

NAME SEARCHED: Richard Kahn

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input checked="" type="checkbox"/> Hit	I. RDC Results	RDC alert (mail send separately)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	Result Found(please see attached)
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	Not Required
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not Required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found(please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	Result Found(please see attached)

Prepared by: Prachi Pawa Date: 10/12/2016
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
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Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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OFAC RESULTS

RDC:

ALERT

PCR:

C20161034948238 Richard Kahn 12013245 NCA customised Closed - No Hit 12/10/2016

BIS RESULTS

Negative Media:

Class Action Reporter

April 15, 2016

BMW OF NORTH AMERICA: Final Approval Hearing Moved to July 14

SECTION: Vol. 18 ISSN: 1525-2272

LENGTH: 1187 words

The final hearing to consider approval of the settlement reached in the case, JOSHUA SKEEN and LAURIE FREEMAN, on behalf of themselves and all others similarly situated, Plaintiffs, v. BMW OF NORTH AMERICA, LLC, a Delaware limited liability company; BMW (U.S.) HOLDING CORP., a Delaware corporation; and BAYERISCHE MOTORENWERK AKTIENGESELLSCHAFT, a foreign corporation, Defendants, Civ. No. 2:13-cv-1531-WHW-CLW (D.N.J.), has been rescheduled to July 14, 2016, at 10:00 a.m.

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DB-SDNY-0020057

EFTA_00167801

EFTA01295898

BMW OF NORTH AMERICA: Final Approval Hearing Moved to July 14 Class Action
Reporter April 15, 2016

In January 2016, Senior District Judge William H. Walls of the District of New Jersey granted plaintiffs' unopposed motion for preliminary approval of the settlement and scheduled the final fairness hearing to assess the fairness, reasonableness, and adequacy of the proposed settlement on May 9, 2016.

Plaintiffs are owners or lessees of MINI Coopers who allege that, at the time of purchase, their vehicles contained a latent defect in a part of the engine known as the timing chain tensioner which causes the part to fail prematurely. The cars are second generation MINI Coopers with an N12 or N14 engine, the MINI Cooper R56 (Cooper Hardtop), 2007-2010 model years, the MINI Cooper R55 (MINI Clubman), 2008-2010 model years and the MINI Cooper R57 (MINI Cooper Convertible), 2009-2010 model years.

Plaintiffs include Joshua Skeen, from Georgia, Laurie Freeman, from Illinois, Scott Lamb, Gina Romaggi, Emmanuel Nomikos, Vicki Blasucci and Julian Mercado, from New Jersey, Gregory Abbott, Kevin Kebabjian, Ginger Roach, James Stoecker, [REDACTED], and Candi Sossa, from California, Scott Bookhout, from Minnesota, Michelle Colberg, from Arizona, Marta Motel, from Pennsylvania, Heather Swango, from Florida, Karla Moreno-Vanni, from New York, Lauren Sanders, from Texas, MaryAnne Howland, from Tennessee and Teresa Welch from Arkansas.

Plaintiffs Skeen and Freeman first filed a complaint against BMW of North America, LLC, BMW (U.S.) Holding Corp., and Bayerische Motorenwerk Aktiengesellschaft on March 12, 2013. Plaintiffs filed a first amended complaint on June 14, 2013, adding Lamb, Romaggi, and Nomikos as Named Plaintiffs. Defendants moved to dismiss the first amended complaint. On December 6, 2013, the court consolidated the action with another action brought in the district, Curran v. BMW of North America, LLC, 2:13-cv-4625, dealing with similar subject matter.

On January 24, 2014, addressing only the first amended complaint and not the consolidated claims from Curran, the court granted defendants' motion to dismiss in part and denied it in part. On May 2, 2014, plaintiffs filed a second amended complaint, specifically incorporating the plaintiffs and claims asserted in Curran and asserting the eighteen federal and state law claims, including claims for **breach** of express warranty, **breach** of implied warranty, and **violation** of the Magnuson-Moss Warranty Act, 15 U.S.C. Section 2301 et seq., on behalf of themselves and the entire nationwide class.

On April 17, 2014, **Richard Kahn** filed a putative **class action** against defendants in the United States District Court for the

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BMW OF NORTH AMERICA: Final Approval Hearing Moved to July 14 Class Action
Reporter April 15, 2016

Eastern District of New York dealing with similar subject matter, but the same has not yet been consolidated.

On November 23, 2015, plaintiffs announced that they and defendants had agreed to a settlement with respect to owners and lessees of vehicles with an N14 engine only. The Defendants agree to provide N14 Class members with four primary types of relief:

1. N14 Class Vehicles will receive a warranty extension for the timing-chain tensioner and timing chain for seven years or 100,000 miles from the date when the vehicle was first placed into service, whichever comes first, subject to certain exceptions.

2. N14 Class members will be entitled to reimbursement for out-of-pocket expenses incurred before the effective settlement date for repair and/or replacement of the timing chain and/or timing-chain tensioner, subject to certain limitations. Class members will be entitled to 100% of costs incurred at authorized MINI dealers and up to \$120 for timing-chain tensioners and \$850 for timing chains repaired or replaced at independent service centers.

3. N14 Class members will be entitled to reimbursement for up to \$4,500 in out-of-pocket expenses incurred before the effective settlement date for repair and/or replacement of an engine because of timingchain tensioner and/or timing chain failure, subject to discounts based on mileage and the amount of time since their vehicle was first placed into service, as well as certain other limitations.

4. N14 Class members will be entitled to compensation of up to \$2,250 if they had to sell their vehicle at a loss before the effective settlement date due to an unrepaired damaged or failed engine caused by timing-chain tensioner and/or timing chain failure, again subject to discounts based on mileage and the time since their vehicle was first placed into service, as well as certain other limitations.

The parties have not specified the total dollar value of the settlement because the total amount paid out by Defendants will depend on the number and nature of claims submitted by N14 Class members, but Plaintiffs' counsel estimated at oral argument on January 6, 2016 that the settlement's total value may be in excess of \$30 million.

Under the settlement agreement, Plaintiffs' counsel may apply to the Court for a total award of fees and expenses not more than

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BMW OF NORTH AMERICA: Final Approval Hearing Moved to July 14 Class Action
Reporter April 15, 2016

\$2,320,000. Defendants agree not to object to an application for an award of up to \$1,820,000. Defendants also agree not to oppose an application for service awards of \$4,000 each to the 18 Class Representatives.

In the January Order, the Court appointed as class counsel the firms Khorrani Boucher Sumner Sanguinetti, LLP (now Boucher LLP), Cafferty Clobes Meriweather & Sprengel LLP, Markun Zusman Freniere Compton LLP, and Pinilis Halpern, LLP. The Court also appointed Kiesel Law LLP, Ahdoot & Wolfson PC, and Morgan & Morgan Complex Litigation Group, all of whom Plaintiffs represent are "exceedingly qualified in class action and complex litigation" and whose resumes and curriculum vitae Plaintiffs have attached to their proposed settlement agreement.

A copy of Judge Walls's opinion dated January 6, 2016, is available at <http://goo.gl/U81kY4> from Leagle.com.

Joshua Skeen and Laurie Freeman, Plaintiff, represented by Jeffrey Alan Koncius -- koncius@kbla.com -- Kiesel Larson LLP; William J. Pinilis -- wpinilis@consumerfraudlawyer.com -- at Pinilis Halpern

Scott Lamb, Gina Romaggi and Emmanuel Nomikos, Plaintiffs, represented by William J. Pinilis -- wpinilis@consumerfraudlawyer.com -- at Pinilis Halpern

[REDACTED], Consol Plaintiff, represented by Bryan L. Clobes -- bclobes@caffertyclobes.com -- AT cafferty clobes meriwether & sprengel LLP; William J. Pinilis at Pinilis Halpern

Defendants BMW of North America, LLC, BMW (US) Holding Corp., and Bayerische Motoren Werke Aktiengesellschaft, are represented by Christopher J. Dalton -- christopher.dalton@bipc.com -- at Buchanan, Ingersoll & Rooney, PC; Rosemary Joan Bruno -- rosemary.bruno@bipc.com -- at Buchanan, Ingersoll & Rooney, PC; and Daniel Zev Rivlin -- daniel.rivlin@bipc.com -- at Buchanan Ingersoll & Rooney PC

LOAD-DATE: April 15, 2016

LANGUAGE: ENGLISH

PUBLICATION-TYPE: Newsletter

Class Action Reporter

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BMW OF NORTH AMERICA: Final Approval Hearing Moved to July 14 Class Action
Reporter April 15, 2016

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Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 1 RECORD(S)

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Date: 10/12/2016
Report processed by:
DEUTSCHE BANK AG||

Full Name	Address	County	Phone
KAHN, RICHARD CHARLES	[REDACTED]	PARK	[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)
451-51-XXXX [] SSN linked to multiple people	9/1968 (Age:48)		001296139875

Subject Summary

Name Variations

- 1: KAHN, RICAHRD C
- 2: KAHN, RICHARD
- 3: KAHN, RICHARD C JR
- 4: KAHN, RICHARD C
- 5: KAHN, RICHARD C SR
- 6: KAHN, RICHARD CHARLES
- 7: KHAN, RICHARD C

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
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1: 451-51-XXXX Texas **Most frequent SSN attributed to subject:** 1980-1981  SSN linked to multiple people

DOBs

Reported DOBs:

9/1968

9/1968

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 1 records found

#	Full Name	SSN	DOB
1:	KAHN, RICHARD C JR	451-51-XXXX	1/1995

Address Summary - 32 records found

No. Address

1:	[REDACTED]
2:	[REDACTED]
3:	[REDACTED]
4:	[REDACTED]
5:	[REDACTED]
6:	[REDACTED]
7:	[REDACTED]
8:	[REDACTED]
9:	[REDACTED]

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No. Address

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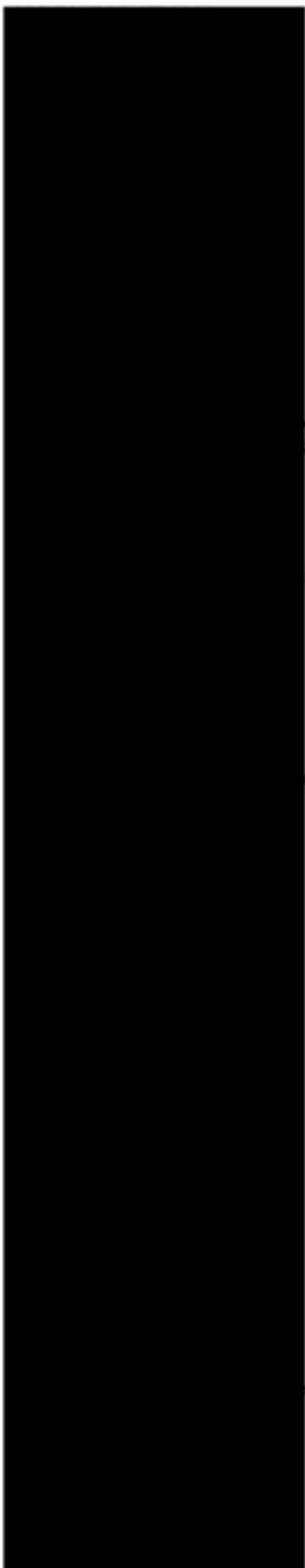
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DB-SDNY-0020063

EFTA_00167807

EFTA01295904

No. Address

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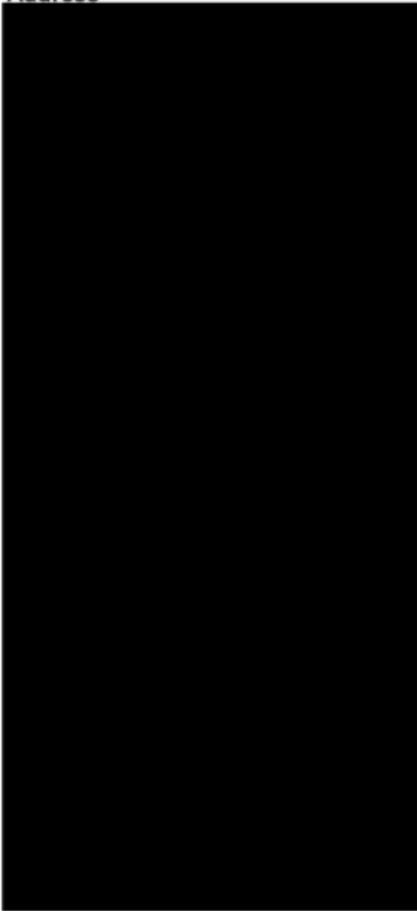
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31:

32:



Address Details

1: [Redacted]
Address [Redacted]

Dates
2008 - 8/2016

Phone
[Redacted]

Census Data for Geographical Region

Median Head of Household Age: 53
Median Income: \$67,477
Median Home Value: \$257,042
Median Education: 15 years

Household Members
KAHN, MARY BLANCHE

Other Associates

None Listed

2: [Redacted]
Address [Redacted]

Dates
2012 - 10/2016

Phone

Census Data for Geographical Region

Median Head of Household Age: 41
Median Income: \$76,856
Median Home Value: \$162,908

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Median Education: 12 years
Household Members
DELGADO, CRISTINA VIRIDIANA
KAHN, RICHARD CHARLES JR

Other Associates
None Listed

3: [REDACTED]
Address

Dates
6/2002 - 7/2016

Phone
[REDACTED]

Census Data for Geographical Region
Median Head of Household Age: 24
Median Income: \$50,000
Median Home Value: \$103,395
Median Education: 11 years
Household Members
None Listed
Other Associates
None Listed

4: [REDACTED]
Address

Dates
10/1997 - 5/2016

Phone

Census Data for Geographical Region
Median Head of Household Age: 24
Median Income: \$50,000
Median Home Value: \$103,395
Median Education: 11 years
Household Members
KAHN, JULIA E
KAHN, RICHARD CHARLES JR

Other Associates
None Listed

5: [REDACTED]
Address

Dates
11/2008 - 2015

Phone
[REDACTED]

Census Data for Geographical Region
Median Head of Household Age: 53
Median Income: \$67,477
Median Home Value: \$257,042
Median Education: 15 years
Household Members
KAHN, MARY BLANCHE

Other Associates
None Listed

6: [REDACTED]
Address

Dates
4/1996 - 2014

Phone

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Census Data for Geographical Region

Median Head of Household Age: 28
Median Income: \$51,533
Median Home Value: \$103,423
Median Education: 11 years

Household Members

None Listed

Other Associates

None Listed

7: [Redacted]
Address [Redacted]

Dates **Phone**
1/2011 - 11/2013

Census Data for Geographical Region

Median Head of Household Age: 42
Median Income: \$18,151
Median Home Value: \$157,095
Median Education: 13 years

Household Members

DELGADO, CRISTINA VIRIDIANA

Other Associates

None Listed

8: [Redacted]
Address [Redacted]

Dates **Phone**
7/2009 - 9/2013

Census Data for Geographical Region

Median Head of Household Age: 28
Median Income: \$51,533
Median Home Value: \$103,423
Median Education: 11 years

Household Members

KAHN, RICHARD CHARLES JR

Other Associates

None Listed

9: 4 [Redacted]
Address [Redacted]

Dates **Phone**
7/1998 - 2013 [Redacted]

Census Data for Geographical Region

Median Head of Household Age: 36
Median Income: \$104,762
Median Home Value: \$221,429
Median Education: 14 years

Household Members

None Listed

Other Associates

None Listed

10: [Redacted]
Address [Redacted]

Dates **Phone**
6/2006 - 9/2012 [Redacted]

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WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 36

Median Income: \$104,762

Median Home Value: \$221,429

Median Education: 14 years

Household Members

KAHN, MARTHA STABEN

Other Associates

ZUNIGA, FEDERICO CARLOS

11: [REDACTED]

Address

8104 SAN GABRIEL DR STE 1

LAREDO, TX 78045-7017

WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 24

Median Income: \$50,000

Median Home Value: \$103,395

Median Education: 11 years

Household Members

None Listed

Other Associates

None Listed

Dates

12/2004 - 8/2012

Phone

12: NONE NONE TX SAN ANTONIO, TX 78209

Address

NONE NONE TX

SAN ANTONIO, TX 78209

BEXAR COUNTY

Census Data for Geographical Region

Median Head of Household Age: 36

Median Income: \$51,563

Median Home Value: \$121,635

Median Education: 15 years

Household Members

None Listed

Other Associates

None Listed

Dates

10/2011 - 2/2012

Phone

13: [REDACTED]

Address

[REDACTED]

Dates

2006 - 6/2011

Phone

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 29

Median Income: \$77,114

Median Home Value: \$148,826

Median Education: 13 years

Household Members

DELGADO, CRISTINA VIRIDIANA

KAHN, RICHARD CHARLES JR

Other Associates

DELGADO, JULIO CESAR

14: 2255 THOUSAND OAKS DR APT 809 SAN ANTONIO, TX 78232-3982

Address

Dates

Phone

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EFTA_00167811

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[REDACTED]

5/2004 - 4/2007

BEXAR COUNTY
Census Data for Geographical Region
Median Head of Household Age: 37
Median Income: \$61,769
Median Home Value: \$152,310
Median Education: 14 years
Household Members
None Listed
Other Associates
None Listed

15: [REDACTED]
Address [REDACTED]

Dates
3/1996 - 3/2007

Phone

[REDACTED]

WEBB COUNTY
Census Data for Geographical Region
Median Head of Household Age: 28
Median Income: \$51,533
Median Home Value: \$103,423
Median Education: 11 years
Household Members
None Listed
Other Associates
None Listed

16: [REDACTED]
Address [REDACTED]

Dates
4/2003 - 7/2006

Phone

[REDACTED]

WEBB COUNTY
Census Data for Geographical Region
Median Head of Household Age: 36
Median Income: \$104,762
Median Home Value: \$221,429
Median Education: 14 years
Household Members
None Listed
Other Associates
None Listed

17: [REDACTED]
Address [REDACTED]

Dates
8/2005 - 3/2006

Phone

[REDACTED]

BEXAR COUNTY
Household Members
None Listed
Other Associates
None Listed

18: [REDACTED]
Address [REDACTED]

Dates
6/2003 - 3/2006

Phone

[REDACTED]

WEBB COUNTY
Census Data for Geographical Region
Median Head of Household Age: 24
Median Income: \$38,989

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DB-SDNY-0020068

EFTA_00167812

EFTA01295909

Median Home Value: \$117,339
Median Education: 10 years
Household Members
None Listed
Other Associates
None Listed

19: [REDACTED]

Address

[REDACTED]

Dates

1/1998 - 3/2004

Phone

[REDACTED]

WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 35

Median Income: \$73,980

Median Home Value: \$139,931

Median Education: 12 years

Household Members

None Listed

Other Associates

None Listed

20: [REDACTED]

Address

[REDACTED]

Dates

1/1996 - 4/2003

Phone

[REDACTED]

WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 26

Median Income: \$38,341

Median Home Value: \$63,500

Median Education: 11 years

Household Members

KAHN, ADOLPH R JR

KAHN, MARTHA STABEN

Other Associates

None Listed

21: [REDACTED]

Address

[REDACTED]

Dates

1/2002 - 6/2002

Phone

WEBB COUNTY

Household Members

None Listed

Other Associates

None Listed

22: [REDACTED]

Address

[REDACTED]

Dates

12/1989 - 6/2002

Phone

[REDACTED]

WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 36

Median Income: \$104,762

Median Home Value: \$221,429

Median Education: 14 years

Household Members

KAHN, ADOLPH R JR

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DB-SDNY-0020069

EFTA_00167813

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KAHN, MARTHA STABEN

Other Associates

None Listed

23 [Redacted]
Ad [Redacted]
25 [Redacted]
CC [Redacted]
BR [Redacted]

Dates
8/1993 - 1/1999

Phone
[Redacted]

Census Data for Geographical Region

Median Head of Household Age: 28
Median Income: \$89,727
Median Home Value: \$184,649
Median Education: 15 years

Household Members

None Listed

Other Associates

None Listed

[Redacted]

Dates
12/1998 - 12/1998

Phone

Census Data for Geographical Region

Median Head of Household Age: 35
Median Income: \$73,980
Median Home Value: \$139,931
Median Education: 12 years

Household Members

None Listed

Other Associates

None Listed

[Redacted]

Dates
3/1994 - 3/1994

Phone

BRAZOS COUNTY

Census Data for Geographical Region

Median Head of Household Age: 28
Median Income: \$89,727
Median Home Value: \$184,649
Median Education: 15 years

Household Members

None Listed

Other Associates

None Listed

[Redacted]

Dates
9/1993 - 3/1994

Phone
[Redacted]

WEBB COUNTY

Census Data for Geographical Region

Median Head of Household Age: 37
Median Income: \$64,939
Median Home Value: \$144,130
Median Education: 12 years

Household Members

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DB-SDNY-0020070

EFTA_00167814

EFTA01295911

KAHN, ADOLPH R JR

Other Associates

None Listed



TRAVIS COUNTY

Census Data for Geographical Region

Median Head of Household Age: 27

Median Income: \$35,353

Median Home Value: \$137,500

Median Education: 13 years

Household Members

None Listed

Other Associates

None Listed



Dates

5/1990 - 12/1990

Phone

WEBB COUNTY

WEBB COUNTY

Household Members

None Listed

Other Associates

None Listed



Dates

2/1990 - 2/1990

Phone

WEBB COUNTY

Household Members

None Listed

Other Associates

None Listed



Dates

12/1989 - 12/1989

Phone

TRAVIS COUNTY

Census Data for Geographical Region

Median Head of Household Age: 31

Median Income: \$46,269

Median Home Value: \$110,947

Median Education: 12 years

Household Members

None Listed

Other Associates

None Listed



Dates

Phone

WEBB COUNTY

Household Members

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DB-SDNY-0020071

EFTA_00167815

EFTA01295912

None Listed
Other Associates
None Listed



Dates

Phone

BEXAR COUNTY
Census Data for Geographical Region
Median Head of Household Age: 27
Median Income: \$61,957
Median Home Value: \$115,515
Median Education: 14 years
Household Members
KAHN, RICHARD CHARLES JR

Other Associates
None Listed

Voter Registrations - 5 records found

1: Texas Voter Registration

Registrant Information

Name: KAHN, RICHARD CHARLES

Residential Address:



SSN: 451-51-XXXX

Date of Birth: 9/1968

Gender: Male

Voter Information

Registration Date: 10/31/1986

Last Vote Date: 11/2/2010

2: Texas Voter Registration

Registrant Information

Name: KAHN, RICHARD

Residential Address:



SSN: 451-51-XXXX

Date of Birth: 9/1968

Gender: Male

Voter Information

Registration Date: 10/31/1986

Active Status: ACTIVE

3: Texas Voter Registration

Registrant Information

Name: KAHN, RICHARD CHARLES

Residential Address:



SSN: 451-51-XXXX

Date of Birth: 9/1968

Gender: Male

Voter Information

Registration Date: 4/19/2014

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SDNY_GM_00057248

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DB-SDNY-0020072

EFTA_00167816

EFTA01295913

Party Affiliation: NONE DECLARED
Active Status: ACTIVE

4: Texas Voter Registration

Registrant Information

Name: KAHN, RICHARD CHARLES

Residential Address:



SSN: 451-51-XXXX

Date of Birth: 9/1968

Gender: Male

Voter Information

Registration Date: 6/3/2012

Last Vote Date: 11/6/2012

5: Texas Voter Registration

Registrant Information

Name: KAHN, RICHARD CHARLES

Residential Address:



SSN: 451-51-XXXX

Date of Birth: 9/1968

Gender: Male

Voter Information

Registration Date: 6/3/2012

Last Vote Date: 11/6/2012

Party Affiliation: NONE DECLARED

Active Status: ACTIVE

Driver Licenses - 12 records found

1: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address:



Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX

DOB: 09/1968

License Information

Issue Date: 04/05/2013

Additional Driver Information

DOB: 09/1968

History: Current

2: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address:



Data source: Governmental: TX

Personal Information

For internal use only

SDNY_GM_00057249

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DB-SDNY-0020073

EFTA_00167817

EFTA01295914

SSN: 451-51-XXXX
DOB: 09/1968

License Information

Issue Date: 09/07/2012

Additional Driver Information

DOB: 09/1968
History: Historical

3: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address:



Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

License Information

Issue Date: 06/13/2011

Additional Driver Information

DOB: 09/1968
History: Historical

4: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address:



Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

License Information

Issue Date: 03/08/1984

Additional Driver Information

DOB: 09/1968
History: Historical

5: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address:



Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

Additional Driver Information

DOB: 09/1968
History: Historical

6: Texas Driver License

For internal use only

SDNY_GM_00057250

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DB-SDNY-0020074

EFTA_00167818

EFTA01295915

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX

DOB: 09/1968

Additional Driver Information

DOB: 09/1968

History: Historical

7: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX

DOB: 09/1968

License Information

License Type: ADDRESS CHANGE ONLINE

Additional Driver Information

DOB: 09/1968

History: Historical

8: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX

DOB: 09/1968

License Information

License Type: DUPLICATE

Additional Driver Information

DOB: 09/1968

History: Historical

9: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX

DOB: 09/1968

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DB-SDNY-0020075

EFTA_00167819

EFTA01295916

Additional Driver Information

DOB: 09/1968
History: Historical

10: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

License Information

License Type: DUPLICATE

Additional Driver Information

DOB: 09/1968
History: Historical

11: Texas Driver License

Driver Information

Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Data source: Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

License Information

License Type: RENEWAL

Additional Driver Information

DOB: 09/1968
History: Historical

12: Texas Driver License

Driver Information

Name: KAHN, RICHARD C

Address: [REDACTED]

Data source: Non-Governmental: TX

Personal Information

SSN: 451-51-XXXX
DOB: 09/1968

Additional Driver Information

DOB: 09/1968

Professional Licenses - 0 records found

Health Care Providers - 0 records found

Health Care Sanctions - 0 records found

Pilot Licenses - 1 records found

1:

Name: KAHN, RICHARD CHARLES

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SDNY_GM_00057252

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DB-SDNY-0020076

EFTA_00167820

EFTA01295917

Address: [REDACTED]
 Record Type: UNK
 Certification Class: 2
 Medical Certification Date: 9/1998
 Medical Date Expires: 9/1999
 Certification
 Type: P
 Level: P
 Ratings: P/ASBL

Sport Licenses - 1 records found

1: Sport License

Name: KAHN, RICHARD C
 DOB: 7/1968
 Address: [REDACTED]
 Number: 5155930
 License Date: 2005
 License State: Alaska
 Type: Hunting and Fishing
 Home State: Texas

Real Property - 10 records found

1: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD CHARLES
 Address: [REDACTED]
 County/FIPS: WEBB

Property Information

Data Source: B

Legal Information

Assessor's Parcel Number: 0301610-9224446-OR

Assessment Information

Assessed Value: \$250
 Total Market Value: \$250

2: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD C
 Address: [REDACTED]
 County/FIPS: WEBB

Property Information

Address: [REDACTED]
 County/FIPS: WEBB
 Data Source: B

Legal Information

Assessor's Parcel Number: 909-00057-808
 Recording Date: 06/23/2006
 Book/Page: 226

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Assessment Information

Assessed Value: \$232030
Market Land Value: \$73230
Market Improvement Value: \$158800
Total Market Value: \$232030

3: Assessment Record for BEXAR County, TX

Owner Information

Name: KAHN RICHARD C
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: [REDACTED]
County/FIPS: BEXAR
Data Source: B

Legal Information

Assessor's Parcel Number: 16129-100-8090
Recording Date: 06/04/2004
Book/Page: 10793/597

Assessment Information

Assessed Value: \$81990
Market Land Value: \$14300
Market Improvement Value: \$67690
Total Market Value: \$81990

4: Assessment Record for BEXAR County, TX

Owner Information

Name: KAHN RICHARD
Address: [REDACTED] 5
County/FIPS: WEBB

Property Information

Address: WILDERNESS OAK
Data Source: B

Legal Information

Assessor's Parcel Number: 04900-000-1020
Recording Date: 12/22/2004
Book/Page: 11154/162

Assessment Information

Assessed Value: \$23500
Market Land Value: \$23500
Total Market Value: \$23500

5: Assessment Record for BEXAR County, TX

Owner Information

For internal use only

SDNY_GM_00057254

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DB-SDNY-0020078
EFTA_00167822

EFTA01295919

Name: KAHN RICHARD
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: WILDERNESS OAK
Data Source: B

Legal Information

Assessor's Parcel Number: 04900-000-0305
Recording Date: 12/22/2004
Book/Page: 11154/157

Assessment Information

Assessed Value: \$23700
Market Land Value: \$23700
Total Market Value: \$23700

6: Assessment Record for COMAL County, TX

Owner Information

Name: KAHN RICHARD C & JULIA E
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: OAK SHORES DR CANYON LAKE, TX
Data Source: B

Legal Information

Assessor's Parcel Number: 40-0400-0056-00
Recording Date: 04/03/2001

Assessment Information

Market Land Value: \$93600
Total Market Value: \$93600

7: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD C & JULIA E
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: [REDACTED]
County/FIPS: WEBB
Data Source: B

Legal Information

Assessor's Parcel Number: 909-00056-800

Assessment Information

Assessed Value: \$210000
Market Land Value: \$57830

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Market Improvement \$152170
Value:
Total Market Value: \$210000

8: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD CHARLES & SUSANNE K LEYENDECKER TRUSTEE
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: [REDACTED]
County/FIPS: WEBB
Data Source: B

Legal Information

Assessor's Parcel Number: 900-90221-024
Recording Date: 07/01/1998
Book/Page: 655/475

Assessment Information

Assessed Value: \$158720
Market Land Value: \$158720
Total Market Value: \$158720

9: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD CHARLES & SUSANNE K LEYENDECKER
Address: [REDACTED]
County/FIPS: WEBB

Property Information

Address: 8002 SAN GABRIEL DR LAREDO, TX 78045-7120
County/FIPS: WEBB
Data Source: B

Legal Information

Assessor's Parcel Number: 900-90221-156
Recording Date: 07/01/1998
Book/Page: 655/475

Assessment Information

Assessed Value: \$159900
Market Land Value: \$32140
Market Improvement Value: \$127760
Total Market Value: \$159900

10: Assessment Record for WEBB County, TX

Owner Information

Name: KAHN RICHARD C
Address: [REDACTED]

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CONFIDENTIAL

County/FIPS: WEBB

Property Information

Address: [REDACTED]

County/FIPS: WEBB

Data Source: B

Legal Information

Assessor's Parcel Number: 909-00038-642

Recording Date: 04/06/1999

Book/Page: 753/743

Assessment Information

Assessed Value: \$95320

Market Land Value: \$28800

Market Improvement Value: \$66520

Total Market Value: \$95320

Motor Vehicle Registrations - 59 records found

1: TX MVR

Registrant Information

Registrant: KAHN, RICHARD CHARLES

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 5/8/2015
Registration Date: 5/19/2016
Registration Expiration Date: 4/30/2017

Vehicle Information

VIN: WDCGG5GB7CF895609
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2012
Make: Mercedes-Benz
Model: GLK350
Series: GLK350
Body Style: 4 Dr Wagon Sport Utility
Color: White

Plate Information

License Plate Type: Private
Previous Plate Number: FSX2146
Previous Plate State: TX
License Plate Number: FSX2146
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

2: TX MVR

Vehicle Information

VIN: WDCGG5GB7CF895609
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2012
Make: Mercedes-Benz
Model: GLK350
Series: GLK350
Body Style: 4 Dr Wagon Sport Utility

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DB-SDNY-0020081

EFTA_00167825

EFTA01295922

Color: White

Owner Information

Name: KAHN, RICHARD CHARLES

DOB: [REDACTED]

Address: [REDACTED]

WEBB COUNTY

Title Information

Title Number: 24020042154132638

Title Transfer Date: 6/8/2015

Title Issue Date: 6/8/2015

Source Information

Data Source: GOVERNMENTAL

3: TX MVR

Registrant Information

Registrant: KAHN, RICHARD CHARLES

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 4/5/2016

Registration Date: 4/5/2016

Registration Expiration Date: 9/30/2016

Vehicle Information

VIN: 3D73Y4CL1BG621965

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2011

Make: Dodge

Model: Ram

Series: 3500

Body Style: Crew Pickup

Color: White

Plate Information

License Plate Type: Private

Previous Plate Number: CPT9594

Previous Plate State: TX

License Plate Number: HBT4813

Plate State: TX

Source Information

Data Source: GOVERNMENTAL

4: TX MVR

Vehicle Information

VIN: 3D73Y4CL1BG621965

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2011

Make: Dodge

Model: Ram

Series: 3500

Body Style: Crew Pickup

Color: White

Owner Information

Name: KAHN, RICHARD CHARLES

DOB: [REDACTED]

Address: [REDACTED]

Title Information

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DB-SDNY-0020082

EFTA_00167826

EFTA01295923

Title Number: 24031142463155825
Title Transfer Date: 4/13/2016
Title Issue Date: 4/13/2016
Source Information
Data Source: GOVERNMENTAL

5: TX MVR

Registrant Information
Registrant: KAHN, RICHARD CHARLES
DOB: [REDACTED]
Address: [REDACTED]

Registration Information
Original Registration Date: 1/26/2015
Registration Date: 1/5/2016
Registration Expiration Date: 12/31/2016

Vehicle Information
VIN: 1FTSW20566EC04571
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2006
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: Crew Pickup
Color: White

Plate Information
License Plate Type: Private
Previous Plate Number: FJL6563
Previous Plate State: TX
License Plate Number: FJL6563
Plate State: TX

Source Information
Data Source: GOVERNMENTAL

6: TX MVR

Vehicle Information
VIN: 1FTSW20566EC04571
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2006
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: Crew Pickup
Color: White

Owner Information
Name: KAHN, RICHARD CHARLES
DOB: [REDACTED]
Address: [REDACTED]

Title Information
Title Number: 24025042028144003
Title Transfer Date: 2/2/2015
Title Issue Date: 2/2/2015
Source Information
Data Source: GOVERNMENTAL

7: TX MVR

Registrant Information
Registrant: KAHN, R C

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DB-SDNY-0020083

EFTA_00167827

EFTA01295924

DOB: 9/1968
Address: [REDACTED]

Registration Information

Original Registration Date: 11/9/2013
Registration Date: 11/9/2013
Registration Expiration Date: 10/31/2014

Vehicle Information

VIN: 3GCPCSE04DG256007
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2013
Make: Chevrolet
Model: Silverado
Series: C1500 LT
Body Style: Crew Pickup
Color: Black

Plate Information

License Plate Type: Private
Previous Plate Number: BPP0949
Previous Plate State: TX
License Plate Number: CPH2085
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

8: TX MVR

Vehicle Information

VIN: 3GCPCSE04DG256007
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2013
Make: Chevrolet
Model: Silverado
Series: C1500 LT
Body Style: Crew Pickup
Color: Black

Owner Information

Name: KAHN, R C

DOB: [REDACTED]
Address: [REDACTED]

Title Information

Title Number: 01522341585135052
Title Transfer Date: 11/19/2013
Title Issue Date: 11/19/2013

Source Information

Data Source: GOVERNMENTAL

9: TX MVR

Registrant Information

Registrant: KAHN, R C

DOB: [REDACTED]
Address: [REDACTED]

Registration Information

Original Registration Date: 9/1/2013
Registration Date: 9/1/2013
Registration Expiration Date: 8/31/2014

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Vehicle Information

VIN: WDDGF5EB6BR135752
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2011
Make: Mercedes-Benz
Model: C300
Series: C300
Body Style: Sedan 4 Door
Color: Black

Plate Information

License Plate Type: Private
Previous Plate Number: BCZ9880
Previous Plate State: TX
License Plate Number: BCZ9880
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

10: TX MVR

Vehicle Information

VIN: WDDGF5EB6BR135752
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2011
Make: Mercedes-Benz
Model: C300
Series: C300
Body Style: Sedan 4 Door
Color: Black

Owner Information

Name: KAHN, R C
DOB: [REDACTED]
Address: [REDACTED]

Title Information

Title Number: 01512341151120536
Title Transfer Date: 9/14/2012
Title Issue Date: 9/14/2012

Source Information

Data Source: GOVERNMENTAL

11: TX MVR

Registrant Information

Registrant 1

Registrant: RKCD ENTERPRISES LLC
Address: [REDACTED]

Registrant 2

Registrant: KAHN, RICHARD
DOB: [REDACTED]
Address: [REDACTED]

Registration Information

Original Registration Date: 8/23/2011
Registration Date: 8/27/2013
Registration Expiration Date: 7/31/2014

Vehicle Information

VIN: 3D7UT2HLXBG629398

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DB-SDNY-0020085

EFTA_00167829

EFTA01295926

Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2011
Make: Dodge
Model: Ram
Series: 2500 MEGA CAB
Body Style: Crew Pickup
Color: White

Plate Information

License Plate Type: Private
Previous Plate Number: BJ04010
Previous Plate State: TX
License Plate Number: BJ04010
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

12: TX MVR

Vehicle Information

VIN: 3D7UT2HLXBG629398
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2011
Make: Dodge
Model: Ram
Series: 2500 MEGA CAB
Body Style: Crew Pickup
Color: White

Owner Information

Owner 1

Name: RKCD ENTERPRISES LLC
Address: [REDACTED]

Owner 2

Name: KAHN, RICHARD
DOB: [REDACTED]
Address: [REDACTED]

Lienholder Information

Name: JPMORGAN CHASE BANK N. A
Address: [REDACTED]

Title Information

Title Number: 16320140776134448
Title Transfer Date: 9/8/2011
Title Issue Date: 9/8/2011

Source Information

Data Source: GOVERNMENTAL

13: TX MVR

Registrant Information

Registrant: KAHN, R C
DOB: [REDACTED]
Address: [REDACTED]

Registration Information

Original Registration Date: 7/1/2013
Registration Date: 7/1/2013

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DB-SDNY-0020086

EFTA_00167830

EFTA01295927

Registration Expiration Date: 6/30/2014

Vehicle Information

VIN: 1FTSX21P67EA49146
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2007
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: 4 Door EXT Cab PK
Color: Silver
Secondary Colo: Red

Plate Information

License Plate Type: Private
Previous Plate Number: BV64074
Previous Plate State: TX
License Plate Number: BV64074
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

14: TX MVR

Vehicle Information

VIN: 1FTSX21P67EA49146
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2007
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: 4 Door EXT Cab PK
Color: Silver
Secondary Colo: Red

Owner Information

Name: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED]

Title Information

Title Number: 01512341099174214
Title Transfer Date: 7/20/2012
Title Issue Date: 7/20/2012

Source Information

Data Source: GOVERNMENTAL

15: TX MVR

Registrant Information

Registrant: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 4/18/2013
Registration Date: 4/18/2013
Registration Expiration Date: 3/31/2014

Vehicle Information

VIN: 1C6RD7JT6CS267589
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2012
Make: Dodge

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DB-SDNY-0020087

EFTA_00167831

EFTA01295928

Model: Ram
Series: TRUCK 1500 LARAMIE
Body Style: Crew Pickup
Plate Information
License Plate Type: Private
License Plate Number: BRL5363
Plate State: TX
Source Information
Data Source: GOVERNMENTAL

16: TX MVR

Vehicle Information
VIN: 1C6RD7JT6CS267589
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2012
Make: Dodge
Model: Ram
Series: TRUCK 1500 LARAMIE
Body Style: Crew Pickup
Owner Information
Name: KAHN, R C
DOB: [REDACTED]
Address: [REDACTED]
Title Information
Title Number: 24030541380141515
Title Transfer Date: 5/1/2013
Title Issue Date: 5/1/2013
Source Information
Data Source: GOVERNMENTAL

17: TX MVR

Registrant Information
Registrant: KAHN, R C
DOB: [REDACTED]
Address: [REDACTED]
Registration Information
Original Registration Date: 9/17/2012
Registration Date: 9/17/2012
Registration Expiration Date: 8/31/2013
Vehicle Information
VIN: 5LMJJ2H59BEJ06474
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2011
Make: Lincoln
Model: Navigator
Series: BASE
Body Style: 4 Dr Wagon Sport Utility
Color: White
Plate Information
License Plate Type: Private
Previous Plate Number: AM52297
Previous Plate State: TX
License Plate Number: AM52297
Plate State: TX
Source Information
Data Source: GOVERNMENTAL

For internal use only

SDNY_GM_00057264

CONFIDENTIAL

18: TX MVR

Vehicle Information

VIN: 5LMJJ2H59BEJ06474
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 2011
 Make: Lincoln
 Model: Navigator
 Series: BASE
 Body Style: 4 Dr Wagon Sport Utility
 Color: White

Owner Information

Name: KAHN, R C
 DOB: [REDACTED]
 Address: [REDACTED]

Lienholder Information

Lienholder 1

Name: FORD MOTOR CREDIT CO
 Address: 27600 KINGS MANOR DR N APT 1073
 KINGWOOD, TX 77339-2163
 MONTGOMERY COUNTY

Lienholder 2

Name: FORD MOTOR CREDIT CO. LLC
 Address: PO BOX 105704
 ATLANTA, GA 30348-5704
 FULTON COUNTY

Title Information

Title Number: 24030740652163713
 Title Transfer Date: 5/10/2011
 Title Issue Date: 5/10/2011

Source Information

Data Source: GOVERNMENTAL

19: TX MVR

Registrant Information

Registrant: KAHN, R C
 DOB: [REDACTED]
 Address: [REDACTED]

Registration Information

Original Registration Date: 8/31/2012
 Registration Date: 8/31/2012
 Registration Expiration Date: 7/31/2013

Vehicle Information

VIN: WBAUT9C53BA191881
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 2011
 Make: BMW
 Model: 328i
 Series: 328i
 Body Style: Station Wagon

Plate Information

License Plate Type: Private
 Previous Plate Number: CK9S222
 Previous Plate State: TX
 License Plate Number: BCZ9842
 Plate State: TX

Source Information

For internal use only

SDNY_GM_00057265

Data Source: GOVERNMENTAL

20: TX MVR

Vehicle Information

VIN: WBAUT9C53BA191881
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 2011
 Make: BMW
 Model: 328i
 Series: 328i
 Body Style: Station Wagon

Owner Information

Name: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED]

Lienholder Information

Name: IBC BANK
 Address: 1200 SAN BERNARDO AVE
 LAREDO, TX 78040-6301
 WEBB COUNTY

Title Information

Title Number: 01502341150144923
 Title Transfer Date: 9/17/2012
 Title Issue Date: 9/17/2012

Source Information

Data Source: GOVERNMENTAL

21: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 8/1/2011
 Registration Date: 8/1/2012
 Registration Expiration Date: 7/31/2013

Vehicle Information

VIN: 3B7KF23771G238243
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 2001
 Make: Dodge
 Model: Ram
 Series: 2500 QUAD
 Body Style: 4 Door EXT Cab PK

Plate Information

License Plate Type: Private
 Previous Plate Number: BG11924
 Previous Plate State: TX
 License Plate Number: BG11924
 Plate State: TX

Source Information

Data Source: GOVERNMENTAL

22: TX MVR

Vehicle Information

VIN: 3B7KF23771G238243
 Class: PASSENGER CAR/LIGHT TRUCK

For internal use only

SDNY_GM_00057266

Model Year: 2001
Make: Dodge
Model: Ram
Series: 2500 QUAD
Body Style: 4 Door EXT Cab PK

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

Title Information

Title Number: 24030438390120504

Title Transfer Date: 2/24/2005

Title Issue Date: 2/24/2005

Source Information

Data Source: GOVERNMENTAL

23: TX MVR

Registrant Information

Registrant 1

Registrant: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED]

WEBB COUNTY

Registrant 2

Registrant: KHAN, RC

Address: 9505 MINES RD STE 207
LAREDO, TX 78045-8842
WEBB COUNTY

Registration Information

Original Registration Date: 7/11/2012

Registration Date: 7/11/2012

Registration Expiration Date: 6/30/2013

Vehicle Information

VIN: 1FTWW31Y68EE14364

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2008

Make: Ford

Model: F350

Series: SUPER DUTY

Body Style: Crew Pickup

Color: Brown

Plate Information

License Plate Type: Private

Previous Plate Number: 26MGB8

Previous Plate State: TX

License Plate Number: BV64525

Plate State: TX

Source Information

Data Source: GOVERNMENTAL

24: TX MVR

Vehicle Information

VIN: 1FTWW31Y68EE14364

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2008

Make: Ford

Model: F350

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SDNY_GM_00057267

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DB-SDNY-0020091

EFTA_00167835

EFTA01295932

Series: SUPER DUTY
Body Style: Crew Pickup
Color: Brown

Owner Information

Name: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED] 207
[REDACTED] 842

Information

Name: IBC BANK
Address: 1200 SAN BERNARDO AVE
LAREDO, TX 78040-6301
WEBB COUNTY

Title Information

Title Number: 01512341099175039
Title Transfer Date: 7/20/2012
Title Issue Date: 7/20/2012

Source Information

Data Source: GOVERNMENTAL

25: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED] 1

Registration Information

Original Registration Date: 6/1/2012
Registration Date: 6/1/2012
Registration Expiration Date: 5/31/2013

Vehicle Information

VIN: WAULL44E75N005432
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2005
Make: Audi
Model: A8
Series: QUATTRO
Body Style: Sedan 4 Door
Color: Gray

Plate Information

License Plate Type: Private
Previous Plate Number: GWF768
Previous Plate State: TX
License Plate Number: GWF768
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

26: TX MVR

Vehicle Information

VIN: WAULL44E75N005432
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2005
Make: Audi
Model: A8
Series: QUATTRO
Body Style: Sedan 4 Door
Color: Gray

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DB-SDNY-0020092

EFTA_00167836

EFTA01295933

Owner Information

Name: KAHN, RICHARD C
DOB: [REDACTED]
Address: [REDACTED] E 1

Lienholder Information

Name: INTL. BANK OF COMMERCE
Address: PO BOX 1359
LAREDO, TX 78042-1359
WEBB COUNTY

Title Information

Title Number: 06600039629094315
Title Transfer Date: 7/15/2008
Title Issue Date: 7/15/2008

Source Information

Data Source: GOVERNMENTAL

27: TX MVR

Registrant Information

Registrant: KAHN, RICHARD CHARLES
DOB: [REDACTED]
Address: [REDACTED]

Registration Information

Original Registration Date: 4/12/2011
Registration Date: 4/12/2011
Registration Expiration Date: 3/31/2012

Vehicle Information

VIN: 1ZVHT80N575334782
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2007
Make: Ford
Model: Mustang
Series: BASE
Body Style: Coupe

Plate Information

License Plate Type: Private
Previous Plate Number: 570YWJ
Previous Plate State: TX
License Plate Number: CR9K021
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

28: TX MVR

Vehicle Information

VIN: 1ZVHT80N575334782
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2007
Make: Ford
Model: Mustang
Series: BASE
Body Style: Coupe
Color: Black

Owner Information

Name: KAHN, RICHARD
DOB: [REDACTED]
Address: [REDACTED]

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DB-SDNY-0020093

EFTA_00167837

EFTA01295934



Lienholder Information

Name: KAHN, RICHARD

Address:



Title Information

Title Number: 22720741013143156

Title Transfer Date: 4/24/2012

Title Issue Date: 4/24/2012

Source Information

Data Source: GOVERNMENTAL

29: TX MVR

Registrant Information

Registrant: KAHN, R C

DOB:

Address:



RD

313

Registration Information

Original Registration Date: 12/31/2007

Registration Date: 12/1/2010

Registration Expiration Date: 11/30/2011

Vehicle Information

VIN: 1FTPW14V18KC47827

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2008

Make: Ford

Model: F150

Series: FX4-KING RANCH-LARIAT-XL~

Body Style: Crew Pickup

Plate Information

License Plate Type: Private

Previous Plate Number: 44DGB7

Previous Plate State: TX

License Plate Number: 44DGB7

Plate State: TX

Source Information

Data Source: GOVERNMENTAL

30: TX MVR

Vehicle Information

VIN: 1FTPW14V18KC47827

Class: PASSENGER CAR/LIGHT TRUCK

Model Year: 2008

Make: Ford

Model: F150

Series: FX4-KING RANCH-LARIAT-XL~

Body Style: Crew Pickup

Owner Information

Name: KAHN, R C

DOB:

Address:



Lienholder Information

Lienholder 1

Name: FORD MOTOR CRED. CO.

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DB-SDNY-0020094

EFTA_00167838

EFTA01295935

Address: PO BOX 105704
ATLANTA, GA 30348-5704
FULTON COUNTY

Lienholder 2

Name: FORD MOTOR CREDIT CORP.
Address: PO BOX 105704
ATLANTA, GA 30348-5704
FULTON COUNTY

Lienholder 3

Name: FORD MOTOR CREDIT CO.
Address: PO BOX 105704
ATLANTA, GA 30348-5704
FULTON COUNTY

Lienholder 4

Name: FORD MOTOR CREDIT CO.
Address: PO BOX 10574
ATLANTA, GA 30310-0574
FULTON COUNTY

Lienholder 5

Name: FORD MOTOR CREDIT CO.
Address: PO BOX 105704
ATLANTA, GA 30348-5704
FULTON COUNTY

Title Information

Title Number: 24030539454110034
Title Transfer Date: 1/24/2008
Title Issue Date: 1/24/2008

Source Information

Data Source: GOVERNMENTAL

31: TX MVR

Registrant Information

Registrant: KAHN, R C

DOB:

Address:



Registration Information

Original Registration Date: 5/18/2010
Registration Date: 5/18/2010
Registration Expiration Date: 4/30/2011

Vehicle Information

VIN: 1FTNW20L54EB71555
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2004
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: Crew Pickup

Plate Information

License Plate Type: Private
Previous Plate Number: 07KJZ1
Previous Plate State: TX
License Plate Number: AL21256
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

32: TX MVR

Vehicle Information

VIN: 1FTNW20L54EB71555

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DB-SDNY-0020095

EFTA_00167839

EFTA01295936

Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2004
Make: Ford
Model: F250
Series: SUPER DUTY
Body Style: Crew Pickup

Owner Information

Name: KAHN, R C

DOB: [REDACTED]

Address: [REDACTED] 207
[REDACTED] 842

Title Information

Title Number: 17830340335145322
Title Transfer Date: 6/22/2010
Title Issue Date: 6/22/2010

Source Information

Data Source: GOVERNMENTAL

33: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 4/4/2006
Registration Date: 4/1/2007
Registration Expiration Date: 3/31/2008

Vehicle Information

VIN: YV1RS58D012043890
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2001
Make: Volvo
Model: S60
Series: 2.4T
Body Style: Sedan 4 Door

Plate Information

License Plate Type: Private
Previous Plate Number: 154MCX
Previous Plate State: TX
License Plate Number: 154MCX
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

34: TX MVR

Vehicle Information

VIN: YV1RS58D012043890
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2001
Make: Volvo
Model: S60
Series: 2.4T
Body Style: Sedan 4 Door

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

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DB-SDNY-0020096

EFTA_00167840

EFTA01295937



Title Information

Title Number: 24030838829102447
Title Transfer Date: 5/2/2006
Title Issue Date: 5/2/2006

Source Information

Data Source: GOVERNMENTAL

35: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB:

Address: 340

Registration Information

Original Registration Date: 2/22/2006
Registration Date: 3/13/2007
Registration Expiration Date: 1/31/2008

Vehicle Information

VIN: 1FDLF47F6SEA30778
Class: HEAVY TRUCK
Model Year: 1995
Make: Ford
Model: F
Series: SUPER DUTY
Body Style: Cab And Chassis

Plate Information

License Plate Type: Private
Previous Plate Number: 8FTR71
Previous Plate State: TX
License Plate Number: 8FTR71
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

36: TX MVR

Vehicle Information

VIN: 1FDLF47F6SEA30778
Class: HEAVY TRUCK
Model Year: 1995
Make: Ford
Model: F
Series: SUPER DUTY
Body Style: Cab And Chassis

Owner Information

Owner 1

Name: KAHN FENCE CORP.
Address: 9505 MINES RD LOT 28
LAREDO, TX 78045-8840
WEBB COUNTY

Owner 2

Name: KAHN, RICHARD C

DOB:

Address:

Lienholder Information

Lienholder 1

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DB-SDNY-0020097

EFTA_00167841

EFTA01295938

Name: FROST NATIONAL BANK
Address: PO BOX 1600
SAN ANTONIO, TX 78296-1600
BEXAR COUNTY

Lienholder 2

Name: FROST NATL. BANK
Address: PO BOX 1600
SAN ANTONIO, TX 78296-1600
BEXAR COUNTY

Lienholder 3

Name: THE FROST NATIONAL BANK
Address: PO BOX 1600
SAN ANTONIO, TX 78296-1600
BEXAR COUNTY

Title Information

Title Number: 00022200050510049
Title Transfer Date: 6/6/1996
Title Issue Date: 6/6/1996

Source Information

Data Source: GOVERNMENTAL

37: TX MVR

Registrant Information

Registrant 1

Registrant: KAHN, RICHARD

DOB:
Address:



Registrant 2

Registrant: MADRIGAL, CRISTINA

DOB:
Address:



Registration Information

Original Registration Date: 1/1/2005
Registration Date: 2/27/2006
Registration Expiration Date: 1/31/2007

Vehicle Information

VIN: JA4LS21HX3J034110
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2003
Make: Mitsubishi
Model: Montero
Series: SPORT ES/SPORT LS
Body Style: 4 Dr Wagon Sport Utility

Plate Information

License Plate Type: Private
Previous Plate Number: X45FKP
Previous Plate State: TX
License Plate Number: X45FKP
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

38: TX MVR

Vehicle Information

VIN: JA4LS21HX3J034110
Class: UNKNOWN

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Model Year: 2003
Make: Mitsubishi
Model: Montero
Series: SPORT ES/SPORT LS
Body Style: 4 Dr Wagon Sport Utility
Weight: 004100

Owner Information

Owner 1

Name: KAHN, RICHARD

DOB: [REDACTED]

Address: [REDACTED] T 809

Owner 2

Name: MADRIGAL, CRISTINA

DOB: [REDACTED]

Address: [REDACTED] 09

Title Information

Title Number: 01538237999105104
Title Transfer Date: 1/26/2004
Title Issue Date: 1/26/2004

Source Information

Data Source: GOVERNMENTAL

39: TX MVR

Registrant Information

Registrant 1

Registrant: RC KAHN CORP.
Address: 501 FENWICK DR
LAREDO, TX 78041-2815
WEBB COUNTY

Registrant 2

Registrant: KAHN, RICHARD

DOB: [REDACTED]

Address: [REDACTED] 15

Registration Information

Original Registration Date: 12/1/2004
Registration Date: 12/1/2005
Registration Expiration Date: 11/30/2006

Vehicle Information

VIN: 2FTRF17254CA16468
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2004
Make: Ford
Model: F150
Series: HERITAGE
Body Style: Pickup

Plate Information

License Plate Type: Private
Previous Plate Number: 9LYT68
Previous Plate State: TX
License Plate Number: 9LYT68
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

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40: TX MVR

Vehicle Information

VIN: 2FTRF17254CA16468
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2004
Make: Ford
Model: F150
Series: HERITAGE
Body Style: Pickup

Owner Information

Owner 1

Name: RC KAHN CORP.
Address: 501 FENWICK DR
LAREDO, TX 78041-2815
WEBB COUNTY

Owner 2

Name: KAHN, RICHARD

DOB: [REDACTED]
Address: [REDACTED]

Lienholder Information

Name: FORD MOTOR CREDIT
Address: PO BOX 105704
ATLANTA, GA 30348-5704
FULTON COUNTY

Title Information

Title Number: 24031037983154950
Title Transfer Date: 1/9/2004
Title Issue Date: 1/9/2004

Source Information

Data Source: GOVERNMENTAL

41: TX MVR

Registrant Information

Registrant: KAHN, RICHARD
DOB: [REDACTED]
Address: [REDACTED] 15

Registration Information

Original Registration Date: 10/1/2004
Registration Date: 10/6/2005
Registration Expiration Date: 9/30/2006

Vehicle Information

VIN: 1HD1FRW483Y734228
Class: MOTORCYCLE
Model Year: 2003
Make: Harley-Davidson
Model: Flhrci
Series: ANNIVERSARY
Body Style: Road/Street

Plate Information

License Plate Type: Motorcycle
Previous Plate Number: 616T4P
Previous Plate State: TX
License Plate Number: 616T4P
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

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DB-SDNY-0020100

EFTA_00167844

EFTA01295941

42: TX MVR

Vehicle Information

VIN: 1HD1FRW483Y734228
Class: MOTORCYCLE
Model Year: 2003
Make: Harley-Davidson
Model: Flhrci
Series: ANNIVERSARY
Body Style: Road/Street

Owner Information

Name: KAHN, RITCHARD
DOB: [REDACTED]
Address: [REDACTED]-2815

Lienholder Information

Name: EAGLEMARK SAVINGS BANK
Address: 4150 TECHNOLOGY WAY
CARSON CITY, NV 89706-2026
CARSON CITY COUNTY

Title Information

Title Number: 24025037916103922
Title Transfer Date: 11/3/2003
Title Issue Date: 11/3/2003

Source Information

Data Source: GOVERNMENTAL

43: TX MVR

Registrant Information

Registrant 1

Registrant: KAHN, RICHARD C
Address:

Registrant 2

Registrant: KAHN, RICHARD C
DOB: [REDACTED]
Address: [REDACTED]

Registration Information

Original Registration Date: 6/1/2005
Registration Date: 6/1/2005
Registration Expiration Date: 5/31/2006

Vehicle Information

VIN: 1GNEC16ZX2J243411
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 2002
Make: Chevrolet
Model: C1500
Series: SUBURBAN
Body Style: 4 Dr Wagon Sport Utility

Plate Information

License Plate Type: Private
Previous Plate Number: 7LDD23
Previous Plate State: TX
License Plate Number: 7LDD23
Plate State: TX

Source Information

Data Source: GOVERNMENTAL

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44: TX MVR

Vehicle Information

VIN: 1GNEC16ZX2J243411
 Class: UNKNOWN
 Model Year: 2002
 Make: Chevrolet
 Model: C1500
 Series: SUBURBAN
 Body Style: 4 Dr Wagon Sport Utility
 Weight: 005300

Owner Information

Name: KAHN, RICHARD C
 DOB: [REDACTED]
 Address: [REDACTED] 29

Title Information

Title Number: 16320137418082532
 Title Transfer Date: 6/25/2002
 Title Issue Date: 6/25/2002

Source Information

Data Source: GOVERNMENTAL

45: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C
 DOB: [REDACTED]
 Address: [REDACTED] 01

Registration Information

Original Registration Date: 3/1/2004
 Registration Date: 3/1/2004
 Registration Expiration Date: 2/28/2005

Vehicle Information

VIN: 1GCGC24R2WE132201
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 1998
 Make: Chevrolet
 Model: C2500
 Series: CHEYENNE~SILVERADO
 Body Style: Pickup

Plate Information

License Plate Type: Private
 Previous Plate Number: 9RRH01
 Previous Plate State: TX
 License Plate Number: 9RRH01
 Plate State: TX

Source Information

Data Source: GOVERNMENTAL

46: TX MVR

Vehicle Information

VIN: 1GCGC24R2WE132201
 Class: PASSENGER CAR/LIGHT TRUCK
 Model Year: 1998
 Make: Chevrolet
 Model: C2500
 Series: CHEYENNE~SILVERADO
 Body Style: Pickup

For internal use only

SDNY_GM_00057278

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

Lienholder Information

Lienholder 1

Name: GMAC

Address: PO BOX 8104
COCKEYSVILLE, MD 21030-8104
BALTIMORE COUNTY

Lienholder 2

Name: GMAC

Address: PO BOX 8106
COCKEYSVILLE, MD 21030-8106
BALTIMORE COUNTY

Title Information

Title Number: 24030735883164006

Title Transfer Date: 4/8/1998

Title Issue Date: 4/8/1998

Source Information

Data Source: GOVERNMENTAL

47: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED]

Registration Information

Original Registration Date: 4/27/2001

Registration Date: 4/27/2001

Registration Expiration Date: 3/31/2002

Vehicle Information

VIN: 1EM1B2624M4044350

Class: TRAILER

Model Year: 1991

Make: FLEETWOOD

Model: TRAILER HEAVY HAUL

Series: BASE MODEL

Body Style: CAMPING TRAILER

Plate Information

License Plate Type: Trailer

Previous Plate Number: 307G5J

Previous Plate State: TX

License Plate Number: 307G5J

Plate State: TX

Source Information

Data Source: GOVERNMENTAL

48: TX MVR

Vehicle Information

VIN: 1EM1B2624M4044350

Class: TRAILER

Model Year: 1991

Make: FLEETWOOD

Model: TRAILER HEAVY HAUL

Series: BASE MODEL

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SDNY_GM_00057279

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DB-SDNY-0020103

EFTA_00167847

EFTA01295944

Body Style: CAMPING TRAILER

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]

Address: [REDACTED] 001

Lienholder Information

Name: INTL. BANK OF COMERS

Address: 1200 SAN BERNARDO AVE
LAREDO, TX 78040-6301
WEBB COUNTY

Title Information

Title Number: 00022200052205033

Title Transfer Date: 1/24/1998

Title Issue Date: 1/24/1998

Source Information

Data Source: GOVERNMENTAL

49: TX MVR

Registrant Information

Registrant: KAHN, RICHARD C

DOB: 9/1968

Address: 705 BEVERLY DR
LAREDO, TX 78045-2001
WEBB COUNTY

Registration Information

Original Registration Date: 7/1/2000

Registration Date: 7/1/2000

Registration Expiration Date: 6/30/2001

Vehicle Information

VIN: 1CXBB1618DSBA0666

Class: UNKNOWN

Model Year: 1983

Make: CALKINS BOAT TRAILER

Body Style: BOAT TRAILER

Plate Information

License Plate Type: Trailer

Previous Plate Number: 02WHJB

Previous Plate State: TX

License Plate Number: 02WHJB

Plate State: TX

Source Information

Data Source: GOVERNMENTAL

50: TX MVR

Vehicle Information

VIN: 1CXBB1618DSBA0666

Class: UNKNOWN

Model Year: 1983

Body Style: BOAT TRAILER

Weight: 000300

Owner Information

Name: KAHN, RICHARD

DOB: [REDACTED]

Address: [REDACTED]

Title Information

Title Number: 24030836138155617

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SDNY_GM_00057280

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DB-SDNY-0020104

EFTA_00167848

EFTA01295945

51: TX MVR

Source Information**Data Source:** GOVERNMENTAL**Vehicle Information**

VIN: 1FTEX15N5JKB25626
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 1988
Make: Ford
Model: F150
Series: CUSTOM~SPECIAL EDITION~XL
Body Style: Club Cab Pickup

Owner Information**Owner 1****Name:** KAHN, R C

Address: 8210 SAN GABRIEL DR
 LAREDO, TX 78045-8749
 WEBB COUNTY

Owner 2**Name:** KAHN, RICHARD

DOB:
Address:

**Title Information**

Title Number: 24030235091135648
Title Transfer Date: 2/8/1996
Title Issue Date: 2/8/1996

Source Information**Data Source:** GOVERNMENTAL

52: TX MVR

Vehicle Information

Class: UNKNOWN
Model Year: 1994
Make: Shopmade
Body Style: Flat-Bed Or Platform
Weight: 000300

Owner Information**Name:** KAHN, RICHARD C

DOB:
Address: [REDACTED] O AVE
 [REDACTED] 5709

**Title Information****Title Number:** 24030435028101037**Source Information****Data Source:** GOVERNMENTAL

53: TX MVR

Vehicle Information

VIN: 1GBHC34M5DV102805
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 1983
Make: Chevrolet
Model: C30
Series: CUSTOM DELUXE~SCOTTSDALE~
Body Style: Cab And Chassis

Owner Information**Owner 1**

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SDNY_GM_00057281

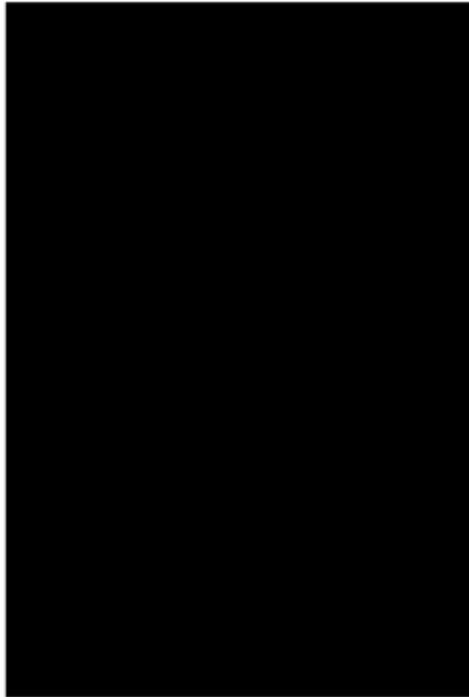
Name:
Address:

Name:
Address:

Name:
Address:

Name:
Address:

Name:
DOB:
Address:



WEBB COUNTY

Title Information

Title Number: 24020035052141852
Title Transfer Date: 1/9/1996
Title Issue Date: 1/9/1996

Source Information

Data Source: GOVERNMENTAL

54: TX MVR

Vehicle Information

VIN: 1FTRW08L21KF84038
Class: UNKNOWN
Model Year: 2001
Make: Ford
Model: F150
Body Style: Crew Pickup
Weight: 005000

Owner Information

Name: KAHN, RICHARD C
DOB:
Address:



Title Information

Title Number: 24020036898155829
Title Transfer Date: 1/25/2001
Title Issue Date: 1/25/2001

Source Information

Data Source: GOVERNMENTAL

55: TX MVR

Vehicle Information

VIN: 3GNEC16RXXG263487
Class: UNKNOWN
Model Year: 1999
Make: Chevrolet

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SDNY_GM_00057282

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DB-SDNY-0020106

EFTA_00167850

EFTA01295947

Model: C1500
Series: SUBURBAN
Body Style: 4 Dr Wagon Sport Utility
Weight: 005300

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]
Address: [REDACTED]

Title Information

Title Number: 24030936528092930
Title Transfer Date: 1/15/2000
Title Issue Date: 1/15/2000

Source Information

Data Source: GOVERNMENTAL

56: TX MVR

Vehicle Information

VIN: 1MEBM604XJH670241
Class: PASSENGER CAR/LIGHT TRUCK
Model Year: 1988
Make: Mercury
Model: Cougar
Series: LS
Body Style: Sedan 2 Door

Owner Information

Name: KAHN, RICHARD C

DOB: [REDACTED]
Address: [REDACTED]

Title Information

Title Number: 24030935171143340
Title Transfer Date: 4/30/1996
Title Issue Date: 4/30/1996

Source Information

Data Source: GOVERNMENTAL

57: TX MVR

Vehicle Information

VIN: 1C9CS2027ST458294
Class: UNKNOWN
Model Year: 1995
Weight: 002900

Owner Information

Name: KAHN, RICHARD

DOB: [REDACTED]
Address: [REDACTED]

Title Information

Title Number: 24030134980155757
Title Transfer Date: 10/20/1995
Title Issue Date: 10/20/1995

Source Information

Data Source: GOVERNMENTAL

58: TX MVR

Vehicle Information

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SDNY_GM_00057283

VIN: 1FTEX15N3NKA26700
Class: UNKNOWN
Model Year: 1992
Make: Ford
Model: F150
Body Style: Club Cab Pickup
Weight: 004100

Owner Information

Name: KAHN, RICHARD CHARLES

DOB: [REDACTED]

Address: [REDACTED] 9

Title Information

Title Number: 00022200043585410
Title Transfer Date: 7/12/1994
Title Issue Date: 7/12/1994

Source Information

Data Source: GOVERNMENTAL

59: Non-Governmental Vehicle Record

Vehicle Information

VIN: 1FTPW14V77KD62740
Class: HEAVY TRUCK
Model Year: 2007
Make: Ford
Model: F150
Body Style: Crew Pickup

Owner Information

Name: KAHN, RICHARD

DOB: [REDACTED]

Address: [REDACTED]

Source Date First Seen: 1/14/2011
Source Date Last Seen: 8/7/2014

Source Information

Data Source: NON-GOVERNMENTAL

Boats - 3 records found

1: Colorado Boat Registration

State: Colorado
Registration Number: CL1901GD
Registration Issue Date: 06/22/2013
Vessel Make: TRACKER MARINE GROUP L.P.
Hull Type: OTHER
Use: PLEASURE
Propulsion Type: OUTBOARD
Vessel Year: 2001
Hull Identification Number: BUJ76203J001
Length (Ft-In): 17-0
Date Last Seen: 06/22/2013

Owner Information

Name: KAHN, RICHARD

Address: [REDACTED]

Source Information

Data Source: GOVERNMENTAL

2: Colorado Boat Registration

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DB-SDNY-0020108

EFTA_00167852

EFTA01295949

State: Colorado
Registration Number: CL1901GD
Registration Issue Date: 07/08/2009
Vessel Make: TRACKER MARINE GROUP L.P.
Hull Type: OTHER
Use: PLEASURE
Propulsion Type: OUTBOARD
Vessel Year: 2001
Hull Identification Number: BUJ76203J001
Length (Ft-In): 17-0
Date Last Seen: 07/08/2009

Owner Information

Name: KAHN, RICHARD

Address:



Source Information

Data Source: GOVERNMENTAL

3: Colorado Boat Registration

State: Colorado
Registration Number: CL1901GD
Registration Issue Date: 07/08/2009
Vessel Make: TRACKER MARINE L.P.
Hull Type: OTHER
Use: PLEASURE
Propulsion Type: OUTBOARD
Vessel Year: 2001
Hull Identification Number: BUJ76203J001
Length (Ft-In): 17-0
Date Last Seen: 07/08/2009

Owner Information

Name: KAHN, RICHARD

Address:



9523

Source Information

Data Source: GOVERNMENTAL

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 1 records found

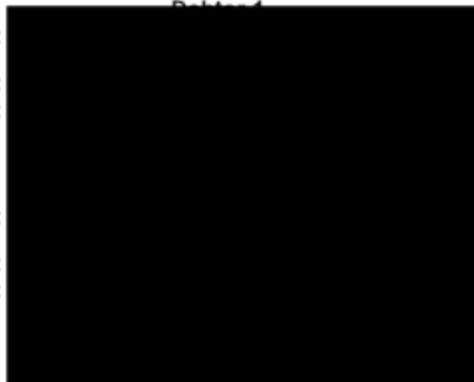
1: CO Judgments and Liens Filings

Debtor Information

Name:

SSN:

Address:



Name:

SSN:

Address:

Name: LEO ELAINE

Filing Information

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DB-SDNY-0020109

EFTA_00167853

EFTA01295950

Jurisdiction: CO
Amount: \$340
Filing Date: 5/21/2014
Eviction N

Filing 1

Number: C0472014S000003
Type: SMALL CLAIMS JUDGMENT
Agency: PARK COUNTY COURT
Agency State: CO
Agency County: PARK

UCC Liens - 1 records found

1:TX UCC LIEN FILING

Debtor Information

Debtor 1

Name: KAHN, RICHARD CHARLES

SSN: 451-51-XXXX

Address: [REDACTED]

Debtor 2

Name:

DBA EVERGREEN LANDSCAPING (R. C. KAHN CORP)

Address: 8210 SAN GABRIEL DR STE 1
LAREDO, TX USA 78045-8749

Secured Party Information

Name:

CARROLL CHILDERS COMPANY

Address: PO BOX 750549
HOUSTON, TX USA 77275-0549

Filing Status

Description: ACTIVE

Filing Information

Filing Jurisdiction: TEXAS
Original Filing Number: 578944
Original Filing Type: ORIGINAL FILING
Original Filing Date: 9/5/2000
Type: UCC STANDARD
Pages: 1
Description: ACTIVE
Number: 578944
Date: 9/5/2000
Expiration Date: 9/5/2005

Fictitious Businesses - 2 records found

1: Fictitious Businesses

Business Information

Name: KAHN FENCE CORPORATION

Office Address: [REDACTED]

Jurisdiction: TX

Type: DBA

Filing Number: 0138949900

Filing Date: 02/23/1996

Contact Information

Name: RICHARD C. KAHN

Address: [REDACTED]

Contact Type: OWNER

2: Fictitious Businesses

Business Information

Name: RKCD ENTERPRISES L L C

Office Address: SAN GABRIE 82 DR

TX, LA 78045-8749

Jurisdiction: TX

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DB-SDNY-0020110

EFTA_00167854

EFTA01295951

Type: DBA
Filing Number: 0800942982
Filing Date: 02/25/2008

Contact Information

Name: RICHARD CHARLES KAHN
Address: [REDACTED]

Contact Type: OWNER

Notice Of Defaults - 0 records found

Potential Relatives - 9 records found

1st Degree: 9

No.	Full Name	Address/Phone
1.	DELGADO, CRISTINA VIRIDIANA • AKA MADRIGAL, CRISTINA V • AKA DELGADO, CHRISTINA VIRIDIANA • AKA MADRIGAL, CHRISTINA V • AKA MADRIGAL, CRISTI • AKA MADRIGAL, CRISTINA V SSN:632-18-XXXX DOB:12/1976 (Age: 39)	[REDACTED]
2.	KAHN, JULIA E • AKA GUTIERREZ, JULIA ELSA • AKA DELGADO, JULIA • AKA DELGADO, JULIA G • AKA DELGADO KAHN, JULIA E • AKA KAHN, JULIA E • AKA KAHN, JULIA ELSA • AKA DELGADO, J SSN:455-81-XXXX DOB:12/1968 (Age: 47)	
3.	KAHN, RICHARD CHARLES JR • AKA KAHN, RICHARD C SSN:630-46-XXXX DOB:1/1995 (Age: 21)	

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SDNY_GM_00057287

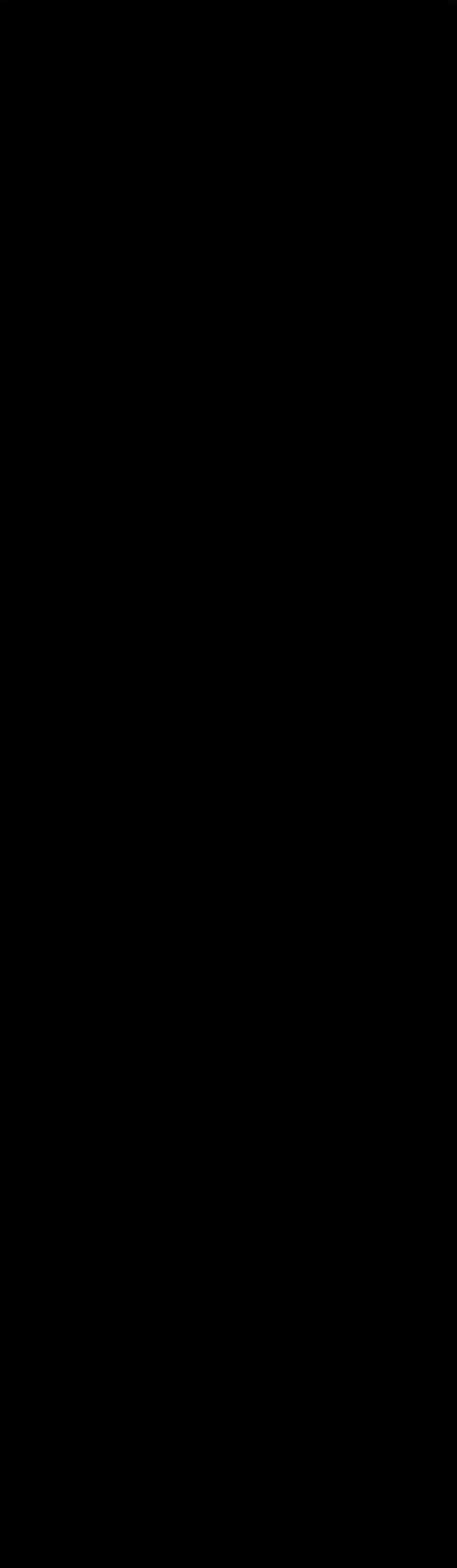
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DB-SDNY-0020111

EFTA_00167855

EFTA01295952

No.	Full Name	Address/Phone
4.	<p>KAHN, ADOLPH R JR</p> <p>▲ Deceased</p> <ul style="list-style-type: none"> • AKA KHAN, ADOLPH R • AKA KAHN, A R JR • AKA KAHN, ADOLPH ROBERT JR • AKA KAHN, ADOLPH • AKA KAHN, ADOLF R • AKA KAHN, ADOLPH R • AKA KAHN, ADOLPH R JR <p>SSN:449-66-XXXX DOB:8/1926 (Age: 90)</p>	
5.	<p>KAHN, MARY BLANCHE</p> <ul style="list-style-type: none"> • AKA KAHN, MARY H • AKA HILL, MARY BLANCHE • AKA KAHAN, MARY B • AKA MARY, B KAHN <p>SSN:466-66-XXXX DOB:12/1941 (Age: 74)</p>	
6.	<p>KAHN, MARTHA STABEN</p> <p>▲ Deceased</p> <p>SSN:460-36-XXXX DOB:2/1927 (Age: 89)</p>	
7.	<p>KAHN, ADOLPH R</p> <ul style="list-style-type: none"> • AKA KAHN, ADOLPH ROBERT III • AKA KAHN, ADOLF • AKA KAHN, A • AKA KAHN, ADOLPH ROBERT 3 • AKA KAHN, ADOLPH R III • AKA KAHN, ANDOLPH • AKA KAHN LINDSEY, A 	

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DB-SDNY-0020112

EFTA_00167856

EFTA01295953

No.	Full Name	Address/Phone
	• AKA ADOLPH, KAHN SSN:464-02-XXXX DOB:11/1955 (Age: 60)	
8.	KAHN, RICHARD C	
9.	KAHN, LINDSEY ALLISON • AKA KAHN, LINDSAY • AKA HINTON, LINDSEY ALLISON SSN:643-30-XXXX DOB:8/1989 (Age: 27)	

Business Associates - 11 records found

1: EVERGREEN LANDSCAPING SERVICES

Name: KAHN, RICHARD C

Address: [REDACTED]

Status: ACTIVE

State: TX

Corporation Number: 0135074000

Descriptive Status: ACTIVE

Title: PRESIDENT

Record Type: HISTORICAL

Record Date: 1/31/2016

Filing Date: 2/17/2006

2: EVERGREEN LANDSCAPING SERVICES

Name: KAHN, RICHARD C

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

Status: EXPIRED

State: TX

Corporation Number: 0135074000

Descriptive Status: EXPIRED

Title: PRESIDENT

Record Type: HISTORICAL

Record Date: 1/31/2016

Filing Date: 8/7/1995

3: KAHN CONSTRUCTION

Name: KAHN, RICHARD C

Address: [REDACTED]

Status: EXPIRED

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DB-SDNY-0020113

EFTA_00167857

EFTA01295954

State: TX
 Corporation Number: 0135074000
 Descriptive Status: EXPIRED
 Title: PRESIDENT
 Record Type: HISTORICAL
 Record Date: 1/31/2016
 Filing Date: 8/7/1995
 4: KAHN FENCE CORPORATION
 Name: KAHN, RICHARD C

Address: [REDACTED]

Status: INACTIVE
 State: TX
 Corporation Number: 0138949900
 Descriptive Status: INACTIVE
 Title: DIRECTOR
 Record Type: CURRENT
 Record Date: 8/5/2008
 Filing Date: 2/23/1996
 5: KAHN FENCE CORPORATION
 Name: KAHN, RICHARD C

Address: [REDACTED]

Status: VOLUNTARILY DISSOLVED
 State: TX
 Corporation Number: 0138949900
 Descriptive Status: VOLUNTARILY DISSOLVED
 Title: DIRECTOR
 Record Type: CURRENT
 Record Date: 8/5/2008
 Filing Date: 11/13/2006
 6: PHD FENCING, L.L.C.
 Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Status: IN EXISTENCE
 State: TX
 Corporation Number: 0800948886
 Descriptive Status: IN EXISTENCE
 Title: MANAGER
 Record Type: HISTORICAL
 Record Date: 1/31/2016
 Filing Date: 3/7/2008
 7: PHD FENCING, L.L.C.
 Name: KAHN, RICHARD CHARLES

Address: [REDACTED]

Status: IN USE
 State: TX
 Corporation Number: 0800948886
 Descriptive Status: IN USE
 Title: MANAGER
 Record Type: HISTORICAL
 Record Date: 1/31/2016
 Filing Date: 3/7/2008
 8: R.C. KAHN CORPORATION
 Name: KAHN, RICHARD C

Address: [REDACTED]

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SDNY_GM_00057290

LAREDO, TX 78045-8749
Status: IN EXISTENCE
State: TX
Corporation Number: 0135074000
Descriptive Status: IN EXISTENCE
Title: PRESIDENT
Record Type: HISTORICAL
Record Date: 1/31/2016
Filing Date: 3/31/1995

9: R.C. KAHN CORPORATION

Name: KAHN, RICHARD C
Address: [REDACTED]
Status: IN USE
State: TX
Corporation Number: 0135074000
Descriptive Status: IN USE
Title: PRESIDENT
Record Type: HISTORICAL
Record Date: 1/31/2016
Filing Date: 3/31/1995

10: RKCD ENTERPRISES, L.L.C.

Name: KAHN, RICHARD CHARLES
Address: [REDACTED]
Status: IN EXISTENCE
State: TX
Corporation Number: 0800942982
Descriptive Status: IN EXISTENCE
Title: MEMBER MANAGER
Record Type: HISTORICAL
Record Date: 1/6/2016
Filing Date: 2/25/2008

11: RKCD ENTERPRISES, L.L.C.

Name: KAHN, RICHARD CHARLES
Address: [REDACTED]
Status: IN USE
State: TX
Corporation Number: 0800942982
Descriptive Status: IN USE
Title: MEMBER MANAGER
Record Type: HISTORICAL
Record Date: 1/6/2016
Filing Date: 2/25/2008

Person Associates - 17 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	SIFUENTES, BRICIO A ALVARADO, BRICIO SIFUENTES SIFUENTES, B SIFUENTES, BRICIO ALVARADO SIFUENTES, BRICLO	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

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No.	Full Name	Address	SSN	Phone	DOB
-----	-----------	---------	-----	-------	-----

2:	DELGADO, ANA DELIA DEGALDO, ANA D				
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3:	DELGADO, JULIO CESAR DELGADO, PEREZ JULIO DELGADO PEREZ, JULIO DELGADO, J GADO, JULIO C SR				
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4:	DELGADO, LUIS EDUARDO DELGAD, LUIS EDUARDO DELGADO, E LUIS				
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5:	DELGADO, MARIA G DELGADO, MARIA JULIAG DELGADO, JULIA G DELGADO, MA J GARCIA, MARIA				
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DB-SDNY-0020116

EFTA_00167860

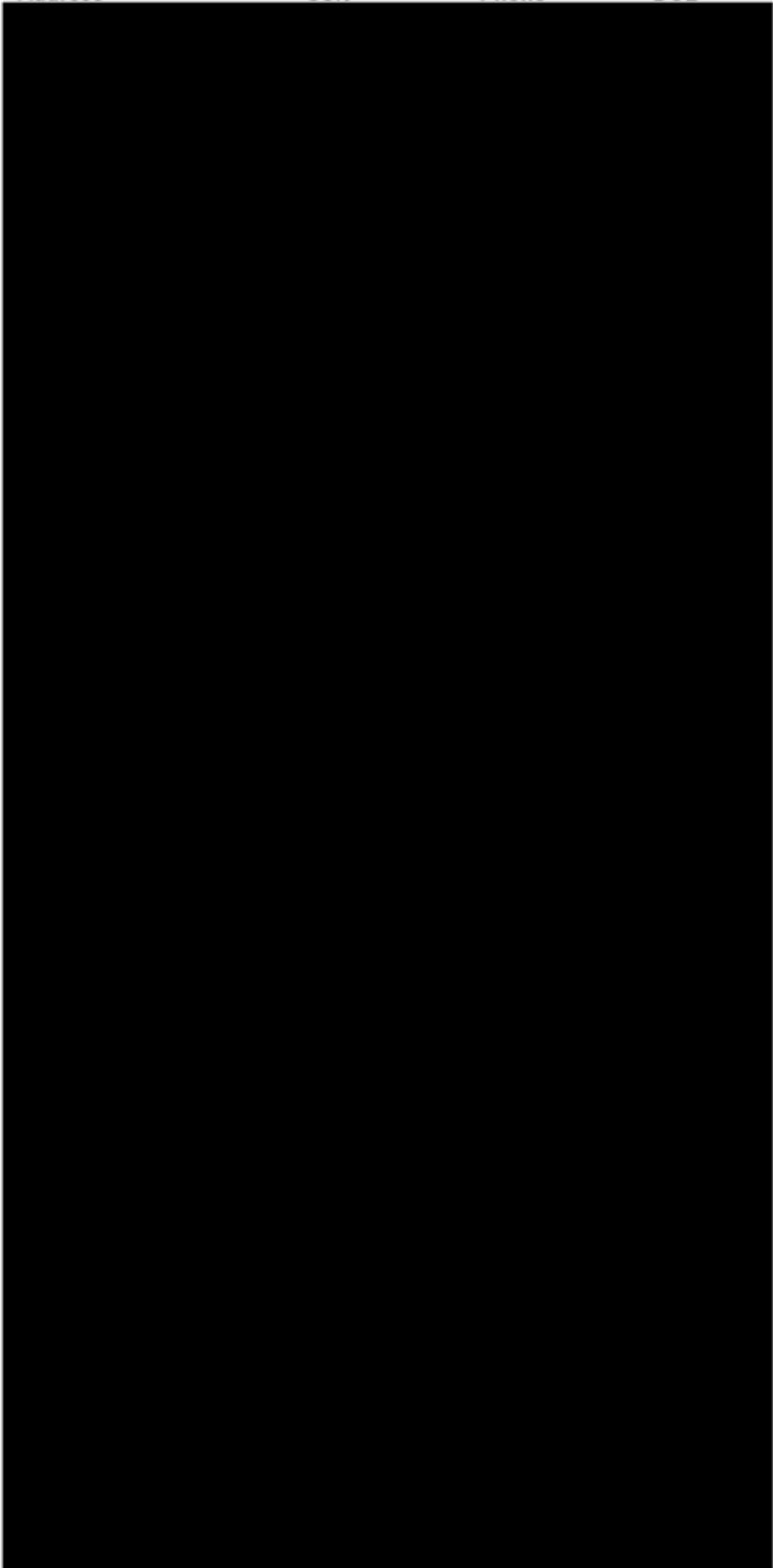
EFTA01295957

No. Full Name Address SSN Phone DOB

6: DELGADO, MARIA DE JESUS
DELGADO, MARY D
DELGADO, MARIA DEJESUS
DELJESUS, MARY D
DEJESUS DELGADO, MARIA
D DELJESUS, MARY

7: JOHNSON, MARIA CONCEPCION
DELGADO, MARIA CONCEPCION
JOHNSON, CONNIE
DELGADO, CONNIE
BLUHM, MA C

8: DELGADO, MIGUEL ANGEL



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DB-SDNY-0020117

EFTA_00167861

EFTA01295958

No.	Full Name	Address	SSN	Phone	DOB
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9:	DELGADO, JULIO CESAR DELGADO, JULIO				
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10:	DELGADO, CARLOS ARMANDO GADO, C V				
-----	---	--	--	--	--

11:	ZUNIGA, FEDERICO CARLOS ZUNIGA, SEDERICO C ZUNIGA, F ZUNGA, FEDERICO C FEDERICO, ZUNIGA				
-----	--	--	--	--	--

12:	MADRIGAL, HERIBERTO ANTONIO MADRIGAL, H MADRIGAL, HERBERTO A MADRIGAL, EDDIE MADRIGAL, HENBERTO				
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13:	MADRIGAL, GILBERTO JR SALINAS, GILBERTO MADRIGAL, GILBERT SALINAS, GILBERTO M				
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CONFIDENTIAL

DB-SDNY-0020118

EFTA_00167862

EFTA01295959

No.	Full Name	Address	SSN	Phone	DOB
-----	-----------	---------	-----	-------	-----

14:	DELGADO, LAURA ISABEL OBRYANT, LAURA ISABEL DELGADO, ISABEL				
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15:	DELGADO, MARIA ESTHELA GARCIA, MARIA ESTH DELGADO, ESTHELA DELGDO, MARIA GARCIA, ESTHELA GARCIA, ESTHELA				
-----	--	--	--	--	--

16:	ZUNIGA, KRISINA JANAYE				
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17:	ZUNIGA, LINESSA MICHELLE SUNIGA, LINESSA				
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DB-SDNY-0020119

EFTA_00167863

EFTA01295960

No. Full Name Address SSN Phone DOB



Neighbors - 2 records found

124 BEAR TRL FLORISSANT, CO 80816-9523

Name
ANDER, ANTAL
LEO, ELAINA M L



KLINE, RAY

Employment Locator - 50 records found

1:

Company Name: RKCD ENTERPRISES, L.L.C.
Name: KAHN, RICHARD C
Title: PRESIDENT
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

2:

Company Name: RKCD ENTERPRISES, L.L.C.
Name: KAHN, RICHARD C
Title: DIRECTOR
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

3:

Company Name: FENCE MASTER
Name: KAHN, RICHARD C
Title: MANAG
Address: 8210 SAN GABRIEL DR STE A
LAREDO, TX 78045-8769
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

4:

Company Name: EVERGREEN LANDSCAPING SERVICES
Name: KAHN, RICH
Address: 9505 MINES RD STE 207
LAREDO, TX 78045-8842
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

5:

Company Name: EVERGREEN LANDSCAPING SERVICES
Name: KAHN, RICHARD C
Address: 9505 MINES RD STE 207
LAREDO, TX 78045-8842
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

6:

Company Name: EVERGREEN LANDSCAPING SERVICES
Name: KAHN, RICHARD C

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7: **Title:** OWNER
Address: 9505 MINES RD STE 207
LAREDO, TX 78045-8842
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

Company Name: RC KAHN MANAGEMENT LLC
Name: KAHN, RICHARD

Title: MEMBER
Address: 8210 SAN GABRIEL DR STE 1
LAREDO, TX 78045-8749
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

8: **Company Name:** PHD FENCING, L.L.C.
Name: KAHN, RICHARD CHARLES

Title: MANAGER
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: Medium

9: **Company Name:** RKCD ENTERPRISES, L.L.C.
Name: KAHN, RICHARD CHARLES

Title: MEMBER MANAGER
SSN: 451-51-XXXX
Confidence: Medium

10: **Company Name:** RKCD ENTERPRISES, L.L.C.
Name: KAHN, RICHARD CHARLES

Title: MANAGER
SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: Medium

11: **Company Name:** KAHN AND DE LUNA, INC.
Name: KAHN, RICHARD C

Title: PRESIDENT
SSN: 451-51-XXXX
Confidence: Medium

12: **Company Name:** KAHN FENCE CORPORATION
Name: KAHN, RICHARD C

Title: DIRECTOR
SSN: 451-51-XXXX
Confidence: Medium

13: **Company Name:** PHD FENCING L L C
Name: KAHN, RICHARD CHARLES

Title: MANAGER
Address: 8210 SAN GABRIEL DR STE 1
LAREDO, TX 78045-8749
SSN: 451-51-XXXX
Phone: [REDACTED]

For internal use only

SDNY_GM_00057297

14: **Confidence:** High

Company Name: RKCD ENTERPRISES L L C
Name: KAHN, RICHARD CHARLES

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

SSN: 451-51-XXXX

Confidence: High

15: **Company Name:** RKCD ENTERPRISES L L C
Name: KAHN, RICHARD CHARLES

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

SSN: 451-51-XXXX

Confidence: High

16: **Company Name:** RKCD ENTERPRISES L L C
Name: KAHN, RICHARD CHARLES

Title: MANAGER

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

SSN: 451-51-XXXX

Confidence: High

17: **Company Name:** R C KAHN ENTERPRISES
Name: KAHN, RICHARD

Title: OWNER

SSN: 451-51-XXXX

Phone: [REDACTED]

Confidence: High

18: **Company Name:** R C KAHN ENTERPRISES
Name: KAHN, RICHARD

Title: OWNER

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

SSN: 451-51-XXXX

Phone: [REDACTED]

Confidence: High

19: **Company Name:** R C KAHN ENTERPRISES
Name: KAHN, RICHARD

Title: OWNER

Address: 8210 SAN GABRIEL DR
LAREDO, TX 78045-8749

SSN: 451-51-XXXX

Phone: [REDACTED]

Confidence: High

20: **Company Name:** KAHN AND DE LUNA, INC.
Name: KAHN, RICHARD C

Title: DIRECTOR

SSN: 451-51-XXXX

Confidence: Medium

21: **Company Name:** KAHN FENCE CORPORATION

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SDNY_GM_00057298

- Name:** KAHN, RICHARD C
Title: PRESIDENT
SSN: 451-51-XXXX
Confidence: Medium
- 22:

Company Name: KAHN FENCE CORPORATION
Name: KAHN, RICHARD C
Title: DIRECTOR
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High
- 23:

Company Name: KAHN FENCE CORPORATION
Name: KAHN, RICHARD C
Title: P
Address: 8210 SAN GABRIEL DR
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High
- 24:

Company Name: R.C. KAHN CORPORATION
Name: KAHN, RICHARD C
Title: PRESIDENT
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High
- 25:

Company Name: R.C. KAHN CORPORATION
Name: KAHN, RICHARD C
Title: DIRECTOR
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High
- 26:

Company Name: R.C. KAHN CORPORATION
Name: KAHN, RICHARD C
Title: P
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High
- 27:

Company Name: KAHN AND DE LUNA, INC.
Name: KAHN, RICHARD C
Title: DIRECTOR
Address: [REDACTED]
SSN: 451-51-XXXX

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SDNY_GM_00057299

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- 28: Confidence: Medium
 Company Name: KAHN AND DE LUNA, INC.
 Name: KAHN, RICHARD C
 Title: P
 Address: [REDACTED]
 SSN: 451-51-XXXX
 Confidence: Medium
- 29: Company Name: KAHN INDUSTRIES INCORPORATED
 Name: KAHN, RICHARD C
 Title: P
 Address: [REDACTED]
 SSN: 451-51-XXXX
 Phone: [REDACTED]
 Confidence: High
- 30: Company Name: EVERGREEN LANDSCAPING SVC
 Name: KAHN, RICAHARD
 Title: OWNER
 SSN: 451-51-XXXX
 Phone: (956) 727-7841
 Confidence: High
- 31: Company Name: EVERGREEN LANDSCAPING SVC
 Name: KAHN, RICAHARD
 Title: OWNER
 Address: [REDACTED]
 SSN: [REDACTED]
 Phone: [REDACTED]
 Confidence: High
- 32: Company Name: EVERGREEN LANDSCAPING SVC
 Name: KAHN, RICAHARD
 Title: OWNER
 Address: [REDACTED]
 SSN: [REDACTED]
 Phone: [REDACTED]
 Confidence: High
- 33: Company Name: FENCE MASTER
 Name: KAHN, RICHARD
 Title: FINANCE EXECUTIVE
 SSN: 451-51-XXXX
 Phone: [REDACTED]
 Confidence: High
- 34: Company Name: FENCE MASTER
 Name: KAHN, RICHARD
 Title: FINANCE EXECUTIVE
 Address: [REDACTED]

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SDNY_GM_00057300

CONFIDENTIAL

35: SSN: 451-51-XXXX
Phone: [REDACTED]
Confidence: High

Company Name: FENCE MASTERS
Name: KAHN, RICHARD
Title: FINANCE EXECUTIVE
SSN: 451-51-XXXX
Phone: ([REDACTED])
Confidence: High

36: Company Name: FENCE MASTERS
Name: KAHN, RICHARD
Title: FINANCE EXECUTIVE
Address: [REDACTED]
SSN: [REDACTED]
Phone: ([REDACTED])
Confidence: High

37: Company Name: FENCE MASTERS
Name: KAHN, RICHARD
Title: FINANCE EXECUTIVE
Address: [REDACTED]
SSN: 451-51-XXXX
Phone: ([REDACTED])
Confidence: High

38: Company Name: FENCE MASTER
Name: KAHN, RICHARD
Title: FINANCE EXECUTIVE
Address: [REDACTED]
SSN: [REDACTED]
Phone: ([REDACTED])
Confidence: High

39: Company Name: FENCE MASTER
Name: KAHN, RICHARD
Title: FINANCE EXECUTIVE
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High

40: Company Name: R C KAHN CORPORATION
Name: KAHN, RICHARD C
Title: DIRECTOR
Address: [REDACTED]
SSN: [REDACTED]
Phone: [REDACTED]
Confidence: High

41: Company Name: R C KAHN CORPORATION

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42: Name: KAHN, RICHARD C
 Title: PRESIDENT
 Address: [REDACTED]
 SSN: [REDACTED]
 Phone: [REDACTED]
 Confidence: High
 Company Name: RC KAHN MANAGEMENT LLC
 Name: KAHN, RICHARD

 Title: MEMBER
 Address: [REDACTED]
 SSN: [REDACTED]
 Phone: [REDACTED]
 Confidence: High

43: Company Name: EVERGREEN LANDSCAPING
 Name: KAHN, R C

 Address: [REDACTED]
 SSN: 451-51-XXXX
 Confidence: High

44: Company Name: TORO FENCE
 Name: KAHN, RICHARD

 Title: OWNER
 SSN: 451-51-XXXX
 Phone: [REDACTED]
 Confidence: High

45: Company Name: TORO FENCE
 Name: KAHN, RICHARD

 Address: [REDACTED]
 SSN: [REDACTED]
 Phone: [REDACTED]
 Confidence: High

46: Company Name: TORO FENCE
 Name: KAHN, RICHARD

 Title: OWNER
 Address: [REDACTED]
 SSN: 451-51-XXXX
 Phone: [REDACTED]
 Confidence: High

47: Company Name: TORO FENCE
 Name: KAHN, RICHARD

 Title: OWNER
 Address: [REDACTED]
 SSN: 451-51-XXXX
 Phone: [REDACTED]
 Confidence: High

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D&B:

Not Required

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Court Cases:

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Watchlists and Blacklists

October 7, 2016

Richard Kahn

SOURCE:

AUTHORITY: New York Stock Exchange
LIST NAME: NYSE Arca Enforcement
COUNTRY: United States of America
DATE OF PUBLICATION: April 20, 2012
DATE OF INFORMATION: September 8, 2016

***** **PERSONAL INFORMATION** *****

Decision number: 12-ARCA-3
ID DIESES DATENSATZES: 20200292674

***** **OTHER INFORMATION** *****

CASE: Name on the list of Arca Disciplinary Actions (Position: ETP Holder Limited Partner)

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Watchlists and Blacklists October 7, 2016 Watchlists and Blacklists, 20161007, Kahn,
Richard

CATEGORY: Warning Notices

LOAD-DATE: October 7, 2016

**Lincoln Park Nursing and Convalescent Home, Applicant v.
Richard Kahn, Guardian Ad Litem of Nancy Ellen Jobes, Et Al.**

No. A-65

SUPREME COURT OF THE UNITED STATES

**483 U.S. 1036; 108 S. Ct. 6; 97 L. Ed. 2d 796; 1987 U.S. LEXIS
3071; 56 U.S.L.W. 3114**

July 23, 1987

PRIOR HISTORY: Sup. Ct. N.J.

DISPOSITION: Application denied.

OPINION

[*1036] [**1**] ORDER IN A PENDING CASE**

[*1037] The application for stay addressed to Justice Scalia and referred to the Court is denied. Justice Brennan took no part in the consideration or decision of this application.

**MICHAEL WHALEN; RICHARD KAHN, individually and on
behalf of all others similarly situated, Plaintiffs-Appellants, v.
CENTURY COMMUNICATIONS; CENTURY COMMUNICATIONS
as successor to ML MEDIA PARTNERS L.P., trading as
MULTIVISION CABLE TV; and ML MEDIA PARTNERS, L.P.,
trading as MULTIVISION CABLE TV, Defendants-Appellees.**

CA No. 97-16572

**UNITED STATES COURT OF APPEALS FOR THE NINTH
CIRCUIT**

172 F.3d 61; 1999 U.S. App. LEXIS 10775

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172 F.3d 61; 1999 U.S. App. LEXIS 10775, *

December 10, 1998 ², Submitted

² The panel unanimously finds this case suitable for decision without oral argument. See Fed. R. App. P. 34(a).

February 26, 1999, Filed

NOTICE: [*1] DECISION WITHOUT PUBLISHED OPINION

PRIOR HISTORY: Appeal from the United States District Court for the Northern District of California. DC No. CV-97-0431-EFL. Eugene F. Lynch, District Judge, Presiding.

Reported in Full-Text Format at: 1999 U.S. App. LEXIS 3353.

OPINION

AFFIRMED.

ROSELYN KAHN, JAN KAHN, individually and as custodian for Brad Michael Kahn and Brendan Adam Kahn under the Uniform Gifts to Minors Act, CRAIG KAHN, individually and as custodian for Alex Kahn under the Uniform Gifts to Minors Act, JAN KAHN and CRAIG KAHN as Trustees for and on behalf of Four Seasons Manufacturing Co., Inc., PENSION TRUST & JAN AND CRAIG'S WINDOW FACTORY, LTD., by its Trustee Ronald Lipshie, Plaintiffs-Appellants, v. CHASE MANHATTAN BANK, N.A., THOMAS J. GREENE, WOODMERE SECURITIES, INC., RICHARD KAHN, BRUCE C. BLACK, SHEPPARD MESSING, JEFFREY P. BERG, MATTHIAS & BERG and MICHAEL R. MATTHIAS, Defendants-Appellees.

Docket No. 95-7925

**UNITED STATES COURT OF APPEALS FOR THE SECOND
CIRCUIT**

**91 F.3d 385; 1996 U.S. App. LEXIS 19807; 35 Fed. R. Serv. 3d
(Callaghan) 1352**

**April 19, 1996, Argued
August 7, 1996, Decided**

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91 F.3d 385, *; 1996 U.S. App. LEXIS 19807, **;
35 Fed. R. Serv. 3d (Callaghan) 1352

PRIOR HISTORY: [****1**] Appeal from an order of the United States District Court for the Southern District of New York (McKenna, J.) denying appellants' motion for leave to amend their complaint to add additional plaintiffs, the district court having found that the claims of the additional plaintiffs did not relate back to the date of the filing of the complaint and therefore were time-barred under the applicable statutes of limitations.

DISPOSITION: Appeal dismissed.

CASE SUMMARY:

PROCEDURAL POSTURE: Appellant investors challenged an order from the United States District Court for the Southern District of New York that denied the motion to amend their complaint to add additional plaintiffs after holding that the claims of additional plaintiffs did not relate back to the date of the filing of the complaint and therefore were time-barred under the applicable statutes of limitations.

OVERVIEW: Appellant investors filed a complaint against appellee corporations and individuals alleging schemes to defraud them and later moved to amend the complaint to add additional plaintiffs as parties. The proposed amendment alleged that appellees defrauded additional plaintiffs by nearly identical schemes. The district court denied this motion after finding that the claims of additional plaintiffs did not relate back to the date of the filing of the complaint and therefore were time-barred under the applicable statutes of limitations. On appeal, the court dismissed the appeal for lack of jurisdiction, holding that the district court's ruling was not a final judgment under Fed. R. Civ. P. 54(b). The order did not dispose of all of appellants' claims against each appellee, and the district court did not certify the order by making an express determination that there was no just reason for delay or by directing entry of judgment pursuant to Rule 54(b). Thus, the order was interlocutory and therefore unappealable. The order was also unappealable under the collateral order exception to the final judgment rule because the court could still review it on appeal from a final judgment.

OUTCOME: The court dismissed an appeal by appellant investors that challenged the district court's denial of their motion to amend the complaint to add additional plaintiffs for lack of jurisdiction because that order was interlocutory and therefore unappealable.

CORE TERMS: amend, final judgments, leave to amend, certification, time-barred, statutes of limitations, appealable, certify, common law, denying leave to amend, causes of action, summary judgment, jurisdiction to hear, interlocutory orders, entry of judgment, present case, immediate appeal, immediately appealable, collateral order, interlocutory, finality, defraud, dispose

LexisNexis(R) Headnotes

Civil Procedure > Judgments > Entry of Judgments > Multiple Claims & Parties

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91 F.3d 385, *; 1996 U.S. App. LEXIS 19807, **;
35 Fed. R. Serv. 3d (Callaghan) 1352

Civil Procedure > Appeals > Appellate Jurisdiction > Final Judgment Rule

[HN1] Under Fed. R. Civ. P. 54(b), an order that adjudicates fewer than all the claims or the rights and liabilities of fewer than all the parties is not a final judgment unless the district court makes an express determination that there is no just reason for delay and an express direction for the entry of judgment. Strict adherence to the certification requirements of Rule 54(b) has been the court's consistent view.

***Civil Procedure > Judgments > Entry of Judgments > Multiple Claims & Parties
Civil Procedure > Judgments > Relief From Judgment > Motions to Alter & Amend
Civil Procedure > Appeals > Appellate Jurisdiction > Final Judgment Rule***

[HN2] To be certified under Fed. R. Civ. P. 54(b), an order must possess the degree of finality required to meet the appealability requirements of 28 U.S.C.S. § 1291. This degree of finality is defined as a judgment which ends the litigation on the merits and leaves nothing for the court to do but execute the judgment.

Civil Procedure > Pleading & Practice > Pleadings > Amended Pleadings > Leave of Court

***Civil Procedure > Judgments > Relief From Judgment > Motions to Alter & Amend
Civil Procedure > Appeals > Appellate Jurisdiction > Final Judgment Rule***

[HN3] An order denying leave to amend a complaint is not a "final decision" within the meaning of 28 U.S.C.S. § 1291.

Civil Procedure > Appeals > Appellate Jurisdiction > Interlocutory Orders

[HN4] See 28 U.S.C.S. § 1292(b).

Civil Procedure > Appeals > Appellate Jurisdiction > Collateral Order Doctrine

Civil Procedure > Appeals > Appellate Jurisdiction > Final Judgment Rule

[HN5] Under the "collateral order" exception to the final judgment, an interlocutory order is immediately appealable if, inter alia, the order would "be effectively unreviewable on appeal from a final judgment."

COUNSEL: FREDERICK R. DETTMER, New York, NY (Karen M. Streisfeld, Law Office of Frederick R. Dettmer, New York, NY, Neil Friedkin, Lamendola & Friedkin, Great Neck, NY, of counsel), for Plaintiffs-Appellants.

ANDREW R. KOSLOFF, New York, NY (Kent T. Stauffer, Litigation Division, The Chase Manhattan Bank, N.A., of counsel), for Defendant-Appellee Chase Manhattan Bank, N.A.

SCOTT K. NIGRO, Long Beach, NY, for Defendant-Appellee Richard Kahn.

Kenneth M.H. Hoff, Matthias & Berg, Los Angeles, CA, for Defendants-Appellees Matthias & Berg, Jeffrey P. Berg, and Michael R. Matthias.

JUDGES: Before: MINER, McLAUGHLIN and LEVAL, Circuit Judges.

OPINION BY: MINER

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OPINION

[*386] MINER, *Circuit Judge*:

Plaintiffs appeal from an order of the United States District Court **[**2]** for the Southern District of New York (McKenna, J.) denying their motion for leave to amend their complaint to add additional plaintiffs. The district court found that the claims of the additional plaintiffs did not relate back to the date of the filing of the complaint pursuant to Fed. R. Civ. P. 15(c) and therefore that the claims of the additional plaintiffs were time-barred under the applicable statutes of limitations.

For the reasons set forth below, we dismiss the appeal.

BACKGROUND

On April 27, 1990, plaintiffs-appellants Roselyn Kahn, Jan Kahn, Craig Kahn, Four Seasons Manufacturing Co., Inc. Pension Trust, and Jan and Craig's Window Factory, Ltd. (together, the "Plaintiffs") commenced this action against defendants Bruce C. Black, Thomas J. Greene, Gruntal & Co., Inc. ("Gruntal"), **Richard Kahn**, Sheppard Messing, Woodmere Securities, Inc. ("Woodmere"), Chase Manhattan Bank, N.A. ("Chase"), Jeffrey P. Berg, Michael R. Matthias, and Matthias & Berg (together, the "Defendants"). In their complaint, the Plaintiffs alleged that the Defendants had engaged in various schemes to defraud them and others. Pleading six separate causes of action, the Plaintiffs alleged: (1) that **[**3]** the Defendants had violated the Racketeer Influenced and Corrupt Organizations Act ("RICO"), 18 U.S.C. § 1961 *et seq.*; (2) that all the Defendants except for Gruntal had violated section 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. § 78j(b); (3) that all the Defendants except for Gruntal had committed common law fraud; (4) that Black, Messing, Greene, and Chase had committed common law conversion; (5) that Berg, Matthias, and Matthias & Berg had committed legal malpractice; and (6) that Berg, Matthias, Matthias & Berg, Black, and Woodmere had committed common law constructive fraud.

In July of 1990, the Defendants moved to dismiss the complaint. On March 29, 1991, the district court dismissed the Plaintiffs' RICO claims against Gruntal and Chase, dismissed all the claims of Roselyn Kahn **[*387]** against Berg, Matthias, and Matthias & Berg, and dismissed the section 10(b) claims against Berg, Matthias, Matthias & Berg, and Chase. However, the district court granted the Plaintiffs leave to replead the section 10(b) claims against each of the Defendants. Accordingly, on April 29, 1991, the Plaintiffs filed an amended complaint (the "first amended complaint"), setting forth the **[**4]** same six causes of actions alleged in the original complaint.

In March of 1995, Chase moved for summary judgment on the section 10(b) claim and the state law claims against it. On April 6, 1995, the Plaintiffs moved for leave to amend the first amended complaint, pursuant to Fed. R. Civ. P. 15(a), to add Laurence LoScalzo, Constance LoScalzo, Kenneth Boklan, Dix Hills Equities Group, Inc., and Dix Hills Air (together, the "Additional Plaintiffs") as parties. The proposed second amended complaint alleged that Black and the other Defendants defrauded the Additional Plaintiffs by

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91 F.3d 385, *; 1996 U.S. App. LEXIS 19807, **;
35 Fed. R. Serv. 3d (Callaghan) 1352

engaging in schemes that were nearly identical to the schemes that the Defendants used to defraud the Plaintiffs.

These claims of the Additional Plaintiffs, however, were time-barred under the applicable statutes of limitations. Consequently, the Plaintiffs argued that the claims of the Additional Plaintiffs related back to the date of the filing of the original complaint, pursuant to Fed. R. Civ. P. 15(c). The Plaintiffs asserted that the Additional Plaintiffs had not been previously joined in the action due to a mistake by their attorney, Eric Moss. In support of the motion, the Additional Plaintiffs asserted **[**5]** that they had retained Moss to commence an action against the Defendants in 1990, at the same time that the Plaintiffs retained Moss to pursue their claims against the Defendants. The Additional Plaintiffs contended that Moss' failure to name them as plaintiffs in the original complaint was a mistake that was caused by Moss' serious illness and subsequent death in 1993.

On August 17, 1995, the district court granted Chase's motion for summary judgment to the extent of dismissing the 10(b) claim against Chase, and denied Chase's motion to dismiss the state law claims against it. The district court also denied the Plaintiffs' motion for leave to amend the first amended complaint. The court found that the claims of the Additional Plaintiffs did not relate back to the date of the original complaint under Rule 15(c). Because the claims of the Additional Plaintiffs, without relation-back, were time-barred under the applicable statutes of limitations, the district court concluded that it would be futile to grant the Plaintiffs leave to amend the complaint under Rule 15(a). The Plaintiffs then filed the instant appeal, claiming that the district court erred in determining that the claims **[**6]** of the Additional Plaintiffs did not relate back and therefore that the district court erred in denying its motion to amend the first amended complaint.

DISCUSSION

Although the appellees have not argued the issue, we must determine whether we have jurisdiction to review the district court's order denying the Plaintiffs' motion for leave to amend their complaint. See *Petereit v. S.B. Thomas, Inc.*, 63 F.3d 1169, 1175 (2d Cir. 1995), *cert. denied*, 134 L. Ed. 2d 520, 116 S. Ct. 1351 (1996). This Court's jurisdiction is defined by statute and generally is limited to appeals from final judgments of the district court pursuant to 28 U.S.C. § 1291 and from certain interlocutory orders pursuant to 28 U.S.C. § 1292.

We lack jurisdiction to hear this appeal under § 1291 because the district court's August 17, 1995 order is not a final judgment. Rule 54(b) of the Federal Rules of Civil Procedure sets forth the requirements for the entry of a partial final judgment in multi-claim or multi-party actions. [HN1] Under Rule 54(b), an order that "adjudicates fewer than all the claims or the rights and liabilities of fewer than all the parties" is not a final judgment unless the district court makes "an express **[**7]** determination that there is no just reason for delay and . . . an express direction for the entry of judgment." "Strict adherence to the certification requirements of Rule 54(b) has been our consistent view." *In re Martin-Trigona*, 763 F.2d 135, 139 (2d Cir. 1985). The district court's August 17th order did not dispose of all the Plaintiffs' claims against each of the Defendants. **[*388]** Moreover, the district court did not certify its August 17th order by making an express determination that there was no just reason for delay or by directing entry of judgment pursuant to Rule 54(b).

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91 F.3d 385, *; 1996 U.S. App. LEXIS 19807, **;
35 Fed. R. Serv. 3d (Callaghan) 1352

Because the August 17th order did not dispose of all claims against all parties and because there was no Rule 54(b) certification, the August 17th order remains interlocutory and is not appealable. See *HBE Leasing Corp. v. Frank*, 48 F.3d 623, 632 (2d Cir. 1995) (holding that order that did not resolve all the claims in the action was interlocutory and was not appealable because there was no Rule 54(b) certification); see also *Martin-Trigona*, 763 F.2d at 139 (dismissing appeal for lack of jurisdiction where the defendant appealed from an order disposing of only a portion of the case and the district court had not certified the appeal pursuant to Rule 54(b)); *DeNubilo v. United States*, 343 F.2d 455 (2d Cir. 1965) (dismissing appeal for lack of jurisdiction where the plaintiffs appealed from an order denying their motion to amend their complaint and the district court had not certified the appeal pursuant to Rule 54(b)).¹

¹ We are not persuaded by the Plaintiffs' reliance on *Lockett v. General Finance Loan Co.*, 623 F.2d 1128 (5th Cir. 1980). *Lockett* held that an order denying leave to amend a complaint was final because the plaintiffs' action against a newly sought defendant would otherwise be time-barred. But in that case the district court did certify the appeal under Rule 54(b), *id.* at 1129, which the court below did not. We thus find *Lockett* inapposite, and otherwise decline to follow it.

The portion of the district court's August 17th order denying the Plaintiffs' motion to amend their complaint could not have been certified under Rule 54(b) in any event. [HN2] To be certified under Rule 54(b), an order must possess the "degree of finality required to meet the appealability requirements of 28 U.S.C. § 1291." *Acha v. Beame*, 570 F.2d 57, 62 (2d Cir. 1978). This degree of finality is "defined as a judgment 'which ends the litigation on the merits and leaves nothing for the court to do but execute the judgment.'" *Id.* (quoting *Catlin v. United States*, 324 U.S. 229, 233, 89 L. Ed. 911, 65 S. Ct. 631 (1945)). In the present case, the Plaintiffs appeal from only the portion of the district court's order denying their motion to amend their complaint. It is well-settled that [HN3] "an order denying leave to amend a complaint is not a 'final decision' within the meaning of 28 U.S.C. § 1291." *DeNubilo*, 343 F.2d at 456-57. Accordingly, the district court's denial of the Plaintiffs' motion to amend their complaint would not be certifiable pursuant to Rule 54(b).

Nor do we have jurisdiction to hear this appeal pursuant to § 1292(b),² since the district court did not utilize that provision to certify for immediate appeal its order denying the Plaintiffs' motion to amend the first amended complaint. See *D'Ippolito v. Cities Serv. Co.*, 374 F.2d 643, 648 (2d Cir. 1967) (holding that "no appeal lies from the order denying permission to amend [the complaint] in the absence of certification" under § 1292(b) or Rule 54(b)); *DeNubilo*, 343 F.2d at 456-57 (same).

² Section 1292(b) provides in part:

[HN4] When a district judge, in making in a civil action an order not otherwise appealable under this section, shall be of the opinion that such order involves a controlling question of law as to which there is substantial ground for difference of opinion and that an immediate appeal from the order may materially advance the ultimate termination of the litigation, he shall so state in writing in such order. The Court of Appeals which would have jurisdiction of an appeal of such action may thereupon, in its discretion, permit an appeal to be taken from such order, if application is made to it within ten days after the entry of the order

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91 F.3d 385, *; 1996 U.S. App. LEXIS 19807, **;
35 Fed. R. Serv. 3d (Callaghan) 1352

Finally, the district court's order is not immediately appealable [HN5] under the "collateral order" exception to the final judgment rule set forth in *Cohen* [**11] v. *Beneficial Indus. Loan Corp.*, 337 U.S. 541, 546-47, 93 L. Ed. 1528, 69 S. Ct. 1221 (1949). Under *Cohen*, an interlocutory order is immediately appealable if, *inter alia*, the order would "be effectively unreviewable on appeal from a final judgment." *Coopers & Lybrand v. Livesay*, 437 U.S. 463, 468, 57 L. Ed. 2d 351, 98 S. Ct. 2454 (1978). In the present case, we would not be foreclosed from reviewing the district court's denial of the Plaintiffs' motion to amend their complaint on an appeal from a final judgment. See *Richardson* [**389] *Greenshields Sec., Inc. v. Lau*, 825 F.2d 647, 651 (2d Cir. 1987). Accordingly, the collateral order exception does not apply to this case. See *DeNubilo*, 343 F.2d at 456-57 (holding that the collateral order exception does not apply to an order denying a motion to amend a complaint).

CONCLUSION

In view of the foregoing, we dismiss the appeal for lack of jurisdiction.

**NATIONAL INDEPENDENT THEATRE EXHIBITORS, INC.,
Plaintiff, James T. Patterson, Sr., individually and d/b/a/ Screen
Advertising Film Fund, Plaintiff-Appellant, v. BUENA VISTA
DISTRIBUTION COMPANY, et al., Defendants-Appellees**

No. 83-8638

**UNITED STATES COURT OF APPEALS FOR THE ELEVENTH
CIRCUIT**

**748 F.2d 602; 1984 U.S. App. LEXIS 15990; 1984-2 Trade Cas.
(CCH) P66,311; 40 Fed. R. Serv. 2d (Callaghan) 954**

December 12, 1984

PRIOR HISTORY: [**1] Appeal from the United States District Court for the Northern District of Georgia.
Screen Advertising Film Fund Corp. v. Buena Vista Distribution Co., 100 F.R.D. 14, 1983 U.S. Dist. LEXIS 14570 (N.D. Ga., 1983)

CASE SUMMARY:

PROCEDURAL POSTURE: Plaintiffs, a corporation and its president, appealed the judgment of the United States District Court for the Northern District of Georgia, which granted summary judgment in favor of defendant distributors in plaintiffs' suit alleging

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748 F.2d 602, *, 1984 U.S. App. LEXIS 15990, **;
1984-2 Trade Cas. (CCH) P66,311; 40 Fed. R. Serv. 2d (Callaghan) 954

violations of federal antitrust law in connection with defendant's alleged prevention of plaintiff corporation from entering the marketplace of movie distributorship.

OVERVIEW: Plaintiffs, corporation and its president, sued defendant distributors regarding an alleged violation of federal antitrust laws in connection with defendants' alleged prevention of plaintiff corporation from competing as a movie distributor. The district court granted summary judgment in favor of defendants and plaintiffs appealed, arguing that it was error to grant summary judgment where genuine issues of material fact remained unresolved. Plaintiff president additionally challenged the ruling that he lacked standing to sue under the antitrust laws. On appeal, the court vacated the decision awarding summary judgment, finding that the inference that defendants' conduct might have caused plaintiff corporation's injury was sufficient to preclude summary judgment. However, the court affirmed the decision citing plaintiff president's lack of standing, finding that plaintiff president was not a target against which anticompetitive activity had been directed and that, as an officer of plaintiff corporation, he lacked standing to sue on behalf of his corporation.

OUTCOME: The court vacated in part, affirmed in part. The court vacated the grant of summary judgment in favor of defendant distributors, finding that the inference that defendants' conduct might have caused plaintiff corporation's injury was sufficient to preclude summary judgment. Plaintiff president lacked standing to sue under the federal antitrust laws where he was not a target of anticompetitive activity.

CORE TERMS: advertising, film, screen, theatre, distributors', exhibitor, summary judgment, picture, on-screen, movie, discovery, target, antitrust violations, advertisers, deposition, antitrust, Clayton Act, antitrust laws, antitrust claims, pro se, anticompetitive, participating, substitution, dissolved, withdrew, reporter, material fact, abuse of discretion, shareholder, prosecute

LexisNexis(R) Headnotes

Civil Procedure > Summary Judgment > Appellate Review > Standards of Review
Civil Procedure > Summary Judgment > Standards > Materiality

[HN1] In determining whether the existence of material fact issues precluded the entry of summary judgment in the district court, the appellate court treats the evidence presented to the district court in the light most favorable to the nonmovant plaintiffs.

Antitrust & Trade Law > Clayton Act > Claims
Antitrust & Trade Law > Private Actions > Standing > Clayton Act
Antitrust & Trade Law > Sherman Act > Claims

[HN2] The prerequisites to a private cause of action under § 4 of the Clayton Act are well established: the plaintiff must show (1) a violation of the antitrust laws, (2) injury to its business or property, and (3) a causal relationship between the antitrust violation and the injury. The last two elements require the valuation of the plaintiff's injury in terms of money damages with some degree of certainty.

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748 F.2d 602, *, 1984 U.S. App. LEXIS 15990, **;
1984-2 Trade Cas. (CCH) P66,311; 40 Fed. R. Serv. 2d (Callaghan) 954

***Antitrust & Trade Law > Private Actions > Injuries & Remedies > General Overview
Evidence > Procedural Considerations > Burdens of Proof > General Overview***

[HN3] The law does not require an antitrust plaintiff to show that the defendant's wrongful action was the sole proximate cause of the injury sustained. The plaintiff need only prove, with a fair degree of certainty, that defendant's illegal conduct materially contributed to the injury.

***Antitrust & Trade Law > Clayton Act > General Overview
Antitrust & Trade Law > Private Actions > Injuries & Remedies > General Overview
Evidence > Procedural Considerations > Burdens of Proof > General Overview***

[HN4] It is enough that the illegality is shown to be a material cause of the injury; a plaintiff need not exhaust all possible alternative sources of injury in fulfilling his burden of proving compensable injury under § 4 of the Clayton Act.

***Antitrust & Trade Law > Private Actions > Standing > Clayton Act
Civil Procedure > Justiciability > Standing > General Overview
Evidence > Procedural Considerations > Burdens of Proof > General Overview***

[HN5] Standing to prosecute a private antitrust action under § 4 of the Clayton Act requires the plaintiff to prove that he is within that sector of the economy, which is endangered by a breakdown of competitive conditions in a particular industry. The plaintiff must be the target against which anticompetitive activity is directed. The injury must be of the type the antitrust laws were intended to prevent and that flows from that which makes defendants' act unlawful. Incidental or consequential injury or injury remotely caused by an antitrust violation does not give a plaintiff standing to complain that he has been injured by reason of anything forbidden in the antitrust laws.

***Antitrust & Trade Law > Private Actions > Standing > General Overview
Civil Procedure > Justiciability > Standing > General Overview***

[HN6] Neither an officer nor an employee of a corporation has standing to bring an action in his own right for an antitrust violation causing injury to the corporation and its business. Such persons may suffer indirect or secondary financial injury from antitrust violations, but they are not the target of the anticompetitive practices.

Civil Procedure > Parties > Self-Representation > General Overview

[HN7] See 28 U.S.C.S. § 1654.

Civil Procedure > Parties > Self-Representation > General Overview

[HN8] Corporations must always be represented by legal counsel.

***Business & Corporate Law > Corporations > Dissolution & Receivership >
Termination & Winding Up > Limited Survival
Civil Procedure > Parties > Substitutions > General Overview***

[HN9] Georgia law provides that a cause of action on behalf of a corporation, which is the subject of litigation pending on the date of dissolution, may continue to be prosecuted by the corporation in its corporate name. Ga. Code Ann. § 14-2-293 (1982). A dissolved corporation may maintain a federal suit when it has been given that power by state law.

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Civil Procedure > Parties > Substitutions > General Overview

[HN10] See Fed. R. Civ. P. 25(c).

Civil Procedure > Parties > Substitutions > General Overview

[HN11] The decision whether to allow substitution is discretionary.

COUNSEL: James T. Patterson, Sr., Pro Se, Riverdale, Georgia, for Appellant.

William N. Withrow, Jr., Tench C. Coxe, J. Kirk Quillian, Atlanta, Georgia, for Appellee.

Joseph B. Haynes, Atlanta, Georgia, for Appellee.

A. Vernon Carnahan; Daniel R. Murdock, New York, New York, for Appellee.

JUDGES: Tjoflat and Hatchett, Circuit Judges, and Garza, * Senior Circuit Judge.

* Honorable Reynaldo G. Garza, U.S. Circuit Judge for the Fifth Circuit, sitting by designation.

OPINION BY: TJOFLAT

OPINION

[*603] TJOFLAT, Circuit Judge:

This private antitrust dispute concerns the alleged attempt of eight major [*604] motion picture distributors to prevent a potential competitor from entering the marketplace. The district court entered summary judgment for the distributors. 100 F.R.D. 14. This appeal questions that decision and several of the district court's procedural and discovery rulings. We find no error in any of these latter rulings but vacate the summary judgment because certain material fact issues remain to be litigated. ¹

¹ [HN1] In determining whether the existence of material fact issues precluded the entry of summary judgment in the district court, we treat the evidence presented to the district court in the light most favorable to the nonmovant plaintiffs. *Adickes v. S.H. Kress & Co.*, 398 U.S. 144, 157, 90 S. Ct. 1598, 1608, 26 L. Ed. 2d 142 (1970); *Thrasher v. State Farm Fire & Casualty Co.*, 734 F.2d 637, 638 (11th Cir.1984).

[2] I.**

Buena Vista Distribution Company, Inc., Columbia Pictures Industries, Inc., Metro-Goldwyn Mayer, Inc., Paramount Pictures Corporation, Twentieth Century Fox Film Corporation, United Artists Corporation, Universal Film Exchanges, Inc., and Warner Brothers Distributing Corporation, the eight defendants below, collectively supply eighty-five percent of the high quality motion pictures exhibited at theatres in the United States. They were producing approximately one hundred such motion pictures a year when this controversy arose. All eight belong to the Motion Picture Association of America (MPAA), a trade organization composed of the ten largest film distributors in the country.

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National Independent Theatre Exhibitors, Inc. (NITE) is a trade association of independent movie theatre exhibitors who own and operate some five thousand screens in the continental United States. They formed NITE to protect and promote their interests. James Thomas Patterson, Sr., an independent theatre owner-operator, is NITE's president and a member of its board of directors.

In the early 1970's Independent theatres found themselves in a serious financial crisis. Faced with growing competition **[**3]** from large circuit exhibitors, shrinking attendance, and rising film rentals, they found it difficult to obtain top quality films for exhibition. In 1976, NITE decided that the solution for this problem was to increase the supply of quality films to the independent distributors. A greater variety of movies, NITE assumed, would foster more vigorous price competition by all distributors, resulting in lower film rentals, and would permit the independent theatres to offer movies to their patrons that were not being shown by most of their competitors. In addition, the lower admission prices and greater variety would increase public interest in going to the movies. NITE concluded that the major film producers lacked the economic incentive to cure the supply problem it perceived and that it should enter the production and distribution market. NITE lacked the financial resources to take this step, however.

In an effort to raise the capital that would be necessary to finance the production of high quality films, NITE's board of directors, in April 1977, created a "Film Fund," to which its members could make donations, and gave Patterson the job of soliciting their contributions. He, in **[**4]** turn, devised the following plan. NITE's member theatres would show several minutes of on-screen advertising before each feature film and contribute revenues generated by this advertising to the Film Fund. A board of advisors, chosen by the participating independent theatre owners, would select the movies the Fund would finance. The Film Fund would then contract with independent motion picture companies to produce the movies. The NITE members who participated in this voluntary screen advertising program would receive a discount on the rental of these movies in return for their contributions to the Fund. *Daily Variety*, a leading trade newspaper, reported on the NITE Film Fund in a front-page story on April 26, 1977. The story related strong interest in the program from film producers and exhibitors alike.

[*605] In June 1977, NITE entered into a four-year contract with Cinemavision, Inc., a company engaged in the selling of on-screen theatre advertising, for the sale of advertising space on NITE's members' movie screens. The contract provided that at least fifty percent of the net revenues Cinemavision collected from its advertisers for the participating theatres would **[**5]** be paid directly to the Film Fund. The contract required NITE to use its best efforts to solicit exhibitors to participate in the screen advertising program. NITE anticipated that its Film Fund would generate \$42 million from the program over the four-year contract period.

NITE's board of directors decided that the Film Fund should be organized as a separate legal entity and persuaded Patterson to incorporate Screen Advertising Film Fund Corporation (SAFFCO). SAFFCO in turn contracted with NITE and Cinemavision to administer the program. The contract provided that SAFFCO would receive the theatre contributions generated by the on-screen advertising and would retain two percent of these contributions as a profit margin to pay Patterson a salary. Cinemavision eventually

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enrolled independent exhibitors representing four thousand screens to display advertisements, two thousand of whom agreed to contribute their advertising revenues to SAFFCO.

On September 27-28, 1977, at a NITE regional meeting held in St. Louis, Cinemavision announced that the screen advertising program would be launched in late October with a one thousand theatre test run. A reporter from *Daily Variety* [**6] attended the meeting and filed a long story entitled "NITE's Screen Advertising Network Gets 1000-Theatre Test Run Beginning Oct. 24," which appeared on the front page of the September 29 edition. The story stated, in part:

Following the tryout, additional theatres in other markets will be added until all 4200 screens signed up for the program are unreeling soft-sell commercials, William Woosley of Cinemavision told NITE's national conference of small circuits and independent buyers.

Included in that number, per NITE proxy Tom Patterson, are 2000 screens (not all NITE members) which will be donating proceeds from the ads to the Screen Advertising Film Fund Corp. (SAF[F]CO), the NITE group established to purchase, finance and produce features.

All exhibitors signed by Patterson have agreed to put three minutes of advertising on their screens before showing the feature for four years. Over that period, Patterson estimated, \$42,000,000 would be generated for SAF[F]CO from the 2000 subscribing screens.

A second *Daily Variety* reporter in Hollywood, California placed phone calls to the major motion picture distributors to obtain their response to the NITE [**7] film advertising program. Senior executives from Universal Pictures, Buena Vista, Twentieth Century Fox, Columbia, and Warner Brothers returned the reporter's calls. All the executives were familiar with the program. They all voiced strong opposition to NITE's program because they feared the advertisements would "clutter the screen" and alienate theatre patrons. The executives' responses were quoted in the September 29, September 30, and October 5, 1977 editions of *Daily Variety*.

The MPAA Advertising-Publicity Committee met on October 27, 1977 and discussed the NITE Film Fund. Richard Kahn, chairman of the committee and vice president of Metro-Goldwyn Mayer, told a *Daily Variety* reporter that the committee unanimously voted to take a stand urging theatres not to exhibit screen ads. A story on the cover of the November 4, 1977 edition of *Daily Variety* reported that

A new voice -- that of the ad-pub committee of the Motion Picture Association of America -- has been added to the campaign to dissuade theatre owners from participating in the screen advertising program through which the National Independent Theatre Exhibitors Association [**6] hopes to generate [**8] financing for new feature film product.

Richard Kahn, ad-pub-exploitation v.p. of MGM, chairman of the MPAA panel, yesterday disclosed a unanimously approved committee stand deploring the "rebirth" of screen advertising, and urging exhibitors "to keep their screens free of nonentertainment oriented clutter."

Action of the ad-pub committee was endorsed by MPAA president Jack Valenti, and the association's advertising chief, Bethlyn Hand. Both attended the session, along with representatives of Universal, United Artists, Warner Bros. and MGM. Nonmember observers from American International and First Artists concurred.

On October 31, 1977, Twentieth Century Fox issued a statement that it would require all future exhibitors of its films to pay it a percentage of any revenues they might derive from on-screen advertising. The purpose of this statement was to discourage theatre participation in screen advertising programs.

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On November 22, 1977, Warner Brothers mailed out bid solicitations for "Superman," one of the most commercially important movies of the year. The bid solicitation stated that theatres exhibiting screen ads would not be considered eligible for licensing **[**9]** the new film. The clear intent was to discourage screen advertising programs.

As a result of the major distributors' coercive activities, numerous theatre exhibitors withdrew from the NITE program; they could not afford to lose their principal sources of supply. At the same time, Cinemavision tried to separate itself from SAFFCO and NITE in order to save its business. These efforts came too late, and in 1978 Cinemavision went out of business.

NITE, Patterson, and SAFFCO, invoking section 4 of the Clayton Act, 15 U.S.C. § 15 (1982), brought this private anti-trust action against the eight defendant film distributors we have referred to *supra*, alleging that they had conspired to destroy SAFFCO, in violation of sections 1 and 2 of the Sherman Act, 15 U.S.C. §§ 1 and 2 (1982), because SAFFCO threatened to compete vigorously in their oligopolistic market. The plaintiffs alleged that the statements by the defendants' executives concerning the NITE Film Fund and the subsequent meeting of the MPAA Advertising-Publicity Committee evidenced this conspiracy and that Warner Brothers' and Twentieth Century Fox's threatened boycott of any exhibitor **[**10]** who participated in the on-screen advertising program was in pursuance thereof. The conspirators knew, plaintiffs alleged, that the exhibitors would respond to such threats by refraining from on-screen advertising.

After answering plaintiffs' complaint, the defendants moved for summary judgment on the ground that plaintiffs lacked standing to prosecute their antitrust claims. Following a period of discovery limited to the standing issue, the court granted the defendants' motions as to Patterson and NITE because they were not within the target area of the alleged antitrust violations.

The parties subsequently engaged in extended discovery on the merits of SAFFCO's claims, and the defendants again moved for summary judgment. Before the court could hear argument on their motions, though, SAFFCO's attorneys withdrew from the case. SAFFCO obtained new counsel, but Patterson soon became dissatisfied with his performance and sought to represent SAFFCO himself. The court refused to permit him to do so, however. Patterson then tried another approach; he dissolved SAFFCO and moved the court to substitute him for the company as party plaintiff. The court denied his motion and proceeded **[**11]** to consider the defendants' motions for summary judgment. It concluded that the defendants' actions were not responsible for SAFFCO's alleged injury and consequently granted summary judgment for the defendants.

SAFFCO and Patterson appeal. SAFFCO contends that the court erred in granting the distributors summary judgment because material facts remained unresolved. **[*607]** Patterson contends that the court erred in concluding that he lacked standing to sue under the antitrust laws. Both appellants challenge the trial court's denial of Patterson's motion for substitution as party plaintiff and its disposition of several procedural and discovery matters. We discuss these points in order.

II.

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[HN2] The prerequisites to a private cause of action under section 4 of the Clayton Act are well established: the plaintiff must show (1) a violation of the antitrust laws, in this case sections 1 and 2 of the Sherman Act, (2) injury to its "business or property," and (3) a causal relationship between the antitrust violation and the injury. *Nichols v. Mobile Board of Realtors, Inc.*, 675 F.2d 671, 675 (5th Cir. Unit B 1982); **[**12]** ² *Jot-Em-Down (JEDS) Store, Inc. v. Cotter & Co.*, 651 F.2d 245, 247 (5th Cir.1981). ³ The last two elements require the valuation of the plaintiff's injury in terms of money damages with some degree of certainty. *Id.*

² In *Stein v. Reynolds Securities, Inc.*, 667 F.2d 33 (11th Cir.1982), this court adopted as binding precedent all decisions of Unit B of the former Fifth Circuit handed down after September 30, 1981.

³ In *Bonner v. City of Prichard*, 661 F.2d 1206, 1209 (11th Cir.1981) (en banc), this court adopted as binding precedent all decisions of the former Fifth Circuit handed down prior to October 1, 1981.

In the instant case, the distributors moved for summary judgment against SAFFCO on the ground that SAFFCO failed to establish the third element of its antitrust claims, the causal relationship between the distributors' alleged anticompetitive behavior and SAFFCO's injury. The distributors presented a two-part argument. First, the distributors **[**13]** noted that SAFFCO failed to obtain contributions from exhibitor on-screen advertising revenues because Cinemavision collapsed and that Cinemavision collapsed because it could not persuade anyone to advertise on its network of theatre screens. Second, they pointed out that there was no proof that they had ever contacted any of Cinemavision's potential advertisers in an attempt to discourage them from engaging in on-screen advertising. Absent any interference on their part with Cinemavision's sources of income, the distributors concluded, they could not be held responsible for SAFFCO's injury. The district court accepted their argument and gave them summary judgment.

We begin our assessment of the validity of the distributors' argument, and hence the summary judgment, by observing that [HN3] the law does not require an antitrust plaintiff to show that the defendant's wrongful action was the *sole* proximate cause of the injury sustained. The plaintiff need only prove, with a fair degree of certainty, that defendant's illegal conduct *materially contributed* to the injury. *Comfort Trane Air Conditioning v. Trane Co.*, 592 F.2d 1373, 1383 (5th Cir.1979); **[**14]** *Alabama v. Blue Bird Body Co., Inc.*, 573 F.2d 309, 317 (5th Cir.1978); *Terrell v. Household Goods Carriers' Bureau*, 494 F.2d 16, 20 (5th Cir.), *cert. dismissed*, 419 U.S. 987, 95 S. Ct. 246, 42 L. Ed. 2d 260 (1974). [HN4] "It is enough that the illegality is shown to be a material cause of the injury; a plaintiff need not exhaust all possible alternative sources of injury in fulfilling his burden of proving compensable injury under § 4 [of the Clayton Act]." *Zenith Radio Corp. v. Hazeltine Research, Inc.*, 395 U.S. 100, 114 n. 9, 89 S. Ct. 1562, 1571-72 n. 9, 23 L. Ed. 2d 129 (1969). The causation argument the distributors advanced in the district court, and repeat, here, ignores this rule of law, for it does not address the question of whether the distributors' threats to boycott or otherwise penalize any exhibitor who engaged in on-screen advertising played a role in the decision of Cinemavision's putative advertisers not to participate in its advertising program.

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In determining whether such threats, especially Twentieth **[**15]** Century Fox's and Warner Brothers' communications to exhibitors of October 31 and November 22, **[*608]** 1977, *supra*, played a role in the advertisers' decisions, we must view the evidence in a light most favorable to SAFFCO. In doing so, we conclude that a large number of movie theatres, both those who had agreed to contribute to SAFFCO's Film Fund and those who had not, withdrew from the Cinemavision's screen advertising program because of the distributors' coercive conduct and that such withdrawal was a *natural cause* of Cinemavision's failure. These exhibitors could not risk losing their supply of motion pictures and had no choice except to refuse to exhibit on-screen advertising. We think a jury could infer that this significant contraction in the number of screens participating in Cinemavision's advertising program reduced its attractiveness as an advertising medium. A network of five thousand screens was obviously more attractive than one with only two thousand screens to national advertisers who wished to reach the largest audience possible. In sum, the inference that the distributors' conduct may have caused SAFFCO's injury was sufficient to preclude the entry **[**16]** of summary judgment. *Thrasher v. State Farm Fire & Casualty Co.*, 734 F.2d 637, 638 (11th Cir.1984); *Griffis v. Delta Family-Care Disability*, 723 F.2d 822, 823-24 (11th Cir.), *cert. denied*, 467 U.S. 1242, 104 S. Ct. 3514, 82 L. Ed. 2d 823 (1984).

III.

Patterson challenges the district court's conclusion that he lacked standing to pursue his antitrust claims. His challenge lacks merit. [HN5] Standing to prosecute a private antitrust action under section 4 of the Clayton Act requires the plaintiff to prove that "he is within that sector of the economy which is endangered by a breakdown of competitive conditions in a particular industry." *Jeffrey v. Southwestern Bell*, 518 F.2d 1129, 1131 (5th Cir.1975). *See Construction Aggregate Transport, Inc. v. Florida Rock Industries, Inc.*, 710 F.2d 752, 762 (11th Cir.1983). The plaintiff must be the target against which anticompetitive activity is directed. *Midwestern Waffles, Inc. v. Waffle House, Inc.*, 734 F.2d 705, 710 (11th Cir.1984); *Pan-Islamic Trade Corp. v. Exxon Corp.*, 632 F.2d 539, 546-47 (5th Cir.1980), **[**17]** *cert. denied*, 454 U.S. 927, 102 S. Ct. 427, 70 L. Ed. 2d 236 (1981). The injury must be "of the type the antitrust laws were intended to prevent and that flows from that which makes defendants' act unlawful." *Brunswick Corp. v. Pueblo Bowl-O-Mat, Inc.*, 429 U.S. 477, 489, 97 S. Ct. 690, 697, 50 L. Ed. 2d 701 (1977). "Incidental or consequential injury or injury remotely caused by an antitrust violation does not give a plaintiff standing to complain that he has been injured by reason of anything forbidden in the antitrust laws." *Midwestern Waffles*, 734 F.2d at 710-11. *See Jeffrey*, 518 F.2d at 1131 (citing cases).

The film production and distribution market was the target of the distributors' alleged anticompetitive behavior in this case. Patterson never intended or prepared to enter this market, whether as a sole proprietor or as a member of a partnership or joint venture. Moreover, there was no evidence that any of the distributors' alleged behavior was directed against him individually. He clearly fell outside the target area.

Patterson claimed individual injury in his capacity as an officer and shareholder **[**18]** of SAFFCO, the target of the alleged conspiracy. The law on standing in this situation is clear. [HN6] Neither an officer nor an employee of a corporation has standing to bring an action in his own right for an antitrust violation causing injury to the corporation and its

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business. *Midwestern Waffles*, 734 F.2d at 710-11; *Pitchford v. Pepi, Inc.*, 531 F.2d 92, 97-98 (3d Cir. 1975), *cert. denied*, 426 U.S. 935, 96 S. Ct. 2649, 49 L. Ed. 2d 387 (1976); *Jeffrey*, 518 F.2d at 1131; *Reibert v. Atlantic Richfield Co.*, 471 F.2d 727, 730-31 (10th Cir.1972), *cert. denied*, 411 U.S. 938, 93 S. Ct. 1900, 36 L. Ed. 2d 399 (1973). Such persons may suffer "indirect" or "secondary" financial injury from antitrust violations, but they are not the target of the anticompetitive practices. *Jeffrey*, 518 F.2d at 1131. Patterson [*609] plainly lacked standing to sue the defendants in this case.

IV.

SAFFCO and Patterson contend that the district court erred in ruling on a variety of motions [**19] made during the discovery process. Only two of these claims are worthy of discussion; the remainder are frivolous. A trial judge has broad discretion to control the course of discovery, especially in a complex antitrust case such as this one, and we will not disturb his discovery rulings absent an abuse of discretion. *Commercial Union Insurance Co. v. Westrope*, 730 F.2d 729, 731 (11th Cir.1984); *Majd-Pour v. Georgiana Community Hospital, Inc.*, 724 F.2d 901, 903 (11th Cir.1984); *Aviation Specialties Inc. v. United Technologies Corp.*, 568 F.2d 1186, 1189 (5th Cir.1978).

A.

On September 10, 1982 SAFFCO's counsel, in Atlanta, received the defendants' notice to take the deposition of a key witness in New York City on September 14, 1982. At the time, counsel for both sides were in the midst of trial in a related case in the district court. Late in the afternoon of the day before the deposition, SAFFCO's attorneys moved the district court for a protective order requiring the defense to reschedule the deposition because they could not be present in New York the next day. Defense counsel had already arrived in New York for the deposition, [**20] however. The district court granted SAFFCO's motion on the condition that it pay for one-half of defense counsel's round-trip air fare to New York. Fed.R.Civ.P. 26(c) and 37(a)(4).

SAFFCO contends that the order was patently unfair because the notice of deposition was not filed within a "reasonable" time, as required by Fed.R.Civ.P. 30(b), and was not scheduled in good faith. This may be so, but SAFFCO's attorneys could have avoided the situation by advising opposing counsel about their problem before counsel departed for New York. We find no abuse of discretion in the court's award of partial expenses in this situation.

B.

SAFFCO's counsel withdrew three years into the suit. Patterson, SAFFCO's only shareholder, thereafter sought to represent the corporation *pro se* pursuant to 28 U.S.C. § 1654 (1982). The court correctly refused to allow him to do so.

Section 1654 provides: [HN7] "In all courts of the United States the parties may plead and conduct their own cases personally or by counsel as, by the rules of such courts, respectively, are permitted to manage [**21] and conduct causes therein." Patterson failed to recognize that SAFFCO, the corporation, and Patterson, its sole shareholder, were separate legal persons and that section 1654 precluded him from appearing *pro se* in behalf of another person. Moreover, [HN8] corporations must always be represented by

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legal counsel. *Southwest Express Co., Inc. v. Interstate Commerce Commission*, 670 F.2d 53, 55 (5th Cir.1982); *K.M.A., Inc. v. General Motors Acceptance Corporation*, 652 F.2d 398, 399 (5th Cir.1981).

This rule ensures that a licensed attorney, an officer of the court, is responsible for conducting the corporation's litigation. It protects the court and the public from unscrupulous and irresponsible behavior. In this case, for example, Patterson continually made unwarranted personal attacks on the court and opposing counsel, repeatedly misled the court as to the state of the record, and raised frivolous motions and objections. An attorney would have been deterred from engaging in such behavior because of his obligations to the court and his fear of the sanctions **[**22]** that might otherwise be imposed by the court and the bar.

Patterson sought to circumvent the rule which prevents a nonlawyer from representing a corporation by dissolving SAFFCO. To this end, Patterson dissolved SAFFCO in accordance with Georgia law and moved to substitute himself, doing **[*610]** business as Screen Advertising Film Fund Company, as party plaintiff. As a sole proprietor, he could proceed *pro se* under section 1654.

The trial court denied Patterson's motion. In its dispositive order, the court observed that

this is a complicated antitrust case; that the appropriate handling of this case demands skilled attorneys, who are officers of this Court; that attempts by Mr. Patterson to act *pro se* have been and will be disruptive of the orderly processing of this litigation (observe the *ad hominem* approach adopted in the motion to substitute order and the motion for sanctions).

In a courtroom setting should Mr. Patterson be on the witness stand and at the same time attempting to act as his own attorney, the task of the trial judge in preventing the trial from degenerating would be a difficult one.

Patterson takes issue with the court's **[**23]** ruling.

[HN9] Georgia law provides that a cause of action on behalf of a corporation, which is the subject of litigation pending on the date of dissolution, may continue to be prosecuted by the corporation in its corporate name. Ga.Code Ann. 14-2-293 (1982). A dissolved corporation may maintain a federal suit when it has been given that power by state law. *Bauer v. Uniroyal Tire Co.*, 630 F.2d 1287, 1290 n. 2 (8th Cir.1980); *Froning's, Inc. v. Johnston Feed Service, Inc.*, 568 F.2d 108, 110 (8th Cir.1978). When Patterson moved to substitute himself for his dissolved corporation, the question became whether the court could insist that SAFFCO continue to prosecute the case.

Fed.R.Civ.P. 25(c) speaks to this question; it governs the substitution of parties during pending litigation due to a transfer of interest through corporate dissolution. *Froning's, Inc. v. Johnston Feed Service, Inc.*, 568 F.2d at 110; *Panther Pumps & Equipment Co. v. Hydrocraft, Inc.*, 566 F.2d 8, 23 (7th Cir.1977), *cert. denied*, 435 U.S. 1013, 98 S. Ct. 1887, 56 L. Ed. 2d 395 (1978). **[**24]** The rule states, in pertinent part,

[HN10] (c) **Transfer of Interest.** In case of any transfer of interest, the action may be continued by or against the original party, unless the court upon motion directs the person to whom the interest is transferred to be substituted in the action or joined with the original party.

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[HN11] The decision whether to allow substitution is discretionary. *Collateral Control Corp. v. Deal (In re Covington Grain Co.)*, 638 F.2d 1357, 1360 (5th Cir. Unit B 1981); *Prop-Jets, Inc. v. Chandler*, 575 F.2d 1322, 1324 (10th Cir.1978); *Fontana v. United Bonding Insurance Co.*, 468 F.2d 168, 170 (3d Cir.1972). In this case, the district court refused substitution because Patterson's participation had been and would continue to be highly disruptive of the orderly administration of the litigation. We find no abuse of discretion.

V.

For the reasons we have stated, the district court's summary judgment in favor of the **[**25]** defendants and against SAFFCO is VACATED, and the case is REMANDED for further proceedings on SAFFCO's antitrust claims against the defendants. In all other respects, the district court is AFFIRMED.

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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK
(Central Islip)

Kahn V. Bmw Of North America, Llc

PLAINTIFF: Richard Kahn

DEFENDANT: BMW of North America, LLC

DOCKET CASE NUMBER: 2:14cv2463

FILING DATE: 4/17/2014

JURISDICTION: Diversity

JUDGE: Arthur D. Spatt

REFERRED TO: Magistrate Judge Anne Y. Shields

NATURE OF SUIT: 370 Fraud

FILING TYPE: Civil - Class Action

CAUSE: Diversity-Fraud28 USC 1332

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JURY DEMAND: Plaintiff

DEMAND: \$ 5,000,000

STATUS: Case Closed

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THE COURT UPDATED THIS RECORD ON: 04/16/2015 12:00:00 AM

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CIVIL SUITS FROM NEW JERSEY
SUPERIOR COURT

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SDNY_GM_00057324

CIVIL SUITS FROM NEW JERSEY SUPERIOR COURT

Defendant: ABC COMPANY; JOHN DOE; RICHARD KAHN

Plaintiff: BOBBY DONALDSON

Plaintiff Attorney: BERARDI DIMARZIO

Number: L 000476 1998

Vendor Number: SL-00000476-1998

Date: 1/12/1998

Filing Type: CIVIL SUIT

Case Type: TORT-OTHER

Status: DISMISSED

Status Date: 9/18/1998

County Filed: ESSEX

Place Filed: NEW JERSEY SUPERIOR COURT, LAW DIVISION

Description: SUITS OVER \$ 7,500.00 (BUT NOT AUTO RELATED CASES BEFORE 6/1/91)

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CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

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