

NAME SEARCHED: J. Epstein Virgin Islands Foundation, Inc

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	There was no information found
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(Please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Reena Chandwani Date:06/19/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00058340

OFAC RESULTS

RDC:

11603149	No Match Found	KYC [REDACTED]	 J. Epstein Virgin Islands Foundation, Inc	Country:UNITED STATES
11603150	No Match Found	KYC [REDACTED]	 J. Epstein Virgin Islands Foundation, Inc	Country:VIRGIN ISLANDS, U.S

PCR:

C20170637932300 J. Epstein Virgin Islands Foundation, Inc 12908527 NCA customised Auto-Closed No-Hit 18/06/2017

C20170637932301 J. Epstein Virgin Islands Foundation, Inc 12908527 NCA customised Auto-Closed No-Hit 18/06/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

Comprehensive Business Report

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Search Terms - company(J. Epstein Virgin Islands Foundation, Inc) radius(15)

Executives - Current (0)	Incorporation/SOS (0)	Operations/Sites (1)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (0)	Watercraft - Current (0)	Watercraft - Prior (0)
Aircraft - Current (0)	Aircraft - Prior (0)	Bankruptcy Filings (0)	Judgments & Liens Filings (0)
UCC Filings (0)	Executives - Prior (0)	Registered Agents (0)	Name Variations (2)
Possible Employees (1)	Person Associates (0)	Business Associates (0)	TINs (1)
Possible Connected Business (1)	Parent Company (0)	Industry Information (1)	
View All Sources (3)			

Business Summary

Name	Address	Phone
J EPSTEIN VIRGIN ISLANDS FOUNDATION  (No recent public filings on file)	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	
LexID	Established	TIN
0004-2142-8151	2000 (17 Years in Business)	66-058XXXX

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 2 name variations found

NO.	NAME
1.	J EPSTEIN VIRGIN ISLANDS FOUNDATION
2.	J EPSTEIN VIRGIN ISLANDS FOUNDATION INC

TINs - 1 TINs found

NO.	TIN	NAME
1.		J EPSTEIN VIRGIN ISLANDS FOUNDATION INC

Business Profile**Executives: Current - 0 executive(s) found****Incorporation/SOS (0 active, 0 other)****Operating Locations - Showing 1 location(s)**

NO.	ADDRESS	METRO AREA	PHONE
1.	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	ST. THOMAS COUNTY	

Sales - 0 record(s) found**Parent Company - 0 record(s) found****Industry Information**

SIC	NAIC
8733	Noncommercial Research Organizations
No NAIC information available	
Industry Description: CHARITABLE ORGANIZATION;PRIVATE INDEPENDENT FOUNDATIONS	
Business Description:	

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Licenses - 0 licenses found

URLs - 0 URLs found

Bankruptcy (0 active, 0 closed)

Judgments/Liens (0 filings)

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (0 current, 0 prior)

Associates

Executives: Prior - 0 prior executive(s) found

Registered Agents - 0 registered agent(s) found

Possible Employees - 0 current, 1 prior employees found

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Hoffman, Paul	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348	Prior	

Person Associates - 0 other person associates found

Possible Connected Business - 1 businesses found

NO.	NAME	ADDRESS
1.	ISLAND RESOURCES FOUNDATION IN	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County

Business Associates - 0 business associates found

Sources

All Sources	3 Source Documents
Government Agency	1 Source Documents
Other Directories	1 Source Documents
Experian FEIN	1 Source Documents

Key:

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
-  The most recent telephone listing as reported by Electronic Directory Assistance.
-  Wireless Phone Indicator. These symbols indicate a cell phone number.

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- Ⓜ Residential Phone Indicator. These symbols indicate a residential phone number.
- Ⓟ Business Phone Indicator. These symbols indicate a business phone number.
- Ⓢ Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.
- Ⓣ FAX Indicator. These symbols indicate a FAX number.
- Ⓤ Government Phone Indicator. These symbols indicate a government phone number.

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Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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D&B:

There was no information found

LEGAL RESULTS:

Court Cases:

There was no information found

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CONFIDENTIAL

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0021168

EFTA_00168904

EFTA01296226