

NAME SEARCHED: **Erika Ann Kellerhals**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not Required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Urvashi Lavania Date:02/04/2015
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00053946

OFAC RESULTS

RDC:

11566589 No Match Found Dboi 9146157 Erika Ann Kellerhals

Country:Virgin Islands, U.s. Date of Birth: [REDACTED] 1974

PCR:

C20150225373783 Erika Ann Kellerhals 9146157 NCA customised Auto-Closed No-Hit
04/02/2015

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

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Date:2/4/2015
Report processed by:
DEUTSCHE BANK AG||

Full Name	Address	County	Phone
KELLERHALS, ERIKA A	[REDACTED] 10306-3142 RICHMOND COUNTY	RICHMOND	[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)

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ADDITIONAL PERSONAL INFORMATION

SSN [REDACTED]XXXX	DOB [REDACTED]1974 (Age:40)	Gender	LexID(sm) 001368644215
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Subject Summary

Name Variations

- 1: KELLERHALES, ERICKA A
- 2: KELLERHALLS, ERIKA A
- 3: KELLERHALS, E A
- 4: KELLERHALS, ERIKA
- 5: KELLERHALS, ERIKA A

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
1:	[REDACTED]XXXX	New York	1987-1988	

Most frequent SSN attributed to subject:

DOBs

Reported DOBs:
[REDACTED]1974

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 1 records found

#	Full Name	SSN	DOB
1:	YOUNG, MICHAEL S	[REDACTED]XXXX	

Address Summary - 10 records found

- | No. | Address |
|-----|---------------------------------|
| 1: | [REDACTED]
RICHMOND COUNTY |
| 2: | [REDACTED]
ST. THOMAS COUNTY |
| 3: | [REDACTED]
ST. THOMAS COUNTY |
| 4: | [REDACTED]
ST. THOMAS COUNTY |
| 5: | [REDACTED]
ST. THOMAS COUNTY |

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No. Address

6: [Redacted]
KINGS COUNTY

7: [Redacted]
KINGS COUNTY

8: [Redacted]
KINGS COUNTY

9: [Redacted]
RICHMOND COUNTY

10: [Redacted]
RICHMOND COUNTY

Address Details

1: [Redacted]

Address
[Redacted]

RICHMOND COUNTY

Census Data for Geographical Region

Median Head of Household Age: 47
Median Income: \$82,353
Median Home Value: \$584,337
Median Education: 14 years

Household Members

DAHLING, MELISSA A
KELLERHALS, EDWARD
KELLERHALS, EDWARD A
KELLERHALS, ENER
KELLERHALS, KATHERINE M
KELLERHALS, KATHLEEN M

Other Associates

HANRATTY, THOMAS E

Dates **Phone**
2/1994 - 1/2015 [Redacted]

2: [Redacted]
Address

[Redacted]

ST. THOMAS COUNTY

Household Members

KELLERHALS, EDWARD A

Other Associates

FERGUSON, GREG J

Dates **Phone**
3/2004 - 3/2014

3: [Redacted]
Address

[Redacted]

ST. THOMAS COUNTY

Household Members

None Listed

Other Associates

Dates **Phone**
12/2003 -
2/2014

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FERGUSON, GREG J

4: [Redacted]
Address

Dates 3/2004 - 4/2009 Phone

ST. THOMAS COUNTY
Household Members
KELLERHALS, EDWARD A
Other Associates
FERGUSON, GREG J

5: [Redacted]
Address

Dates 7/2003 - 6/2004 Phone

ST. THOMAS COUNTY
Household Members
KELLERHALS, EDWARD A
Other Associates
None Listed

6: [Redacted]
Address

Dates 11/1997 - 11/1997 Phone

KINGS COUNTY
Census Data for Geographical Region
Median Head of Household Age: 34
Median Income: \$127,273
Median Home Value: \$741,587
Median Education: 18 years
Household Members
None Listed
Other Associates
None Listed

7: [Redacted]
Address

Dates 10/1997 - 11/1997 Phone

KINGS COUNTY
Census Data for Geographical Region
Median Head of Household Age: 34
Median Income: \$127,273
Median Home Value: \$741,587
Median Education: 18 years
Household Members
KELLERHALS, KATHLEEN M
Other Associates
None Listed

8: [Redacted]
Address

Dates 2/1994 - 10/1997 Phone

KINGS COUNTY
Census Data for Geographical Region
Median Head of Household Age: 34
Median Income: \$127,273
Median Home Value: \$741,587

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DB-SDNY-0016774

EFTA_00164520

EFTA01295206

Median Education: 18 years
Household Members
KELLERHALS, KATHLEEN M
Other Associates
None Listed

9: [Redacted]
Address [Redacted]

Dates Phone
2/1994 - 2/1994

RICHMOND COUNTY
Census Data for Geographical Region
Median Head of Household Age: 47
Median Income: \$74,028
Median Home Value: \$483,978
Median Education: 13 years
Household Members
None Listed
Other Associates
None Listed

10: [Redacted]
Address [Redacted]

Dates Phone
2/1994 - 2/1994

RICHMOND COUNTY
Census Data for Geographical Region
Median Head of Household Age: 42
Median Income: \$95,399
Median Home Value: \$462,729
Median Education: 13 years
Household Members
None Listed
Other Associates
None Listed

Voter Registrations - 1 records found

1: New York Voter Registration

Registrant Information
Name: KELLERHALS, ERIKA A
Residential Address: [Redacted]
RICHMOND COUNTY
SSN: [Redacted]-XXXX
Date of Birth: [Redacted]/1974
Gender: Female
Voter Information
Last Vote Date: 2002
Party Affiliation: DEMOCRAT
Active Status: ACTIVE

Motor Vehicle Registrations - 2 records found

1: NY MVR

Registrant Information
Registrant: KELLERHALS, ERIKA A
DOB: [Redacted]/1974
Address: [Redacted]

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EFTA_00164521

EFTA01295207

RICHMOND COUNTY

Registration Information

Original Registration Date: 1/30/2002
Registration Date: 1/30/2002
Registration Expiration Date: 1/29/2004

Vehicle Information

VIN: WWWPD63B42P171962
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2002
Make: Volkswagen
Model: Passat
Series: PASSAT GLS
Body Style: Sedan 4 Door

Plate Information

License Plate Type: Private
License Plate Number: AHG1059
Plate State: NY

2: NY MVR

Vehicle Information

VIN: WWWPD63B42P171962
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2002
Make: Volkswagen
Model: Passat
Series: PASSAT GLS
Body Style: Sedan 4 Door

Owner Information

Name: KELLERHALS, ERIKA A
DOB: [REDACTED]/1974
Address: [REDACTED]

RICHMOND COUNTY

Lienholder Information

Name: CHASE MANHATTAN BANKUSA NA
Address: PO BOX 5210
NEW HYDE PARK, NY 11042-5210
NASSAU COUNTY

Title Information

Title Transfer Date: 3/6/2002
Title Issue Date: 3/6/2002

Potential Relatives - 10 records found

1st Degree: 7, 2nd Degree: 3

No.	Full Name	Address/Phone
1.	KELLERHALS, ENER DOB [REDACTED]/1974 (Age: 40)	[REDACTED]
2.	KELLERHALS, EDWARD A • AKA RELLERMALS, EDWARD A • AKA KELLERNALS, EDW SSN [REDACTED]-XXXX DOB [REDACTED]1944 (Age: 70)	[REDACTED] 102 LINCOLN AVE STATEN ISLAND, NY 10306-2459 667-1921

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DB-SDNY-0016776
EFTA_00164522

EFTA01295208

No.	Full Name	Address/Phone
3.	KELLERHALS, KATHLEEN M • AKA KELLERHAL, KATHLEEN M • AKA KELLERHALS, KATHLEEN • AKA KELLERHALS, K M • AKA KELLERHALS, KATHEEN • AKA KELLERBALS, KATHLEEN M • AKA KELLERHALS, KATHLEEN M SSN: [REDACTED]-XXXX DOB: [REDACTED]/1949 (Age: 65)	
4.	KELLERHALS, EDWARD	
5.	KELLERHALS, KATHERINE M DOB: [REDACTED]/1949 (Age: 65)	
6.	DAHLING, ROBERT J SSN: [REDACTED]-XXXX DOB: [REDACTED]/1971 (Age: 43)	
6.A.	DAHLING, ROBERT J SSN: [REDACTED]-XXXX DOB: [REDACTED]/1940 (Age: 74)	

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No.	Full Name	Address/Phone
6.B.	DAHLING, PATRICIA M • AKA DAHLING, P SSN: [REDACTED]-XXXX DOB: [REDACTED] 1943 (Age: 71)	[REDACTED]
6.C.	DAHLING, MICHAEL A • AKA DABLING, MICHAEL A SSN: [REDACTED]-XXXX	
7.	DAHLING, MELISSA A • AKA KELLERHALS, MELISSA A SSN: [REDACTED]-XXXX DOB: [REDACTED] /1971 (Age: 43)	

Business Associates - 2 records found

1: KELLERHALS FERGUSON FLETCHER KROBLIN PLLC

Name: KELLERHALS, ERIKA A

Address: [REDACTED]

Status: ACTIVE

State: FL

Corporation Number: M13000002984

Descriptive Status: ACTIVE

Title: MEMBER MANAGER

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DB-SDNY-0016778

EFTA_00164524

EFTA01295210

Record Type: CURRENT
Record Date: 10/28/2013
2: KELLERHALS FERGUSON FLETCHER KROBLIN PLLC
Name: KELLERHALS, ERIKA A
Address: [REDACTED]
Status: INACTIVE
State: FL
Corporation Number: M13000002984
Descriptive Status: INACTIVE
Title: MEMBER MANAGER
Record Type: CURRENT
Record Date: 10/14/2014
Filing Date: 1/6/2014

Person Associates - 3 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	FERGUSON, GREG JFERGUSON, GREGORY J	[REDACTED]	[REDACTED] XXXX	[REDACTED]	/1976
2:	HANRATTY, THOMAS E	[REDACTED]	[REDACTED] XXXX	[REDACTED]	/1928
3:	TYRRELL, KRISTIN L	[REDACTED]	[REDACTED] XXXX	[REDACTED]	

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EFTA_00164525

EFTA01295211

No. Full Name Address SSN Phone DOB



Neighbors - 10 records found

242 BRYANT AVE STATEN ISLAND, NY 10306-3142

Name Address Phone

CAHILL, JAMES BRIAN

WITCZAK, MACIEJ K

DRUMM, ELIZABETH L

ASSENZA, CHRISTOPHER M
ASSENZA, MICHAEL P
CIRIGLIANO, MICHAEL EDWARD
CIRIGLIANO, SUZANNE

MIRO, FRANK AGUSTIN
PACCIONE, PAMELA J

KRUSE, ADAM M
KRUSE, BRANDON G
KRUSE, DONNA V
KRUSE, JOHN J
KRUSE, VICTORIA M

CUSACK, C J

CUSACK, MARIE E

GILLIUM, MAUREEN A
MCMILLAN, ROBERT M

SALERNO, DENNIS M



Employment - 13 records found

1:

Company Name: THERAPY ASSOCIATION AND DISABILITIES ADVOCATES INC

Name: KELLERHALS, ERIKA A

Address: [Redacted]

SSN: [Redacted]-XXXX

Confidence: High

2:

Company Name: KELLERHALS FERGUSON FLETCHER KROBLIN PLLC

Name: KELLERHALS, ERIKA A

Title: MEMBER MANAGER

SSN: [Redacted]-XXXX

Confidence: Medium

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DB-SDNY-0016780

EFTA_00164526

EFTA01295212

3:

Company Name: PATIENT ASSIST VI
Name: KELLERHALS, ERIKA A
Address: [REDACTED]
SSN: 074-72-XXXX
Confidence: High

4:

Company Name: VISF
Name: KELLERHALS, ERIKA A
Title: VICE PRESIDENT
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Phone: [REDACTED]
Confidence: Medium

5:

Company Name: KELLERHALS P.C
Name: KELLERHALS, ERIKA A
Title: PARTNER
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Phone: [REDACTED]
Confidence: Medium

6:

Company Name: THERAPY ASSOCIATION AND DISABILITIES ADVOCATES INC
Name: KELLERHALS, ERIKA A
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Confidence: High

7:

Company Name: ERIKA A. KELLERHALS P.C
Name: KELLERHALS, ERIKA A
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Phone: [REDACTED]
Confidence: Medium

8:

Company Name: ERIKA A. KELLERHALS P.C
Name: KELLERHALS, ERIKA
Title: PRESIDENT
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Phone: [REDACTED]
Confidence: Medium

9:

Company Name: MARJORIE RAWLS ROBERTS P.C
Name: KELLERHALS, ERIKA A
Title: ATTORNEY
Address: [REDACTED]
SSN: [REDACTED]-XXXX
Phone: [REDACTED]
Confidence: Medium

10:

Company Name: ROBERTS, MARJORIE RAWLS
Name: KELLERHALS, ERIKA A

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- Title: ASSOCIATE
 Address: [REDACTED]
 SSN: [REDACTED]-XXXX
 Phone: [REDACTED]
 Confidence: High
- 11:

Company Name: THE LALTJ LIMITED PARTNERSHIP
 Name: KELLERHALS, ERIKA MS
 Title: CONTACT
 Address: [REDACTED]
 SSN: [REDACTED]-XXXX
 Confidence: High
- 12:

Company Name: ERIKA A. KELLERHALS, P.C.
 Name: KELLERHALS, ERIKA A
 Title: MEMBER
 SSN: [REDACTED]-XXXX
 Phone: [REDACTED]
 Confidence: High
- 13:

Company Name: MARJORIE RAWLS ROBERTS P.C
 Name: KELLERHALS, ERIKA A
 Address: [REDACTED]
 SSN: [REDACTED]-XXXX
 Phone: [REDACTED]
 Confidence: Medium

Cellular & Alternate Phones - 1 records found

1:

Personal Information

Name: KELLERHALS, ERIKA
 Address: [REDACTED]
 Phone Number: [REDACTED]
 Phone Type: Mobile

Carrier Information

Carrier: NEW CINGULAR WRLS GA
 Carrier City: CHARLOTTE AMALIE (SAINT T
 Carrier State: VI

Sources - 41 records found

All Sources	41 Source Document(s)
Corporate Affiliations	2 Source Document(s)
Email addresses	7 Source Document(s)
Historical Person Locator	6 Source Document(s)
Motor Vehicle Registrations	4 Source Document(s)
Person Locator 1	11 Source Document(s)
Person Locator 2	4 Source Document(s)
Phone	3 Source Document(s)
PhonesPlus Records	1 Source Document(s)
Utility Locator	2 Source Document(s)
Voter Registrations	1 Source Document(s)

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

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Your GLBA Permissible Use is: Legal Compliance

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D&B:

Not Required

LEGAL RESULTS:

Court Cases:

There was no information found

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DB-SDNY-0016783
EFTA_00164529

EFTA01295215