



DB12000P - Deutsche Bank Private Bank

Alert

Batch Date: 10/12/2016

Alert Date:

10/12/2016

Added to Monitoring: 10/12/2016

Person Name: Jeffrey Epstein

Date of Birth: 01/20/1953

Address: Country:United States

Tracking ID: GCIS 00000483289

Reporting ID: KYC 01651789

Alerted Entity #: 1 of 3

Alert ID: 6472545.11593674.73056800

Entity Information

Entity ID: 73056800

Riskid: R12818110

Entity Name: Jeffrey Epstein

Alias: JEFFREY EDWARD EPSTEIN

- Address:
- 9 E 71ST ST, NEW YORK, New York, 10021-4102, UNITED STATES
 - LITTLE ST JAMES, 6100 RED HOOK QUARTER, B3, ST THOMAS, Virgin Islands, 00802, UNITED STATES
 - 6100 RED HOOK QUARTER, B3, ST THOMAS, Virgin Islands, 00802, UNITED STATES
 - GREAT ST JAMES, Virgin Islands, UNITED STATES
 - 358 EL BRILLO WAY, PALM BEACH, Florida, 33480, UNITED STATES
 - 22 AVENUE FOCH APT 2DD, PARIS, 75116, FRANCE
 - 301 E. 66th St., New York City, New York, UNITED STATES

Riskography: Jeffrey Epstein (Epstein) is an American financier and a registered sex offender since 2008. In June 2008, after pleading guilty to a single state charge of soliciting prostitution, Epstein began serving an 18-month sentence. Epstein was convicted by the US District Court of Florida as a sex offender in the state of Florida of soliciting an underage girl for prostitution. Earlier in March 2005, a woman contacted Palm Beach police and complained about Epstein sexually assaulting her 14-year old daughter. By 2011, 40 underage girls had come forward with similar stories, saying Epstein had sexually assaulted them. As of May 2013, Epstein has made 17 out-of-court settlements.

Recent Event(s): • SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse, Pedophilia) / CVT - Convict, Conviction, 05/02/2016
Entity appears on the New York Sex Offenders site, 05/02/2016, [New York - Division of Criminal Justice Services - Sex Offenders](#)

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SDNY_GM_0005744f

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- Event:**
- SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse, Pedophilia) / CVT - Convict, Conviction, 05/02/2016
Entity appears on the New York Sex Offenders site, 05/02/2016, [New York - Division of Criminal Justice Services - Sex Offenders](#)
 - FRD - Fraud, Scams, Swindles / CVT - Convict, Conviction, 07/25/2006
Stealing his neighbor's mail, convicted of postal fraud, 07/25/2006, [New York Post](#)
 - TFT - Theft (Larceny, Misappropriation, Embezzlement, Extortion) / CVT - Convict, Conviction, 07/24/2006
was convicted of
postal larceny and theft and one count of property theft, 07/24/2006, [The Palm Beach Post](#)
 - OBS - Obscenity Related, Child Pornography / CVT - Convict, Conviction, 12/05/2008
serving time in jail for soliciting erotic massages, 12/05/2008, [South Florida Sun-Sentinel](#)
 - SEX - Sex Offenses (Rape, Sodomy, Sexual Abuse, Pedophilia) / CVT - Convict, Conviction, 10/08/2013
On List [FL Department of Law Enforcement - Sex Offenders], 10/08/2013, [Florida - Department of Law Enforcement - Sex Offenders](#)
 - IPR - Illegal Prostitution, Promoting Illegal Prostitution / PLE - Plea, 05/26/2010
pleaded guilty last year to soliciting a minor for prostitution and soliciting prostitution., 05/26/2010, [The Palm Beach Post](#)
 - IPR - Illegal Prostitution, Promoting Illegal Prostitution / CVT - Convict, Conviction, 06/25/2009
Jeffrey Epstein will be released after serving less than 13 months of his 18-month sentence for procuring a
minor for prostitution and solicitation of prostitution., 06/25/2009, [Palm Beach Daily News](#)
 - IPR - Illegal Prostitution, Promoting Illegal Prostitution / IND - Indict, Indictment, 08/14/2006
indicted on a charge of felony solicitation of prostitution, 08/14/2006, [The Palm Beach Post](#)
 - IPR - Illegal Prostitution, Promoting Illegal Prostitution / CHG - Charged, 01/26/2008
Has been charged with soliciting a prostitute., 01/26/2008, [The Daily Telegraph](#)
 - IPR - Illegal Prostitution, Promoting Illegal Prostitution / PRB - Probe, 01/10/2015
registered sex offender Jeffrey Epstein, is now accused of keeping underage girls as "sex slaves" for
prominent men. The investigation is ongoing., 01/10/2015, [The Clarion-Ledger \(Jackson, Mississippi\)](#)

Date Of Birth: • 1954
• 1/20/1953

Position: president of J Epstein & Co.

Eye Color: Blue

Hair Color: Grey

Height: 6'00"

Race: White

Sex: Male

Weight: 180 lbs

Image:



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SDNY_GM_00057442

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DB-SDNY-0020266

EFTA_00168010

EFTA01295998



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Alert Notes

Notes: President Of J Epstein & Co., Jeffrey Epstein aka Jeffrey Edward Epstein, DOB- 1/20/1953, of 9 E 71ST ST, New York, New York, 10021-4102, appears on the New York Sex Offenders site.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=e810c27f4e41c3d25d3f3d53eb346cc4>

Source Name: RiskConnect, 10/11/2016

Entity URL: • <http://offender.fdle.state.fl.us/offender/flyer.do?personId=62762>
• <http://www.criminaljustice.ny.gov/SomsSUBDirectory/offenderDetails.jsp?offenderid=33216>

- Sources:
 - New York Post, MEDIA Article,United States,Headline:MAGAZINE MOGUL IN SEX BUST, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=NYPO000020060725e27p0004w>
 - The Palm Beach Post, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PMBP000020060726e27o0000i>
 - FL Department of Law Enforcement - Sex Offenders, FL Department of Law Enforcement - Sex Offenders, <http://offender.fdle.state.fl.us/offender/homepage.do>
 - South Florida Sun-Sentinel, MEDIA Article,United States,Headline:UNDERAGE GIRL SECOND TO SUE BILLIONAIRE, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=FLSS000020081205e4c50005i>
 - The Palm Beach Post, MEDIA Article,United States,Headline:METRO REPORT, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PMBP000020100527e65q0000k>
 - New York - Division of Criminal Justice Services - Sex Offenders, New York - Division of Criminal Justice Services - Sex Offenders, http://www.criminaljustice.ny.gov/SomsSUBDirectory/search_index.jsp
 - Palm Beach Daily News, MEDIA Article,United States,Headline:JUDGE TO RULE ON SEALED PLEA-DEAL PAPERS TODAY, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PBDN000020090717e56p0000e>
 - The Palm Beach Post, MEDIA Article,United States,Headline:PALM BEACH CHIEF FOCUS OF FIRE IN EPSTEIN CASE DEFENDANT'S LAWYERS TAKE HIM ON; HE SLAMS STATE ATTORNEY, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=PMBP000020060816e28e00008>
 - The Daily Telegraph, <http://global.factiva.com/en/du/article.asp?NAPC=S&AccessionNo=DT00000020080126e41q0004q>
 - The Clarion-Ledger (Jackson, Mississippi), MEDIA Article,Headline:Another Clinton scandal brewing?

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SDNY_GM_00057443

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Alerted Entity #: 2 of 3

Alert ID: 6472545.11593674.64722293

Entity Information

Entity ID: 64722293

Riskid: R110371734

Entity Name: Jeffrey Richard Epstein

Address: Bryn Mawr, Pennsylvania, UNITED STATES

Recent Event(s): • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 04/16/2012

Jeffrey Richard Epstein submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from association with any FINRA member in any capacity for 10 business days. Without admitting or denying the findings, Epstein consented to the described sanction and to the entry of findings that he exercised discretion in a customer's account without the customer's written authorization or his member firm's acceptance of the account as discretionary., 04/16/2012, Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012

Event: • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 04/16/2012

Jeffrey Richard Epstein submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from association with any FINRA member in any capacity for 10 business days. Without admitting or denying the findings, Epstein consented to the described sanction and to the entry of findings that he exercised discretion in a customer's account without the customer's written authorization or his member firm's acceptance of the account as discretionary., 04/16/2012, Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012

Alert Notes

Notes: Jeffrey Richard Epstein, of Bryn Mawr, Pennsylvania, submitted a Letter of Acceptance, Waiver and Consent in which he was suspended from association with any FINRA member in any capacity for 10 business days.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=9430b4b9ad8d51b65d3f3d53eb346cc4>

Source Name: RiskConnect, 10/11/2016

Entity URL: • <http://www.finra.org/Industry/Enforcement/DisciplinaryActions/MonthlyActions/2012/index.htm>
• <http://www.finra.org/web/groups/industry/@ip/@enf/@da/documents/disciplinaryactions/p126288.pdf>

Sources: Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012, Financial Industry Reg. Auth. (FINRA) Disciplinary Actions 2012, <http://www.finra.org/Industry/Enforcement/DisciplinaryActions/MonthlyActions/2012>

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SDNY_GM_0087446

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Alerted Entity #: 3 of 3

Alert ID: 6472545.11593674.64322136

Entity Information

Entity ID: 64322136

Riskid: R110194221

Entity Name: Jeffrey R. Epstein

Recent Event(s): • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

Decision number: 1993-003378 - Respondents shall pay to Claimant \$736.00. This award is joint and several., 01/31/1994, New York - Stock Exchange Arbitration Decisions

Event: • REG - Regulatory Action / ACT - Disciplinary, Regulatory Action, 01/31/1994

Decision number: 1993-003378 - Respondents shall pay to Claimant \$736.00. This award is joint and several., 01/31/1994, New York - Stock Exchange Arbitration Decisions

Alert Notes

Notes: Jeffrey R. Epstein, of United States, appears on New York - Stock Exchange Arbitration Decisions Site.

Source Information

RDC URL: <https://grid.rdc.com/wss/entity.html?entityId=238099a3ea52d8275d3f3d53eb346cc4>

Source Name: RiskConnect, 10/11/2016

Entity URL: <http://finraawardsonline.finra.org/turing.aspx?doc=37613>

Sources: NY Stock Exchange Arbitration Decisions, NY Stock Exchange Arbitration Decisions, <http://www.nyse.com/regulation/nyse/1022221394131.html>

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