

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC Alert(please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">PCR Results</a>	No PCR Alert(please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information Found
		IV. <a href="#">Non-Negative Media</a>	No Information Found
		V. <a href="#">Other Language Media</a>	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	Result found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	No Information Found

Prepared by: Shreya parashar Date: 06/15/2017 Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY\_GM\_00058456

**OFAC RESULTS****RDC:**

11602684	<u>No Match Found</u>	KYC 1790724		Country: UNITED STATES	Date of Birth: 5/31/1989
11602685	<u>No Match Found</u>	KYC 1790724		Country: SLOVAKIA	Date of Birth: 5/31/1989

**PCR:**

C20170637909085 Karyna Shuliak 12890624 NCA customised Auto-Closed No-Hit 15/06/2017

C20170637909103 Karyna Shuliak 12890624 NCA customised Auto-Closed No-Hit 15/06/2017

**BIS RESULTS:****Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

No Information Found

**Public Record:****1 OF 1 RECORD(S)**

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Date: 6/15/2017  
 Report processed by:  
 DEUTSCHE BANK AG||

**Full Name**  
 SHULIAK, KARYNA

**Address**  
 9 E 71ST ST  
 NEW YORK, NY 10021-4102  
 NEW YORK COUNTY

**County**  
 NEW YORK

**Phone**  
 (212) 750-9895  
 (212) 249-1122  
 (212) 288-7171

**ADDITIONAL PERSONAL INFORMATION**

**SSN**  
 105-98-XXXX

**DOB**

**Gender**

**LexID(sm)**  
 188602267903

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**CONFIDENTIAL**



EPSTEIN, JEFFREY E

**2: 301 E 66TH ST APT 10F NEW YORK, NY 10065-6216****Address**301 E 66TH ST APT 10F  
NEW YORK, NY 10065-6216  
NEW YORK COUNTY**Dates**

4/2013 - 5/2017

**Phone****Census Data for Geographical Region**Median Head of Household Age: 36  
Median Income: \$156,291  
Median Home Value: \$753,989  
Median Education: 16 years**Household Members**

None Listed

**Other Associates**

None Listed

**3: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348****Address**6100 RED HOOK QTRS STE B-3  
ST THOMAS, VI 00802-1348  
ST. THOMAS COUNTY**Dates**

12/2015 - 3/2017

**Phone****Household Members**

None Listed

**Other Associates**

EPSTEIN, JEFFREY E

**4: 301 E 66TH ST APT 8A NEW YORK, NY 10065-6215****Address**301 E 66TH ST APT 8A  
NEW YORK, NY 10065-6215  
NEW YORK COUNTY**Dates**

4/2013 - 8/2013

**Phone****Census Data for Geographical Region**Median Head of Household Age: 36  
Median Income: \$156,291  
Median Home Value: \$753,989  
Median Education: 16 years**Household Members**

None Listed

**Other Associates**

None Listed

**5: 239 92ND ST BROOKLYN, NY 11209-5701****Address**239 92ND ST  
BROOKLYN, NY 11209-5701  
KINGS COUNTY**Dates****Phone****Census Data for Geographical Region**Median Head of Household Age: 51  
Median Income: \$92,045  
Median Home Value: \$761,538  
Median Education: 12 years**Household Members**

None Listed

**Other Associates**

None Listed

**6: 68 CABRINI BLVD APT 52 NEW YORK, NY 10033-5442****Address**68 CABRINI BLVD APT 52  
NEW YORK, NY 10033-5442**Dates****Phone**

(646) 864-0040

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DB-SDNY-0021283

EFTA\_00169019

EFTA01296253

NEW YORK COUNTY

**Census Data for Geographical Region**

Median Head of Household Age: 39

Median Income: \$56,500

Median Home Value: \$370,896

Median Education: 13 years

**Household Members**

None Listed

**Other Associates**

None Listed

**Voter Registrations - 0 records found**

**Driver Licenses - 0 records found**

**Professional Licenses - 1 records found**

1: Professional License

**License Information**

Name: [REDACTED]

SSN: 105-98-XXXX

Address: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

County: ST. THOMAS

**License Information**

Profession or Board: DENTAL BOARD OF CALIFORNIA

License Type: DENTIST

License Number: 65268

Issue Date: 11/19/2015

Expiration Date: 05/31/2017

Status: VALID UNTIL 05/31/2017

State: California

**Health Care Providers - 1 records found**

1: Health Care Providers

Name(s): [REDACTED]

Gender: FEMALE

DOB(s): 05/1989

Business Addresses: 6100 RED HOOK QTRS STE B-3  
ST THOMAS, VI 00802-1348

358 EL BRILLO WAY  
PALM BEACH, FL 33480-4730

License Number/State: 21635 / FL  
65268 / CA  
2230 / VI

Medical School/Grad Year: COLUMBIA UNIVERSITY / 2015

**Health Care Sanctions - 0 records found**

**Pilot Licenses - 0 records found**

**Sport Licenses - 0 records found**

**Real Property - 0 records found**

**Motor Vehicle Registrations - 0 records found**

**Boats - 0 records found**

**Aircraft - 0 records found**

**Bankruptcy Information - 0 records found**

**Judgments/Liens - 0 records found**

**UCC Liens - 0 records found**

**Fictitious Businesses - 0 records found**

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**Notice Of Defaults - 0 records found**  
**Potential Relatives - 1 records found**

1st Degree: 1

No.	Full Name	Address/Phone
1.	SHULYAK, DENNIS	48 LAKEWOOD DR CONGERS, NY 10920-1710 (845) 268-2760
	<ul style="list-style-type: none"> <li>• AKA SHULIAK, DZIANIS</li> <li>• AKA SHULIAK, DENNIS</li> <li>• AKA SHULAK, DZIANIS</li> <li>• AKA SHULIAK, DZIANIAS</li> <li>• AKA SHULIAK</li> </ul>	1383 AVENUE OF THE AMERICAS AP T202 NEW YORK, NY 10019-3910 (212) 542-3150
	SSN:213-53-XXXX DOB:3/1977 (Age: 40)	618 W 164TH ST APT 43W NEW YORK, NY 10032-4842
		18B FILIPE LN UNIT 16 STATEN ISLAND, NY 10308-3361
		18 FILIPE LN UNIT 16 STATEN ISLAND, NY 10308-3361

**Business Associates - 0 records found**

**Person Associates - 1 records found**

No.	Full Name	Address	SSN	Phone	DOB
1:	EPSTEIN, JEFFREY E EPSTEIN, JEFFERY E EPSTEIN, JERRERY EPSTEIN, J EPTSTEIN, JEFFERY EPSTIEN, JEFFERY EDWARDS, JEFFERY	9 E 71ST ST NEW YORK, NY 10021-4102  457 MADISON AVE FL 4TH NEW YORK, NY 10022-6843  49 ZORRO RANCH RD BH STANLEY, NM 87056-9743  49 ZORRO RANCH RD B STANLEY, NM 87056-9743  6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348	090-44-XXXX	(212) 249-1122 (212) 288-7171 (212) 368-3758 (212) 750-9895	1/1953

**Neighbors - 2 records found**

9 E 71ST ST NEW YORK, NY 10021-4102

Name	Address	Phone
[REDACTED]	9 E 71ST ST APT 4E NEW YORK, NY 10021-4102	
LAMBERT, JOEL I LUTNICK, ALLISON L LUTNICK, BRANDON G LUTNICK, HOWARD WILLIAM LUTNICK, KYLE S	11 E 71ST ST NEW YORK, NY 10021-4102	(631) 537-0211

**Employment Locator - 0 records found**

**Criminal Filings - 0 records found**

**Cellular & Alternate Phones - 0 records found**

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**Sources - 31 records found**

All Sources	31 Source Document(s)
Email addresses	5 Source Document(s)
Historical Person Locator	3 Source Document(s)
Person Locator 1	1 Source Document(s)
Phone	12 Source Document(s)
Professional Licenses	7 Source Document(s)
Providers	1 Source Document(s)
Utility Locator	2 Source Document(s)

**Key**

▲ High Risk Indicator. These symbols may prompt you to investigate further.

▢ Moderate Risk Indicator. These symbols may prompt you to investigate further.

▣ General Information Indicator. These symbols inform you that additional information is provided.

✓ The most recent telephone listing as reported by the EDA source.

**Important:** The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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**D&B:**

Not required

**LEGAL RESULTS:****Court Cases:**

No Information Found

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EFTA\_00169022

EFTA01296256