

KYC Case # : **01133377**

Status : **7. Approved with Temporary Exception**

**One sheet must be established per relationship - list all accounts included in the relationship**

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP:00000483290	Booking Center:	New York
Relationship Manager:	Paul Morris		
Relationship to PWM:	<input checked="" type="checkbox"/> New PWM Relationship		<input type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile:		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.)		
Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Darren Indyke is the in-house lawyer for Jeffrey Epstein, who is a current client of the bank. Darren has worked extensively with Paul here at DB while we've opened accounts for Jeffrey Epstein and he was a previous client of Paul's when he was at JPMorgan. He met Paul at JPM through Jeffrey Epstein			
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	The 2007 Jeffrey E. Epstein Insurance Trust # 3	10/16/2013
2	<input checked="" type="checkbox"/>	HBRK Associates, Inc	10/16/2013
3	<input checked="" type="checkbox"/>	HBRK Associates, Inc -- Money market	10/16/2013
4	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC	10/16/2013
5	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC -- Money Market	10/16/2013
6	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC-Attorney Trust Account	10/16/2013
7	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC-Attorney Trust Account -- Money Market	10/16/2013
8	<input checked="" type="checkbox"/>	New York Strategy Group, LLC	10/16/2013
9	<input checked="" type="checkbox"/>	New York Strategy Group, LLC -- Money Market	10/16/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Darren Indyke		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): 212 971 1314

SDNY\_GM\_00053823

<https://na4.salesforce.com/servlet/servlet.integration?id=01N5000000D9Di&eid=50060...> 11/18/2013

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0016647

EFTA\_00164393

EFTA01295105

**One sheet must be established for each account to be opened**

2. Account Ownership Summary												
Account Name:		The 2007 Jeffrey E. Epstein Insurance Trust #3					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Checking account to hold funds for this trust.												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 10 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		100000					Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		150000					Currency		USD			
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory for Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	The 2007 Jeffrey E. Epstein Insurance Trust #3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Jeffrey Epstein	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Grantor;
3	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Trustee;;
4	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Richard Kahn	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Trustee;;

SDNY\_GM\_00053824

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CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

CONFIDENTIAL

DB-SDNY-0016648

EFTA\_00164394

EFTA01295106

2. Account Ownership Summary												
Account Name:		The 2007 Jeffrey E. Epstein Insurance Trust #3 -- Money Market					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Money market account to hold funds for the insurance trust												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 1-3 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		200000				Currency		USD				
What is the expected volume of assets and currency for the account approx. one year after opening?		250,000				Currency		USD				
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	The 2007 Jeffrey E. Epstein Insurance Trust #3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Jeffrey Epstein	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		grantor;
3	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Trustee;
4	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Richard Kahn	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Trustee;

2. Account Ownership Summary												
Account Name:		HBRK Associates, Inc					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Checking account to hold funds for daily use for the company.												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 10 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		50000				Currency			USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		50000				Currency			USD			
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	HBRK Associates, Inc	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Richard Kahn	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Harry Beller	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:	HBRK Associates, Inc -- Money market	Acct. Number (if available):										
Account Manager:	Paul Morris											
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? MOney market account to hold funds for the company and earn interest												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. new York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 1-3 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?	100000	Currency	USD									
What is the expected volume of assets and currency for the account approx. one year after opening?	150000	Currency	USD									
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>● Check if a source of wealth description is required for the party.</li> <li>● Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>● If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>● Always describe the relationship between the parties in the last column.</li> <li>● Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
#	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	HBRK Associates, Inc	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Richard Kahn	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
3	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Harry Beller	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		Darren K. Indyke PLLC					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Checking account for the daily use of the this law firm												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 10 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		50000					Currency			USD		
What is the expected volume of assets and currency for the account approx. one year after opening?		75000					Currency			USD		
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		Darren K. Indyke PLLC -- Money Market					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Money market account for the company to hold funds and earn interest												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 1-3 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		100000					Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		125000					Currency		USD			
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		Darren K. Indyke PLLC-Attorney Trust Account					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Checking account for clients escrow. Funds are placed here to earn interest												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 10 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		50000					Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		75000					Currency		USD			
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>● Check if a source of wealth description is required for the party.</li> <li>● Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>● If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>● Always describe the relationship between the parties in the last column.</li> <li>● Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		Darren K. Indyke PLLC-Attorney Trust Account -- Money Market						Acct. Number (if available):				
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Money market account for clients escrow. Funds are placed here to earn interest												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 1-3 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		75000						Currency		USD		
What is the expected volume of assets and currency for the account approx. one year after opening?		100000						Currency		USD		
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Darren K. Indyke PLLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		New York Strategy Group, LLC					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Checking account for the daily needs of the company												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 10 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		25000					Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		50000					Currency		USD			
<b>Please list all parties related to the account.</b>												
For each party:												
<ul style="list-style-type: none"> <li>● Check if a source of wealth description is required for the party.</li> <li>● Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>● If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>● Always describe the relationship between the parties in the last column.</li> <li>● Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	New York Strategy Group, LLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:		New York Strategy Group, LLC -- Money Market					Acct. Number (if available):					
Account Manager:		Paul Morris										
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? Money market account to hold funds for the company and earn interest												
Indicate from where the assets are expected to arrive?												
<input type="checkbox"/> DB Group: Same Booking Center (indicate account number): <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input checked="" type="checkbox"/> Other Institution (Indicate name & location): JPMorgan. New York, NY <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? Roughly 1-3 inflows and outflows per month ranging from \$1,000 to \$10,000												
What is the expected volume of assets and currency for the account approx. 90 days after opening?		50000					Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?		75000					Currency		USD			
<b>Please list all parties related to the account.</b> For each party: <ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %)	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	New York Strategy Group, LLC	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Darren Indyke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Darren Indyke - 00000486405		Date of Birth:	[REDACTED]	
Country of Residence:	USA		Country of Citizenship:	USA	
Address of primary residence:	[REDACTED]				
Profession/Occupation:	Lawyer		Tax ID / SSN:	[REDACTED]	
Current Employer:	Southern Financial LLC		Position/Title/Rank:		
Address of employer:	6100 Red Hook Quarter B3 St Thomas USVI 00802				
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Paul Morris	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		9/13/2013
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:			
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)			
Nature of the Individual's Business:	Attorney		
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input checked="" type="checkbox"/> Salary/Earnings <input checked="" type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:		
Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Darren has been an in-house lawyer for Jeffrey Epstein at Southern Financial LLC for over 10yrs, wherein his main source of income comes from (See KYC #1082293). He also owns a few of his own small companies (LLC's) for his private investments, and well his own law practice, Darren Indyke PLLC			
Estimated Annual Income (\$):	300,000.00	Estimated amount of investable assets(\$):	\$1 MM - 2 MM
Estimated Net Worth(\$):	\$2 MM - 5 MM	Amount of assets planned to invest with PWM(\$):	200,000.00
Other Known Financial Institutions:			

Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	10,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Please indicate the family situation of the individual (marital status, other family members, etc.):					

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**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Harry Beller - 00000487202		Date of Birth:	[REDACTED]	
Country of Residence:	USA		Country of Citizenship:	USA	
Address of primary residence:	[REDACTED]				
Profession/Occupation:	Accountant		Tax ID / SSN:	[REDACTED]	
Current Employer:	HBRK Associates, Inc		Position/Title/Rank:		
Address of employer:	575 Lexington Avenue, 4th Fl New York NY USA 10022				
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Paul Morris	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		9/13/2013
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:			
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)			
Nature of the Individual's Business:	Accounting Firm		
Summarize Source of Wealth:	<input checked="" type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input checked="" type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:		
Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Harry is an accountant and owns his own firm. He is also the in-house accountant for Southern Financial LLC (KYC #:01082293). He has gained his wealth from his years as the in-house accountant and his own firm			
Estimated Annual Income (\$):	500,000.00	Estimated amount of investable assets(\$):	\$1 MM - 2 MM
Estimated Net Worth(\$):	\$2 MM - 5 MM	Amount of assets planned to invest with PWM(\$):	
Other Known Financial Institutions:			

Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	5,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Please indicate the family situation of the individual (marital status, other family members, etc.): Married					

**CONFIDENTIAL**

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Richard Kahn - 00000486407		Date of Birth:	[REDACTED]	
Country of Residence:	USA		Country of Citizenship:	USA	
Address of primary residence:	[REDACTED]				
Profession/Occupation:	Accountant		Tax ID / SSN:	[REDACTED]	
Current Employer:	HBRK Associates, Inc		Position/Title/Rank:		
Address of employer:	575 Lexington Ave, 4th Fl New York NY USA 10022				
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Paul Morris	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		9/13/2013
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:			
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)			
Nature of the Individual's Business:	Accountant		
Summarize Source of Wealth:	<input checked="" type="checkbox"/> Business Owner <input checked="" type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:		
Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Richard owns HBRK Associates Inc with Harry Beller. He is also an in-house accountant for Southern Financial, LLC (KYC #1082293), for over 10yrs. His wealth comes from his own firm and being an in-house accountant			
Estimated Annual Income (\$):	300,000.00	Estimated amount of investable assets(\$):	\$1 MM - 2 MM
Estimated Net Worth(\$):	\$2 MM - 5 MM	Amount of assets planned to invest with PWM(\$):	
Other Known Financial Institutions:			

Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	1,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

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**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Jeffrey Epstein - 00000483289		Date of Birth:	1/20/1953	
Country of Residence:	USVI		Country of Citizenship:	USA	
Address of primary residence:	Litte Saint James Saint Thomas 00802 US Virgin Islands				
Profession/Occupation:	Self Employed		Tax ID / SSN:	[REDACTED]	
Current Employer:	Southern Financial LLC		Position/Title/Rank:	President	
Address of employer:	6100 Red Hook Quarter B3 St. Thomas USVI 00802				
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Paul Morris	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		9/13/2013
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)			
Nature of the Individual's Business:	Private investments		
Summarize Source of Wealth:	<input checked="" type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input checked="" type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:		
Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Epstein began his financial career in 1976 as an options trader at Bear Stearns and became a partner in 1980. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it on the island of St. Thomas in the US Virgin Islands. All of his clients were anonymous except for the very wealthy businessman Leslie Wexner. His wealth has come from his days at Bear Stearns and his financial management firms			
Estimated Annual Income (\$):	10,000,000.00	Estimated amount of investable assets(\$):	\$50 MM - 100 MM
Estimated Net Worth(\$):	\$100 MM - 500 MM	Amount of assets planned to invest with PWM(\$):	
Other Known Financial Institutions:			

Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	120,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Please indicate the family situation of the individual (marital status, other family members, etc.):					

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**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	New York Strategy Group, LLC - 00000487215		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	1/13/2000
Address (city, street, post code):	575 Lexington Ave, 4th Fl New York NY 10022 USA	U.S. TIN/EIN:	13-4097417
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:			
New York Strategy Group, LLC is a single member LLC owned by Darren K. Indyke.			
Please indicate how ownership of the legal entity is reflected: Darren K. Indyke is the sole member			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Darren K. Indyke is the sole member			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes	<input type="checkbox"/> No <input checked="" type="checkbox"/>
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Private LLC used for the personal investments of Darren Indkye. The LLC holds funds and invests in assets that Darren finds interesting		
Countries where business is transacted:	USA		
Number of employees:	1		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):					
New York Strategy Group, LLC invests in different asset classes and gains its assets through the revenue it makes on the return investments					
Estimated gross receipts p.a.(\$):	100,000.00				
Estimated net profit p.a. (\$):	75,000.00				
Estimated investable assets (\$):	<\$1 MM				
Potential Amount to be invested with PWM (\$):	50,000.00				
Other Known Financial Institutions:					
Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	500,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	



**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Darren K. Indyke PLLC - 00000487220		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	9/5/2008
Address (city, street, post code):	575 Lexington Avenue New York NY 10022 USA	U.S. TIN/EIN:	30-0503849
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:			
Single member PLLC with Darren K. Indyke as the sole member and owner			
Please indicate how ownership of the legal entity is reflected: Single member PLLC with Darren K. Indyke as the sole member and owner			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Single member PLLC with Darren K. Indyke as the sole member and owner			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Law practice. This is Darren Indyke's private law firm.		
Countries where business is transacted:	USA		
Number of employees:	1		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):					
Assets come from the rates he charges clients for his law services					
Estimated gross receipts p.a.(\$):	300,000.00				
Estimated net profit p.a. (\$):	200,000.00				
Estimated investable assets (\$):	<\$1 MM				
Potential Amount to be invested with PWM (\$):	100,000.00				
Other Known Financial Institutions:					
Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	500,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	HBRK Associates, Inc - 00000487223		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USA	Date of incorporation / registration:	8/29/2008
Address (city, street, post code):	575 Lexington Avenue, 4th Fl New York NY 10022 USA	U.S. TIN/EIN:	30-0503838
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:			
Richard Kahn 50% owner Harry Beller 50% owner			
Please indicate how ownership of the legal entity is reflected: Richard Kahn 50% owner Harry Beller 50% owner			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Richard Kahn 50% owner Harry Beller 50% owner			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Accounting firm		
Countries where business is transacted:	USA		
Number of employees:	2		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Harry and Rich are both in-house accountants for Jeffrey Epstein. Their company's, HBRK, assets comes from the fees they charge for this service					
Estimated gross receipts p.a.(\$):	400,000.00				
Estimated net profit p.a. (\$):	300,000.00				
Estimated investable assets (\$):	<\$1 MM				
Potential Amount to be invested with PWM (\$):	200,000.00				
Other Known Financial Institutions:					
Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	200,000.00
Institution:		Country:		Est. Assets Under Mgt:	



Institution:	Country:	Est. Assets Under Mgt:
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**CONFIDENTIAL**

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	The 2007 Jeffrey E. Epstein Insurance Trust #3 - 00000487199		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input checked="" type="checkbox"/> Trust <input type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	USVI	Date of incorporation / registration:	11/1/2007
Address (city, street, post code):	575 Lexington Ave, 4th Fl New York NY 10022 USA	U.S. TIN/EIN:	26-6387242
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:			
Jeffrey Epstein: Grantor Darren Indyke: Trustee Richard Kahn: Trustee			
Please indicate how ownership of the legal entity is reflected: Jeffrey Epstein: Grantor Darren Indyke: Trustee Richard Kahn: Trustee			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Jeffrey Epstein: Grantor Darren Indyke: Trustee Richard Kahn: Trustee			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Trust account		
Countries where business is transacted:	USVI, USA		
Number of employees:	2		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): The funds for this trust account come from contributions made by the Grantor, Jeffrey Epstein. His source of wealth can be found in his wealth profile					
Estimated gross receipts p.a.(\$):					
Estimated net profit p.a. (\$):					
Estimated investable assets (\$):	<\$1 MM				
Potential Amount to be invested with PWM (\$):	400,000.00				
Other Known Financial Institutions:					
Institution:	JPMorgan	Country:	USA	Est. Assets Under Mgt:	400,000.00



Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

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DB PWM GLOBAL KYC/NCA: US/LatAm/Int'l **PART B**



Relationship Name	EPSTEIN, JEFFREY RELATIONSHIP					
Booking Center	<input checked="" type="checkbox"/> NY	<input type="checkbox"/> NY/Offshore	<input type="checkbox"/> Offshore	<input type="checkbox"/> Moderate Risk	<input checked="" type="checkbox"/> High Risk	Janice Franklin

(Compliance Signature)

<input type="checkbox"/> DB Employee	<input type="checkbox"/> DB Managed PIC	<input type="checkbox"/> DB is Trustee/Co-Trustee	<input type="checkbox"/> Bearer Shares
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**4. Attachments**

A. Type of Photo ID Provided	<input checked="" type="checkbox"/> Drivers License	<input type="checkbox"/> Passport	<input type="checkbox"/> National/State ID	<input type="checkbox"/> Other
B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No		
C. Please indicate the results of the database searches performed				
RDC searches complete	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
PCR checks complete	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
OFAC checks complete	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
BIS searches complete (Lexis/Nexis, Factiva, Reuters, Dow Jones, D&B)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Denial Orders checks complete	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Martindale-Hubbell searched (Lawyers/Law Firms only)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input type="checkbox"/> No

Please summarize any negative results from the database searches indicated above: DARREN K. INDYKE:

No negative Media  
No court cases

NEW YORK STRATEGY GROUP, LLC:  
No negative media  
No court cases

DARREN K. INDYKE PLLC:  
No negative media  
No court cases

HBRK ASSOCIATES INC:  
No negative media  
No court cases

D. RICHARD KAHN:  
Approved KYC #01082293  
AML Clearance attached to this KYC  
Not barred from NYSE Arca and he was cleared twice as seen in the attachments

HARRY BELLER:  
Negative Media: Not our client. All negative media pertains to an environmental microbiologist. Our client is an accountant  
Court Cases:  
#1: Disposed in 1987  
All other cases are not our client. The other court cases refer to a Dr. Harry Beller. Our client is an accountant

JEFFREY EPSTEIN INSURANCE TRUST:  
No negative media  
No court cases

JEFFREY EPSTEIN:  
Previously KYC'd and approved in: 01082293 and 01121718

SDNY\_GM\_00053848

E.	To the best of your knowledge, has the client ever been convicted of a criminal offense?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
F.	To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? <i>(If Yes, provide details below and contact Quality Mgt. (LatAm/Int1) or the Regulatory Control Group and notify Compliance immediately)</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
G.	Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
H.	Does the client or related party have any financial or other association/ interactions within high risk countries?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
I.	Corporate Documentation Attached (Legal Entities Only)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No <input type="checkbox"/> Not Applicable
J.	Undisclosed Principal Form Complete (Intermediaries Only)	<input type="checkbox"/> Yes	<input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable
K.	If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation:		

M. Case Comments		
Created By	Date	Comments
Amanda Kirby	10/11/2013 9:21 AM	Need to clone to make 2 new KYC's

ONSHORE APPROVALS			
Client Facing Professional (CFP):	Paul Morris	(Signature) Paul Morris	10/3/2013
Office Director/Business Head:	Brian M. Biggar	(Signature) Brian M. Biggar	10/4/2013
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:	Jacqueline Lightbody	(Signature) Jacqueline Lightbody	10/11/2013
	Janice Franklin	Janice Franklin	11/1/2013

LATAM/INTERNATIONAL APPROVALS			
Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	

**PRIVATE WEALTH MANAGEMENT POLICY STATEMENT:** Deutsche Bank Private Wealth Management (PWM) worldwide is committed to professionally serve the interests of its clients. To do so, Client Facing Professionals<sup>1</sup>(1) (CFP's) should establish and maintain business relationships only with persons who satisfy our high standards of suitability, background and character. Due diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility of CFP's to demonstrate that they know their client both at the inception of a relationship and on an ongoing basis.

**DECLARATION FOR ALL SIGNERS OF THIS FORM:** To the best of my knowledge and belief the above information is correct and up to date. I confirm that I have no suspicions relating to the money laundering or unethical activities on the part of the client(s) and that I have followed all the procedures relating to account opening as described in the PWM Americas Procedures and the PWM Global KYC Policy

(1) Client Facing Professionals (CFP's) include: Relationship Managers, Wealth Advisors, Product Officers, Brokers, Client Managers, etc.

**Deutsche Bank Americas New York** 