100.00%

Value of your account **\$34,095.76**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	September 2015	Year to date
S&P 500	-2.47%	-5.29%
Russell 3000	-2.91%	-5.45%
MSCI - Europe, Australia & Far East	-5.04%	-4.91%
Barclays Capital U.S. Aggregate Bond Index	0.68%	1.13%

Interest rates on September 30, 2015

3-month Treasury bills: 0.00%
One-month LIBOR: 0.19%

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Change in the value of your account

	September 2015 (\$)	Year to date (\$)
Opening account value	\$15,292.65	\$32,377.14
Deposits, including investments transferred in	50,000.00	360,053.08
Withdrawals and fees, including investments transferred out	-31,197.19	-358,339.72
Dividend and interest income	0.30	5.26
Closing account value	\$34,095.76	\$34,095.76

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2015 (\$)	Year to date (\$)
Taxable interest	0.30	4.88
Total current year	\$0.30	\$4.88
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.30	\$5.26

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2015 (\$)	Year to date (\$)
Opening balances	\$15,292.65	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	50,000.00	360,053.08
Dividend and interest income	0.30	5.26
Total additions	\$50,000.30	\$360,058.34
<i>Subtractions</i>		
Checks and bill payments	-21,454.36	-299,639.15
Cash/ATM transactions	-5,830.00	-31,637.00
ATM fee rebates	30.00	107.00
Card payments	-942.83	-24,170.57
Other funds debited	-3,000.00	-3,000.00
Total subtractions	-\$31,197.19	-\$358,339.72
Net cash flow	\$18,803.11	\$1,718.62
Closing balances	\$34,095.76	\$34,095.76

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UBS Bank USA Business Account APY

Interest period Aug 7 - Sep 7

Opening UBS Bank USA Business balance Aug 7	\$30,937.46
Closing UBS Bank USA Business balance Sep 7	\$1,733.88
Number of days in interest period	32
Average daily balance	\$5,974.56
Interest earned	\$0.12
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Aug 7 - Sep 7

Opening UBS AG Deposit balance Aug 7	\$0.00
Closing UBS AG Deposit balance Sep 7	\$0.00
Number of days in interest period	32
Average daily balance	\$15,757.11
Interest earned	\$0.28
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Sep 1 (\$)	Closing balance on Sep 30 (\$)	Price per share on Sep 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-580.00	-4,530.20				
UBS AG DEPOSIT ACCOUNT	15,872.65	38,625.96				
Total	\$15,292.65	\$34,095.76				

Your total assets

		Value on Sep 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	34,095.76	100.00%	34,095.76		
Total		\$34,095.76	100.00%	\$34,095.76		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 31		Cash and money balance					\$15,292.65
Sep 1	Bsa Check	LAREDO ELECTRIC INC CHECK PAID 005011				-353.84	
Sep 1	Interest	UBS AG DEPOSIT ACCOUNT				.28	14,939.09
Sep 2	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.42	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 2	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.61	12,456.06
Sep 3	Bank Card	CANINE STYLES				-13.07	
Sep 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-9,298.67	
Sep 3	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	2,605.71
Sep 4	Rebate	ATM FEE REBATE				3.00	
Sep 4	Bank Card	CASH WITHDRAWAL				-803.00	
Sep 4	Bank Card	SQ *URBAN GARDEN CE				-71.85	1,733.86
Sep 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 09/04/15				.02	1,733.88
Sep 9	Bank Card	FOOD EMPORIUM #36742				-27.79	
Sep 9	Bank Card	FOOD EMPORIUM #36703				-5.98	1,700.11
Sep 10	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	
Sep 10	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	
Sep 10	Bank Card	THE HOME DEPOT 6177				-20.54	1,672.49
Sep 11	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 11	Bank Card	FOOD EMPORIUM #36742				-4.49	
Sep 11	Bank Card	FOOD EMPORIUM #36742				-8.48	
Sep 11	Transfer	FM Y1 23575 0100				50,000.00	
Sep 11	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	51,578.62
Sep 14	Rebate	ATM FEE REBATE				3.00	
Sep 14	Rebate	ATM FEE REBATE				3.00	
Sep 14	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 14	Bank Card	Duane Reade 1111 3RD AVE				-5.45	
Sep 14	Bank Card	BARNESNOBLE 160 E 54th St				-15.23	
Sep 14	Bank Card	GRACE'S MARKETPLACE				-34.68	
Sep 14	Bank Card	GRACE'S MARKETPLACE				-106.32	
Sep 14	Bank Card	CASH WITHDRAWAL				-203.00	
Sep 14	Bank Card	CASH WITHDRAWAL				-803.00	
Sep 14	Bank Card	FOOD EMPORIUM #36742				-13.98	50,398.66
Sep 15	Bank Card	MTA VENDING MACHINES				-3.00	
Sep 15	Bank Card	MTA VENDING MACHINES				-3.00	
Sep 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.08	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 15	Bank Card	FOOD EMPORIUM #36742				-8.38	
Sep 15	Bank Card	GRACE'S MARKETPLACE				-27.15	
Sep 15	Bsa Check	SAWYERS CONTROL SYSTEMS INC CHECK PAID 005012				-604.26	49,731.59
Sep 16	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	
Sep 16	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 16	Bank Card	Duane Reade 773 LEXINGTON				-49.99	
Sep 16	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.60	
Sep 16	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.43	47,190.73
Sep 17	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 17	Bank Card	FOOD EMPORIUM #36742				-15.00	
Sep 17	Bsa Check	LAREDO ELECTRIC INC CHECK PAID 005013				-223.19	46,948.24
Sep 18	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	46,944.70
Sep 21	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 21	Bank Card	Staples, Inc				-36.45	46,903.95
Sep 22	Rebate	ATM FEE REBATE				3.00	
Sep 22	Rebate	ATM FEE REBATE				3.00	
Sep 22	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	
Sep 22	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	
Sep 22	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 22	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 22	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 22	Bank Card	CASH WITHDRAWAL				-203.00	
Sep 22	Bank Card	CASH WITHDRAWAL				-803.00	
Sep 22	Bank Card	Duane Reade 773 LEXINGTON				-50.98	45,832.99
Sep 23	Bank Card	MTA VENDING MACHINES				-3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 23	Bank Card	MTA VENDING MACHINES				-3.00	
Sep 23	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 23	Bank Card	SQ *URBAN GARDEN CE				-87.10	
Sep 23	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,833.50	42,902.09
Sep 24	Bank Card	STARBUCKS #18509 NEW YORK				-4.84	
Sep 24	Bank Card	THE HOME DEPOT 6177				-69.64	42,827.61
Sep 25	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 25	Bank Card	DAWAT RESTAURANT				-45.35	
Sep 25	Withdrawal	FEDERAL FUNDS TO WILLIAM DAILEY BUILDING AND AT TD BANK, NA				-3,000.00	
Sep 25	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	39,701.36
Sep 28	Rebate	ATM FEE REBATE				3.00	
Sep 28	Rebate	ATM FEE REBATE				3.00	
Sep 28	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 28	Bank Card	CASH WITHDRAWAL				-203.00	
Sep 28	Bank Card	CASH WITHDRAWAL				-803.00	
Sep 28	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	38,693.52
Sep 29	Bank Card	FOOD EMPORIUM #36742				-1.99	
Sep 29	Bank Card	FOOD EMPORIUM #36742				-2.07	
Sep 29	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Sep 29	Bank Card	LEATHER SPA				-32.00	
Sep 29	Bank Card	FOOD EMPORIUM #36742				-27.20	38,625.96
Sep 30	Rebate	ATM FEE REBATE				3.00	
Sep 30	Rebate	ATM FEE REBATE				3.00	
Sep 30	Rebate	ATM FEE REBATE				3.00	
Sep 30	Bank Card	LEX SOUPS LLC				-6.74	
Sep 30	Bank Card	LEX SOUPS LLC				-6.74	
Sep 30	Bank Card	Duane Reade 1111 3RD AVE				-9.79	
Sep 30	Bank Card	Duane Reade 1111 3RD AVE				-11.38	
Sep 30	Bank Card	DUANE READE #14221				24.99	
Sep 30	Bank Card	FOOD EMPORIUM #36742				-37.51	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Sep 30	Bank Card	CASH WITHDRAWAL				-403.00		
Sep 30	Bank Card	CASH WITHDRAWAL				-803.00		
Sep 30	Bank Card	CASH WITHDRAWAL				-803.00		
Sep 30	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.60		
Sep 30	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.43	34,095.76	
Sep 30	Closing cash and money balance							\$34,095.76

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit: XXX4 8834			
G. MAXWELL			
Sep 03	Sep 03	CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
Sep 11	Sep 11	CITIBAN0009595 NY NY	-\$803.00
		CITIBAN0009595 NY NY	-\$203.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Sep 18	Sep 21	CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009591 NY NY	-\$203.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Sep 25	Sep 25	CITIBAN0009594 NY NY	-\$203.00
		CITIBAN0009594 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Sep 29	Sep 29	CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009591 NY NY	-\$403.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL (continued)</i>			
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,830.00
Total rebates			\$30.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: XXX4 8834</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>			
Sep 08	Sep 10	THE HOME DEPOT 6177 NEW YORK NY 815251000168866109	\$20.54
Sep 22	Sep 24	THE HOME DEPOT 6177 NEW YORK NY 815265000148528138	\$69.64
Total - Building/Home improvements			\$90.18
<i>Purchases</i>			
Sep 02	Sep 04	SQ *URBAN GARDEN CE NEW YORK NY 155245741408023472	\$71.85
Sep 08	Sep 09	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$27.79
Sep 08	Sep 09	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	\$5.98
Sep 10	Sep 14	Duane Reade 1111 3RD NEW YORK NY 985253000151579504	\$5.45
Sep 10	Sep 14	GRACE'S MARKETPLACE NEW YORK NY 465253000167385690	\$106.32
Sep 10	Sep 14	BARNESNOBLE 160 E 54 New York NY 455253000210407050	\$15.23
Sep 10	Sep 14	GRACE'S MARKETPLACE NEW YORK NY 465253000117819087	\$34.68
Sep 10	Sep 11	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$4.49
Sep 10	Sep 11	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$8.48
Sep 11	Sep 15	GRACE'S MARKETPLACE NEW YORK NY 465254000119798569	\$27.15
Sep 11	Sep 15	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$8.38
Sep 11	Sep 14	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$13.98
Sep 14	Sep 16	Duane Reade 773 LEXI NEW YORK NY 985257000188598499	\$49.99
Sep 15	Sep 17	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$15.00
Sep 17	Sep 21	Staples, Inc NEW YORK NY 385260000181856919	\$36.45
Sep 20	Sep 22	Duane Reade 773 LEXI NEW YORK NY 985263000225203402	\$50.98
Sep 21	Sep 23	SQ *URBAN GARDEN CE NEW YORK NY 155264741424751698	\$87.10

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit: XXX4 8834</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases (continued)</i>				
	Sep 28	Sep 30	Duane Reade 1111 3RD NEW YORK NY 985271000163616847	\$11.38
	Sep 28	Sep 30	Duane Reade 1111 3RD NEW YORK NY 985271000134016721	\$9.79
	Sep 28	Sep 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$2.07
	Sep 28	Sep 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$1.99
	Sep 28	Sep 29	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$27.20
	Sep 28	Sep 30	DUANE READE #14221 NEW YORK NY 005272600164417136	-\$24.99
	Sep 29	Sep 30	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$37.51
	Total - Purchases			\$634.25
<i>Restaurants</i>	Sep 08	Sep 10	STARBUCKS #18509 NEW New York NY 165251000843269796	\$3.54
	Sep 08	Sep 10	STARBUCKS #18509 NEW New York NY 165251000886433077	\$3.54
	Sep 09	Sep 11	STARBUCKS #18509 NEW New York NY 165252000399124477	\$4.30
	Sep 10	Sep 14	STARBUCKS #18509 NEW New York NY 165253000859170209	\$4.30
	Sep 11	Sep 15	STARBUCKS #18509 NEW New York NY 165254000368905888	\$4.30
	Sep 11	Sep 15	STARBUCKS #18509 NEW New York NY 165254000396244995	\$4.30
	Sep 11	Sep 15	STARBUCKS #18509 NEW New York NY 165254000482073548	\$4.08
	Sep 12	Sep 15	STARBUCKS #18509 NEW New York NY 165255000892470499	\$4.30
	Sep 13	Sep 15	STARBUCKS #18509 NEW New York NY 165256000356319405	\$4.30
	Sep 14	Sep 16	STARBUCKS #18509 NEW New York NY 165257000823530815	\$4.30
	Sep 14	Sep 16	STARBUCKS #18509 NEW New York NY 165257000881526069	\$3.54
	Sep 15	Sep 17	STARBUCKS #18509 NEW New York NY 165258000328692853	\$4.30
	Sep 16	Sep 18	STARBUCKS #18509 NEW New York NY 165259000813896687	\$3.54
	Sep 17	Sep 21	STARBUCKS #18509 NEW New York NY 165260000297833427	\$4.30
	Sep 18	Sep 22	STARBUCKS #18509 NEW New York NY 165261000799009095	\$4.30
	Sep 18	Sep 22	STARBUCKS #18509 NEW New York NY 165261000808785412	\$3.54
	Sep 19	Sep 22	STARBUCKS #18509 NEW New York NY 165262000296006122	\$4.30
	Sep 20	Sep 22	STARBUCKS #18509 NEW New York NY 165263000766061754	\$4.30
	Sep 20	Sep 22	STARBUCKS #18509 NEW New York NY 165263000775388743	\$3.54
	Sep 21	Sep 23	STARBUCKS #18509 NEW New York NY 165264000227283178	\$4.30

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit: XXX4 8834</i>				
<i>G. MAXWELL (continued)</i>				
<i>Restaurants (continued)</i>				
	Sep 22	Sep 25	DAWAT RESTAURANT NEW YORK NY 595266006039845817	\$45.35
	Sep 22	Sep 24	STARBUCKS #18509 NEW New York NY 165265000720221864	\$4.84
	Sep 23	Sep 25	STARBUCKS #18509 NEW New York NY 165266000203023299	\$4.30
	Sep 24	Sep 28	STARBUCKS #18509 NEW New York NY 165267000627288352	\$4.30
	Sep 24	Sep 28	STARBUCKS #18509 NEW New York NY 165267000754298182	\$3.54
	Sep 25	Sep 29	STARBUCKS #18509 NEW New York NY 165268000185020824	\$4.30
	Sep 28	Sep 30	LEX SOUPS LLC NEW YORK NY 435272104012657085	\$6.74
	Sep 28	Sep 30	LEX SOUPS LLC NEW YORK NY 435272104012657218	\$6.74
	Total - Restaurants			\$161.33
<i>Transportation</i>				
	Sep 11	Sep 15	MTA VENDING MACHINES NEW YORK NY 465254000169159414	\$3.00
	Sep 11	Sep 15	MTA VENDING MACHINES NEW YORK NY 465254000159077899	\$3.00
	Sep 21	Sep 23	MTA VENDING MACHINES NEW YORK NY 465264000177382758	\$3.00
	Sep 21	Sep 23	MTA VENDING MACHINES NEW YORK NY 465264000177420541	\$3.00
	Total - Transportation			\$12.00
<i>Professional services</i>				
	Sep 24	Sep 29	LEATHER SPA NEW YORK NY 055268985335592694	\$32.00
	Total - Professional services			\$32.00
<i>Miscellaneous/Unclassified</i>				
	Sep 01	Sep 03	CANINE STYLES NEW YORK NY 665244980005679261	\$13.07
	Total - Miscellaneous/Undassified			\$13.07
	Total Card charges			\$942.83
	Category		Current period(\$)	Year todate (\$)

Card purchase summary				
<i>UBS Visa debit: XXX4 8834</i>				
<i>G. MAXWELL</i>				
	Building/Home improvements		\$90.18	\$906.47
	Communications		\$0.00	\$210.35
	Employment related services		\$0.00	\$33.55
	Purchases		\$634.25	\$9,271.06

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit: XXX4 8834</i>		
<i>G. MAXWELL (continued)</i>		
Restaurants	\$161.33	\$1,749.73
Transportation	\$12.00	\$4,337.70
Professional services	\$32.00	\$7,647.63
Miscellaneous/Unclassified	\$13.07	\$14.08
Total card purchases	\$942.83	\$24,170.57

Date	Activity	Description	Amount (\$)
Money balance activities			
Aug 31	Balance forward		\$0.00
Sep 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	15,292.65
Sep 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/01/15	-353.56
Sep 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/02/15	-2,483.03
Sep 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/03/15	-9,850.35
Sep 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/04/15	-871.85
Sep 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 09/04/15	0.02
Sep 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/09/15	-33.77
Sep 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/10/15	-27.62
Sep 11	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-1,672.49
Sep 30	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Aug 31	Balance forward		\$15,872.65
Sep 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/31/15	-580.00
Sep 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-15,292.65
Sep 11	Deposit	UBS AG DEPOSIT ACCOUNT	1,672.49
Sep 14	Deposit	UBS AG DEPOSIT ACCOUNT	49,906.13
Sep 15	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/14/15	-1,179.96
Sep 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/15/15	-667.07
Sep 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/16/15	-2,540.86
Sep 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/17/15	-242.49
Sep 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/18/15	-3.54

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Sep 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/21/15	-40.75
	Sep 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/22/15	-1,070.96
	Sep 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/23/15	-2,930.90
	Sep 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/24/15	-74.48
	Sep 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/25/15	-3,126.25
	Sep 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/28/15	-1,007.84
	Sep 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/29/15	-67.56
	Sep 30	Closing UBS AG Deposit Account		\$38,625.96

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: #NABSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountinfo/borrow

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

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