



UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

October 2015

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

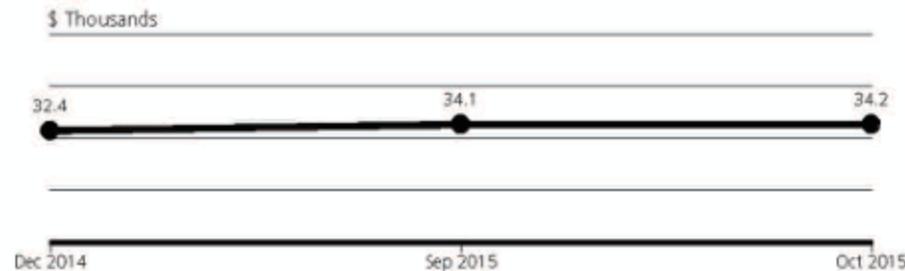
Visit our website:

www.ubs.com/financialservices

Value of your account

	on September 30 (\$)	on October 30 (\$)
Your assets	34,095.76	34,172.33
Your liabilities	0.00	0.00
Value of your account	\$34,095.76	\$34,172.33

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	\$1,789.33
Your investment return:	
Dividend and interest income	\$5.86
Change in market value	\$0.00
Value of your account on Oct 30, 2015	\$34,172.33

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Your account balance sheet

Summary of your assets

	Value on October 30 (\$)	Percentage of your account
A Cash and money balances	34,172.33	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$34,172.33	100.00%

Value of your account **\$34,172.33**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	October 2015	Year to date
S&P 500	8.44%	2.70%
Russell 3000	7.90%	2.02%
MSCI - Europe, Australia & Far East	7.82%	2.53%
Barclays Capital U.S. Aggregate Bond Index	0.02%	1.14%

Interest rates on October 30, 2015

3-month Treasury bills: 0.08%
One-month LIBOR: 0.19%

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Change in the value of your account

	October 2015 (\$)	Year to date (\$)
Opening account value	\$34,095.76	\$32,377.14
Deposits, including investments transferred in	30,001.47	390,054.55
Withdrawals and fees, including investments transferred out	-29,925.50	-388,265.22
Dividend and interest income	0.60	5.86
Closing account value	\$34,172.33	\$34,172.33

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	October 2015 (\$)	Year to date (\$)
Taxable interest	0.60	5.48
Total current year	\$0.60	\$5.48
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.60	\$5.86

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	October 2015 (\$)	Year to date (\$)
Opening balances	\$34,095.76	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	30,001.47	390,054.55
Dividend and interest income	0.60	5.86
Total additions	\$30,002.07	\$390,060.41
<i>Subtractions</i>		
Checks and bill payments	-16,438.29	-316,077.44
Cash/ATM transactions	-5,183.00	-36,820.00
ATM fee rebates	33.00	140.00
Card payments	-668.86	-24,839.43
Other funds debited	-7,668.35	-10,668.35
Total subtractions	-\$29,925.50	-\$388,265.22
Net cash flow	\$76.57	\$1,795.19
Closing balances	\$34,172.33	\$34,172.33

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UBS Bank USA Business Account APY

Interest period Sep 8 - Oct 6

Opening UBS Bank USA Business balance Sep 8	\$1,733.88
Closing UBS Bank USA Business balance Oct 6	\$29,412.77
Number of days in interest period	29
Average daily balance	\$6,532.79
Interest earned	\$0.12
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Sep 8 - Oct 6

Opening UBS AG Deposit balance Sep 8	\$0.00
Closing UBS AG Deposit balance Oct 6	\$0.00
Number of days in interest period	29
Average daily balance	\$25,831.27
Interest earned	\$0.44
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 30 (\$)	Price per share on Oct 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-4,530.20	-875.00				
UBS AG DEPOSIT ACCOUNT	38,625.96	35,047.33				
Total	\$34,095.76	\$34,172.33				

Your total assets

		Value on Oct 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	34,172.33	100.00%	34,172.33		
Total		\$34,172.33	100.00%	\$34,172.33		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Sep 30		Cash and money balance					\$34,095.76
Oct 1	Rebate	ATM FEE REBATE				3.00	
Oct 1	Rebate	ATM FEE REBATE				3.00	
Oct 1	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 1	Bank Card	MTA VENDING MACHINES				-3.00	
Oct 1	Bank Card	FOOD EMPORIUM #36742				-9.17	
Oct 1	Bank Card	Duane Reade 1111 3RD AVE				-13.57	
Oct 1	Bank Card	FOOD EMPORIUM #36742				-27.36	
Oct 1	Bank Card	CASH WITHDRAWAL				-403.00	
Oct 1	Bank Card	CASH WITHDRAWAL				-803.00	
Oct 1	Bank Card	CASH WITHDRAWAL				-803.00	
Oct 1	Interest	UBS AG DEPOSIT ACCOUNT				.44	32,043.10
Oct 2	Rebate	ATM FEE REBATE				3.00	
Oct 2	Rebate	ATM FEE REBATE				3.00	
Oct 2	Bank Card	PRET A MANGER #016				-5.43	
Oct 2	Bank Card	LE PAIN QUOTIDIEN				-6.95	
Oct 2	Bank Card	STARBUCKS #18509 NEW YORK				-11.95	
Oct 2	Bank Card	LEX SOUPS LLC				-13.48	
Oct 2	Bank Card	LEX SOUPS LLC				-13.48	
Oct 2	Bank Card	CASH WITHDRAWAL				-53.00	
Oct 2	Bank Card	CASH WITHDRAWAL				-803.00	
Oct 2	Bank Card	Duane Reade 1111 3RD AVE				-6.53	31,135.28
Oct 5	Bank Card	PRET A MANGER #016				-5.43	
Oct 5	Bank Card	USPS 35961400132801391				-19.60	
Oct 5	Bank Card	THE HOME DEPOT 6177				-64.95	
Oct 5	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-1,023.85	
Oct 5	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	29,482.84
Oct 6	Bank Card	FOOD EMPORIUM #36742				-10.44	
Oct 6	Bank Card	FOOD EMPORIUM #36742				-16.75	
Oct 6	Bank Card	THE HOME DEPOT 6177				-33.82	
Oct 6	Bank Card	FOOD EMPORIUM #36742				-9.18	29,412.65
Oct 7	Bank Card	LE PAIN QUOTIDIEN				-3.60	
Oct 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/15				.12	
Oct 7	Bank Card	USPS 3596140014				-49.95	29,359.22
Oct 8	Bank Card	STARBUCKS #18509 NEW YORK				-3.54	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 8	Bank Card	PRET A MANGER #016				-5.43	
Oct 8	Bank Card	THE HOME DEPOT 6177				-48.88	29,301.37
Oct 9	Bank Card	FOOD EMPORIUM #36742				-7.63	
Oct 9	Bank Card	FOOD EMPORIUM #36742				-11.96	
Oct 9	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	
Oct 9	Interest	UBS BANK USA BUSINESS ACCOUNT				.04	29,205.22
Oct 13	Bank Card	CONTAINERSTORELEXINGTN				-1.94	
Oct 13	Bank Card	STARBUCKS #18509 NEW YORK				-23.90	
Oct 13	Bsa Check	SAWYERS CONTROL SYSTEMS INC CHECK PAID 005015				-1,684.30	27,495.08
Oct 14	Bsa Check	DYNAIRE CORP CHECK PAID 005014				-65.33	
Oct 14	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-1,680.61	
Oct 14	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-1,917.00	
Oct 14	Withdrawal	ACH WITHDRAWAL ADP TXFINCL SVC				-802.42	23,029.72
Oct 15	Rebate	ATM FEE REBATE				3.00	
Oct 15	Rebate	ATM FEE REBATE				3.00	
Oct 15	Bank Card	CASH WITHDRAWAL				-503.00	
Oct 15	Bank Card	CASH WITHDRAWAL				-503.00	
Oct 15	Bank Card	USPS 3596140014				-49.95	21,979.77
Oct 19	Rebate	ATM FEE REBATE				3.00	
Oct 19	Bank Card	CASH WITHDRAWAL				-103.00	
Oct 19	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-5,751.35	
Oct 19	Bank Card	CONTAINERSTORELEXINGTN				-7.61	16,120.81
Oct 21	Bank Card	CASH WITHDRAWAL				-203.00	
Oct 21	Rebate	ATM FEE REBATE				3.00	15,920.81
Oct 22	Bank Card	USPS 3596140014				-1.84	
Oct 22	Bsa Check	MICHAEL GRAY CHECK PAID 001064				-5,000.00	10,918.97
Oct 23	Bank Card	MTA VENDING MACHINES				-6.00	
Oct 23	Bank Card	MTA VENDING MACHINES				-6.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Oct 23	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60		
Oct 23	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-2,131.94	8,698.43	
Oct 26	Bank Card	CASH WITHDRAWAL				-803.00		
Oct 26	Rebate	ATM FEE REBATE				3.00	7,898.43	
Oct 27	Rebate	ATM FEE REBATE				3.00		
Oct 27	Bank Card	THE HOME DEPOT 6177				-26.10		
Oct 27	Bank Card	CASH WITHDRAWAL				-203.00		
Oct 27	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				30,000.00	37,672.33	
Oct 28	Deposit	DIRECT DEPOSIT ADP TX/FINCL SVC				1.47		
Oct 28	Bank Card	JUST BULBS				-143.44		
Oct 28	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-802.43		
Oct 28	Withdrawal	ACH WITHDRAWAL ADP TX/FINCL SVC				-1,680.60	35,047.33	
Oct 30	Bsa Check	GLT CONSULTING ENGINEERS CHECK PAID 001066				-875.00	34,172.33	
Oct 30	Closing cash and money balance							\$34,172.33

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit [REDACTED]			
G. MAXWELL			
Sep 30	Sep 30	CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009591 NY NY	-\$403.00
		CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Oct 01	Oct 01	CITIBAN0009594 NY NY	-\$803.00
		CITIBAN0009594 NY NY	-\$53.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Oct 14	Oct 14	CITIBAN0009594 NY NY	-\$503.00
		CITIBAN0009594 NY NY	-\$503.00
		ATM FEE REBATE	\$3.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL (continued)</i>			
		ATM FEE REBATE	\$3.00
Oct 16	Oct 16	CITIBAN0009591 NY NY	-\$103.00
		ATM FEE REBATE	\$3.00
Oct 19	Oct 20	CITIBAN0009594 NY NY	-\$203.00
		ATM FEE REBATE	\$3.00
Oct 23	Oct 23	CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
Oct 26	Oct 26	CITIBAN0009594 NY NY	-\$203.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$5,183.00
Total rebates			\$33.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>			
Oct 01	Oct 05	THE HOME DEPOT 6 177 NEW YORK NY 815274000171118434	\$64.95
Oct 02	Oct 06	THE HOME DEPOT 6 177 NEW YORK NY 815275000163590391	\$33.82
Oct 06	Oct 08	THE HOME DEPOT 6 177 NEW YORK NY 815279000159791389	\$48.88
Oct 23	Oct 27	THE HOME DEPOT 6 177 NEW YORK NY 815296000157085890	\$26.10
Total - Building/Home improvements			\$173.75
<i>Purchases</i>			
Sep 29	Oct 01	Duane Reade 1111 3RD NEW YORK NY 985272000160014227	\$13.57
Sep 30	Oct 02	Duane Reade 1111 3RD NEW YORK NY 985273000218414345	\$6.53
Sep 30	Oct 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$9.17
Sep 30	Oct 01	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$27.36
Oct 01	Oct 05	USPS 359614001328013 NEW YORK NY 075274418143977509	\$19.60
Oct 05	Oct 06	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$10.44
Oct 05	Oct 06	FOOD EMPORIUM #36742 NEW YORK NY 000000000000000000	\$16.75

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases (continued)</i>				
	Oct 05	Oct 06	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$9.18
	Oct 06	Oct 07	USPS 3596140014 NEW YORK NY 0000000000000000	\$49.95
	Oct 08	Oct 13	CONTAINERSTORELEXING NEW YORK NY 165282000183028839	\$1.94
	Oct 08	Oct 09	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$7.63
	Oct 08	Oct 09	FOOD EMPORIUM #36742 NEW YORK NY 0000000000000000	\$11.96
	Oct 14	Oct 19	CONTAINERSTORELEXING NEW YORK NY 165288000141032430	\$7.61
	Oct 14	Oct 15	USPS 3596140014 NEW YORK NY 0000000000000000	\$49.95
	Oct 21	Oct 22	USPS 3596140014 NEW YORK NY 0000000000000000	\$1.84
	Oct 26	Oct 28	JUST BULBS NEW YORK NY 595299980015326395	\$143.44
	Total - Purchases			\$386.92
<i>Restaurants</i>				
	Sep 29	Oct 02	PRET A MANGER #016 NEW YORK NY 055273400000067806	\$5.43
	Sep 30	Oct 05	PRET A MANGER #016 NEW YORK NY 055274400000105118	\$5.43
	Sep 30	Oct 02	STARBUCKS #18509 NEW New York NY 165273000664162868	\$11.95
	Sep 30	Oct 02	LE PAIN QUOTIDIEN NEW YORK NY 055274286688800249	\$6.95
	Sep 30	Oct 02	LEX SOUPS LLC NEW YORK NY 435274104014214842	\$13.48
	Sep 30	Oct 02	LEX SOUPS LLC NEW YORK NY 435274104014217837	\$13.48
	Oct 05	Oct 08	PRET A MANGER #016 NEW YORK NY 055279400000083252	\$5.43
	Oct 05	Oct 07	LE PAIN QUOTIDIEN NEW YORK NY 055279286688801556	\$3.60
	Oct 06	Oct 08	STARBUCKS #18509 NEW New York NY 165279000853736740	\$3.54
	Oct 09	Oct 13	STARBUCKS #18509 NEW New York NY 165282000359333179	\$23.90
	Total - Restaurants			\$93.19
<i>Transportation</i>				
	Sep 29	Oct 01	MTA VENDING MACHINES NEW YORK NY 465272000197260398	\$3.00
	Oct 21	Oct 23	MTA VENDING MACHINES NEW YORK NY 465294000150359215	\$6.00
	Oct 21	Oct 23	MTA VENDING MACHINES NEW YORK NY 465294000175002733	\$6.00
	Total - Transportation			\$15.00
	Total Card charges			\$668.86

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$173.75	\$1,080.22
Communications	\$0.00	\$210.35
Employment related services	\$0.00	\$33.55
Purchases	\$386.92	\$9,657.98
Restaurants	\$93.19	\$1,842.92
Transportation	\$15.00	\$4,352.70
Professional services	\$0.00	\$7,647.63
Miscellaneous/Unclassified	\$0.00	\$14.08
Total card purchases	\$668.86	\$24,839.43

Date	Activity	Description	Amount (\$)
Money balance activities			
Sep 30	Balance forward		\$0.00
Oct 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	34,095.76
Oct 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/01/15	-2,052.66
Oct 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/02/15	-907.82
Oct 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/05/15	-1,652.44
Oct 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/15	-70.19
Oct 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/15	0.12
Oct 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/07/15	-53.55
Oct 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/08/15	-57.85
Oct 9	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-29,301.37
Oct 30	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank, USA Business Account is your primary sweep option.			
Sep 30	Balance forward		\$38,625.96
Oct 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 09/30/15	-4,530.20
Oct 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-34,095.76
Oct 9	Deposit	UBS AG DEPOSIT ACCOUNT	29,301.37
Oct 13	Withdrawal	UBS AG DEPOSIT ACCOUNT	-96.15
Oct 14	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/13/15	-1,710.14

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Oct 15	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/14/15	-4,465.36
	Oct 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/15/15	-1,049.95
	Oct 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/19/15	-5,858.96
	Oct 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/21/15	-200.00
	Oct 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/22/15	-5,001.84
	Oct 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/23/15	-2,220.54
	Oct 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/26/15	-800.00
	Oct 28	Deposit	UBS AG DEPOSIT ACCOUNT	29,773.90
	Oct 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/28/15	-2,625.00
	Oct 30	Closing UBS AG Deposit Account		\$35,047.33

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMB/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account

holder on the books and records of the applicable issuer or transfer agent).

- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org.

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP, partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate

envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your accounts/ portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions. UBS Statement of Credit Practices available in Agreements and Disclosures at www.ubs.com/accountdisclosures

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis:** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses:** When data is available, estimated unrealized gains/losses are calculated for

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Important information about your statement (continued)

individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield. Complete information will be provided upon request.
- **Certificates of deposit (CDs)** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.
 - We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
 - Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
 - Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
 - Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
 - For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation.To obtain current quotations, when available, contact your Financial Advisor.
- **Private investments and structured products.** Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.
 - These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
 - Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
 - Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
 - You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

- **Est. (estimated) income, current yields and rates.** An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
 - Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

- **Revenue sharing and additional compensation.**
 - In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
 - We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the

number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Tax withholding on distributions from UBS Financial Services IRAs

Federal tax law requires UBS Financial Services to withhold income tax from your taxable IRA distributions, but you may elect not to have income tax withheld or, instead, you may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distributions, you may be responsible for the payment of estimated tax. You may incur penalties if the amounts withheld and your estimated tax payments are not equal to your tax obligation.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management

Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

November 2015

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

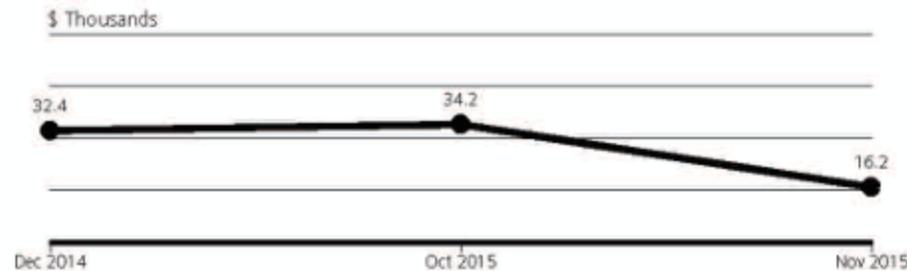
Visit our website:

www.ubs.com/financialservices

Value of your account

	on October 30 (\$)	on November 30 (\$)
Your assets	34,172.33	16,153.55
Your liabilities	0.00	0.00
Value of your account	\$34,172.33	\$16,153.55

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	-\$16,230.03
Your investment return:	
Dividend and interest income	\$6.44
Change in market value	\$0.00
Value of your account on Nov 30, 2015	\$16,153.55

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Your account balance sheet

Summary of your assets

	Value on November 30 (\$)	Percentage of your account
A Cash and money balances	16,153.55	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$16,153.55	100.00%

Value of your account **\$16,153.55**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	November 2015	Year to date
S&P 500	0.30%	3.01%
Russell 3000	0.55%	2.58%
MSCI - Europe, Australia & Far East	-1.54%	0.95%
Barclays Capital U.S. Aggregate Bond Index	-0.26%	0.88%

Interest rates on November 30, 2015

3-month Treasury bills: 0.21%
One-month LIBOR: 0.24%

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Change in the value of your account

	November 2015 (\$)	Year to date (\$)
Opening account value	\$34,172.33	\$32,377.14
Deposits, including investments transferred in	0.00	390,054.55
Withdrawals and fees, including investments transferred out	-18,019.36	-406,284.58
Dividend and interest income	0.58	6.44
Closing account value	\$16,153.55	\$16,153.55

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2015 (\$)	Year to date (\$)
Taxable interest	0.58	6.06
Total current year	\$0.58	\$6.06
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.58	\$6.44

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2015 (\$)	Year to date (\$)
Opening balances	\$34,172.33	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	0.00	390,054.55
Dividend and interest income	0.58	6.44
Total additions	\$0.58	\$390,060.99
<i>Subtractions</i>		
Checks and bill payments	-1,353.91	-329,431.35
Cash/ATM transactions	-3,812.00	-40,632.00
ATM fee rebates	12.00	152.00
Card payments	-865.45	-25,704.88
Other funds debited	0.00	-10,668.35
Total subtractions	-\$18,019.36	-\$406,284.58
Net cash flow	-\$18,018.78	-\$16,223.59
Closing balances	\$16,153.55	\$16,153.55

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UBS Bank USA Business Account APY

Interest period Oct 7 - Nov 5

Opening UBS Bank USA Business balance Oct 7	\$29,412.77
Closing UBS Bank USA Business balance Nov 5	\$28,403.40
Number of days in interest period	30
Average daily balance	\$5,969.12
Interest earned	\$0.12
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Oct 7 - Nov 5

Opening UBS AG Deposit balance Oct 7	\$0.00
Closing UBS AG Deposit balance Nov 5	\$0.00
Number of days in interest period	30
Average daily balance	\$17,641.15
Interest earned	\$0.33
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 30 (\$)	Price per share on Nov 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-875.00	-48.26				
UBS AG DEPOSIT ACCOUNT	35,047.33	16,201.81				
Total	\$34,172.33	\$16,153.55				

Your total assets

		Value on Nov 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	16,153.55	100.00%	16,153.55		
Total		\$16,153.55	100.00%	\$16,153.55		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 30		Cash and money balance					\$34,172.33
Nov 2	Bank Card	GRACE'S MARKETPLACE				-70.74	
Nov 2	Interest	UBS AG DEPOSIT ACCOUNT				.33	34,101.92
Nov 3	Bank Card	LE PAIN QUOTIDIEN				-3.60	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 3	Bank Card	GRACIOUS HOME 1				-32.18	
Nov 3	Bank Card	FOOD EMPORIUM #3670				-40.42	
Nov 3	Bank Card	GRACE'S MARKETPLACE				-57.76	
Nov 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-5,014.03	28,953.93
Nov 5	Bank Card	FOOD EMPORIUM #36703				-12.00	
Nov 5	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	28,403.32
Nov 6	Bank Card	LE PAIN QUOTIDIEN				-14.15	
Nov 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 11/05/15				.08	
Nov 6	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	28,312.65
Nov 10	Bank Card	STARBUCKS #00821 NEW YORK				-3.54	
Nov 10	Bank Card	NST BEST BUY #1531 031946				-14.05	
Nov 10	Bank Card	MTA MVM* 42ND ST-GRAND C				-20.00	
Nov 10	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.43	
Nov 10	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.60	25,792.03
Nov 13	Bank Card	LE PAIN QUOTIDIEN				-3.60	
Nov 13	Bank Card	RICKY'S NYC 102				-6.52	
Nov 13	Bank Card	RICKY'S NYC 110				-29.33	
Nov 13	Bank Card	RICKY'S NYC 115				-52.17	
Nov 13	Bank Card	WHOLEFDS MTE #1 226 E 57T				-31.64	25,668.77
Nov 16	Bank Card	STARBUCKS #00821 NEW YORK				-5.54	
Nov 16	Bank Card	T MOBILE 9192				-16.33	25,646.90
Nov 17	Bank Card	DAWAT RESTAURANT				-60.54	
Nov 17	Interest	UBS BANK USA BUSINESS ACCOUNT				.17	25,586.53
Nov 20	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	25,509.93
Nov 23	Rebate	ATM FEE REBATE				3.00	
Nov 23	Rebate	ATM FEE REBATE				3.00	
Nov 23	Bank Card	UNLEASHED 5706				-14.33	
Nov 23	Bank Card	SMILEY				-21.00	
Nov 23	Bank Card	CASH WITHDRAWAL				-1,003.00	
Nov 23	Bank Card	CASH WITHDRAWAL				-1,003.00	
Nov 23	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,432.01	22,042.59

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 24	Bank Card	A&A DISCOUNTS				-10.84	
Nov 24	Bank Card	PRET A MANGER #016				-12.28	
Nov 24	Bank Card	EMBASSY WINES AND SPIRITS				-20.68	
Nov 24	Bank Card	EMBASSY WINES AND SPIRITS				-41.36	
Nov 24	Bank Card	PHILIPPE BY PHILIPPE CHO				-77.30	
Nov 24	Bsa Check	HIGH POWER CONSTRUCTION COR CHECK PAID 001065				-1,250.00	
Nov 24	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.60	
Nov 24	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.43	18,147.10
Nov 25	Rebate	ATM FEE REBATE				3.00	
Nov 25	Rebate	ATM FEE REBATE				3.00	
Nov 25	Bank Card	CASH WITHDRAWAL				-803.00	
Nov 25	Bank Card	CASH WITHDRAWAL				-1,003.00	
Nov 25	Bank Card	Duane Reade				-40.24	16,306.86
Nov 27	Bank Card	LE PAIN QUOTIDIEN				-7.90	
Nov 27	Bank Card	GRACE'S MARKETPLACE				-97.15	16,201.81
Nov 30	Bank Card	JUST BULBS				-10.13	
Nov 30	Bank Card	LIRNYTICKETS				-11.50	
Nov 30	Bank Card	PAPYRUS # 2234				-15.13	
Nov 30	Bank Card	LIRNYTICKETS				-11.50	16,153.55
Nov 30		Closing cash and money balance					\$16,153.55

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit [REDACTED]			
G. MAXWELL			
Nov 20	Nov 20	CITIBAN0009591 NY NY	-\$1,003.00
		CITIBAN0009594 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Nov 24	Nov 24	CITIBAN0009595 NY NY	-\$1,003.00

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ANP70002000610555 NP7000060962 00001 1115 000000000 [REDACTED] 010000

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
UBS Visa debit [REDACTED]			
G. MAXWELL (continued)			
		CITIBAN0009594 NY NY	-803.00
		ATM FEE REBATE	3.00
		ATM FEE REBATE	3.00
Total transactions			-803.00
Total rebates			12.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
UBS Visa debit [REDACTED]			
G. MAXWELL			
<i>Communications</i>			
Nov 12	Nov 16	T MOBILE 9192 NEW YORK NY 305316000159044588	16.33
Total - Communications			16.33
<i>Purchases</i>			
Oct 29	Nov 02	GRACE'S MARKETPLACE NEW YORK NY 335302720020928704	70.74
Oct 30	Nov 03	GRACE'S MARKETPLACE NEW YORK NY 335303720022492435	57.76
Oct 30	Nov 03	FOOD EMPORIUM #3670 NEW YORK NY 335303720024474167	40.42
Oct 30	Nov 03	GRACIOUS HOME 1 NEW YORK NY 385304200099300928	32.18
Nov 04	Nov 05	FOOD EMPORIUM #36703 NEW YORK NY 000000000000000000	12.00
Nov 07	Nov 10	NST BEST BUY #1531 0 NEW YORK NY 000000000000000000	14.05
Nov 10	Nov 13	RICKY'S NYC 102 NEW YORK NY 665315001460681249	6.52
Nov 10	Nov 13	RICKY'S NYC 115 NEW YORK NY 665315001460687352	52.17
Nov 10	Nov 13	RICKY'S NYC 110 NEW YORK NY 665315001460688830	29.33
Nov 11	Nov 13	WHOLEFDS MTE #1 226 NEW YORK NY 495315000192084909	31.64
Nov 19	Nov 24	EMBASSY WINES AND SP NEW YORK NY 895324980009723393	20.68
Nov 19	Nov 23	SMILEY NEW YORK NY 055323432530000440	21.00
Nov 19	Nov 23	UNLEASHED 5706 NEW YORK NY 515323000105885694	14.33
Nov 21	Nov 24	A&A DISCOUNTS NEW YORK NY 625326980001839493	10.84
Nov 21	Nov 24	EMBASSY WINES AND SP NEW YORK NY 895326980009723391	41.36
Nov 24	Nov 30	PAPYRUS # 2234 NEW YORK NY 005329100232213433	15.13

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
UBS Visa debit [REDACTED]				
G. MAXWELL (continued)				
<i>Purchases (continued)</i>				
	Nov 24	Nov 27	GRACE'S MARKETPLACE NEW YORK NY 465328000146561024	\$97.15
	Nov 24	Nov 25	Duane Reade NEW YORK NY 0000000000000000	\$40.24
	Nov 25	Nov 30	JUST BULBS NEW YORK NY 595329980015326399	\$10.13
	Total - Purchases			\$617.67
<i>Restaurants</i>				
	Oct 30	Nov 03	LE PAIN QUOTIDIEN NEW YORK NY 055304286688800979	\$3.60
	Nov 04	Nov 06	LE PAIN QUOTIDIEN NEW YORK NY 055309286688801048	\$14.15
	Nov 06	Nov 10	STARBUCKS #00821 NEW New York NY 165310000627027757	\$3.54
	Nov 11	Nov 13	LE PAIN QUOTIDIEN NEW YORK NY 055316286688800413	\$3.60
	Nov 12	Nov 16	STARBUCKS #00821 NEW New York NY 165316000690791259	\$5.54
	Nov 13	Nov 17	DAWAT RESTAURANT NEW YORK NY 595318006138950128	\$60.54
	Nov 19	Nov 24	PHILIPPE BY PHILIPPE NEW YORK NY 975324286288801406	\$77.30
	Nov 20	Nov 24	PRET A MANGER #016 NEW YORK NY 055325400000315351	\$12.28
	Nov 24	Nov 27	LE PAIN QUOTIDIEN NEW YORK NY 055329286688801333	\$7.90
	Total - Restaurants			\$188.45
<i>Transportation</i>				
	Nov 06	Nov 10	MTA MVM*42ND ST-GRAN NEW YORK NY 435311004060428543	\$20.00
	Nov 25	Nov 30	LIRRNKTICKETS JAMAICA NY 435330004033214431	\$11.50
	Nov 25	Nov 30	LIRRNKTICKETS JAMAICA NY 435330004033252340	\$11.50
	Total - Transportation			\$43.00
	Total Card charges			\$865.45
	Category		Current period(\$)	Year to date (\$)
Card purchase summary				
UBS Visa debit [REDACTED]				
G. MAXWELL				
	Building/Home improvements		\$0.00	\$1,080.22
	Communications		\$16.33	\$226.68
	Employment related services		\$0.00	\$33.55
	Purchases		\$617.67	\$10,275.65

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary (continued)		
UBS Visa debit: [REDACTED]		
G. MAXWELL (continued)		
Restaurants	\$188.45	\$2,031.37
Transportation	\$43.00	\$4,395.70
Professional services	\$0.00	\$7,647.63
Miscellaneous/Unclassified	\$0.00	\$14.08
Total card purchases	\$865.45	\$25,704.88

Date	Activity	Description	Amount (\$)
Money balance activities			
Oct 30	Balance forward		\$0.00
Nov 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	34,172.33
Nov 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/02/15	-70.41
Nov 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/03/15	-5,147.99
Nov 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/05/15	-550.61
Nov 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 11/05/15	0.08
Nov 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/06/15	-90.75
Nov 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT	-2,520.62
Nov 16	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/13/15	-123.26
Nov 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/16/15	-21.87
Nov 17	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-25,646.90
Nov 30	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Oct 30	Balance forward		\$35,047.33
Nov 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 10/30/15	-875.00
Nov 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-34,172.33
Nov 17	Deposit	UBS AG DEPOSIT ACCOUNT	25,646.90
Nov 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/17/15	-60.37
Nov 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/20/15	-76.60
Nov 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/23/15	-3,467.34
Nov 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/24/15	-3,895.49
Nov 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/25/15	-1,840.24

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Nov 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/27/15	-105.05
	Nov 30	Closing UBS AG Deposit Account		\$16,201.81

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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End of statement for account number [REDACTED] SONY_GM_00020485 Page 12 of 12

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for

claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201511

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 CNP70012309451215.X12 Y1 0

Business Services Account

December 2015

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC
Friendly account name: 116 LLC
Account number: [REDACTED]

Your Financial Advisor:
 SCOTT STACKMAN/LYLE CASRIEL
 Phone: [REDACTED]

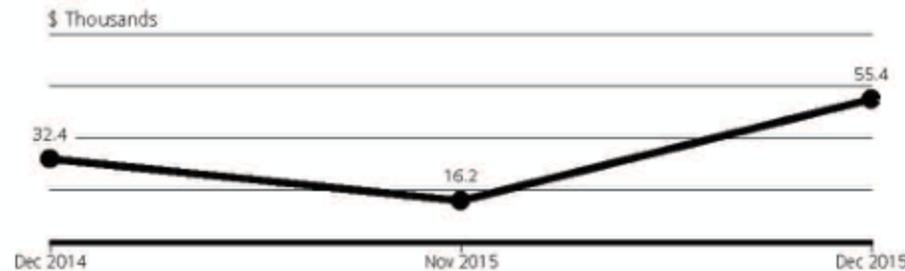
Questions about your statement?
 Call your Financial Advisor or the
 ResourceLine at 800-762-1000,
 account 029023692.

Visit our website:
www.ubs.com/financialservices

Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	16,153.55	55,373.13
Your liabilities	0.00	0.00
Value of your account	\$16,153.55	\$55,373.13

Tracking the value of your account



Sources of your account growth during 2015

Value of your account at year end 2014	\$32,377.14
Net deposits and withdrawals	\$22,989.30
Your investment return:	
Dividend and interest income	\$6.69
Change in market value	\$0.00
Value of your account on Dec 31, 2015	\$55,373.13

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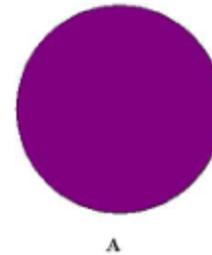
Your account balance sheet

Summary of your assets

	Value on December 31 (\$)	Percentage of your account
A Cash and money balances	55,373.13	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$55,373.13	100.00%

Value of your account **\$55,373.13**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	December 2015	Year to date
S&P 500	-1.58%	1.38%
Russell 3000	-2.05%	0.48%
MSCI - Europe, Australia & Far East	-1.33%	-0.39%
Barclays Capital U.S. Aggregate Bond Index	-0.32%	0.55%

Interest rates on December 31, 2015

3-month Treasury bills: 0.17%
One-month LIBOR: 0.43%

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Change in the value of your account

	December 2015 (\$)	Year to date (\$)
Opening account value	\$16,153.55	\$32,377.14
Deposits, including investments transferred in	130,173.06	520,227.61
Withdrawals and fees, including investments transferred out	-90,953.73	-497,238.31
Dividend and interest income	0.25	6.69
Closing account value	\$55,373.13	\$55,373.13

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2015 (\$)	Year to date (\$)
Taxable interest	0.25	6.31
Total current year	\$0.25	\$6.31
Prior year adjustment	0.00	0.38
Total dividend & interest	\$0.25	\$6.69

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	December 2015 (\$)	Year to date (\$)
Opening balances	\$16,153.55	\$32,377.14
<i>Additions</i>		
Deposits and other funds credited	130,173.06	520,227.61
Dividend and interest income	0.25	6.69
Total additions	\$130,173.31	\$520,234.30
<i>Subtractions</i>		
Checks and bill payments	-70,617.43	-400,048.78
Cash/ATM transactions	-10,719.00	-51,351.00
ATM fee rebates	39.00	191.00
Card payments	-3,098.56	-28,803.44
Other funds debited	-6,557.74	-17,226.09
Total subtractions	-\$90,953.73	-\$497,238.31
Net cash flow	\$39,219.58	\$22,995.99
Closing balances	\$55,373.13	\$55,373.13

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UBS Bank USA Business Account APY

Interest period Nov 6 - Dec 6

Opening UBS Bank USA Business balance Nov 6	\$28,403.40
Closing UBS Bank USA Business balance Dec 6	\$2,847.86
Number of days in interest period	31
Average daily balance	\$11,032.89
Interest earned	\$0.19
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Nov 6 - Dec 6

Opening UBS AG Deposit balance Nov 6	\$0.00
Closing UBS AG Deposit balance Dec 6	\$0.00
Number of days in interest period	31
Average daily balance	\$9,382.32
Interest earned	\$0.14
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-48.26	49,140.00				
UBS AG DEPOSIT ACCOUNT	16,201.81	6,233.13				
Total	\$16,153.55	\$55,373.13				

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	\$55,373.13	100.00%	\$55,373.13		
Total	\$55,373.13	100.00%	\$55,373.13		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 30		Cash and money balance					\$16,153.55
Dec 1	Interest	UBS AG DEPOSIT ACCOUNT				.14	16,153.69
Dec 3	Bank Card	PETCO 3713				-74.63	
Dec 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-12,692.61	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 3	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	2,847.84
Dec 4	Bank Card	PRET A MANGER #016				-5.76	
Dec 4	Bank Card	LE PAIN QUOTIDIEN				-14.15	
Dec 4	Bank Card	MTA MVM*68TH ST / HUNTE				-20.00	
Dec 4	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	
Dec 4	Bsa Check	MICHAEL GRAY CHECK PAID 001067				-2,166.20	
Dec 4	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				30,000.00	30,565.13
Dec 7	Rebate	ATM FEE REBATE				3.00	
Dec 7	Bank Card	CASH WITHDRAWAL				-943.00	
Dec 7	Bsa Check	HIGH POWER CONSTRUCTION COR CHECK PAID 001068				-1,250.00	
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/15				.02	
Dec 7	Bsa Check	DYNAIRE CORP CHECK PAID 005016				-130.38	28,244.77
Dec 8	Bank Card	STARBUCKS #00821 NEW YORK				-3.54	
Dec 8	Bsa Check	GRAMCERY NF LLC CHECK PAID 005017				-3,540.45	24,700.78
Dec 9	Bank Card	CVS/PHARMACY #02400				-4.34	
Dec 9	Bank Card	STARBUCKS #00821 NEW YORK				-11.95	
Dec 9	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.43	
Dec 9	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.60	22,201.46
Dec 10	Bank Card	DUANE READE #14221				-26.65	
Dec 10	Bank Card	DUANE READE #14221				-100.19	22,074.62
Dec 11	Rebate	ATM FEE REBATE				3.00	
Dec 11	Rebate	ATM FEE REBATE				3.00	
Dec 11	Bank Card	CASH WITHDRAWAL				-1,003.00	
Dec 11	Bank Card	CASH WITHDRAWAL				-1,003.00	
Dec 11	Bank Card	STARBUCKS #00821 NEW YORK				-5.54	20,069.08
Dec 14	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-1,590.94	
Dec 14	Interest	UBS BANK USA BUSINESS ACCOUNT				.09	18,478.23
Dec 15	Rebate	ATM FEE REBATE				3.00	
Dec 15	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 15	Bank Card	DUANE READE				-43.31	
Dec 15	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 15	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 15	Bank Card	FREDERIC FEK KAI BEAUTE				-65.33	16,769.59
Dec 16	Rebate	ATM FEE REBATE				3.00	
Dec 16	Rebate	ATM FEE REBATE				3.00	
Dec 16	Bank Card	STARBUCKS #00821 NEW YORK				-3.54	
Dec 16	Bank Card	GRACE'S MARKETPLACE				-54.81	
Dec 16	Bank Card	MORTON WILLIAMS AMBER				-62.10	
Dec 16	Bank Card	SMILEY				-110.98	
Dec 16	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 16	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 16	Bank Card	ANDRADE SHOE REPAIR				-54.44	14,883.72
Dec 17	Bank Card	STARBUCKS #00821 NEW YORK				-11.95	
Dec 17	Bank Card	TS PARK EAST ANIMAL HO				-327.95	
Dec 17	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	14,539.52
Dec 18	Bank Card	FREDERIC FEK KAI BEAUTE				-130.65	
Dec 18	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	14,332.27
Dec 21	Rebate	ATM FEE REBATE				3.00	
Dec 21	Bank Card	MTA VENDING MACHINES				-20.00	
Dec 21	Bank Card	CASH WITHDRAWAL				-83.00	
Dec 21	Bank Card	GRACE'S MARKETPLACE				-191.10	
Dec 21	Bank Card	TS PARK EAST ANIMAL HO				-283.48	
Dec 21	Bank Card	GRACE'S MARKETPLACE				-430.01	
Dec 21	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-4,966.80	
Dec 21	Bank Card	Duane Reade				-28.04	8,332.84
Dec 22	Bank Card	MORTON WILLIAMS AMBER				-1.98	
Dec 22	Rebate	ATM FEE REBATE				3.00	
Dec 22	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 22	Rebate	ATM FEE REBATE				3.00	
Dec 22	Rebate	ATM FEE REBATE				3.00	
Dec 22	Bank Card	STARBUCKS #00821 NEW YORK				-3.54	
Dec 22	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Dec 22	Bank Card	Duane Reade 1111 3RD AVE				-6.52	
Dec 22	Bank Card	CVS/PHARMACY #10460				-6.99	
Dec 22	Bank Card	DUANE READE				-7.98	
Dec 22	Bank Card	KEY FOOD 1533				-8.23	
Dec 22	Bank Card	STARBUCKS #15685 NEW YORK				-10.78	
Dec 22	Bank Card	PAPYRUS # 2234				-10.83	
Dec 22	Bank Card	LEXINGTON HARDWARE				-24.48	
Dec 22	Bank Card	TS PARK EAST ANIMAL HO				-30.00	
Dec 22	Bank Card	GRACE'S MARKETPLACE				-34.44	
Dec 22	Bank Card	GRACE'S MARKETPLACE				-69.27	
Dec 22	Bank Card	MORTON WILLIAMS AMBER				-94.83	
Dec 22	Bank Card	TOTAL WINE AND MORE 303				-152.99	
Dec 22	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 22	Bank Card	CASH WITHDRAWAL				-803.00	
Dec 22	Bank Card	CASH WITHDRAWAL				-1,003.00	
Dec 22	Bank Card	CASH WITHDRAWAL				-1,003.00	
Dec 22	Bank Card	GRACE'S MARKETPLACE				69.27	4,334.95
Dec 23	Bank Card	CHARLES STREET SUPPLY				-10.12	
Dec 23	Bank Card	CHARLES STREET SUPPLY				-39.67	
Dec 23	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.43	
Dec 23	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.60	1,802.13
Dec 24	Bank Card	STARBUCKS #00801 BOSTON				-6.15	
Dec 24	Bank Card	BOSTON PARK PLAZA FB				-17.12	
Dec 24	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,479.55	299.31
Dec 28	Bank Card	STARBUCKS #00801 BOSTON				-4.01	
Dec 28	Bank Card	DELUCA'S				-12.78	
Dec 28	Bank Card	DELUCA'S				-77.60	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Dec 28	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				173.06		
Dec 28	Bank Card	CHARLES STREET SUPPLY				-242.98		
Dec 28	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	50,135.00	
Dec 29	Bank Card	BOSTON PARK PLAZA HOTE				-51.51		
Dec 29	Bank Card	AMTRAK .COM 3570740512057				-135.00		
Dec 29	Withdrawal	ACH WITHDRAWAL NYC DOF				-43,460.37	6,488.12	
Dec 30	Bank Card	Duane Reade				-14.99		
Dec 30	Bsa Check	CASH CHECK PAID 001069				-240.00	6,233.13	
Dec 31	Rebate	ATM FEE REBATE				3.00		
Dec 31	Bank Card	CASH WITHDRAWAL				-863.00		
Dec 31	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	55,373.13	
Dec 31	Closing cash and money balance							\$55,373.13

Transaction date	Posting date	Description	Amount (\$)
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Cash/ATM transactions

UBS Visa debit [REDACTED]
G. MAXWELL

Dec 04	Dec 04	CITIBAN0009594 NY NY	-\$943.00
		ATM FEE REBATE	\$3.00
Dec 10	Dec 10	CITIBAN0009591 NY NY	-\$1,003.00
		CITIBAN0009591 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Dec 14	Dec 14	CITIBAN0009594 NY NY	-\$803.00
		CITIBAN0009594 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Dec 15	Dec 15	CITIBAN0009594 NY NY	-\$803.00
		CITIBAN0009594 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL (continued)</i>			
Dec 17	Dec 18	CHASE/530 5TH AVE NEW YORK NY	-\$83.00
		ATM FEE REBATE	\$3.00
Dec 19	Dec 21	CITIBAN0009591 NY NY	-\$803.00
		CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Dec 20	Dec 21	CITIBAN0002399 NY NY	-\$1,003.00
		CITIBAN0002399 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Dec 30	Dec 30	CITIBAN0009594 NY NY	-\$863.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$10,719.00
Total rebates			\$39.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>			
Dec 18	Dec 22	LEXINGTON HARDWARE NEW YORK NY 055352627114726401	\$24.48
Dec 21	Dec 23	CHARLES STREET SUPPL BOSTON MA 945355230135748541	\$39.67
Dec 21	Dec 23	CHARLES STREET SUPPL BOSTON MA 945355230135748624	\$10.12
Dec 23	Dec 28	CHARLES STREET SUPPL BOSTON MA 945357230114680846	\$242.98
Total - Building/Home improvements			\$317.25
<i>Purchases</i>			
Dec 01	Dec 03	PETCO 3713 NEW YORK NY 515335000155215533	\$74.63
Dec 07	Dec 09	CVS/PHARMACY #02400 NEW YORK NY 005342000378743446	\$4.34
Dec 08	Dec 10	DUANE READE #14221 NEW YORK NY 005343600169839201	\$26.65
Dec 08	Dec 10	DUANE READE #14221 NEW YORK NY 005343600169839383	\$100.19

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases (continued)</i>				
	Dec 11	Dec 15	DUANE READE NEW YORK NY 005346600182926438	\$43.31
	Dec 14	Dec 16	SMILEY NEW YORK NY 055348432530000136	\$110.98
	Dec 14	Dec 16	MORTON WILLIAMS AMBE NEW YORK NY 005349000410115606	\$62.10
	Dec 14	Dec 16	GRACE'S MARKETPLACE NEW YORK NY 465348000136628318	\$54.81
	Dec 17	Dec 21	GRACE'S MARKETPLACE NEW YORK NY 465351000176427054	\$430.01
	Dec 17	Dec 21	GRACE'S MARKETPLACE NEW YORK NY 465351000166223067	\$191.10
	Dec 18	Dec 22	MORTON WILLIAMS AMBE NEW YORK NY 005353000466005571	\$94.83
	Dec 18	Dec 22	Duane Reade 1111 3RD NEW YORK NY 985352000179537062	\$6.52
	Dec 18	Dec 22	TOTAL WINE AND MORE RIVER EDGE NJ 305353000015006882	\$152.99
	Dec 18	Dec 21	Duane Reade NEW YORK NY 000000000000000000	\$28.04
	Dec 19	Dec 22	GRACE'S MARKETPLACE NEW YORK NY 465353000117091827	\$69.27
	Dec 19	Dec 22	GRACE'S MARKETPLACE NEW YORK NY 465353000121344030	\$34.44
	Dec 19	Dec 22	MORTON WILLIAMS AMBE NEW YORK NY 005354000401149699	\$1.98
	Dec 19	Dec 22	CVS/PHARMACY #10460 NEW YORK NY 005354000401149772	\$6.99
	Dec 19	Dec 22	KEY FOOD 1533 NEW YORK NY 435354103008519391	\$8.23
	Dec 19	Dec 22	PAPYRUS # 2234 NEW YORK NY 005354100391867878	\$10.83
	Dec 19	Dec 22	GRACE'S MARKETPLACE NEW YORK NY 335353720022694893	-\$69.27
	Dec 20	Dec 22	DUANE READE NEW YORK NY 005355600145913117	\$7.98
	Dec 23	Dec 28	DELUCA'S BOSTON MA 435358105010714411	\$77.60
	Dec 23	Dec 28	DELUCA'S BOSTON MA 435358105010714437	\$12.78
	Dec 29	Dec 30	Duane Reade NEW YORK NY 000000000000000000	\$14.99
	Total - Purchases			\$1,556.32
<i>Restaurants</i>				
	Dec 01	Dec 04	PRET A MANGER #016 NEW YORK NY 055336400000170324	\$5.76
	Dec 02	Dec 04	LE PAIN QUOTIDIEN NEW YORK NY 055337286688800921	\$14.15
	Dec 06	Dec 08	STARBUCKS #00821 NEW New York NY 165340000357556524	\$3.54
	Dec 07	Dec 09	STARBUCKS #00821 NEW New York NY 165341000015640750	\$11.95
	Dec 09	Dec 11	STARBUCKS #00821 NEW New York NY 165343000082229402	\$5.54
	Dec 14	Dec 16	STARBUCKS #00821 NEW New York NY 165348000282694806	\$3.54

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Restaurants (continued)</i>				
	Dec 15	Dec 17	STARBUCKS #00821 NEW New York NY 165349000832461994	\$11.95
	Dec 15	Dec 17	STARBUCKS #00821 NEW New York NY 165349000834265070	\$4.30
	Dec 19	Dec 22	STARBUCKS #00821 NEW New York NY 165353000438978956	\$4.30
	Dec 19	Dec 22	STARBUCKS #00821 NEW New York NY 165353000478783746	\$3.54
	Dec 20	Dec 22	STARBUCKS #15685 NEW New York NY 165354000134049523	\$10.78
	Dec 21	Dec 24	BOSTON PARK PLAZA FB BOSTON MA 975356200188400095	\$17.12
	Dec 22	Dec 24	STARBUCKS #00801 BOS Boston MA 165356000231344544	\$6.15
	Dec 23	Dec 28	STARBUCKS #00801 BOS Boston MA 165357000944521585	\$4.01
	Total - Restaurants			\$106.63
<i>Transportation</i>				
	Dec 01	Dec 04	MTA MVM*68TH ST / HU NEW YORK NY 435336004074869477	\$20.00
	Dec 17	Dec 21	MTA VENDING MACHINES NEW YORK NY 465351000193353340	\$20.00
	Dec 23	Dec 29	AMTRAK .COM 35707405 WASHINGTON DC 625358668358137105	\$135.00
	Total - Transportation			\$175.00
<i>Travel arrangement services</i>				
	Dec 23	Dec 29	BOSTON PARK PLAZA HO BOSTON MA 975359207088600192	\$51.51
	Total - Travel arrangement services			\$51.51
<i>Professional services</i>				
	Dec 11	Dec 15	FREDERIC FEKK AI BEAU NEW YORK NY 505346006192179780	\$65.33
	Dec 14	Dec 17	TS PARK EAST ANIMAL NEW YORK NY 055349987179979451	\$327.95
	Dec 14	Dec 16	ANDRADE SHOE REPAIR NEW YORK NY 165349000461140752	\$54.44
	Dec 15	Dec 18	FREDERIC FEKK AI BEAU NEW YORK NY 505350006199586212	\$130.65
	Dec 16	Dec 21	TS PARK EAST ANIMAL NEW YORK NY 055351987154602230	\$283.48
	Dec 19	Dec 22	TS PARK EAST ANIMAL NEW YORK NY 055354987174377448	\$30.00
	Total - Professional services			\$891.85
	Total Card charges			\$3,098.56

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$317.25	\$1,397.47
Communications	\$0.00	\$226.68
Employment related services	\$0.00	\$33.55
Purchases	\$1,556.32	\$11,831.97
Restaurants	\$106.63	\$2,138.00
Transportation	\$175.00	\$4,570.70
Travel arrangement services	\$51.51	\$51.51
Professional services	\$891.85	\$8,539.48
Miscellaneous/Unclassified	\$0.00	\$14.08
Total card purchases	\$3,098.56	\$28,803.44

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$0.00
Dec 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	16,153.55
Dec 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/03/15	-13,305.71
Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/04/15	0.02
Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT	27,717.29
Dec 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/07/15	-2,320.38
Dec 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/08/15	-3,543.99
Dec 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/09/15	-2,499.32
Dec 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/10/15	-126.84
Dec 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/11/15	-2,005.54
Dec 14	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-20,069.08
Dec 31	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank, USA Business Account is your primary sweep option.			
Nov 30	Balance forward		\$16,201.81
Dec 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 11/30/15	-48.26
Dec 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-16,153.55
Dec 14	Deposit	UBS AG DEPOSIT ACCOUNT	20,069.08

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Dec 15	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/14/15	-1,590.85
	Dec 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/15/15	-1,708.64
	Dec 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/16/15	-1,885.87
	Dec 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/17/15	-344.20
	Dec 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/18/15	-207.25
	Dec 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/21/15	-5,999.43
	Dec 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/22/15	-3,997.89
	Dec 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/23/15	-2,532.82
	Dec 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/24/15	-1,502.82
	Dec 29	Deposit	UBS AG DEPOSIT ACCOUNT	49,835.69
	Dec 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/29/15	-43,646.88
	Dec 31	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 12/30/15	-254.99
	Dec 31	Closing UBS AG Deposit Account		\$6,233.13

The UBS AG Deposit Account is your secondary sweep option.

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Morgan Manhattan JPMORGAN CHASE BANK, NA	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Dec 21, 15
WILLIAM DAILEY BUILDING AND ZONING CONSULTANT TD BANK, NA	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Sep 25, 15

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for

claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accounts/investures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• Assets not held by UBS Financial Services.

Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

January 2016

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

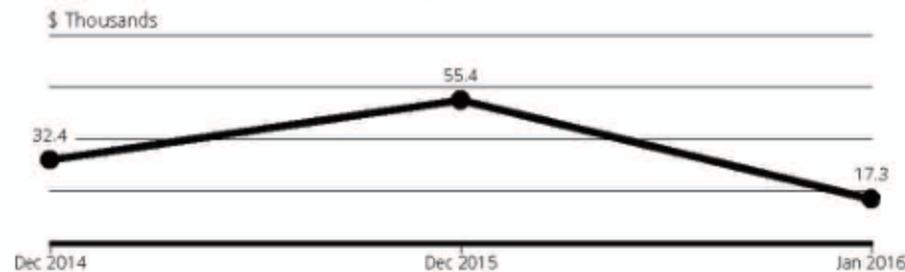
Visit our website:

www.ubs.com/financialservices

Value of your account

	on December 31 (\$)	on January 29 (\$)
Your assets	55,373.13	17,253.59
Your liabilities	0.00	0.00
Value of your account	\$55,373.13	\$17,253.59

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$38,119.79
Your investment return:	
Dividend and interest income	\$0.25
Change in market value	\$0.00
Value of your account on Jan 29, 2016	\$17,253.59

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Your account balance sheet

Summary of your assets

	Value on January 29 (\$)	Percentage of your account
A Cash and money balances	17,253.59	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$17,253.59	100.00%

Value of your account **\$17,253.59**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	January 2016	Year to date
S&P 500	-4.96%	-4.96%
Russell 3000	-5.64%	-5.64%
MSCI - Europe, Australia & Far East	-7.22%	-7.22%
Barclays Capital U.S. Aggregate Bond Index	1.38%	1.38%

Interest rates on January 29, 2016

3-month Treasury bills: 0.31%
One-month LIBOR: 0.42%

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Change in the value of your account

	January 2016 (\$)	Year to date (\$)
Opening account value	\$55,373.13	\$55,373.13
Withdrawals and fees, including investments transferred out	-38,119.79	-38,119.79
Dividend and interest income	0.25	0.25
Closing account value	\$17,253.59	\$17,253.59

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	January 2016 (\$)	Year to date (\$)
Taxable interest	0.16	0.16
Total current year	\$0.16	\$0.16
Prior year adjustment	0.09	0.09
Total dividend & interest	\$0.25	\$0.25

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	January 2016 (\$)	Year to date (\$)
Opening balances	\$55,373.13	\$55,373.13
<i>Additions</i>		
Dividend and interest income	0.25	0.25
Total additions	\$0.25	\$0.25
<i>Subtractions</i>		
Checks and bill payments	-30,189.81	-30,189.81
Cash/ATM transactions	-803.00	-803.00
ATM fee rebates	3.00	3.00
Card payments	-3,089.95	-3,089.95
Other funds debited	-4,040.03	-4,040.03
Total subtractions	-\$38,119.79	-\$38,119.79
Net cash flow	-\$38,119.54	-\$38,119.54
Closing balances	\$17,253.59	\$17,253.59

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UBS Bank USA Business Account APY

Interest period Dec 7 - Jan 7

Opening UBS Bank USA Business balance Dec 7	\$2,847.86
Closing UBS Bank USA Business balance Jan 7	\$33,246.24
Number of days in interest period	32
Average daily balance	\$9,289.12
Interest earned	\$0.17
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Dec 7 - Jan 7

Opening UBS AG Deposit balance Dec 7	\$0.00
Closing UBS AG Deposit balance Jan 7	\$0.00
Number of days in interest period	32
Average daily balance	\$5,040.26
Interest earned	\$0.09
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jan 1 (\$)	Closing balance on Jan 29 (\$)	Price per share on Jan 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	49,140.00	-168.04				
UBS AG DEPOSIT ACCOUNT	6,233.13	17,421.63				
Total	\$55,373.13	\$17,253.59				

Your total assets

		Value on Jan 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	17,253.59	100.00%	17,253.59		
Total		\$17,253.59	100.00%	\$17,253.59		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 31		Cash and money balance					\$55,373.13
Jan 4	Bank Card	TS PARK EAST ANIMAL HO				-46.00	
Jan 4	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	
Jan 4	Interest	UBS AG DEPOSIT ACCOUNT AS OF 12/31/15				.09	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 4	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-18,483.23	36,767.39
Jan 5	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	36,228.78
Jan 6	Bank Card	MAGGIANOS BOSTON				-14.93	
Jan 6	Bank Card	JANOVIC PAINT DECORATING				-88.01	
Jan 6	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-864.64	
Jan 6	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	33,580.50
Jan 7	Bank Card	BOS TAXI 0257				-5.20	
Jan 7	Bank Card	STARBUCKS #00801 BOSTON				-10.38	
Jan 7	Bank Card	CVS/PHARMACY #00260				-12.74	
Jan 7	Bank Card	ZARA USA 3753				-12.99	
Jan 7	Bank Card	STARBUCKS #00801 BOSTON				-14.10	
Jan 7	Bank Card	DELUCA'S BACK BAY				-15.47	
Jan 7	Bank Card	AT&T MOBILITY II LLC				-15.93	
Jan 7	Bank Card	MAGGIANOS BOSTON				-30.98	
Jan 7	Bank Card	CVS/PHARMACY #00049				-45.67	
Jan 7	Bank Card	GULF OIL 92038891				-53.15	
Jan 7	Bank Card	AMTRAK .COM 0040740537432				-115.00	
Jan 7	Bank Card	GULF OIL 92038891				-2.73	33,246.16
Jan 8	Bank Card	PATISserie ON NEWBURY				-9.63	
Jan 8	Bank Card	STARBUCKS #00801 BOSTON				-10.81	
Jan 8	Bank Card	MAGGIANOS BOSTON				-21.08	
Jan 8	Bank Card	CHARLES STREET SUPPLY				-26.85	
Jan 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 01/07/16				.08	
Jan 8	Bank Card	STARBUCKS #00801 BOSTON				-10.38	33,167.49
Jan 11	Rebate	ATM FEE REBATE				3.00	
Jan 11	Bank Card	DELUCA'S				-4.99	
Jan 11	Bank Card	PRET A MANGER 0068				-5.66	
Jan 11	Bank Card	STARBUCKS #00801 BOSTON				-9.74	
Jan 11	Bank Card	BOS TAXI 0555				-10.60	
Jan 11	Bank Card	ARLES STREET SUPPL				-13.59	
Jan 11	Bank Card	TST* TATTE BAKERY CHARLES				-19.80	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 11	Bank Card	CHARLES STREET SUPPLY				-47.31	
Jan 11	Bank Card	BACK BAY VETERINARY CL				-270.59	
Jan 11	Bank Card	BACK BAY VETERINARY CL				-432.44	
Jan 11	Bank Card	CASH WITHDRAWAL				-803.00	
Jan 11	Bsa Check	ADL ENTERPRISES CHECK PAID 005020				-4,246.00	27,306.77
Jan 12	Bank Card	JANOVIC PAINT DECORATING				-4.87	
Jan 12	Bank Card	VTS BOSTON TAXI BOSTON				-6.60	
Jan 12	Bank Card	BOS TAXI 1305				-9.48	
Jan 12	Bank Card	LEXINGTON HARDWARE				-12.97	
Jan 12	Bank Card	BOS TAXI 0928				-14.80	
Jan 12	Bank Card	JANOVIC PAINT DECORATING				-26.04	
Jan 12	Bank Card	BOSTON PARK PLAZA HOTE				-51.51	
Jan 12	Interest	UBS BANK USA BUSINESS ACCOUNT				.08	27,180.58
Jan 13	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 13	Bank Card	STARBUCKS #00821 NEW YORK				-6.97	
Jan 13	Bank Card	LE PAIN QUOTIDIEN				-14.15	
Jan 13	Bank Card	GNC 02564 1034-1036 THIRD				-45.98	
Jan 13	Bank Card	THE UPS STORE #1083				-70.77	
Jan 13	Bank Card	GAP US 711				-10.99	27,027.42
Jan 14	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 14	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Jan 14	Bank Card	STARBUCKS #00821 NEW YORK				-11.95	
Jan 14	Bank Card	DUANE READE				-13.05	
Jan 14	Bank Card	LE PAIN QUOTIDIEN				-14.15	26,979.67
Jan 15	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 15	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Jan 15	Bank Card	A&A DISCOUNTS				-10.57	
Jan 15	Bank Card	MORTON WILLIAMS AMBER				-11.81	
Jan 15	Bank Card	CONTAINERSTORE/LEXINGTN				-19.67	
Jan 15	Bank Card	SMILEY				-31.50	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 15	Bank Card	SPEEDWAY 03493				-34.01	
Jan 15	Bank Card	THE UPS STORE #1083				-95.28	
Jan 15	Bank Card	TOTAL WINE AND MORE 303				-158.77	
Jan 15	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	26,532.86
Jan 19	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 19	Bank Card	GREEN GOURMET - BLUE P				-5.99	
Jan 19	Bank Card	CONTAINERSTORELEXINGTN				-6.51	
Jan 19	Bank Card	Duane Reade 1111 3RD AVE				-9.99	
Jan 19	Bank Card	Duane Reade 1111 3RD AVE				-9.99	
Jan 19	Bank Card	NYC TAXI 5G60				-11.80	
Jan 19	Bank Card	MORTON WILLIAMS 1066 3RD				-15.00	
Jan 19	Bank Card	LILLI & LOO RESTAURANT				-15.13	
Jan 19	Bank Card	MORTON WILLIAMS 1066 3RD				-15.71	
Jan 19	Bank Card	MTA VENDING MACHINES				-20.00	
Jan 19	Bank Card	FREDERIC FEKKAI BEAUTE				-21.78	
Jan 19	Bank Card	GRACE'S MARKETPLACE				-38.93	
Jan 19	Bank Card	ANDRADE SHOE REPAIR				-43.55	
Jan 19	Bank Card	MORRIS LOBEL & SONS IN				-45.90	
Jan 19	Bank Card	0804 EXTRA SPACE STORAGE				-48.04	
Jan 19	Bank Card	CONTAINERSTORELEXINGTN				-48.99	
Jan 19	Bank Card	WHOLEFDS MTE #1 226 E 57T				-59.90	
Jan 19	Bank Card	THE UPS STORE #1083				-93.91	
Jan 19	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-61.10	25,956.34
Jan 20	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 20	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 20	Bank Card	Duane Reade 1111 3RD AVE				-9.99	
Jan 20	Bank Card	DUANE READE				-19.98	
Jan 20	Bank Card	THE HOME DEPOT 6177				-55.75	
Jan 20	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-864.63	
Jan 20	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	23,316.68
Jan 21	Bank Card	JUST BULBS				-27.22	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 21	Bank Card	MORTON WILLIAMS AMBER				-32.96	
Jan 21	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-1,010.03	
Jan 21	Bank Card	UNLEASHED 5706				-12.59	22,233.88
Jan 22	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 22	Bank Card	ANDRADE SHOE REPAIR				-25.04	
Jan 22	Bank Card	THE UPS STORE #1083				-91.52	
Jan 22	Bank Card	Duane Reade				-14.99	22,098.03
Jan 25	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Jan 25	Bank Card	GREEN GOURMET - BLUE P				-5.99	
Jan 25	Bank Card	USPS 3596140014				-12.40	22,075.34
Jan 26	Bank Card	STAPLES 00153221				-13.07	
Jan 26	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,540.39	20,521.88
Jan 27	Bank Card	STARBUCKS #07675 NEW YORK				-7.92	
Jan 27	Bank Card	NYC TAXI 6P33				-17.93	
Jan 27	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-3,030.00	
Jan 27	Bank Card	THE HOME DEPOT 6177				-9.21	17,456.82
Jan 28	Bank Card	NYC TAXI 7N42				-24.30	
Jan 28	Bank Card	SUNSHINE FLOWER				-10.89	17,421.63
Jan 29	Bank Card	NYC LPEP TAXI				-34.84	
Jan 29	Bank Card	JANOVIC PAINT DECORATING				-56.60	
Jan 29	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	17,253.59
Jan 29		Closing cash and money balance					\$17,253.59

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit [REDACTED]			
G. MAXWELL			
Jan 08	Jan 08	CITIBAN0009591 NY NY	-\$803.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$803.00
Total rebates			\$3.00

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
UBS Visa debit [REDACTED]			
G. MAXWELL			
<i>Building/Home improvements</i>			
Jan 04	Jan 06	JANOVIC PAINT DECORA NEW YORK NY 736005599000010554	\$88.01
Jan 06	Jan 08	CHARLES STREET SUPPL BOSTON MA 946006230153530616	\$26.85
Jan 07	Jan 11	CHARLES STREET SUPPL BOSTON MA 946007230185559673	\$47.31
Jan 07	Jan 11	ARLES STREET SUPPL BOSTON MA 916007000159991390	\$13.59
Jan 08	Jan 12	LEXINGTON HARDWARE NEW YORK NY 056008627112948474	\$12.97
Jan 08	Jan 12	JANOVIC PAINT DECORA NEW YORK NY 736009599000010170	\$26.04
Jan 08	Jan 12	JANOVIC PAINT DECORA NEW YORK NY 736009599000010238	\$4.87
Jan 18	Jan 20	THE HOME DEPOT 6177 NEW YORK NY 816018000192406791	\$55.75
Jan 25	Jan 27	THE HOME DEPOT 6177 NEW YORK NY 816025000164990401	\$9.21
Jan 27	Jan 29	JANOVIC PAINT DECORA NEW YORK NY 736028599000013072	\$56.60
Total - Building/Home improvements			\$341.20
<i>Communications</i>			
Jan 05	Jan 07	AT&T MOBILITY II LLC BOSTON MA 396005000221879735	\$15.93
Total - Communications			\$15.93
<i>Purchases</i>			
Jan 05	Jan 07	DELUCA'S BACK BAY BOSTON MA 436006103010072165	\$15.47
Jan 05	Jan 07	CVS/PHARMACY #00260 BOSTON MA 006006000349365423	\$12.74
Jan 05	Jan 07	CVS/PHARMACY #00049 BOSTON MA 006006000349365340	\$45.67
Jan 05	Jan 07	ZARA USA 3753 BOSTON MA 626006200899200947	\$12.99
Jan 07	Jan 11	DELUCA'S BOSTON MA 436008105009081006	\$4.99
Jan 11	Jan 13	GNC 02564 1034-1036 NEW YORK NY 876011000227046140	\$45.98

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases (continued)</i>				
	Jan 11	Jan 13	GAP US 711 NEW YORK NY 166012000671556593	\$10.99
	Jan 12	Jan 15	CONTAINERSTORELEXING NEW YORK NY 166013000264919389	\$19.67
	Jan 12	Jan 15	A&A DISCOUNTS NEW YORK NY 626013980001839499	\$10.57
	Jan 12	Jan 15	SMILEY NEW YORK NY 806013980173404496	\$31.50
	Jan 12	Jan 14	DUANE READE NEW YORK NY 006013600117660151	\$13.05
	Jan 13	Jan 19	CONTAINERSTORELEXING NEW YORK NY 166014000750351476	\$48.99
	Jan 13	Jan 19	CONTAINERSTORELEXING NEW YORK NY 166014000750351963	\$6.51
	Jan 13	Jan 15	MORTON WILLIAMS AMBE NEW YORK NY 006014000352112753	\$11.81
	Jan 13	Jan 15	TOTAL WINE AND MORE RIVER EDGE NJ 306014000005789108	\$158.77
	Jan 15	Jan 19	MORRIS LOBEL & SONS 212-737-1372 NY 166016000581307364	\$45.90
	Jan 15	Jan 19	WHOLEFDS MTE #1 226 NEW YORK NY 496015000160477813	\$59.90
	Jan 15	Jan 19	GRACE'S MARKETPLACE NEW YORK NY 466015000147279614	\$38.93
	Jan 15	Jan 19	Duane Reade 1111 3RD NEW YORK NY 986015000197585769	\$9.99
	Jan 15	Jan 19	GREEN GOURMET - BLUE NEW YORK NY 056017158179114866	\$5.99
	Jan 16	Jan 19	Duane Reade 1111 3RD NEW YORK NY 986016000206006665	\$9.99
	Jan 16	Jan 19	MORTON WILLIAMS 1066 NEW YORK NY 306016000206216075	\$15.00
	Jan 17	Jan 19	MORTON WILLIAMS 1066 NEW YORK NY 306017000167637649	\$15.71
	Jan 18	Jan 21	MORTON WILLIAMS AMBE NEW YORK NY 006019100207662252	\$32.96
	Jan 18	Jan 20	DUANE READE NEW YORK NY 006019600118550249	\$19.98
	Jan 18	Jan 20	Duane Reade 1111 3RD NEW YORK NY 986018000156385620	\$9.99
	Jan 19	Jan 21	JUST BULBS NEW YORK NY 596019980015326392	\$27.22
	Jan 19	Jan 21	UNLEASHED 5706 NEW YORK NY 516019000115503417	\$12.59
	Jan 21	Jan 25	GREEN GOURMET - BLUE NEW YORK NY 056021158144641609	\$5.99
	Jan 21	Jan 22	Duane Reade NEW YORK NY 000000000000000000	\$14.99
	Jan 22	Jan 26	STAPLES 001532 NEW YORK NY 076023105112570579	\$13.07
	Jan 22	Jan 25	USPS 3596140014 NEW YORK NY 000000000000000000	\$12.40
	Jan 25	Jan 28	SUNSHINE FLOWER NEW YORK NY 386026980002948596	\$10.89
	Total - Purchases			\$811.19

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)			
UBS Visa debit [REDACTED]			
G. MAXWELL (continued)			
Restaurants			
Jan 04	Jan 06	MAGGIANOS BOSTON BOSTON MA 686005207088500635	\$14.93
Jan 05	Jan 08	PATISSERIE ON NEWBUR BOSTON MA 246006080080068666	\$9.63
Jan 05	Jan 07	STARBUCKS #00801 BOS Boston MA 166005000416021605	\$10.38
Jan 05	Jan 07	STARBUCKS #00801 BOS Boston MA 166006000603395647	\$14.10
Jan 05	Jan 07	MAGGIANOS BOSTON BOSTON MA 686006207088500709	\$30.98
Jan 06	Jan 11	PRET A MANGER 0068 BOSTON MA 056007091000213473	\$5.66
Jan 06	Jan 08	MAGGIANOS BOSTON BOSTON MA 686007207088500476	\$21.08
Jan 06	Jan 08	STARBUCKS #00801 BOS Boston MA 166006000991003209	\$10.81
Jan 06	Jan 08	STARBUCKS #00801 BOS Boston MA 166006000934355393	\$10.38
Jan 07	Jan 11	STARBUCKS #00801 BOS Boston MA 166007000460364404	\$9.74
Jan 07	Jan 11	TST* TATTE BAKERY CH BOSTON MA 006007300240243144	\$19.80
Jan 11	Jan 13	STARBUCKS #00821 NEW New York NY 166011000417116976	\$4.30
Jan 11	Jan 13	STARBUCKS #00821 NEW New York NY 166011000429509200	\$6.97
Jan 11	Jan 13	LE PAIN QUOTIDIEN NEW YORK NY 056012286688801861	\$14.15
Jan 12	Jan 14	STARBUCKS #00821 NEW New York NY 166012000923633299	\$4.30
Jan 12	Jan 14	STARBUCKS #00821 NEW New York NY 166012000933602367	\$11.95
Jan 12	Jan 14	STARBUCKS #18509 NEW New York NY 166012000940838038	\$4.30
Jan 12	Jan 14	LE PAIN QUOTIDIEN NEW YORK NY 056013286688801290	\$14.15
Jan 13	Jan 15	STARBUCKS #00821 NEW New York NY 166013000407793006	\$4.30
Jan 13	Jan 15	STARBUCKS #18509 NEW New York NY 166013000416334560	\$4.30
Jan 15	Jan 19	STARBUCKS #00821 NEW New York NY 166015000408390768	\$4.30
Jan 16	Jan 19	LILLI & LOO RESTAURA NEW YORK NY 216017980013282596	\$15.13
Jan 18	Jan 20	STARBUCKS #00821 NEW New York NY 166018000916813761	\$4.30
Jan 18	Jan 20	STARBUCKS #00821 NEW New York NY 166018000011375070	\$4.30
Jan 20	Jan 22	STARBUCKS #00821 NEW New York NY 166020000927415032	\$4.30
Jan 21	Jan 25	STARBUCKS #00821 NEW New York NY 166021000437060863	\$4.30
Jan 25	Jan 27	STARBUCKS #07675 NEW New York NY 166025000384315159	\$7.92
Total - Restaurants			\$270.76

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Transportation</i>				
	Jan 04	Jan 07	BOS TAXI 0257 LONG ISLAND C NY 076005090889745038	\$5.20
	Jan 04	Jan 07	AMTRAK .COM 00407405 WASHINGTON DC 626005668005147098	\$115.00
	Jan 05	Jan 07	GULF OIL 92038891 FRAMINGHAM MA 686006837002873442	\$53.15
	Jan 05	Jan 07	GULF OIL 92038891 FRAMINGHAM MA 686006837002873475	\$2.73
	Jan 07	Jan 12	VTS BOSTON TAXI BOST LONG IS CITY NY 486008261742533012	\$6.60
	Jan 07	Jan 12	BOS TAXI 0928 LONG ISLAND C NY 076008090862853830	\$14.80
	Jan 07	Jan 12	BOS TAXI 1305 LONG ISLAND C NY 076008090416226939	\$9.48
	Jan 07	Jan 11	BOS TAXI 0555 LONG ISLAND C NY 076007090632498727	\$10.60
	Jan 13	Jan 15	SPEEDWAY 03493 FORT LEE NJ 436014103008345664	\$34.01
	Jan 15	Jan 19	NYC TAXI 5G60 BROOKLYN NY 076015090737930236	\$11.80
	Jan 15	Jan 19	MTA VENDING MACHINES NEW YORK NY 466015000150599444	\$20.00
	Jan 25	Jan 28	NYC TAXI 7N42 LONG ISLAND C NY 076026090153257830	\$24.30
	Jan 25	Jan 27	NYC TAXI 6P33 LONG ISLAND C NY 076025090141327936	\$17.93
	Jan 26	Jan 29	NYC LPEP TAXI LONG ISLAND C NY 486027262920899917	\$34.84
	Total - Transportation			\$360.44
<i>Travel arrangement services</i>				
	Jan 07	Jan 12	BOSTON PARK PLAZA HO BOSTON MA 976009207088600467	\$51.51
	Total - Travel arrangement services			\$51.51
<i>Professional services</i>				
	Dec 29	Jan 04	TS PARK EAST ANIMAL NEW YORK NY 055364987185558083	\$46.00
	Jan 07	Jan 11	BACK BAY VETERINARY BOSTON MA 106007000189376104	\$270.59
	Jan 07	Jan 11	BACK BAY VETERINARY BOSTON MA 106007000220631806	\$432.44
	Jan 11	Jan 13	THE UPS STORE #1083 NEW YORK NY 166012000590788483	\$70.77
	Jan 13	Jan 15	THE UPS STORE #1083 NEW YORK NY 166014000567542358	\$95.28
	Jan 14	Jan 19	THE UPS STORE #1083 NEW YORK NY 166015000063907831	\$93.91
	Jan 14	Jan 19	ANDRADE SHOE REPAIR NEW YORK NY 166015000113248350	\$43.55
	Jan 15	Jan 19	FREDERIC FEK.K.AI BEAU NEW YORK NY 506016006261808415	\$21.78
	Jan 20	Jan 22	THE UPS STORE #1083 NEW YORK NY 166021000083882602	\$91.52
	Jan 20	Jan 22	ANDRADE SHOE REPAIR NEW YORK NY 166021000135535265	\$25.04
	Total - Professional services			\$1,190.88

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL (continued)</i>			
<i>Miscellaneous/Unclassified</i>			
Jan 13	Jan 19	0804 EXTRA SPACE STO RIVER EDGE NJ 006014253013010265	\$48.04
Total - Miscellaneous/Undassified			\$48.04
Total Card charges			\$3,089.95
Category	Current period(\$)	Year todate (\$)	

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
<i>UBS Visa debit [REDACTED]</i>		
<i>G. MAXWELL</i>		
Building/Home improvements	\$341.20	\$341.20
Communications	\$15.93	\$15.93
Purchases	\$811.19	\$811.19
Restaurants	\$270.76	\$270.76
Transportation	\$360.44	\$360.44
Travel arrangement services	\$51.51	\$51.51
Professional services	\$1,190.88	\$1,190.88
Miscellaneous/Unclassified	\$48.04	\$48.04
Total card purchases	\$3,089.95	\$3,089.95

Date	Activity	Description	Amount (\$)
Money balance activities			
Dec 31	Balance forward		\$0.00
Jan 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	49,140.00
Jan 4	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	6,233.13
Jan 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/04/16	-18,605.74
Jan 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/05/16	-538.61
Jan 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/06/16	-2,648.28
Jan 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/07/16	-334.34
Jan 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 01/07/16	0.08
Jan 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/08/16	-78.75
Jan 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 01/11/16	-5,860.72

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Jan 12	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-27,306.77
	Jan 29	Closing UBS Bank USA Business Account		\$0.00
	The UBS Bank USA Business Account is your primary sweep option.			
	Dec 31	Balance forward		\$6,233.13
	Jan 4	Deposit	UBS AG DEPOSIT ACCOUNT AS OF 12/31/15	0.09
	Jan 4	Withdrawal	UBS AG DEPOSIT ACCOUNT	-6,233.22
	Jan 12	Deposit	UBS AG DEPOSIT ACCOUNT	27,306.77
	Jan 13	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/12/16	-126.19
	Jan 14	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/13/16	-153.16
	Jan 15	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/14/16	-47.75
	Jan 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/15/16	-446.81
	Jan 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/19/16	-576.52
	Jan 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/20/16	-2,639.66
	Jan 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/21/16	-1,082.80
	Jan 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/22/16	-135.85
	Jan 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/25/16	-22.69
	Jan 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/26/16	-1,553.46
	Jan 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/27/16	-3,065.06
	Jan 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/28/16	-35.19
	Jan 29	Closing UBS AG Deposit Account		\$17,421.63
	The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for

claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- *Produce Current Income:* Investments seeking the generation of income only.
- *Achieve Capital Appreciation:* Investments seeking growth of principal rather than the generation of income.
- *Produce Combination of Income and Capital Appreciation:* Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- *Conservative:* Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- *Moderate:* Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- *Aggressive:* Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- *Value of your account/portfolio.* Net of assets and liabilities.
- *Assets.* Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- *Liabilities.* Includes debit balances, outstanding margin loans, credit line, short account balances.
- *Cash/money balances.* Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
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Business Services Account

February 2016

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

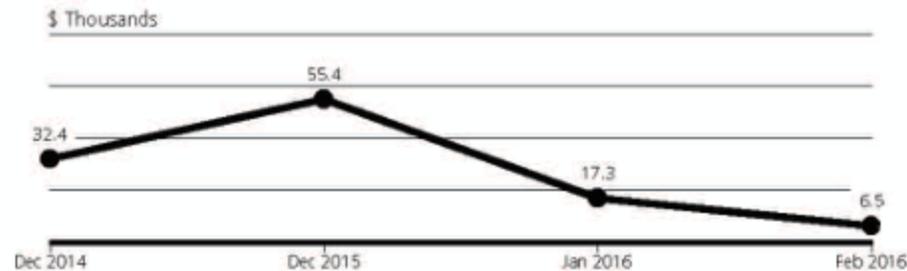
Visit our website:

www.ubs.com/financialservices

Value of your account

	on January 29 (\$)	on February 29 (\$)
Your assets	17,253.59	6,461.10
Your liabilities	0.00	0.00
Value of your account	\$17,253.59	\$6,461.10

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$48,912.68
Your investment return:	
Dividend and interest income	\$0.65
Change in market value	\$0.00
Value of your account on Feb 29, 2016	\$6,461.10

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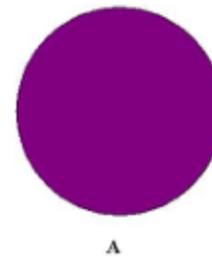
Your account balance sheet

Summary of your assets

	Value on February 29 (\$)	Percentage of your account
A Cash and money balances	6,461.10	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$6,461.10	100.00%

Value of your account **\$6,461.10**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	February 2016	Year to date
S&P 500	-0.13%	-5.09%
Russell 3000	-0.03%	-5.67%
MSCI - Europe, Australia & Far East	-1.80%	-8.89%
Barclays Capital U.S. Aggregate Bond Index	0.71%	2.10%

Interest rates on February 29, 2016

3-month Treasury bills: 0.32%
One-month LIBOR: 0.44%

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Change in the value of your account

	February 2016 (\$)	Year to date (\$)
Opening account value	\$17,253.59	\$55,373.13
Deposits, including investments transferred in	50,000.00	50,000.00
Withdrawals and fees, including investments transferred out	-60,792.89	-98,912.68
Dividend and interest income	0.40	0.65
Closing account value	\$6,461.10	\$6,461.10

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	February 2016 (\$)	Year to date (\$)
Taxable interest	0.40	0.56
Total current year	\$0.40	\$0.56
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.40	\$0.65

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	February 2016 (\$)	Year to date (\$)
Opening balances	\$17,253.59	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	50,000.00	50,000.00
Dividend and interest income	0.40	0.65
Total additions	\$50,000.40	\$50,000.65
<i>Subtractions</i>		
Checks and bill payments	-46,144.86	-76,334.67
Cash/ATM transactions	-4,156.25	-4,959.25
ATM fee rebates	26.25	29.25
Card payments	-4,329.40	-7,419.35
Other funds debited	-6,188.63	-10,228.66
Total subtractions	-\$60,792.89	-\$98,912.68
Net cash flow	-\$10,792.49	-\$48,912.03
Closing balances	\$6,461.10	\$6,461.10

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UBS Bank USA Business Account APY

Interest period Jan 8 - Feb 4

Opening UBS Bank USA Business balance Jan 8	\$33,246.24
Closing UBS Bank USA Business balance Feb 4	\$48,072.38
Number of days in interest period	28
Average daily balance	\$7,567.10
Interest earned	\$0.14
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Jan 8 - Feb 4

Opening UBS AG Deposit balance Jan 8	\$0.00
Closing UBS AG Deposit balance Feb 4	\$0.00
Number of days in interest period	28
Average daily balance	\$16,227.02
Interest earned	\$0.20
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Feb 1 (\$)	Closing balance on Feb 29 (\$)	Price per share on Feb 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-168.04	0.00				
UBS AG DEPOSIT ACCOUNT	17,421.63	6,461.10				
Total	\$17,253.59	\$6,461.10				

Your total assets

	Value on Feb 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	6,461.10	100.00%	6,461.10		
Total	\$6,461.10	100.00%	\$6,461.10		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jan 29		Cash and money balance					\$17,253.59
Feb 1	Rebate	ATM FEE REBATE				3.00	
Feb 1	Bank Card	NYC TAXI 4P33				-17.30	
Feb 1	Bank Card	CASH WITHDRAWAL				-303.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 1	Bsa Check	MICHAEL GRAY CHECK PAID 001071				-4,200.00	
Feb 1	Interest	UBS AG DEPOSIT ACCOUNT				.20	12,736.49
Feb 2	Bank Card	GREEN GOURMET - BLUE P				-14.02	
Feb 2	Bank Card	PETCO 2868				-167.05	
Feb 2	Bsa Check	PARKER HOUSE SERVICES CHECK PAID 001070				-425.00	12,130.42
Feb 3	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-864.64	
Feb 3	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Feb 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-10,551.71	
Feb 3	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	49,033.37
Feb 4	Bsa Check	LAREDO ELECTRIC INC CHECK PAID 005018				-422.44	
Feb 4	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	48,072.32
Feb 5	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 02/04/16				.06	
Feb 5	Bank Card	PANERA BREAD #2114				-13.34	48,059.04
Feb 8	Bank Card	DELUCA'S				-19.05	
Feb 8	Bsa Check	DUPONT BUILDERS CHECK PAID 001082				-7,781.54	40,258.45
Feb 9	Rebate	ATM FEE REBATE				3.00	
Feb 9	Rebate	ATM FEE REBATE				3.00	
Feb 9	Bank Card	TASTY BURGER BACK				-9.58	
Feb 9	Bank Card	DUANE READE				-14.68	
Feb 9	Bank Card	CASH WITHDRAWAL				-63.00	
Feb 9	Bank Card	CASH WITHDRAWAL				-503.00	
Feb 9	Bank Card	BOSTON PARK PLAZA HOTE				-17.17	39,657.02
Feb 10	Rebate	ATM FEE REBATE				2.25	
Feb 10	Bank Card	CASH WITHDRAWAL				-152.25	
Feb 10	Interest	UBS BANK USA BUSINESS ACCOUNT				.14	39,507.16
Feb 12	Bank Card	THE JUNKLUGGERS				-977.75	
Feb 12	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	38,452.81
Feb 16	Rebate	ATM FEE REBATE				3.00	
Feb 16	Bank Card	MTA MVM* A006-5 AV/59 ST				-10.00	
Feb 16	Bank Card	STARBUCKS #18509 NEW YORK				-11.95	
Feb 16	Bank Card	USPS 35961400132801391				-22.95	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 16	Bank Card	MORTON WILLIAMS AMBER				-44.90	
Feb 16	Bank Card	CONTAINERSTORELEXINGTN				-48.99	
Feb 16	Bank Card	0804 EXTRA SPACE STORAGE				-366.31	
Feb 16	Bank Card	CASH WITHDRAWAL				-563.00	
Feb 16	Bsa Check	MICHAEL GRAY ARCHITECTS CHECK PAID 001074				-4,422.40	
Feb 16	Bsa Check	WILLIAM DAILEY CHECK PAID 001081				-4,810.83	28,154.48
Feb 17	Bank Card	THE UPS STORE #1083				-170.39	
Feb 17	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-851.26	
Feb 17	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.69	25,452.14
Feb 18	Bank Card	MARK P GOLD MD PC				-10.00	
Feb 18	Bank Card	THE HOME DEPOT #6177				-237.14	
Feb 18	Bank Card	CONTAINERSTORELEXINGTN				-507.44	24,697.56
Feb 19	Bank Card	THE HOME DEPOT 6177				-120.00	24,577.56
Feb 22	Bank Card	CONTAINERSTORELEXINGTN				-48.99	
Feb 22	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-5,870.36	
Feb 22	Bsa Check	DUPONT BUILDERS CHECK PAID 001075				-5,890.78	12,767.43
Feb 23	Rebate	ATM FEE REBATE				3.00	
Feb 23	Rebate	ATM FEE REBATE				3.00	
Feb 23	Bank Card	THE HOME DEPOT #6177				-62.57	
Feb 23	Bank Card	CASH WITHDRAWAL				-63.00	
Feb 23	Bank Card	NYC-TAXI				-68.76	
Feb 23	Bank Card	CONTAINERSTORELEXINGTN				-78.30	
Feb 23	Bank Card	THE HOME DEPOT #6177				-86.49	
Feb 23	Bank Card	THE HOME DEPOT #6177				-147.67	
Feb 23	Bank Card	THE HOME DEPOT 6177				-224.51	
Feb 23	Bank Card	CASH WITHDRAWAL				-503.00	
Feb 23	Bank Card	Duane Reade				-80.70	11,458.43
Feb 24	Rebate	ATM FEE REBATE				3.00	
Feb 24	Rebate	ATM FEE REBATE				3.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 24	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Feb 24	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Feb 24	Bank Card	CASH WITHDRAWAL				-1,003.00	
Feb 24	Bank Card	CASH WITHDRAWAL				-1,003.00	
Feb 24	Bank Card	THE HOME DEPOT 6177				-35.73	9,414.10
Feb 25	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Feb 25	Bank Card	PRET A MANGER #016				-13.04	
Feb 25	Bank Card	0804 EXTRA SPACE STORAGE				-16.04	
Feb 25	Bank Card	LE PAIN QUOTIDIEN				-27.90	
Feb 25	Bank Card	0804 EXTRA SPACE STORAGE				-257.82	
Feb 25	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,871.06	7,223.94
Feb 26	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Feb 26	Bank Card	THE HOME DEPOT #6177				-98.91	
Feb 26	Bank Card	0804 EXTRA SPACE STORAGE				-264.76	
Feb 26	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-318.27	
Feb 26	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	6,461.10
Feb 29		Closing cash and money balance					\$6,461.10

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions			
UBS Visa debit [REDACTED]			
G. MAXWELL			
Jan 29	Jan 29	CITIBAN0009591 NY NY	-\$303.00
		ATM FEE REBATE	\$3.00
Feb 05	Feb 08	CHASE/1100 3RD AVE NEW YORK NY	-\$503.00
		CHASE/1100 3RD AVE NEW YORK NY	-\$63.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Feb 09	Feb 09	PAI ISO NEW YORK NY	-\$152.25
		ATM FEE REBATE	\$2.25
Feb 12	Feb 15	CHASE/1100 3RD AVE NEW YORK NY	-\$563.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Cash/ATM transactions (continued)			
<i>UBS Visa debit [REDACTED]</i>			
<i>G. MAXWELL (continued)</i>			
		ATM FEE REBATE	\$3.00
Feb 19	Feb 22	CHASE/1100 3RD AVE NEW YORK NY	-\$503.00
		CHASE/1100 3RD AVE NEW YORK NY	-\$63.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Feb 23	Feb 23	CITIBAN0002398 NY NY	-\$1,003.00
		CITIBAN0002394 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$4,156.25
Total rebates			\$26.25

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
<i>UBS Visa debit: [REDACTED]</i>			
<i>G. MAXWELL</i>			
<i>Building/Home improvements</i>			
Feb 15	Feb 18	THE HOME DEPOT #6177 NEW YORK NY 436047010185716984	\$237.14
Feb 17	Feb 19	THE HOME DEPOT 6 177 NEW YORK NY 816048000157373204	\$120.00
Feb 18	Feb 23	THE HOME DEPOT #6177 NEW YORK NY 436050010186001695	\$86.49
Feb 18	Feb 23	THE HOME DEPOT #6177 NEW YORK NY 436050010186004152	\$62.57
Feb 19	Feb 23	THE HOME DEPOT #6177 NEW YORK NY 436051010185349904	\$147.67
Feb 21	Feb 23	THE HOME DEPOT 6 177 NEW YORK NY 816052000164821586	\$224.51
Feb 22	Feb 24	THE HOME DEPOT 6 177 NEW YORK NY 816053000230448660	\$35.73
Feb 23	Feb 26	THE HOME DEPOT #6177 NEW YORK NY 436055010185912574	\$98.91
Total - Building/Home improvements			\$1,013.02
<i>Medical and dental</i>			
Feb 15	Feb 18	MARK P GOLD MD PC NEW YORK NY 166047000763402713	\$10.00
Total - Medical and dental			\$10.00

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL (continued)</i>				
<i>Purchases</i>	Jan 29	Feb 02	GREEN GOURMET - BLUE NEW YORK NY 056031158131540259	\$14.02
	Jan 30	Feb 02	PETCO 2868 HACKENSACK NJ 516030000105170677	\$167.05
	Feb 04	Feb 08	DELUCA'S BOSTON MA 436036105009028474	\$19.05
	Feb 05	Feb 09	DUANE READE NEW YORK NY 006037600139069730	\$14.68
	Feb 11	Feb 16	MORTON WILLIAMS AMBE NEW YORK NY 006043000393681134	\$44.90
	Feb 12	Feb 16	USPS 359614001328013 NEW YORK NY 076043418173903480	\$22.95
	Feb 12	Feb 16	CONTAINERSTORELEXING NEW YORK NY 166044000151833654	\$48.99
	Feb 17	Feb 22	CONTAINERSTORELEXING NEW YORK NY 166049000775357887	\$48.99
	Feb 17	Feb 18	CONTAINERSTORELEXING NEW YORK NY 000000000000000000	\$507.44
	Feb 18	Feb 23	CONTAINERSTORELEXING NEW YORK NY 166050000367040095	\$78.30
	Feb 22	Feb 23	Duane Reade NEW YORK NY 000000000000000000	\$80.70
	Total - Purchases			\$1,047.07
<i>Restaurants</i>	Feb 03	Feb 05	PANERA BREAD #2114 BOSTON MA 336034720038822942	\$13.34
	Feb 04	Feb 09	TASTY BURGER BACK BOSTON MA 436037101046147277	\$9.58
	Feb 11	Feb 16	STARBUCKS #18509 NEW New York NY 166042000212695946	\$11.95
	Feb 22	Feb 25	PRET A MANGER #016 NEW YORK NY 056054400000160554	\$13.04
	Feb 22	Feb 24	STARBUCKS #18509 NEW New York NY 166053000134814738	\$4.30
	Feb 22	Feb 24	STARBUCKS #18509 NEW New York NY 166053000186274872	\$4.30
	Feb 23	Feb 25	STARBUCKS #18509 NEW New York NY 166054000651935196	\$4.30
	Feb 23	Feb 25	LE PAIN QUOTIDIEN NEW YORK NY 056055286688801463	\$27.90
	Feb 24	Feb 26	STARBUCKS #18509 NEW New York NY 166055000136233042	\$4.30
	Total - Restaurants			\$93.01
<i>Transportation</i>	Jan 28	Feb 01	NYC TAXI 4P33 PHILADELPHIA PA 076028090682862736	\$17.30
	Feb 10	Feb 16	MTA MVM*A006-5 AVI 59 NEW YORK NY 436042004077528149	\$10.00
	Feb 18	Feb 23	NYC-TAXI NEW YORK NY 486050439950036863	\$68.76
	Total - Transportation			\$96.06

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
<i>UBS Visa debit</i> [REDACTED]				
<i>G. MAXWELL (continued)</i>				
<i>Travel arrangement services</i>	Feb 04	Feb 09	BOSTON PARK PLAZA HO BOSTON MA 976037207088601339	\$17.17
Total - Travel arrangement services				\$17.17
<i>Professional services</i>	Feb 09	Feb 12	THE JUNK LUGGERS 203-520-8802 NY 516041682100579689	\$977.75
	Feb 15	Feb 17	THE UPS STORE #1083 NEW YORK NY 166047000547588514	\$170.39
Total - Professional services				\$1,148.14
<i>Miscellaneous/Unclassified</i>	Feb 13	Feb 16	0804 EXTRA SPACE STO 201-996-0020 NJ 006045253044010147	\$366.31
	Feb 22	Feb 25	0804 EXTRA SPACE STO RIVER EDGE NJ 006054253053010135	\$257.82
	Feb 22	Feb 25	0804 EXTRA SPACE STO 201-996-0020 NJ 006054253053010143	\$16.04
	Feb 23	Feb 26	0804 EXTRA SPACE STO RIVER EDGE NJ 006055253054010232	\$264.76
Total - Miscellaneous/Undassified				\$904.93
Total Card charges				\$4,329.40

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
<i>UBS Visa debit</i> [REDACTED]		
<i>G. MAXWELL</i>		
Building/Home improvements	\$1,013.02	\$1,354.22
Communications	\$0.00	\$15.93
Medical and dental	\$10.00	\$10.00
Purchases	\$1,047.07	\$1,858.26
Restaurants	\$93.01	\$363.77
Transportation	\$96.06	\$456.50
Travel arrangement services	\$17.17	\$68.68
Professional services	\$1,148.14	\$2,339.02
Miscellaneous/Unclassified	\$904.93	\$952.97
Total card purchases	\$4,329.40	\$7,419.35

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)	
Money balance activities	Jan 29	Balance forward		\$0.00	
	Feb 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	17,253.59	
	Feb 2	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/01/16	-4,517.10	
	Feb 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/02/16	-606.07	
	Feb 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	36,902.95	
	Feb 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/04/16	-961.05	
	Feb 5	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 02/04/16	0.06	
	Feb 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/05/16	-13.34	
	Feb 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/08/16	-7,800.59	
	Feb 10	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 02/09/16	-601.43	
	Feb 10	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-39,657.02	
	Feb 29	Closing UBS Bank USA Business Account		\$0.00	
	The UBS Bank USA Business Account is your primary sweep option.				
	Jan 29	Balance forward		\$17,421.63	
	Feb 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 01/29/16	-168.04	
Feb 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-17,253.59		
Feb 10	Deposit	UBS AG DEPOSIT ACCOUNT	39,657.02		
Feb 11	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/10/16	-149.86		
Feb 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/12/16	-1,054.35		
Feb 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/16/16	-10,298.33		
Feb 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/17/16	-2,702.34		
Feb 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/18/16	-754.58		
Feb 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/19/16	-120.00		
Feb 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/22/16	-11,810.13		
Feb 24	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/23/16	-1,309.00		
Feb 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/24/16	-2,044.33		
Feb 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/25/16	-2,190.16		
Feb 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 02/26/16	-762.84		
Feb 29	Closing UBS AG Deposit Account		\$6,461.10		

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

All account statements shall be deemed complete and accurate if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for

claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2013.

Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank, (ii) cash at UBS AG Stamford branch, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each

account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope. Accounts may be related for this purpose because they have owners who also maintain joint account relationships with other clients at the same address. This practice is known as "householding." If you prefer to receive individual statements mailed in separate envelopes — you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name reflects information that you entered on the Firm's online services website. It is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus UBS Bank USA deposit balances, UBS AG Stamford Branch deposit balances and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accounts/inquiries.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that

you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

- "Distributions to date" may include return of capital, income or both.
- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

- An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.
- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
 - Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
 - An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201511

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANP7001061563 0316 X13 Y1 0

Business Services Account

March 2016

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

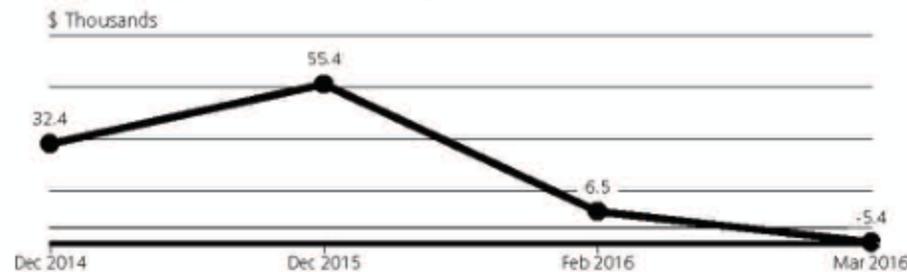
Visit our website:

www.ubs.com/financialservices

Value of your account

	on February 29 (\$)	on March 31 (\$)
Your assets	6,461.10	0.00
Your liabilities	0.00	-5,368.21
Value of your account	\$6,461.10	-\$5,368.21

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$60,742.38
Your investment return:	
Dividend and interest income	\$1.04
Change in market value	\$0.00
Value of your account on Mar 31, 2016	-\$5,368.21

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Your account balance sheet

Summary of your assets

	Value on March 31 (\$)
A Cash and money balances	0.00
B Cash alternatives	0.00
C Equities	0.00
D Fixed income	0.00
E Non-traditional	0.00
F Commodities	0.00
G Other	0.00
Total assets	\$0.00

• Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Summary of your liabilities

	Amount on March 31 (\$)
Debit balance	-5,368.21
Total liabilities	-\$5,368.21
Value of your account	-\$5,368.21

Eye on the markets

Index	Percentage change	
	March 2016	Year to date
S&P 500	6.78%	1.35%
Russell 3000	7.04%	0.97%
MSCI - Europe, Australia & Far East	6.59%	-2.88%
Barclays Capital U.S. Aggregate Bond Index	0.92%	3.03%

Interest rates on March 31, 2016

3-month Treasury bills: 0.21%
One-month LIBOR: 0.44%

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Change in the value of your account

	March 2016 (\$)	Year to date (\$)
Opening account value	\$6,461.10	\$55,373.13
Deposits, including investments transferred in	50,036.92	100,036.92
Withdrawals and fees, including investments transferred out	-61,866.62	-160,779.30
Dividend and interest income	0.39	1.04
Closing account value	-\$5,368.21	-\$5,368.21

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	March 2016 (\$)	Year to date (\$)
Taxable interest	0.39	0.95
Total current year	\$0.39	\$0.95
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.39	\$1.04

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	March 2016 (\$)	Year to date (\$)
Opening balances	\$6,461.10	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	50,036.92	100,036.92
Dividend and interest income	0.39	1.04
Total additions	\$50,037.31	\$100,037.96
<i>Subtractions</i>		
Checks and bill payments	-57,762.97	-134,097.64
Cash/ATM transactions	-2,116.00	-7,075.25
ATM fee rebates	15.00	44.25
Card payments	-2,002.65	-9,422.00
Other funds debited	0.00	-10,228.66
Total subtractions	-\$61,866.62	-\$160,779.30
Net cash flow	-\$11,829.31	-\$60,741.34
Closing balances	-\$5,368.21	-\$5,368.21

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UBS Bank USA Business Account APY

Interest period Feb 5 - Mar 6

Opening UBS Bank USA Business balance Feb 5	\$48,072.38
Closing UBS Bank USA Business balance Mar 6	\$30,264.63
Number of days in interest period	31
Average daily balance	\$12,864.88
Interest earned	\$0.23
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Feb 5 - Mar 6

Opening UBS AG Deposit balance Feb 5	\$0.00
Closing UBS AG Deposit balance Mar 6	\$0.00
Number of days in interest period	31
Average daily balance	\$14,566.80
Interest earned	\$0.22
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 31 (\$)	Price per share on Mar 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-8,730.99				
UBS AG DEPOSIT ACCOUNT	6,461.10	3,362.78				
Total	\$6,461.10	-\$5,368.21				

Your total assets

	Value on Mar 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash					
Total					

¹ Cash and money balances

¹ Values in Cash and money balances exclude any outstanding margin loan or unsecured debit balance. These balances are reflected as liabilities in the balance sheet section of the statement.

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Feb 29		Cash and money balance					\$6,461.10
Mar 1	Bank Card	DUANE READE				-29.46	
Mar 1	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				50,000.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 1	Interest	UBS AG DEPOSIT ACCOUNT				.22	56,431.86
Mar 2	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-827.30	
Mar 2	Bsa Check	DUPONT BUILDERS CHECK PAID 001078				-8,753.66	
Mar 2	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	45,170.19
Mar 3	Bank Card	STARBUCKS #18509 NEW YORK				-4.84	
Mar 3	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-12,332.92	
Mar 3	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	32,293.82
Mar 4	Bank Card	HOTELS.COM128086983164				-204.28	
Mar 4	Bsa Check	GEORGE L TAVOULAREAS PE CHECK PAID 001077				-1,825.00	30,264.54
Mar 7	Rebate	ATM FEE REBATE				3.00	
Mar 7	Rebate	ATM FEE REBATE				3.00	
Mar 7	Bank Card	DELUCA'S				-14.62	
Mar 7	Bank Card	CHARLES STREET SUPPLY				-15.40	
Mar 7	Bank Card	DELUCA'S				-20.57	
Mar 7	Bank Card	BOSTON PARK PLAZA FB				-34.24	
Mar 7	Bank Card	CASH WITHDRAWAL				-63.50	
Mar 7	Bank Card	CASH WITHDRAWAL				-503.50	
Mar 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 03/04/16				.09	
Mar 7	Bsa Check	ADL ENTERPRISES CHECK PAID 001083				-6,560.00	23,058.80
Mar 8	Bank Card	STARBUCKS STORE 00801				-4.01	
Mar 8	Bank Card	STARBUCKS STORE 00801				-6.31	
Mar 8	Bank Card	STARBUCKS STORE 00801				-6.43	
Mar 8	Bank Card	PARK PLAZA AUBONPAIN 9				-6.88	
Mar 8	Bank Card	PARK PLAZA AUBONPAIN 9				-10.15	
Mar 8	Bank Card	BOSTON PARK PLAZA HOTE				-34.34	
Mar 8	Bsa Check	PARKER HOUSE SERVICES CHECK PAID 001079				-425.00	
Mar 8	Bsa Check	WILLIAM DAILEY CHECK PAID 001080				-1,269.68	21,296.00
Mar 11	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	21,219.40
Mar 14	Deposit	DIRECT DEPOSIT ADP Tax/401k				36.92	
Mar 14	Interest	UBS BANK USA BUSINESS ACCOUNT				.08	21,256.40
Mar 15	Bank Card	CASH WITHDRAWAL				-503.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 15	Rebate	ATM FEE REBATE				3.00	20,756.40
Mar 16	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	
Mar 16	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	18,273.37
Mar 17	Rebate	ATM FEE REBATE				3.00	
Mar 17	Bank Card	STARBUCKS #18509 NEW YORK				-8.96	
Mar 17	Bank Card	0804 EXTRA SPACE STORAGE				-366.31	
Mar 17	Bank Card	CASH WITHDRAWAL				-1,003.00	
Mar 17	Bank Card	MORTON WILLIAMS AMBER				-20.86	16,877.24
Mar 18	Bank Card	MORTON WILLIAMS AMBER				-14.18	
Mar 18	Bank Card	SQ *A TO Z BEST SER				-108.88	
Mar 18	Bank Card	USPS 3596140014				-10.01	16,744.17
Mar 21	Bank Card	GREEN GOURMET - BLUE P				-23.29	16,720.88
Mar 22	Rebate	ATM FEE REBATE				3.00	
Mar 22	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	
Mar 22	Bank Card	MORTON WILLIAMS AMBER				-9.98	
Mar 22	Bank Card	STARBUCKS #00821 NEW YORK				-12.90	
Mar 22	Bank Card	LE PAIN QUOTIDIEN				-14.15	
Mar 22	Bank Card	LE PAIN QUOTIDIEN				-17.11	
Mar 22	Bank Card	LE PAIN QUOTIDIEN				-22.05	
Mar 22	Bank Card	CASH WITHDRAWAL				-43.00	
Mar 22	Bank Card	PRET A MANGER 0070				-13.37	16,587.02
Mar 24	Bank Card	STARBUCKS #00821 NEW YORK				-4.30	16,582.72
Mar 28	Bsa Check	MICHAEL GRAY AS OF 03/25/16 CHECK PAID 001050				-600.00	
Mar 28	Bsa Check	DUPONT BUILDERS AS OF 03/25/16 CHECK PAID 001047				-1,118.64	
Mar 28	Bsa Check	DUPONT BUILDERS AS OF 03/25/16 CHECK PAID 001048				-2,492.44	
Mar 28	Bsa Check	DUPONT BUILDERS AS OF 03/25/16 CHECK PAID 001049				-4,197.32	
Mar 28	Bank Card	MTA VENDING MACHINES				-5.00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 28	Bank Card	PRET A MANGER 0070				-5.76	
Mar 28	Bank Card	DUANE READE				-22.92	
Mar 28	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	
Mar 28	Bank Card	0804 EXTRA SPACE STORAGE				-396.86	
Mar 28	Bank Card	0804 EXTRA SPACE STORAGE				-415.05	
Mar 28	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,367.66	5,884.47
Mar 29	Bank Card	AMAZON.COM				-16.60	
Mar 29	Bank Card	AMAZON.COM				-22.06	5,845.81
Mar 30	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Mar 30	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	3,362.78
Mar 31	Bank Card	THE UPS STORE #1083				-76.22	
Mar 31	Bsa Check	DYNAIRE CORP CHECK PAID 005023				-761.32	
Mar 31	Bsa Check	DYNAIRE CORP CHECK PAID 005024				-6,641.38	
Mar 31	Bsa Check	CONSOLIDATED ELEVATOR CHECK PAID 005022				-1,252.07	-5,368.21
Mar 31		Closing cash and money balance					-\$5,368.21

Cash/ATM transactions

UBS Visa debit [REDACTED]
G. MAXWELL

Transaction date	Posting date	Description	Amount (\$)
Mar 04	Mar 04	SANTANDER Boston MA	-\$503.50
		SANTANDER Boston MA	-\$63.50
		ATM FEE REBATE	\$3.00
		ATM FEE REBATE	\$3.00
Mar 11	Mar 14	CHASE/1100 3RD AVE NEW YORK NY	-\$503.00
		ATM FEE REBATE	\$3.00
Mar 16	Mar 16	CITIBAN0009591 NY NY	-\$1,003.00
		ATM FEE REBATE	\$3.00
Mar 18	Mar 21	CHASE/1100 3RD AVE NEW YORK NY	-\$43.00
		ATM FEE REBATE	\$3.00
Total transactions			-\$2,116.00
Total rebates			\$15.00

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases				
UBS Visa debit: [REDACTED]				
G. MAXWELL				
<i>Building/Home improvements</i>	Mar 03	Mar 07	CHARLES STREET SUPPL BOSTON MA 946063230172948427	\$15.40
	Total - Building/Home improvements			\$15.40
<i>Communications</i>	Mar 28	Mar 29	AMAZON.COM SEATTLE WA 000000000000000000	\$22.06
	Total - Communications			\$22.06
<i>Purchases</i>	Feb 26	Mar 01	DUANE READE NEW YORK NY 006058600145713390	\$29.46
	Mar 03	Mar 07	DELUCA'S BOSTON MA 436064105009625241	\$14.62
	Mar 03	Mar 07	DELUCA'S BOSTON MA 436064105009625381	\$20.57
	Mar 15	Mar 17	MORTON WILLIAMS AMBE NEW YORK NY 006076000394122674	\$20.86
	Mar 16	Mar 21	GREEN GOURMET - BLUE NEW YORK NY 796077100711303219	\$23.29
	Mar 16	Mar 18	MORTON WILLIAMS AMBE NEW YORK NY 006077000398950327	\$14.18
	Mar 17	Mar 18	USPS 3596140014 NEW YORK NY 000000000000000000	\$10.01
	Mar 18	Mar 22	MORTON WILLIAMS AMBE NEW YORK NY 006079000429626134	\$9.98
	Mar 24	Mar 28	DUANE READE NEW YORK NY 006085600148077824	\$22.92
	Mar 28	Mar 29	AMAZON.COM SEATTLE WA 000000000000000000	\$16.60
	Total - Purchases			\$182.49
<i>Restaurants</i>	Mar 01	Mar 03	STARBUCKS #18509 NEW New York NY 166061000462116425	\$4.84
	Mar 02	Mar 07	BOSTON PARK PLAZA FB BOSTON MA 976063200188400352	\$34.24
	Mar 03	Mar 08	STARBUCKS STORE 0080 BOSTON MA 166064000081250057	\$6.43
	Mar 03	Mar 08	STARBUCKS STORE 0080 BOSTON MA 166064000081250909	\$6.31
	Mar 04	Mar 08	PARK PLAZA AUBONPAIN BOSTON MA 166064000067400148	\$10.15
	Mar 04	Mar 08	PARK PLAZA AUBONPAIN BOSTON MA 166065000652196126	\$6.88
	Mar 04	Mar 08	STARBUCKS STORE 0080 BOSTON MA 166065000656444357	\$4.01
	Mar 15	Mar 17	STARBUCKS #18509 NEW New York NY 166075000216959421	\$8.96
	Mar 18	Mar 22	STARBUCKS #00821 NEW New York NY 166078000695746338	\$12.90
	Mar 18	Mar 22	STARBUCKS #00821 NEW New York NY 166078000794245893	\$4.30
	Mar 18	Mar 22	LE PAIN QUOTIDIEN NEW YORK NY 056079286688800186	\$17.11
	Mar 18	Mar 22	LE PAIN QUOTIDIEN NEW YORK NY 056079286688801382	\$22.05

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Account activity this month (continued)

	Transaction date	Posting date	Description	Amount (\$)
Card purchases (continued)				
UBS Visa debit [REDACTED]				
G. MAXWELL (continued)				
<i>Restaurants (continued)</i>				
	Mar 18	Mar 22	LE PAIN QUOTIDIEN NEW YORK NY 056079286688801390	\$14.15
	Mar 18	Mar 22	PRET A MANGER 0070 NEW YORK NY 056079091000583290	\$13.37
	Mar 22	Mar 28	PRET A MANGER 0070 NEW YORK NY 056083091001557636	\$5.76
	Mar 22	Mar 24	STARBUCKS #00821 NEW New York NY 166082000953949586	\$4.30
	Total - Restaurants			\$175.76
<i>Transportation</i>				
	Mar 23	Mar 28	MTA VENDING MACHINES NEW YORK NY 466083000163021243	\$5.00
	Total - Transportation			\$5.00
<i>Travel arrangement services</i>				
	Mar 04	Mar 08	BOSTON PARK PLAZA HO BOSTON MA 976066207088601077	\$34.34
	Total - Travel arrangement services			\$34.34
<i>Professional services</i>				
	Mar 02	Mar 04	HOTELS.COM1280869831 HOTELS.COM WA 166062000999391383	\$204.28
	Mar 16	Mar 18	SQ *A TO Z BEST SER NEW YORK NY 156076741342989798	\$108.88
	Mar 29	Mar 31	THE UPS STORE #1083 NEW YORK NY 166090000762780321	\$76.22
	Total - Professional services			\$389.38
<i>Miscellaneous/Unclassified</i>				
	Mar 14	Mar 17	0804 EXTRA SPACE STO 201-996-0020 NJ 006075253074010333	\$366.31
	Mar 22	Mar 28	0804 EXTRA SPACE STO 201-996-0020 NJ 006083253082010036	\$415.05
	Mar 23	Mar 28	0804 EXTRA SPACE STO 201-996-0020 NJ 006084253083010109	\$396.86
	Total - Miscellaneous/Unclassified			\$1,178.22
	Total Card charges			\$2,002.65
	Category		Current period(\$)	Year to date (\$)

Card purchase summary

UBS Visa debit [REDACTED]
G. MAXWELL

Building/Home improvements	\$15.40	\$1,369.62
Communications	\$22.06	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$182.49	\$2,040.75
Restaurants	\$175.76	\$539.53

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Account activity this month (continued)

Category	Current period(\$)	Year to date (\$)
Card purchase summary (continued)		
<i>UBS Visa debit</i> [REDACTED]		
<i>G. MAXWELL (continued)</i>		
Transportation	\$5.00	\$461.50
Travel arrangement services	\$34.34	\$103.02
Professional services	\$389.38	\$2,728.40
Miscellaneous/Unclassified	\$1,178.22	\$2,131.19
Total card purchases	\$2,002.65	\$9,422.00

Date	Activity	Description	Amount (\$)
Money balance activities			
Feb 29	Balance forward		\$0.00
Mar 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	6,461.10
Mar 2	Deposit	UBS BANK USA BUSINESS ACCOUNT	49,970.76
Mar 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/02/16	-11,261.67
Mar 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/03/16	-12,876.37
Mar 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/04/16	-2,029.28
Mar 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 03/04/16	0.09
Mar 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/07/16	-7,205.83
Mar 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/08/16	-1,762.80
Mar 14	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 03/11/16	-76.60
Mar 14	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-21,219.40
Mar 31	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Feb 29	Balance forward		\$6,461.10
Mar 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-6,461.10
Mar 14	Deposit	UBS AG DEPOSIT ACCOUNT	21,219.40
Mar 15	Deposit	UBS AG DEPOSIT ACCOUNT	37.00
Mar 16	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/15/16	-500.00
Mar 17	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/16/16	-2,483.03
Mar 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/17/16	-1,396.13
Mar 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/18/16	-133.07
Mar 22	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/21/16	-23.29

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Mar 23	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/22/16	-133.86
	Mar 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/24/16	-4.30
	Mar 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/28/16	-10,698.25
	Mar 30	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/29/16	-38.66
	Mar 31	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/30/16	-2,483.03
	Mar 31	Closing UBS AG Deposit Account		\$3,362.78

The UBS AG Deposit Account is your secondary sweep option.

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms.

As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.

- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must

hear from you no later than 60 days after the Firm sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Funds shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweep/yields, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request. You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any

significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio:** Net of assets and liabilities.
- **Assets:** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities:** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances:** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

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Important information about your statement (continued)

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accounts/disclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

- **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services.

In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

- **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.
- **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.
- **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.
- **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are

obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

- We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.
- Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.
- Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.
- Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.
- For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

- These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.
- Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.
- Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.
- You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.
- Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.
- When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.
- "Distributions to date" may include return of capital, income or both.

- "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

- **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock *for purposes of this statement only*. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

- Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.
- Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.
- An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

- **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

Revenue sharing and additional compensation.

- In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.
- We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

- In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.
- Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.
- We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy-policy.

UBS Financial Services is not a bank. The RMA, Business Services Account, BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account, BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

April 2016

116 EAST 65TH STREET LLC
116 EAST 65TH STREET
NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

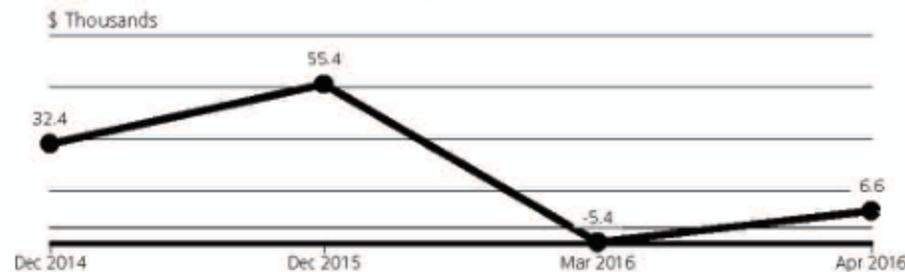
Visit our website:

www.ubs.com/financialservices

Value of your account

	on March 31 (\$)	on April 29 (\$)
Your assets	0.00	6,627.90
Your liabilities	-5,368.21	0.00
Value of your account	-\$5,368.21	\$6,627.90

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$48,746.42
Your investment return:	
Dividend and interest income	\$1.19
Change in market value	\$0.00
Value of your account on Apr 29, 2016	\$6,627.90

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Your account balance sheet

Summary of your assets

	Value on April 29 (\$)	Percentage of your account
A Cash and money balances	6,627.90	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$6,627.90	100.00%

Value of your account **\$6,627.90**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	April 2016	Year to date
S&P 500	0.39%	1.74%
Russell 3000	0.62%	1.59%
MSCI - Europe, Australia & Far East	3.00%	0.04%
Barclays Capital U.S. Aggregate Bond Index	0.38%	3.43%

Interest rates on April 29, 2016

3-month Treasury bills: 0.20%
One-month LIBOR: 0.44%

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Change in the value of your account

	April 2016 (\$)	Year to date (\$)
Opening account value	-\$5,368.21	\$55,373.13
Deposits, including investments transferred in	14,238,070.18	14,338,107.10
Withdrawals and fees, including investments transferred out	-14,226,074.22	-14,386,853.52
Dividend and interest income	0.15	1.19
Closing account value	\$6,627.90	\$6,627.90

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2016 (\$)	Year to date (\$)
Taxable interest	0.15	1.10
Total current year	\$0.15	\$1.10
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.15	\$1.19

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	April 2016 (\$)	Year to date (\$)
Opening balances	-\$5,368.21	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	14,238,070.18	14,338,107.10
Dividend and interest income	0.15	1.19
Total additions	\$14,238,070.33	\$14,338,108.29
<i>Subtractions</i>		
Checks and bill payments	-28,730.66	-162,828.30
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	-1,345.51	-10,767.51
Fees	-12.00	-12.00
Other funds debited	-14,195,986.05	-14,206,214.71
Total subtractions	-\$14,226,074.22	-\$14,386,853.52
Net cash flow	\$11,996.11	-\$48,745.23
Closing balances	\$6,627.90	\$6,627.90

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Loan summary

Opening loan balance on March 31	\$2,005
Closing loan balance on April 28	\$0
Average loan balance	\$2,005
Loan interest period	March 31 to April 28
Number of loan (debit) days	1
Average loan interest rate	10.000%
April 2016 loan interest	\$0.56
Year to date loan interest	\$0.56

UBS Bank USA Business Account APY

Interest period Mar 7 - Apr 6

Opening UBS Bank USA Business balance Mar 7	\$30,264.63
Closing UBS Bank USA Business balance Apr 6	\$5,914.16
Number of days in interest period	31
Average daily balance	\$5,847.77
Interest earned	\$0.09
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Mar 7 - Apr 6

Opening UBS AG Deposit balance Mar 7	\$0.00
Closing UBS AG Deposit balance Apr 6	\$0.00
Number of days in interest period	31
Average daily balance	\$8,344.44
Interest earned	\$0.14
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 29 (\$)	Price per share on Apr 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-8,730.99	-0.56				
UBS AG DEPOSIT ACCOUNT	3,362.78	6,628.46				
Total	-\$5,368.21	\$6,627.90				

Your total assets

		Value on Apr 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	6,627.90	100.00%	6,627.90		
Total		\$6,627.90	100.00%	\$6,627.90		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Mar 31		Cash and money balance					-\$5,368.21
Apr 1	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				5,368.21	
Apr 1	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				30,000.00	30,000.00
Apr 4	Withdrawal	ACH WITHDRAWAL AMEX EPayment				-12,541.43	17,458.57

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 5	Bsa Check	WILLIAM DAILEY CHECK PAID 001112				-1,115.00	
Apr 5	Bsa Check	WILLIAM DAILEY CHECK PAID 001111				-2,061.81	
Apr 5	Bsa Check	ADL ENTERPRISES CHECK PAID 001880				-6,440.00	
Apr 5	Withdrawal	ACH WITHDRAWAL IMPERIAL PARKING				-538.61	7,303.15
Apr 6	Withdrawal	FEDERAL FUNDS TO Morgan Manhattan AT JPMORGAN CHASE BANK, NA				-1,389.00	5,914.15
Apr 7	Bank Card	MTA MVM*R244-59 ST				-7.00	
Apr 7	Bank Card	STAPLES 00153221				-8.48	
Apr 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 04/06/16				.01	
Apr 7	Interest	UBS AG DEPOSIT ACCOUNT AS OF 04/06/16				.14	
Apr 7	Bank Card	DUANE READE				-35.11	5,863.71
Apr 8	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	5,787.11
Apr 11	Bank Card	STARBUCKS #18509 NEW YORK				-4.30	
Apr 11	Bank Card	LEX SOUPS LLC				-16.88	
Apr 11	Bank Card	USPS CHANGE OF66100959				-1.05	5,764.88
Apr 12	Bank Card	DUANE READE				-17.69	
Apr 12	Bank Card	DUANE READE #14221				-56.99	
Apr 12	Bank Card	THE HOME DEPOT #6177				-19.79	5,670.41
Apr 13	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	
Apr 13	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.32	3,187.38
Apr 14	Deposit	LOCAL CHECK				3,609,665.75	
Apr 14	Deposit	BANK CHECK				9,031,636.31	
Apr 14	Deposit	BANK CHECK				1,561,387.91	14,205,877.35
Apr 15	Fee Charge	STOP PAYMENT FEE				-12.00	14,205,865.35
Apr 18	Transfer	MISCELLANEOUS ADJUSTMENT				12.00	
Apr 18	Bank Card	0804 EXTRA SPACE STORAGE				-366.31	14,205,511.04
Apr 19	Bsa Check	LAREDO CHECK PAID 001114				-914.55	14,204,596.49
Apr 20	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL				-14,194,596.49	10,000.00
Apr 22	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	9,923.40
Apr 26	Bank Card	0804 EXTRA SPACE STORAGE				-415.05	
Apr 26	Bank Card	0804 EXTRA SPACE STORAGE				-396.86	9,111.49

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 27	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Apr 27	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	6,628.46
Apr 29	Loan Charge	LOAN INTEREST CHARGED				-.56	6,627.90
Apr 29		Closing cash and money balance					\$6,627.90

	Transaction date	Posting date	Description	Amount (\$)
Card purchases				
<i>UBS Visa debit [REDACTED]</i>				
<i>G. MAXWELL</i>				
<i>Building/Home improvements</i>	Apr 08	Apr 12	THE HOME DEPOT #6177 NEW YORK NY 436100010192140487	\$19.79
	Total - Building/Home improvements			\$19.79
<i>Purchases</i>	Apr 04	Apr 07	STAPLES 001532 NEW YORK NY 076096105006028041	\$8.48
	Apr 05	Apr 07	DUANE READE NEW YORK NY 006097600134677112	\$35.11
	Apr 07	Apr 11	USPS CHANGE OF661009 800-2383150 TN 076098418146944615	\$1.05
	Apr 08	Apr 12	DUANE READE #14221 NEW YORK NY 006100600141540290	\$56.99
	Apr 08	Apr 12	DUANE READE NEW YORK NY 006100600141540373	\$17.69
	Total - Purchases			\$119.32
<i>Restaurants</i>	Apr 07	Apr 11	STARBUCKS #18509 NEW New York NY 166098000677446093	\$4.30
	Apr 07	Apr 11	LEX SOUPS LLC NEW YORK NY 436099104014721847	\$16.88
	Total - Restaurants			\$21.18
<i>Transportation</i>	Apr 04	Apr 07	MTA MVM*R244-59 ST NEW YORK NY 436096004059390752	\$7.00
	Total - Transportation			\$7.00
<i>Miscellaneous/Unclassified</i>	Apr 13	Apr 18	0804 EXTRA SPACE STO 201-996-0020 NJ 006105253104010075	\$366.31
	Apr 22	Apr 26	0804 EXTRA SPACE STO 201-996-0020 NJ 006114253113010040	\$415.05
	Apr 23	Apr 26	0804 EXTRA SPACE STO 201-996-0020 NJ 006115253114010105	\$396.86
	Total - Miscellaneous/Undassified			\$1,178.22
	Total Card charges			\$1,345.51

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit: [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$19.79	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$119.32	\$2,160.07
Restaurants	\$21.18	\$560.71
Transportation	\$7.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$1,178.22	\$3,309.41
Total card purchases	\$1,345.51	\$10,767.51

Date	Number of days	Average loan balance (\$)	Rate	Loan interest charged (\$)
Mar 31	1	2,005.43	10.000%	0.56
Total	1			\$0.56

Date	Activity	Description	Amount (\$)
Mar 31	Balance forward		\$0.00
Apr 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	30,000.00
Apr 5	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/04/16	-12,541.43
Apr 6	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/05/16	-10,155.42
Apr 7	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/06/16	-1,389.00
Apr 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 04/06/16	0.01
Apr 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/07/16	-50.45
Apr 11	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/08/16	-76.60
Apr 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 04/11/16	-22.23
Apr 12	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-5,764.88
Apr 29	Closing UBS Bank USA Business Account		\$0.00

The UBS Bank, USA Business Account is your primary sweep option.

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Mar 31	Balance forward		\$3,362.78
	Apr 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 03/31/16	-3,362.78
	Apr 12	Deposit	UBS AG DEPOSIT ACCOUNT	5,764.88
	Apr 13	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/12/16	-94.47
	Apr 14	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/13/16	-2,483.03
	Apr 15	Deposit	UBS AG DEPOSIT ACCOUNT	14,202,689.97
	Apr 18	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/15/16	-12.00
	Apr 19	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/18/16	-354.31
	Apr 20	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/19/16	-914.55
	Apr 21	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/20/16	-14,194,596.49
	Apr 25	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/22/16	-76.60
	Apr 27	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/26/16	-811.91
	Apr 28	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/27/16	-2,483.03
	Apr 29	Closing UBS AG Deposit Account		\$6,628.46

The UBS AG Deposit Account is your secondary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201604

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UBS Financial Services Inc.
 299 Park Avenue
 25th Floor
 New York NY 10171-0002
 ANF70006240390516 Y1 0

Business Services Account

May 2016

116 EAST 65TH STREET LLC
 116 EAST 65TH STREET
 NEW YORK NY 10065-7007

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

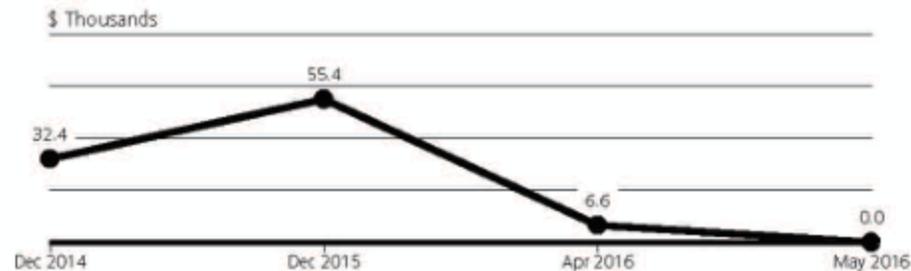
Visit our website:

www.ubs.com/financialservices

Value of your account

	on April 29 (\$)	on May 31 (\$)
Your assets	6,627.90	0.00
Your liabilities	0.00	0.00
Value of your account	\$6,627.90	\$0.00

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$55,393.77
Your investment return:	
Dividend and interest income	\$20.64
Change in market value	\$0.00
Value of your account on May 31, 2016	\$0.00

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Your account balance sheet

Summary of your assets

	Value on May 31 (\$)
A Cash and money balances	0.00
B Cash alternatives	0.00
C Equities	0.00
D Fixed income	0.00
E Non-traditional	0.00
F Commodities	0.00
G Other	0.00
Total assets	\$0.00

Value of your account **\$0.00**

Eye on the markets

Index	Percentage change	
	May 2016	Year to date
S&P 500	1.80%	3.57%
Russell 3000	1.79%	3.41%
MSCI - Europe, Australia & Far East	-0.78%	-0.75%
Barclays Capital U.S. Aggregate Bond Index	0.03%	3.45%

Interest rates on May 31, 2016

3-month Treasury bills: 0.34%
One-month LIBOR: 0.47%

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Change in the value of your account

	May 2016 (\$)	Year to date (\$)
Opening account value	\$6,627.90	\$55,373.13
Deposits, including investments transferred in	1,221.67	14,339,328.77
Withdrawals and fees, including investments transferred out	-7,869.02	-14,394,722.54
Dividend and interest income	19.45	20.64
Closing account value	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2016 (\$)	Year to date (\$)
Taxable interest	19.45	20.55
Total current year	\$19.45	\$20.55
Prior year adjustment	0.00	0.09
Total dividend & interest	\$19.45	\$20.64

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	May 2016 (\$)	Year to date (\$)
Opening balances	\$6,627.90	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	1,221.67	14,339,328.77
Dividend and interest income	19.45	20.64
Total additions	\$1,241.12	\$14,339,349.41
<i>Subtractions</i>		
Checks and bill payments	-6,690.80	-169,519.10
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	-1,178.22	-11,945.73
Fees	0.00	-12.00
Other funds debited	0.00	-14,206,214.71
Total subtractions	-\$7,869.02	-\$14,394,722.54
Net cash flow	-\$6,627.90	-\$55,373.13
Closing balances	\$0.00	\$0.00

Loan summary

Year to date loan interest	\$0.56
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UBS Bank USA Business Account APY

Interest period Apr 7 - May 5

Opening UBS Bank USA Business balance Apr 7	\$5,914.16
Closing UBS Bank USA Business balance May 5	\$5,075.81
Number of days in interest period	29
Average daily balance	\$1,746.14
Interest earned	\$0.00
Annual percentage yield earned	0.00%

UBS AG Deposit Account APY

Interest period Apr 7 - May 5

Opening UBS AG Deposit balance Apr 7	\$0.00
Closing UBS AG Deposit balance May 5	\$0.00
Number of days in interest period	29
Average daily balance	\$2,453,161.88
Interest earned	\$19.45
Annual percentage yield earned	0.01%

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on May 1 (\$)	Closing balance on May 31 (\$)	Price per share on May 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-0.56	0.00				
UBS AG DEPOSIT ACCOUNT	6,628.46	0.00				
Total	\$6,627.90	\$0.00				

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Apr 29		Cash and money balance					\$6,627.90
May 2	Withdrawal	ACH WITHDRAWAL NYSINSFNDDSBLY				-226.74	
May 2	Interest	UBS AG DEPOSIT ACCOUNT				19.45	6,420.61
May 3	Withdrawal	ACH WITHDRAWAL CON ED OF NY				-1,344.80	5,075.81
May 6	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	4,999.21
May 11	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.69	
May 11	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.34	2,516.18
May 17	Bank Card	0804 EXTRA SPACE STORAGE				-366.31	2,149.87
May 20	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	2,073.27
May 25	Bank Card	0804 EXTRA SPACE STORAGE				-415.05	
May 25	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	
May 25	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.32	-824.81
May 26	Bank Card	0804 EXTRA SPACE STORAGE				-396.86	
May 26	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				1,221.67	
May 31		Closing cash and money balance					\$0.00

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Account activity this month (continued)

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
UBS Visa debit [REDACTED]			
G. MAXWELL			
<i>Miscellaneous/Unclassified</i>			
May 13	May 17	0804 EXTRA SPACE STO 201-996-0020 NJ 006135253134010156	\$366.31
May 22	May 25	0804 EXTRA SPACE STO 201-996-0020 NJ 006144253143010014	\$415.05
May 23	May 26	0804 EXTRA SPACE STO 201-996-0020 NJ 006145253144010236	\$396.86
Total - Miscellaneous/Unclassified			\$1,178.22
Total Card charges			\$1,178.22

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$0.00	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$0.00	\$2,160.07
Restaurants	\$0.00	\$560.71
Transportation	\$0.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$1,178.22	\$4,487.63
Total card purchases	\$1,178.22	\$11,945.73

Date	Activity	Description	Amount (\$)
Money balance activities			
Apr 29	Balance forward		\$0.00
May 2	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	6,627.90
May 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/02/16	-207.29
May 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/03/16	-1,344.80
May 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/06/16	-76.60
May 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/11/16	-2,483.03
May 18	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/17/16	-366.31
May 23	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 05/20/16	-76.60

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	May 23	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-2,073.27
	May 31	Closing UBS Bank USA Business Account		\$0.00
	The UBS Bank USA Business Account is your primary sweep option.			
	Apr 29	Balance forward		\$6,628.46
	May 2	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 04/29/16	-0.56
	May 2	Withdrawal	UBS AG DEPOSIT ACCOUNT	-6,627.90
	May 23	Deposit	UBS AG DEPOSIT ACCOUNT	2,073.27
	May 26	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 05/25/16	-2,073.27
	May 31	Closing UBS AG Deposit Account		\$0.00
	The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

LBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy-policy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201604

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

June 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

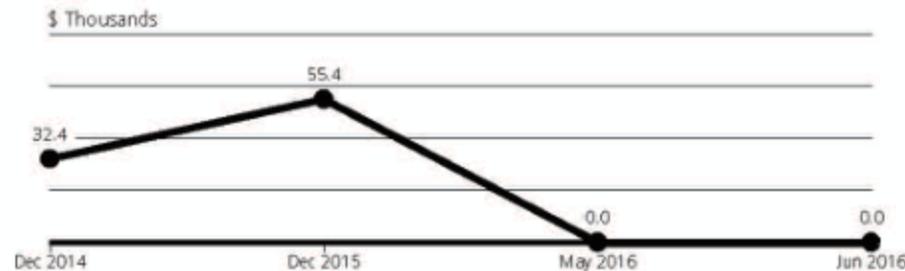
Visit our website:

www.ubs.com/financialservices

Value of your account

	on May 31 (\$)	on June 30 (\$)
Your assets	0.00	0.00
Your liabilities	0.00	-0.06
Value of your account	\$0.00	-\$0.06

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$55,393.83
Your investment return:	
Dividend and interest income	\$20.64
Change in market value	\$0.00
Value of your account on Jun 30, 2016	-\$0.06

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Your account balance sheet

Summary of your assets

	Value on June 30 (\$)
A Cash and money balances	0.00
B Cash alternatives	0.00
C Equities	0.00
D Fixed income	0.00
E Non-traditional	0.00
F Commodities	0.00
G Other	0.00
Total assets	\$0.00

Summary of your liabilities

	Amount on June 30 (\$)
Margin loan	-0.06
Total liabilities	-\$0.06
Value of your account	-\$0.06

Eye on the markets

Index	Percentage change	
	June 2016	Year to date
S&P 500	0.26%	3.84%
Russell 3000	0.21%	3.62%
MSCI - Europe, Australia & Far East	-3.32%	-4.04%
Barclays Capital U.S. Aggregate Bond Index	1.80%	5.31%

Interest rates on June 30, 2016

3-month Treasury bills: 0.26%
One-month LIBOR: 0.47%

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Change in the value of your account

	June 2016 (\$)	Year to date (\$)
Opening account value	\$0.00	\$55,373.13
Deposits, including investments transferred in	5,119.26	14,344,448.03
Withdrawals and fees, including investments transferred out	-5,119.32	-14,399,841.86
Dividend and interest income	0.00	20.64
Closing account value	-\$0.06	-\$0.06

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2016 (\$)	Year to date (\$)
Taxable interest	0.00	20.55
Total current year	\$0.00	\$20.55
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.00	\$20.64

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	June 2016 (\$)	Year to date (\$)
Opening balances	\$0.00	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	5,119.26	14,344,448.03
Dividend and interest income	0.00	20.64
Total additions	\$5,119.26	\$14,344,468.67
<i>Subtractions</i>		
Checks and bill payments	-5,119.26	-174,638.36
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	0.00	-11,945.73
Fees	0.00	-12.00
Other funds debited	-0.06	-14,206,214.77
Total subtractions	-\$5,119.32	-\$14,399,841.86
Net cash flow	-\$0.06	-\$55,373.19
Closing balances	-\$0.06	-\$0.06

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Loan summary

Opening loan balance on May 31	\$0
Closing loan balance on June 29	\$0
Average loan balance	\$77
Loan interest period	May 31 to June 29
Number of loan (debit) days	3
Average loan interest rate	10.000%
June 2016 loan interest	\$0.06
Year to date loan interest	\$0.62

UBS Bank USA Business Account APY

Interest period May 6 - Jun 6

Opening UBS Bank USA Business balance May 6	\$5,075.81
Closing UBS Bank USA Business balance Jun 6	\$0.00
Number of days in interest period	32
Average daily balance	\$1,648.83
Interest earned	\$0.00
Annual percentage yield earned	0.00%

UBS AG Deposit Account APY

Interest period May 6 - Jun 6

Opening UBS AG Deposit balance May 6	\$0.00
Closing UBS AG Deposit balance Jun 6	\$0.00
Number of days in interest period	32
Average daily balance	\$129.58
Interest earned	\$0.00
Annual percentage yield earned	0.00%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Jun 1 (\$)	Closing balance on Jun 30 (\$)	Price per share on Jun 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	-0.06				

Your total assets

	Value on Jun 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	1	Cash and money balances			

Total

¹ Values in Cash and money balances exclude any outstanding margin loan or unsecured debit balance. These balances are reflected as liabilities in the balance sheet section of the statement.

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
May 31		Cash and money balance					\$0.00
Jun 3	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	-76.60
Jun 6	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				4,923.40	
Jun 6	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				76.60	4,923.40
Jun 8	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Jun 8	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	2,440.37
Jun 17	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	2,363.77
Jun 22	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Jun 22	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	-119.26
Jun 23	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				119.26	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 30	Loan Charge	LOAN INTEREST CHARGED				-0.06	-0.06
Jun 30		Closing cash and money balance					-0.06

Category	Current period(\$)	Year to date (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$0.00	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$0.00	\$2,160.07
Restaurants	\$0.00	\$560.71
Transportation	\$0.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$0.00	\$4,487.63
Total card purchases	\$0.00	\$11,945.73

Date	Number of days	Average loan balance (\$)	Rate	Loan interest charged (\$)
Jun 03	3	76.60	10.000%	0.06
Total	3			\$0.06

Date	Activity	Description	Amount (\$)
May 31	Balance forward		\$0.00
Jun 7	Deposit	UBS BANK USA BUSINESS ACCOUNT	4,923.40
Jun 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/08/16	-2,483.03
Jun 20	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/17/16	-76.60
Jun 23	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 06/22/16	-2,363.77
Jun 30	Closing UBS Bank USA Business Account		\$0.00

The UBS Bank USA Business Account is your primary sweep option.

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Important information about your statement

LBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPIC. Money Fund shares are protected by SIPIC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy-policy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201604

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UBS Financial Services Inc.
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

July 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

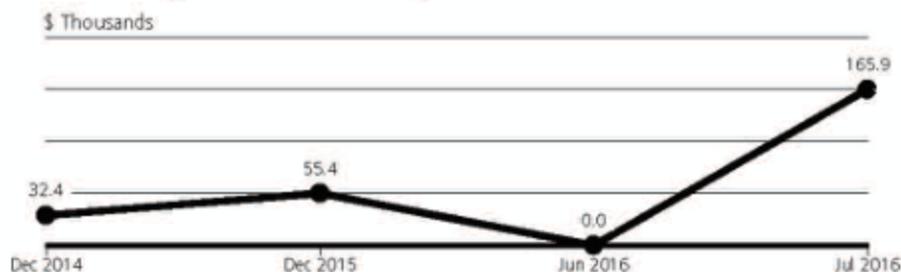
Visit our website:

www.ubs.com/financialservices

Value of your account

	on June 30 (\$)	on July 29 (\$)
Your assets	0.00	165,935.78
Your liabilities	-0.06	0.00
Value of your account	-\$0.06	\$165,935.78

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	\$110,542.01
Your investment return:	
Dividend and interest income	\$20.64
Change in market value	\$0.00
Value of your account on Jul 29, 2016	\$165,935.78

Member SIPC

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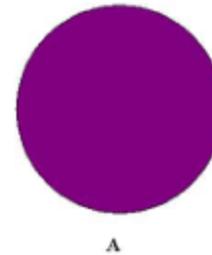
Your account balance sheet

Summary of your assets

	Value on July 29 (\$)	Percentage of your account
A Cash and money balances	165,935.78	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$165,935.78	100.00%

Value of your account **\$165,935.78**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	July 2016	Year to date
S&P 500	3.69%	7.66%
Russell 3000	3.97%	7.74%
MSCI - Europe, Australia & Far East	5.08%	0.83%
Barclays Capital U.S. Aggregate Bond Index	0.63%	5.98%

Interest rates on July 29, 2016

3-month Treasury bills: 0.25%
One-month LIBOR: 0.50%

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Change in the value of your account

	July 2016 (\$)	Year to date (\$)
Opening account value	-\$0.06	\$55,373.13
Deposits, including investments transferred in	171,139.99	14,515,588.02
Withdrawals and fees, including investments transferred out	-5,204.15	-14,405,046.01
Dividend and interest income	0.00	20.64
Closing account value	\$165,935.78	\$165,935.78

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	July 2016 (\$)	Year to date (\$)
Taxable interest	0.00	20.55
Total current year	\$0.00	\$20.55
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.00	\$20.64

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	July 2016 (\$)	Year to date (\$)
Opening balances	-\$0.06	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	171,139.99	14,515,588.02
Dividend and interest income	0.00	20.64
Total additions	\$171,139.99	\$14,515,608.66
<i>Subtractions</i>		
Checks and bill payments	-5,203.38	-179,841.74
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	0.00	-11,945.73
Fees	0.00	-12.00
Other funds debited	-0.77	-14,206,215.54
Total subtractions	-\$5,204.15	-\$14,405,046.01
Net cash flow	\$165,935.84	\$110,562.65
Closing balances	\$165,935.78	\$165,935.78

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Loan summary

Opening loan balance on June 30	\$0
Closing loan balance on July 28	\$0
Average loan balance	\$379
Loan interest period	June 30 to July 28
Number of loan (debit) days	8
Average loan interest rate	10.000%
July 2016 loan interest	\$0.77
Year to date loan interest	\$1.39

UBS Bank USA Business Account APY

Interest period Jun 7 - Jul 7

Opening UBS Bank USA Business balance Jun 7	\$0.00
Closing UBS Bank USA Business balance Jul 7	\$0.00
Number of days in interest period	31
Average daily balance	\$1,248.57
Interest earned	\$0.00
Annual percentage yield earned	0.00%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Jul 1 (\$)	Closing balance on Jul 29 (\$)	Price per share on Jul 29 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-0.06	-81.19					
UBS BANK USA BUS ACCT	0.00	166,016.97					250,000.00
Total	-\$0.06	\$165,935.78					

Your total assets

		Value on Jul 29 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	165,935.78	100.00%	165,935.78		
Total		\$165,935.78	100.00%	\$165,935.78		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jun 30		Cash and money balance					-\$0.06
Jul 1	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-76.60	
Jul 1	Correction Of Loan Charge	MARGIN INTEREST ADJUSTMENT				.06	-76.60

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 5	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				76.60	
Jul 6	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	
Jul 6	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.32	-2,483.03
Jul 7	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				2,483.03	
Jul 15	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-80.36	-80.36
Jul 18	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				80.36	
Jul 20	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	
Jul 20	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Jul 20	Transfer	JOURNAL FROM Y1 23575 GHISLAINE MAXWELL				3,500.00	1,016.97
Jul 27	Deposit	FEDERAL FUNDS DEPOSIT BY PRYOR CASHMAN LLP AT CITIBANK N.A.				165,000.00	166,016.97
Jul 29	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-80.36	
Jul 29	Loan Charge	LOAN INTEREST CHARGED				-.83	165,935.78
Jul 29		Closing cash and money balance					\$165,935.78

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$0.00	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$0.00	\$2,160.07
Restaurants	\$0.00	\$560.71
Transportation	\$0.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$0.00	\$4,487.63
Total card purchases	\$0.00	\$11,945.73

Date	Number of days	Average loan balance (\$)	Rate	Loan interest charged (\$)
Loan interest daily detail				
Jul 01	4	76.60	10.000%	0.08

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Account activity this month (continued)

	Date	Number of days	Average loan balance (\$)	Rate	Loan interest charged (\$)
Loan interest daily detail (continued)	Jul 06	1	2,483.03	10.000%	0.69
	Jul 15	3	80.36	10.000%	0.06
	Total	8			\$0.83

	Date	Activity	Description	Amount (\$)
Money balance activities	Jun 30	Balance forward		\$0.00
	Jul 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,016.97
	Jul 28	Deposit	UBS BANK USA BUSINESS ACCOUNT	165,000.00
	Jul 29	Closing UBS Bank USA Business Account		\$166,016.97

The UBS Bank USA Business Account is your primary sweep option.

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPIC. Money Fund shares are protected by SIPIC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacy-policy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201604

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UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

August 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

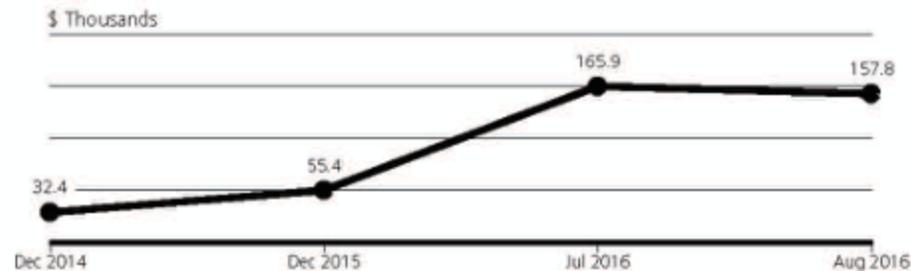
Visit our website:

www.ubs.com/financialservices

Value of your account

	on July 29 (\$)	on August 31 (\$)
Your assets	165,935.78	157,752.58
Your liabilities	0.00	0.00
Value of your account	\$165,935.78	\$157,752.58

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	\$102,356.20
Your investment return:	
Dividend and interest income	\$23.25
Change in market value	\$0.00
Value of your account on Aug 31, 2016	\$157,752.58

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Your account balance sheet

Summary of your assets

	Value on August 31 (\$)	Percentage of your account
A Cash and money balances	157,752.58	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$157,752.58	100.00%

Value of your account **\$157,752.58**

Your current asset allocation



► Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.

Eye on the markets

Index	Percentage change	
	August 2016	Year to date
S&P 500	0.14%	7.82%
Russell 3000	0.26%	8.01%
MSCI - Europe, Australia & Far East	0.08%	0.92%
Barclays Capital U.S. Aggregate Bond Index	-0.11%	5.86%

Interest rates on August 31, 2016

3-month Treasury bills: 0.33%
One-month LIBOR: 0.52%

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Change in the value of your account

	August 2016 (\$)	Year to date (\$)
Opening account value	\$165,935.78	\$55,373.13
Deposits, including investments transferred in	0.00	14,515,588.02
Withdrawals and fees, including investments transferred out	-8,185.81	-14,413,231.82
Dividend and interest income	2.61	23.25
Closing account value	\$157,752.58	\$157,752.58

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	August 2016 (\$)	Year to date (\$)
Taxable interest	2.61	23.16
Total current year	\$2.61	\$23.16
Prior year adjustment	0.00	0.09
Total dividend & interest	\$2.61	\$23.25

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	August 2016 (\$)	Year to date (\$)
Opening balances	\$165,935.78	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	0.00	14,515,588.02
Dividend and interest income	2.61	23.25
Total additions	\$2.61	\$14,515,611.27
<i>Subtractions</i>		
Checks and bill payments	-8,109.81	-187,951.55
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	-76.00	-12,021.73
Fees	0.00	-12.00
Other funds debited	0.00	-14,206,215.54
Total subtractions	-\$8,185.81	-\$14,413,231.82
Net cash flow	-\$8,183.20	\$102,379.45
Closing balances	\$157,752.58	\$157,752.58

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UBS Bank USA Business Account APY

Interest period Jul 8 - Aug 4

Opening UBS Bank USA Business balance Jul 8	\$0.00
Closing UBS Bank USA Business balance Aug 4	\$163,453.47
Number of days in interest period	28
Average daily balance	\$47,490.01
Interest earned	\$0.72
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 31 (\$)	Price per share on Aug 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-81.19	-2,483.03					
UBS BANK USA BUS ACCT	166,016.97	0.00					250,000.00
UBS AG DEPOSIT ACCOUNT	0.00	160,235.61					
Total	\$165,935.78	\$157,752.58					

Your total assets

		Value on Aug 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	157,752.58	100.00%	157,752.58		
Total		\$157,752.58	100.00%	\$157,752.58		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 29		Cash and money balance					\$165,935.78
Aug 3	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.70	
Aug 3	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.33	163,452.75

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 5	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 08/04/16				.72	163,453.47
Aug 8	Bsa Check	GEORGE TAVOULAREAS CHECK PAID 001084				-500.00	162,953.47
Aug 12	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-80.36	162,873.11
Aug 17	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.69	
Aug 17	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.34	160,390.08
Aug 25	Bank Card	CITY HALL SYSTEMS INC				-3.00	
Aug 25	Bank Card	CITY HALL SYSTEMS INC				-3.00	
Aug 25	Bank Card	TOWN OF MANCHESTER				-35.00	
Aug 25	Bank Card	TOWN OF MANCHESTER				-35.00	160,314.08
Aug 26	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-80.36	
Aug 26	Interest	UBS BANK USA BUSINESS ACCOUNT				1.89	160,235.61
Aug 31	Withdrawal	ACH WITHDRAWAL ADP EEPAY/GARNWC				-1,680.71	
Aug 31	Withdrawal	ACH WITHDRAWAL ADP Tax/401k				-802.32	157,752.58
Aug 31		Closing cash and money balance					\$157,752.58

Transaction date	Posting date	Description	Amount (\$)
Card purchases			
UBS Visa debit [REDACTED]			
G. MAXWELL			
<i>Tax payments</i>			
Aug 22	Aug 25	CITY HALL SYSTEMS IN 508-3815454 MA 796236900017862809	\$3.00
Aug 22	Aug 25	CITY HALL SYSTEMS IN 508-3815454 MA 796236900017889547	\$3.00
Aug 22	Aug 25	TOWN OF MANCHESTER 602-4549575 MA 796236900011962613	\$35.00
Aug 22	Aug 25	TOWN OF MANCHESTER 602-4549575 MA 796236900011989418	\$35.00
Total - Tax payments			\$76.00
Total Card charges			\$76.00

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Account activity this month (continued)

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$0.00	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$0.00	\$2,160.07
Restaurants	\$0.00	\$560.71
Tax payments	\$76.00	\$76.00
Transportation	\$0.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$0.00	\$4,487.63
Total card purchases	\$76.00	\$12,021.73

Date	Activity	Description	Amount (\$)
Money balance activities			
Jul 29	Balance forward		\$166,016.97
Aug 1	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 07/29/16	-81.19
Aug 4	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/03/16	-2,483.03
Aug 5	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 08/04/16	0.72
Aug 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/08/16	-500.00
Aug 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/12/16	-80.36
Aug 18	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/17/16	-2,483.03
Aug 26	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 08/25/16	-76.00
Aug 26	Withdrawal	Transaction Limit Reached UBS BANK USA BUSINESS ACCOUNT	-160,314.08
Aug 31	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option.			
Jul 29	Balance forward		\$0.00
Aug 26	Deposit	UBS AG DEPOSIT ACCOUNT	160,314.08
Aug 29	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/26/16	-78.47
Aug 31	Closing UBS AG Deposit Account		\$160,235.61
The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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End of statement for account number [REDACTED] SONY_GM_000265079 Page 8 of 8

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

Rev. 201604

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UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

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Business Services Account

September 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone [REDACTED]

Questions about your statement?

Call your Financial Advisor or the ResourceLine at 800-762-1000, account 029023692.

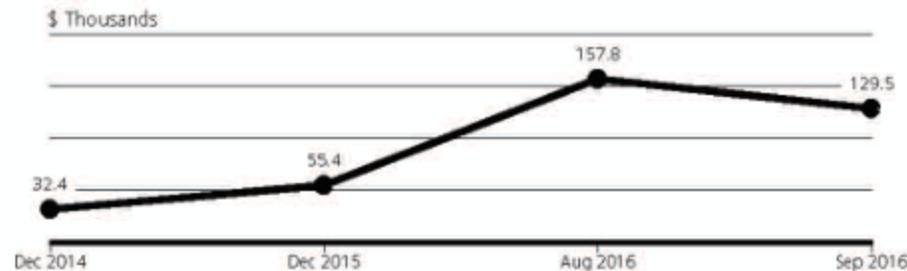
Visit our website:

www.ubs.com/financialservices

Value of your account

	on August 31 (\$)	on September 30 (\$)
Your assets	157,752.58	129,537.20
Your liabilities	0.00	0.00
Value of your account	\$157,752.58	\$129,537.20

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	\$74,139.66
Your investment return:	
Dividend and interest income	\$24.41
Change in market value	\$0.00
Value of your account on Sep 30, 2016	\$129,537.20

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Your account balance sheet

Summary of your assets

	Value on September 30 (\$)	Percentage of your account
A Cash and money balances	129,537.20	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$129,537.20	100.00%

Value of your account **\$129,537.20**

Your current asset allocation



► *Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the *Important information about your statement* on the last two pages of this statement for details about those balances.*

Eye on the markets

Index	Percentage change	
	September 2016	Year to date
S&P 500	0.02%	7.84%
Russell 3000	0.16%	8.18%
MSCI - Europe, Australia & Far East	1.27%	2.20%
Barclays Capital U.S. Aggregate Bond Index	-0.06%	5.80%

Interest rates on September 30, 2016

3-month Treasury bills: 0.27%
One-month LIBOR: 0.53%

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Change in the value of your account

	September 2016 (\$)	Year to date (\$)
Opening account value	\$157,752.58	\$55,373.13
Deposits, including investments transferred in	0.00	14,515,588.02
Withdrawals and fees, including investments transferred out	-28,216.54	-14,441,448.36
Dividend and interest income	1.16	24.41
Closing account value	\$129,537.20	\$129,537.20

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2016 (\$)	Year to date (\$)
Taxable interest	1.16	24.32
Total current year	\$1.16	\$24.32
Prior year adjustment	0.00	0.09
Total dividend & interest	\$1.16	\$24.41

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2016 (\$)	Year to date (\$)
Opening balances	\$157,752.58	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	0.00	14,515,588.02
Dividend and interest income	1.16	24.41
Total additions	\$1.16	\$14,515,612.43
<i>Subtractions</i>		
Checks and bill payments	-467.36	-188,418.91
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	0.00	-12,021.73
Fees	0.00	-12.00
Other funds debited	-27,749.18	-14,233,964.72
Total subtractions	-\$28,216.54	-\$14,441,448.36
Net cash flow	-\$28,215.38	\$74,164.07
Closing balances	\$129,537.20	\$129,537.20

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UBS Bank USA Business Account APY

Interest period Aug 5 - Sep 7

Opening UBS Bank USA Business balance Aug 5	\$163,453.47
Closing UBS Bank USA Business balance Sep 7	\$145,253.74
Number of days in interest period	34
Average daily balance	\$132,110.09
Interest earned	\$2.51
Annual percentage yield earned	0.02%

UBS AG Deposit Account APY

Interest period Aug 5 - Sep 7

Opening UBS AG Deposit balance Aug 5	\$0.00
Closing UBS AG Deposit balance Sep 7	\$0.00
Number of days in interest period	34
Average daily balance	\$28,203.84
Interest earned	\$0.54
Annual percentage yield earned	0.02%

Your account instructions

- Your account is currently coded for margin.
- Your account cost basis default closing method is FIFO, First In, First Out.

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Sep 1 (\$)	Closing balance on Sep 30 (\$)	Price per share on Sep 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-2,483.03	0.00					
UBS BANK USA BUS ACCT	0.00	129,537.20					250,000.00
UBS AG DEPOSIT ACCOUNT	160,235.61	0.00					
Total	\$157,752.58	\$129,537.20					

Your total assets

		Value on Sep 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	129,537.20	100.00%	129,537.20		
Total		\$129,537.20	100.00%	\$129,537.20		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Aug 31		Cash and money balance					\$157,752.58
Sep 1	Interest	UBS AG DEPOSIT ACCOUNT				.54	157,753.12

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)	
Sep 7	Withdrawal	FEDERAL FUNDS TO Holland and Knight, LLP AT WELLS FARGO BANK, NA				-12,500.00	145,253.12	
Sep 8	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 09/07/16				.62		
Sep 8	Withdrawal	FEDERAL FUNDS TO Monte Albers de Leon AT CITIBANK, N.A.				-12,249.18	133,004.56	
Sep 9	Withdrawal	FEDERAL FUNDS TO Monte Albers de Leon AT CITIBANK, N.A.				-3,000.00		
Sep 9	Withdrawal	ACH WITHDRAWAL ADP PAYROLL FEES				-80.36	129,924.20	
Sep 20	Bsa Check	INSURANCE OFFICE OF CENTRAL CHECK PAID 001116				-387.00	129,537.20	
Sep 30	Closing cash and money balance							\$129,537.20

Category	Current period(\$)	Year todate (\$)
Card purchase summary		
UBS Visa debit [REDACTED]		
G. MAXWELL		
Building/Home improvements	\$0.00	\$1,389.41
Communications	\$0.00	\$37.99
Medical and dental	\$0.00	\$10.00
Purchases	\$0.00	\$2,160.07
Restaurants	\$0.00	\$560.71
Tax payments	\$0.00	\$76.00
Transportation	\$0.00	\$468.50
Travel arrangement services	\$0.00	\$103.02
Professional services	\$0.00	\$2,728.40
Miscellaneous/Unclassified	\$0.00	\$4,487.63
Total card purchases	\$0.00	\$12,021.73

Date	Activity	Description	Amount (\$)
Aug 31	Balance forward		\$0.00
Sep 1	Deposit	Balance Return UBS BANK USA BUSINESS ACCOUNT	157,752.58
Sep 8	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/07/16	-12,499.46
Sep 8	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 09/07/16	0.62
Sep 9	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/08/16	-12,249.18

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Money balance activities (continued)	Sep 12	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/09/16	-3,080.36
	Sep 21	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 09/20/16	-387.00
	Sep 30	Closing UBS Bank USA Business Account		\$129,537.20
	The UBS Bank USA Business Account is your primary sweep option.			
	Aug 31	Balance forward		\$160,235.61
	Sep 1	Withdrawal	UBS AG DEPOSIT ACCOUNT AS OF 08/31/16	-2,483.03
	Sep 1	Withdrawal	UBS AG DEPOSIT ACCOUNT	-157,752.58
	Sep 30	Closing UBS AG Deposit Account		\$0.00
	The UBS AG Deposit Account is your secondary sweep option.			

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Your notes

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Important information about your statement

UBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPC. Money Fund shares are protected by SIPC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 million in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

UBS Financial Services Inc.

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UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

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Investment Account

October 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

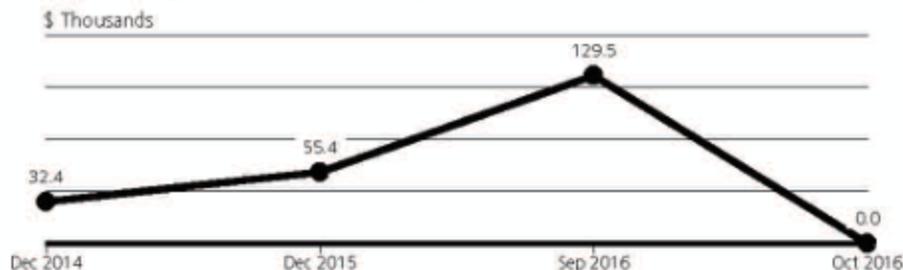
Visit our website:

www.ubs.com/financialservices

Value of your account

	on September 30 (\$)	on October 31 (\$)
Your assets	129,537.20	0.00
Your liabilities	0.00	0.00
Value of your account	\$129,537.20	\$0.00

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$55,399.57
Your investment return:	
Dividend and interest income	\$26.44
Change in market value	\$0.00
Value of your account on Oct 31, 2016	\$0.00

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Your account balance sheet

Summary of your assets

	Value on October 31 (\$)
A Cash and money balances	0.00
B Cash alternatives	0.00
C Equities	0.00
D Fixed income	0.00
E Non-traditional	0.00
F Commodities	0.00
G Other	0.00
Total assets	\$0.00

Value of your account **\$0.00**

Eye on the markets

Index	Percentage change	
	October 2016	Year to date
S&P 500	-1.82%	5.87%
Russell 3000	-2.16%	5.84%
MSCI - Europe, Australia & Far East	-2.04%	0.12%
Barclays Capital U.S. Aggregate Bond Index	-0.76%	4.99%

Interest rates on October 31, 2016

3-month Treasury bills: 0.35%
One-month LIBOR: 0.53%

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Change in the value of your account

	October 2016 (\$)	Year to date (\$)
Opening account value	\$129,537.20	\$55,373.13
Deposits, including investments transferred in	0.00	14,515,588.02
Withdrawals and fees, including investments transferred out	-129,539.23	-14,570,987.59
Dividend and interest income	2.03	26.44
Closing account value	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	October 2016 (\$)	Year to date (\$)
Taxable interest	2.03	26.35
Total current year	\$2.03	\$26.35
Prior year adjustment	0.00	0.09
Total dividend & interest	\$2.03	\$26.44

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	October 2016 (\$)	Year to date (\$)
Opening balances	\$129,537.20	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	0.00	14,515,588.02
Dividend and interest income	2.03	26.44
Total additions	\$2.03	\$14,515,614.46
<i>Subtractions</i>		
Checks and bill payments	0.00	-188,418.91
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	0.00	-12,021.73
Fees	0.00	-12.00
Other funds debited	-129,539.23	-14,363,503.95
Total subtractions	-\$129,539.23	-\$14,570,987.59
Net cash flow	-\$129,537.20	-\$55,373.13
Closing balances	\$0.00	\$0.00

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UBS Bank USA Business Account APY

Interest period Sep 8 - Oct 6

Opening UBS Bank USA Business balance Sep 8	\$145,253.74
Closing UBS Bank USA Business balance Oct 6	\$129,539.23
Number of days in interest period	29
Average daily balance	\$129,803.56
Interest earned	\$2.03
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- The account record was signed by your Financial Advisor and approved by a Principal of the Firm.
- Your account cost basis default closing method is FIFO, First In, First Out.

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Oct 1 (\$)	Closing balance on Oct 31 (\$)	Price per share on Oct 31 (\$)	Average rate	Dividend/Interest period	Days in period
UBS BANK USA BUS ACCT	129,537.20	0.00				

Account activity this month

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
<i>Taxable interest</i>	Oct 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/16	2.03
	Total taxable interest			\$2.03
	Total dividend and interest income			\$2.03
Other funds debited				
	Oct 12	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-129,539.23
	Total other funds debited			-\$129,539.23
Money balance activities				
	Sep 30	Balance forward		\$129,537.20
	Oct 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 10/06/16	2.03
	Oct 13	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 10/12/16	-129,539.23
	Oct 31	Closing UBS Bank USA Business Account		\$0.00

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Your notes

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Important information about your statement

LBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPIC. Money Fund shares are protected by SIPIC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

Visa Signature is a registered service mark of Visa International. UBS Visa Signature credit cards and UBS Visa debit cards are issued by UBS Bank USA with permission from Visa U.S.A. Incorporated.

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UBS Financial Services Inc.
Private Wealth Management
299 Park Avenue
25th Floor
New York NY 10171-0002

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Investment Account

November 2016

116 EAST 65TH STREET LLC
PO BOX 308
TEANECK NJ 07666-0308

Account name: 116 EAST 65TH STREET LLC

Friendly account name: 116 LLC

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: [REDACTED]

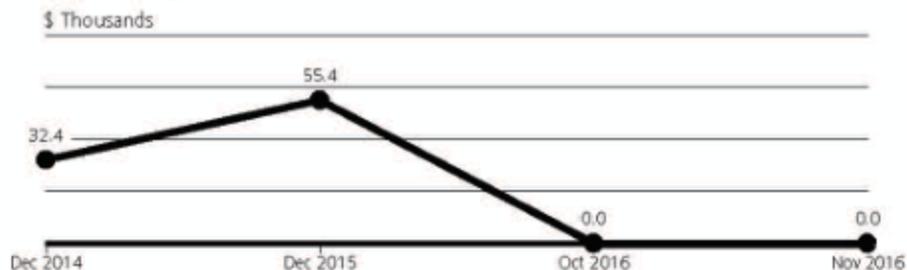
Visit our website:

www.ubs.com/financialservices

Value of your account

	on October 31 (\$)	on November 30 (\$)
Your assets	0.00	0.00
Your liabilities	0.00	0.00
Value of your account	\$0.00	\$0.00

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$55,373.13
Net deposits and withdrawals	-\$55,399.92
Your investment return:	
Dividend and interest income	\$26.79
Change in market value	\$0.00
Value of your account on Nov 30, 2016	\$0.00

Member SIPC

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Your account balance sheet

Summary of your assets

	Value on November 30 (\$)
A Cash and money balances	0.00
B Cash alternatives	0.00
C Equities	0.00
D Fixed income	0.00
E Non-traditional	0.00
F Commodities	0.00
G Other	0.00
Total assets	\$0.00

Value of your account **\$0.00**

Eye on the markets

Index	Percentage change	
	November 2016	Year to date
S&P 500	3.70%	9.79%
Russell 3000	4.48%	10.58%
MSCI - Europe, Australia & Far East	-1.98%	-1.86%
Barclays Capital U.S. Aggregate Bond Index	-2.37%	2.50%

Interest rates on November 30, 2016

3-month Treasury bills: 0.48%
One-month LIBOR: 0.62%

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Change in the value of your account

	November 2016 (\$)	Year to date (\$)
Opening account value	\$0.00	\$55,373.13
Deposits, including investments transferred in	0.00	14,515,588.02
Withdrawals and fees, including investments transferred out	-0.35	-14,570,987.94
Dividend and interest income	0.35	26.79
Closing account value	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2016 (\$)	Year to date (\$)
Taxable interest	0.35	26.70
Total current year	\$0.35	\$26.70
Prior year adjustment	0.00	0.09
Total dividend & interest	\$0.35	\$26.79

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2016 (\$)	Year to date (\$)
Opening balances	\$0.00	\$55,373.13
<i>Additions</i>		
Deposits and other funds credited	0.00	14,515,588.02
Dividend and interest income	0.35	26.79
Total additions	\$0.35	\$14,515,614.81
<i>Subtractions</i>		
Checks and bill payments	0.00	-188,418.91
Cash/ATM transactions	0.00	-7,075.25
ATM fee rebates	0.00	44.25
Card payments	0.00	-12,021.73
Fees	0.00	-12.00
Other funds debited	-0.35	-14,363,504.30
Total subtractions	-\$0.35	-\$14,570,987.94
Net cash flow	\$0.00	-\$55,373.13
Closing balances	\$0.00	\$0.00

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UBS Bank USA Business Account APY

Interest period Oct 7 - Nov 6

Opening UBS Bank USA Business balance Oct 7	\$129,539.23
Closing UBS Bank USA Business balance Nov 6	\$0.00
Number of days in interest period	31
Average daily balance	\$20,893.42
Interest earned	\$0.35
Annual percentage yield earned	0.02%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Current income & capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

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Account activity this month

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
<i>Taxable interest</i>	Nov 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 11/04/16	0.35
	Total taxable interest			\$0.35
	Total dividend and interest income			\$0.35
Other funds debited				
	Nov 9	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-0.35
	Total other funds debited			-\$0.35

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Your notes

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Important information about your statement

LBS Financial Services Inc. (the Firm or UBS Financial Services), is a member of all principal security, commodity and options exchanges. UBS Financial Services and UBS Bank USA are indirect subsidiaries of UBS AG and affiliates of UBS Securities LLC. The Firm's financial statement is available upon request. The Firm's executive offices are at:

UBS Financial Services Inc.
1200 Harbor Boulevard
Weehawken, NJ 07086

This statement represents the only official record of your UBS Financial Services account. Other records, except official tax documents, containing conflicting data should not be relied upon. If you believe there is an error or omission, please report it immediately in writing to the Branch Manager of the office serving your account.

Although all figures shown are intended to be accurate, statement data should not be used for tax purposes. Rely solely on year-end tax forms, (i.e., Form 1099, 5498, 1042S, etc.) when preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends, reportable non-taxable dividends and taxable interest earned on securities held in your account, net proceeds on sale transactions, and cost basis on certain covered securities.

Communications with the Firm

- Please re-confirm any oral communications in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA).
- If the financial institution on the top left of the front of this statement is not UBS Financial Services, UBS Financial Services carries your account as clearing broker by arrangement with the indicated institution. We informed you of this relationship when you opened this account. In this case, your funds and securities are located at UBS Financial Services and not the introducing broker, and you must make a report of any error or omission to **both** firms. As described in the account agreements, you must notify us of any errors or fraud involving checks reflected on your statement within 30 days after it was mailed or made available. All statements shall be deemed complete and accurate in all other respects if not objected to in writing within 60 days.
- Please direct customer complaints or inquiries to the Firm's Client Relations Department at 201-352-1699 or toll-free at 800-354-9103, 8:00 A.M. to 6:00 P.M. ET Monday through Friday, or in writing to UBS Financial Services Inc., Client Relations Department, P.O. Box 766 Union City, NJ 07087.
- In case of errors or questions about an electronic funds transfer (EFT), bill payment or UBS Visa® debit card transactions, call 800-762-1000, or write to UBS Financial Services Inc., 1000 Harbor Blvd., 6th floor, Weehawken, NJ 07086, Attn: RMA/BSA Services.

Call or write as soon as you can, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The Firm or Card Issuer (as applicable) must hear from you no later than 60 days after the Firm

sent you the first statement on which the error or problem appeared.

- Provide your name and account number (if any).
- Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- Provide the dollar amount of the suspected error.

The Firm or Card Issuer will investigate your complaint and will correct any error promptly. For alleged errors involving UBS Visa® debit card transactions, if we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

Please make all checks payable to the Firm or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment for securities purchases and charges for unpaid amounts in cash accounts. Accounts that are transferred to other institutions may be subject to a transfer fee.

UBS Sweep Options

UBS offers options for sweeping cash balances, balances to bank deposits at UBS Bank USA and UBS AG Stamford branch (Bank Sweep Programs), money market mutual funds (Money Funds) and the International Deposit Account (IDA) for customers with an International Resource Management Account. Through the Bank Sweep Programs, cash balances are swept to UBS Bank USA up to an established limit and then to UBS AG Stamford Branch, or a Money Fund. Deposits at UBS Bank USA are FDIC insured in accordance with FDIC rules. For more information please visit www.fdic.gov. Deposits at UBS AG Stamford Branch and shares of Money Funds are not insured by the FDIC.

Through the IDA, available to cash balances are swept to deposit accounts at UBS AG New York Branch and UBS AG Cayman Branch. These deposits are not insured by the FDIC. Balances held at the UBS AG Cayman Branch are temporarily exposed to the sovereign risk of the Cayman Islands, and there is no guarantee or other obligation of UBS AG to repay the balances while on the UBS Cayman's Branch's books.

Deposits at UBS Bank USA and each UBS AG Branch are not protected by SIPIC. Money Fund shares are protected by SIPIC. See "UBS Financial Services Account Protection" below.

Upon your request, balances in the Bank Sweep Programs or IDA may be withdrawn, and shares of a Money Fund may be liquidated, and the proceeds returned to you or your securities account.

Further information about available sweep options, including current interest rates and yields, is available at www.ubs.com/sweepyield, from your Financial Advisor or by calling 800-762-1000.

UBS Financial Services account protection

The Firm is a member of the Securities Investor Protection

Corporation (SIPC), which protects securities accounts of its members up to \$500,000 (including \$250,000 for claims for cash). The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum amount payable to all eligible clients, collectively under this protection is \$500 million as of December 10, 2015. Subject to the policy conditions and limitations, cash at the Firm is further protected for up to \$1.9 billion in the aggregate for all your accounts held in a particular capacity. A full copy of the policy wording is available upon request.

The SIPC protection and the supplemental protection both do not apply to:

- Certain financial assets controlled by (and included in your account value) but held away from UBS Financial Services (e.g., certain (i) cash at UBS Bank USA, (ii) cash at UBS AG U.S. branches, (iii) insurance products, including variable annuities, and (iv) shares of mutual funds where such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent);
- Certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and
- Commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts.

The SIPC protection and the supplemental protection do not apply to these assets even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against changes in the market value of your investments (whether as a result of market movement, issuer bankruptcy or otherwise).

More information is available upon request.

You may obtain more information about SIPC, including the SIPC Brochure, by contacting SIPC at 202-371-8300 or by visiting the SIPC website at www.sipc.org

Dividend Reinvestment Program (DRIP)

The price reflected is an average price. You may obtain the actual price from your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash will be deposited in your account. The dividend reinvestment price supplied by the issuer may differ from the market price at which the partial shares are sold.

Cash-in-lieu

Only whole units may be held in your account. If you are entitled to a partial unit as a result of a dividend payment or otherwise, the Firm will either sell partial units at market price or accept an amount determined by a registered clearing agency, and credit your account.

Investment objectives

The investment objectives and risk profile are specific to each account and may vary between your accounts. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one of the following investment objectives:

- **Produce Current Income:** Investments seeking the generation of income only.
- **Achieve Capital Appreciation:** Investments seeking growth of principal rather than the generation of income.
- **Produce Combination of Income and Capital Appreciation:** Investments seeking both the generation of income and growth of principal.

Overall risk profiles

- **Conservative:** Seeks to maintain initial principal, with low risk and volatility to the account overall, even if that means the account does not generate significant income or returns and may not keep pace with inflation.
- **Moderate:** Willing to accept some risk to principal and tolerate some volatility to seek higher returns.
- **Aggressive:** Willing to accept high risk to principal and high volatility to seek high returns over time.
- **Investment Eligibility Consideration:** If selected, a portion of the portfolio for that account may include complex strategies, limited liquidity and greater volatility.

Statement "householding"

We may consolidate all related account statements with the same address in the same envelope, e.g. because they have owners who also maintain joint account relationships with other clients at the same address. If you prefer to receive individual statements mailed in separate envelopes, you may decline householding by calling your Financial Advisor.

Friendly account name

The Friendly account name is a customizable "nickname" chosen by you to assist you with your recordkeeping. It has no legal effect on your account. You can change your Friendly account names, through Online Services or by contacting your Financial Advisor.

Account overview

- **Value of your account/portfolio.** Net of assets and liabilities.
- **Assets.** Includes available cash balances, values for restricted security (est.), and Global Time Deposits, unrealized marks to market, and certain assets not held by the Firm. Does not include unpriced securities/assets at the end of the prior and current statement periods, or private investments, unvested stock options and exercisable stock options.
- **Liabilities.** Includes debit balances, outstanding margin loans, credit line, short account balances.
- **Cash/money balances.** Total of uninvested available cash balances, plus deposit balances at UBS Bank USA, UBS AG U.S. Branches and money market mutual fund sweep balances, at the close of the statement period. Non-commodity free credit balances in your account are not segregated from other balances and the Firm may use any of these funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.

Lending information

For detailed information on the Firm's lending practices and disclosures, refer to your Client Relationship

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Important information about your statement (continued)

Agreement or Account Agreement and the General Terms and Conditions, UBS Statement of Credit Practices available in *Agreements and Disclosures* at www.ubs.com/accountdisclosures.

Your assets

Your statement itemizes securities and other assets held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. You may receive securities used as loan collateral after paying any balance due on them. Any securities transferred to the Firm during the statement period are listed at market value as of the end of the statement period.

• **Cost basis.** In determining the cost basis of the securities included in this statement, where indicated with the number "1," UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, although UBS Financial Services generally updates this information as it is received, the Firm does not provide any assurances that the information under "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, please do not rely on this information to make purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm may reflect gain/loss information only for the period of time they are held at the Firm. More historical information can be added by your Financial Advisor.

• **Unrealized gains/losses.** When data is available, estimated unrealized gains/losses are calculated for individual security lots. The transaction data for individual lots may or may not reflect commissions, charges and/or security reorganization events. Dividend and other reinvestment lots and systematic purchase lots are each combined to display one averaged lot. The "Trade date" column presents the original transaction trade date.

• **Callable securities.** Bonds and preferred stock that the issuer calls for early redemption will be selected impartially by lot from among all securities of that issue held in our name or in nominee name for our clients. Call feature information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield; complete information will be provided upon request.

• **Certificates of deposit (CDs).** CDs are FDIC insured up to \$250,000 in principal and accrued interest per depositor and per depository institution, in accordance with FDIC rules.

• **Price/value.** Prices displayed for securities and other products may be higher or lower than the price that you would actually receive in the market. Prices are obtained from various third party sources which we believe to be reliable, but we do not guarantee their accuracy.

– We generally use the closing price when available or the mean of the bid and ask prices for listed securities and options or only bid prices for OTC securities.

– Less actively traded securities may be priced using a valuation model or the most recent price we obtained and may not reflect an actual market price or value.

– Certain positions may appear without a price and will show as "price was not available" if we are unable to obtain a price for a security.

– Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date.

– For certain securities trading in non-conforming denominations, price and quantity (face value) may have been adjusted to facilitate proper valuation. To obtain current quotations, when available, contact your Financial Advisor.

• Private investments and structured products.

Private investment securities (including direct participation program and real estate investment trust securities) and structured products are generally highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We provide estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed.

– These values may differ substantially from prices, if any, at which a private investment security or structured product may be bought or sold and do not necessarily represent the value you may receive upon liquidation.

– Third party estimates of value are as of a certain date and are supplied to UBS Financial Services on a regular basis by an independent valuation firm.

– Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information from that used by third parties to derive their estimated values.

– You can obtain additional information regarding the methodology used to determine the estimate of value and the date of the information that is the basis for the estimate by contacting your Financial Advisor.

– Third party estimated values may be reflected as "Not priced" in several situations: when an independent valuation firm has not supplied or is unable to assign a value, when we become aware that a material event has occurred that may call a previously reported value into question, or when a value would be highly speculative due to the nature of the security.

– When neither an issuer, general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price.

– "Distributions to date" may include return of capital, income or both.

– "Original unit size" represents the initial offering price per unit and may not reflect your cost basis.

– DPP and unlisted REIT securities are not listed on a national securities exchange, and are generally

liquid and even if they can be sold, the price received may be less than the per share estimated value provided in the account statement.

• **Restricted securities.** Restricted securities generally are not currently eligible for public sale. UBS Financial Services uses the market price of the unrestricted stock of the same issuer as an imputed value for the restricted stock for purposes of this statement only. To the extent that restricted securities are eligible for sale, the value received may be substantially less than the imputed value shown.

• Est. (estimated) income, current yields and rates.

An estimate of annual income is based on current dividend and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline; accuracy and continued income are not guaranteed.

– Estimated annual income and current yield for certain types of securities could include a return of principal or capital gains in which case the est. income (and current yield) would be overstated.

– Estimated annual income and current yield and the actual income and yield might be lower or higher than the estimated amounts.

– An estimate of annualized income (dividend and/or interest) divided by the current market value/average balance is based on the last dividend or interest payment made by the issuer and assumes the securities/deposits will be held for one year from the statement date or until maturity. Accuracy and continued yield are not guaranteed.

• **Assets not held by UBS Financial Services.** Certain assets are not held by the Firm and not within the Firm's possession or control. These assets are displayed on your statement for informational purposes only. Positions and values presented are provided by the issuing firm. UBS Financial Services is not responsible for this information and does not guarantee its accuracy. These assets are not protected by SIPC or the Firm's supplemental SIPC coverage.

• Revenue sharing and additional compensation.

– In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients we and/or our affiliate receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components:

(i) the amount of sales by UBS of a particular mutual fund family to our clients; and (ii) the asset value of a particular mutual fund family's shares held at the firm.

– We and our affiliate also receive networking and omnibus processing fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family.

– In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we and/or our affiliate receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute.

– Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

– We receive an annual fee from UBS Bank USA and UBS AG Stamford Branch of up to \$25 per account sweeping to the banks under the UBS Bank Sweep Programs.

Activity

Information regarding commissions and other charges incurred in connection with the execution of trades, including option transactions has been included on confirmations previously furnished to you, and will be provided to you promptly on request.

Short selling

If you are engaged in short selling a security, you may incur a charge due to certain borrowing costs for that particular security.

Open orders

Regarding open or "good-till-cancelled" orders that were not executed by the statement date, open buy and sell stop orders are reduced by the amount of dividends or rights on an ex-dividends or ex-rights date unless instructed otherwise by you. You are responsible for orders that are executed due to your failure to cancel existing open orders.

Privacy

To obtain a copy of our current Client Privacy Notice, please contact your Financial Advisor or visit our website at www.ubs.com/privacypolicy.

UBS Financial Services is not a bank. The RMA, Business Services Account BSA and IRMA are brokerage accounts which provide access to banking services and products through arrangements with affiliated banks and other third-party banks, and provides access to insurance and annuity products issued by unaffiliated third-party insurance companies through insurance agency subsidiaries of UBS Financial Services Inc.

Investment, insurance, and annuity products:
Not FDIC insured • No bank guarantee • May lose value

RMA, Resource Management Account, Business Services Account BSA, IRMA and International Resource Management Account are registered service marks of UBS Financial Services Inc.

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