

KYC Case # : **01977698**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP: [REDACTED]	Booking Center:	New York
Relationship Manager:	Stewart Oldfield		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship		<input checked="" type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** 2018 Periodic Review ***		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under this relationship.. Last Approved KYC # - [REDACTED] - [REDACTED]; Butterfly Trust - [REDACTED]; Neptune, LLC - [REDACTED].		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	<i>(If Yes, describe):</i>		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input type="checkbox"/>	[REDACTED] & Karyna Shuliak- [REDACTED]	12/13/2013
2	<input checked="" type="checkbox"/>	Butterfly Trust - [REDACTED] - [REDACTED]	1/24/2014
3	<input checked="" type="checkbox"/>	Neptune LLC - [REDACTED] - [REDACTED]	10/18/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	[REDACTED]		Preferred method of contact (indicate phone no., fax no., e-mail address, etc.): [REDACTED]

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

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SDNY_GM_00037218
DB-SDNY-0000042

CONFIDENTIAL

SDNY_GM_00037219

EFTA_00147832

EFTA01281967

CONFIDENTIAL

SDNY_GM_00037220

EFTA_00147833

EFTA01281968

CONFIDENTIAL

SDNY_GM_00037221

EFTA_00147834

EFTA01281969

CONFIDENTIAL

SDNY_GM_00037222

EFTA_00147835

EFTA01281970

CONFIDENTIAL

SDNY_GM_00037223

EFTA_00147836

EFTA01281971

CONFIDENTIAL

SDNY_GM_00037224

EFTA_00147837

EFTA01281972

CONFIDENTIAL

SDNY_GM_00037225

EFTA_00147838

EFTA01281973

CONFIDENTIAL

SDNY_GM_00037226

EFTA_00147839

EFTA01281974

CONFIDENTIAL

SDNY_GM_00037227

EFTA_00147840

EFTA01281975

CONFIDENTIAL

SDNY_GM_00037228

EFTA_00147841

EFTA01281976

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EFTA_00147842

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CONFIDENTIAL

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CONFIDENTIAL

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EFTA01281988

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EFTA01281989

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SDNY_GM_00037242

EFTA_00147855

EFTA01281990

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EFTA01281991

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EFTA01281992

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SDNY_GM_00037245

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EFTA01281994

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CONFIDENTIAL

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EFTA01282008