

NAME SEARCHED: **Darren K. Indyke PLLC**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input checked="" type="checkbox"/> Hit	II. PCR Results	PCR alert (mail send separately)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	Not Required
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	There was no information found
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found (Please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Prachi Pawa Date: 06/19/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00058345

OFAC RESULTS

RDC:

11602746	No Match Found	KYC 1791049	 Darren K. Indyke PLLC	Country: UNITED STATES
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PCR:

HIT

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 1 RECORD(S)

Comprehensive Business Report

Report Created: 6-16-2017 7:33 AM EST | FOR INFORMATIONAL PURPOSES ONLY | Copyright © 2017 LexisNexis, All rights reserved.

Search Terms - company(Darren K. Indyke PLLC) radius(30) last-name(Simoes Birmann) first-name(Bernardo)

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Executives - Current (0)	Incorporation/SOS (1)	Operations/Sites (1)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (0)	Watercraft - Current (0)	Watercraft - Prior (0)
Aircraft - Current (0)	Aircraft - Prior (0)	Bankruptcy Filings (0)	Judgments & Liens Filings (0)
UCC Filings (0)	Executives - Prior (0)	Registered Agents (0)	Name Variations (1)
Possible Employees (1)	Person Associates (0)	Business Associates (0)	TINs (0)
Possible Connected Business (1)	Parent Company (0)	Industry Information (0)	
View All Sources (2)			

Business Summary

Name	Address	Phone
DARREN K INDYKE PLLC 7 (No recent public filings on file)	[REDACTED]	
LexID	Established	TIN
0005-2172-5465	2008 (9 Years in Business)	

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 1 name variations found

NO.	NAME
1.	DARREN K INDYKE PLLC

TINs - 0 TINs found

Business Profile

Executives: Current - 0 executive(s) found

Incorporation/SOS (0 active, 1 other)

NO.	NAME	FILING TYPE	STATUS	FILING DATE	FILING NO.	STATE
1.	DARREN K INDYKE PLLC	LIMITED LIABILITY CORPORATION	N/A	09/05/2008	080905000185	

Additional Details

Business Type: LIMITED LIABILITY CORPORATION
 Business Status: N/A
 Filing Type: SOS Filing
 Expiration: 09/30/2009
 For Profit: Unknown
 Origin: Other Business Filing

Operating Locations - Showing 1 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	[REDACTED]	NEW YORK COUNTY	

Sales - 0 record(s) found

Parent Company - 0 record(s) found

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DB-SDNY-0021171

EFTA_00168907

EFTA01296229

Industry Information - no information found
Licenses - 0 licenses found

URLs - 0 URLs found

Bankruptcy (0 active, 0 closed)

Judgments/Liens (0 filings)

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (0 current, 0 prior)

Associates

Executives: Prior - 0 prior executive(s) found

Registered Agents - 0 registered agent(s) found

Possible Employees - 0 current, 1 prior employees found

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Britsch, David N CONTACT	[REDACTED]	Prior	09/05/2008

Person Associates - 0 other person associates found

Possible Connected Business - 1 businesses found

NO.	NAME	ADDRESS
1.	DARREN K INDYKE	[REDACTED]

Business Associates - 0 business associates found

Sources

All Sources	2 Source Documents
Other Directories	2 Source Documents

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D&B:

There was no information found

LEGAL RESULTS:

Court Cases:

There was no information found

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DB-SDNY-0021173
EFTA_00168909

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