

NAME SEARCHED: **Caroline Sophie Camille Lang**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	Not Required
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not Required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found (Please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	Result Found (Please see attached)

Prepared by: Prachi Pawa Date: 12/30/2016
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbell](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

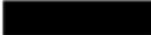
Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

For internal use only

SDNY_GM_00057480

OFAC RESULTS

RDC:

11596170	<u>No Match Found</u>	GCIS 00000550868	 Caroline Sophie Camille Lang	Country: UNITED STATES	Date of Birth: 
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PCR:

 Caroline Sophie Camille Lang  NCA customised Auto-Closed No-Hit
30/12/2016

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 1 RECORD(S)

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Date: 12/30/2016
Report processed by:

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SDNY_GM_00057481

DEUTSCHE BANK AG||

Full Name

LANG, CAROLINE S

Address

[REDACTED]

County

NEW YORK

Phone

[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN

DOB

Gender

LexID(sm)

[REDACTED]

[REDACTED]

Subject Summary

Name Variations

1: LANG, CAROLINE S

DOBs

Reported DOBs:

9/1961

Others Using SSN - 0 records found

Address Summary - 2 records found

No. Address

1: [REDACTED]

2: [REDACTED]

[REDACTED]

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SDNY_GM_00057482

CONFIDENTIAL

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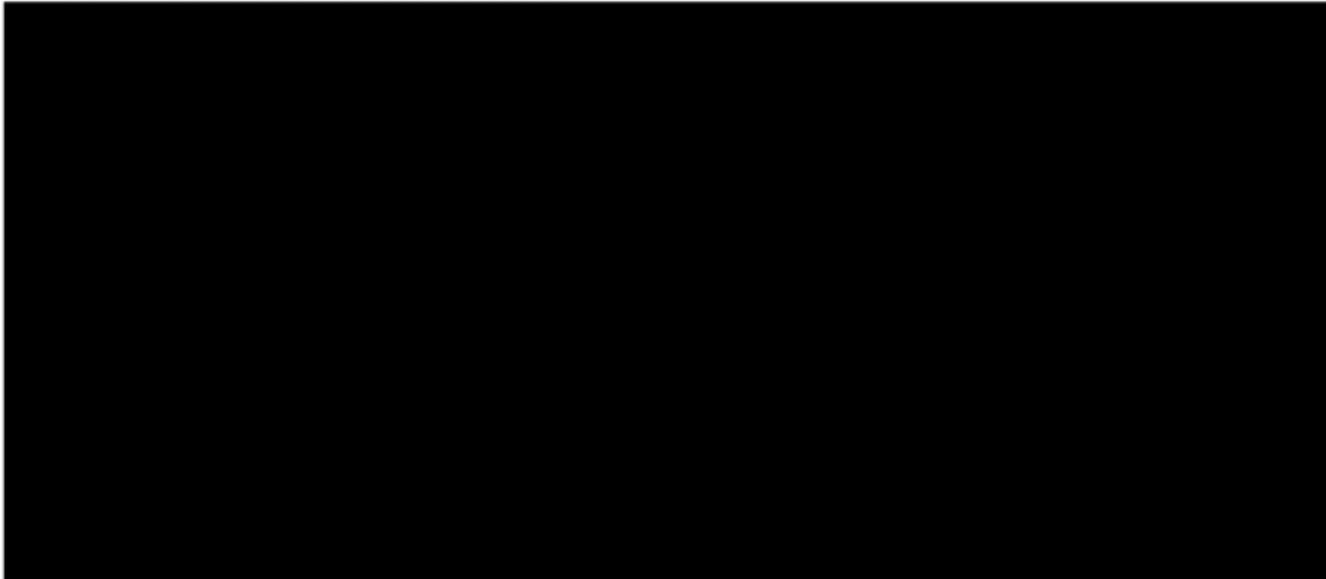
DB-SDNY-0020306

EFTA_00168048

EFTA01296025

None Listed
Other Associates
None Listed

- Voter Registrations - 0 records found**
- Driver Licenses - 0 records found**
- Professional Licenses - 0 records found**
- Health Care Providers - 0 records found**
- Health Care Sanctions - 0 records found**
- Pilot Licenses - 0 records found**
- Sport Licenses - 0 records found**
- Real Property - 0 records found**
- Motor Vehicle Registrations - 0 records found**
- Boats - 0 records found**
- Aircraft - 0 records found**
- Bankruptcy Information - 0 records found**
- Judgments/Liens - 0 records found**
- UCC Liens - 0 records found**
- Fictitious Businesses - 0 records found**
- Notice Of Defaults - 0 records found**
- Potential Relatives - 0 records found**
- Business Associates - 0 records found**
- Person Associates - 0 records found**



- Employment Locator - 0 records found**
- Criminal Filings - 0 records found**
- Cellular & Alternate Phones - 0 records found**
- Sources - 4 records found**
- All Sources
- Historical Person Locator
- Person Locator 1
- Person Locator 2

4 Source Document(s)
 1 Source Document(s)
 1 Source Document(s)
 2 Source Document(s)

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D&B:

Not Required

LEGAL RESULTS:

Court Cases:

*** THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY ***

Plaintiff: [REDACTED]

Defendant: [REDACTED]

Filing Date: [REDACTED]

Case Number: [REDACTED]

Court: MUNICIPAL - SANTA CLARA

Filing Type: MUNICIPAL CIVIL

Case Type: MOTOR VEHICLE

County: SANTA CLARA

State: CALIFORNIA

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DB-SDNY-0020308

EFTA_00168050

EFTA01296027

CIVIL SUITS FROM VIRGINIA VIRGINIA DISTRICT COURT

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CIVIL SUITS FROM VIRGINIA
VIRGINIA DISTRICT COURT

Defendant: CAROLINE LANG

Plaintiff: [REDACTED]

Plaintiff Attorney: SUTHERLAND, CARRIE

Number: [REDACTED]

Vendor Number: [REDACTED]

Date: 10/24/2006

Filing Type: CIVIL SUIT

Case Type: WARRANT IN DEBT

Status: OPEN

County Filed: ALEXANDRIA CITY

Place Filed: VIRGINIA GENERAL DISTRICT COURT

Description: JUDGMENTS RENDERED ON SUITS UNDER \$10,000

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