

NAME SEARCHED: **Zorro Management, LLC**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	There was no information found
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Reena Chandwani Date:06/15/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00058492

OFAC RESULTS

RDC:

██████████	Not Alerted	kyc ██████████	 Zorro Management, LLC	Country: UNITED STATES
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PCR:

██████████ Zorro Management, LLC ██████████ NCA customised Auto-Closed No-Hit 15/06/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 2 RECORD(S)

Comprehensive Business Report

Report Created: 6-15-2017 4:47 PM EST | FOR INFORMATIONAL PURPOSES ONLY | Copyright © 2017 LexisNexis, All rights reserved.

Search Terms - company(Zorro Management, LLC) radius(15)

Executives - Current (2)	Incorporation/SOS (1)	Operations/Sites (2)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (0)	Watercraft - Current (0)	Watercraft - Prior (0)
Aircraft - Current (0)	Aircraft - Prior (0)	Bankruptcy Filings (0)	Judgments & Liens Filings (0)
UCC Filings (0)	Executives - Prior (0)	Registered Agents (1)	Name Variations (1)
Possible Employees (1)	Person Associates (0)	Business Associates (0)	TINs (0)

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Possible Connected
Business (0)
View All Sources (2)

Parent Company (0)

Industry Information (0)

Business Summary

Name	Address	Phone
ZORRO MANAGEMENT, LLC	616 Texas St Fort Worth, TX 76102-4612 Tarrant County	
LexID	Established	TIN
	2014 (3 Years in Business)	

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	2	Foreclosure/Notice of Default	0

Name Variations - 1 name variations found

NO.	NAME
1.	ZORRO MANAGEMENT, LLC

TINs - 0 TINs found

Business Profile

Executives: Current - 2 executive(s) found

NO.	NAME	TITLE
1.	Hudson, Betty C Associated with Other Companies	- MEMBER (10/02/2015) - MANAGER (06/24/2014 - 10/12/2014)
2.	Hudson, William A II Associated with Other Companies Deceased	- MEMBER (10/02/2015) - MANAGER (06/24/2014 - 10/12/2014)

Incorporation/SOS (1 active, 0 other)

NO.	NAME	FILING TYPE	STATUS	FILING DATE	FILING NO.	STATE
1.	ZORRO MANAGEMENT, LLC		ACTIVE	06/25/2014	0802015250	TX

Additional Details

Business Status: ACTIVE
Filing Type: CREATION
For Profit: Unknown
Origin: State of TX

Filing History (most recent two years)

Filing Date	Description
05/10/2017	PUBLIC INFORMATION REPORT (PIR)
12/31/2016	PUBLIC INFORMATION REPORT (PIR)
12/31/2015	PUBLIC INFORMATION REPORT (PIR)
10/01/2015	PUBLIC INFORMATION REPORT (PIR)

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DB-SDNY-0021318

EFTA_00169054

EFTA01296276

Operating Locations - Showing 2 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	616 Texas St Fort Worth, TX 76102-4612 Tarrant County	Fort Worth-Arlington, TX	
2.	18 Valley Ridge Rd Fort Worth, TX 76107-3111 Tarrant County	Fort Worth-Arlington, TX	

Sales - 0 record(s) found**Parent Company - 0 record(s) found****Industry Information - no information found****Licenses - 0 licenses found****URLs - 0 URLs found****Bankruptcy (0 active, 0 closed)****Judgments/Liens (0 filings)****UCC Filings (0 debtor, 0 creditor)****Real Property (0 current, 0 prior)****Personal Property (0 current, 0 prior)****Associates****Executives: Prior - 0 prior executive(s) found****Registered Agents - 1 registered agent(s) found**

NO.	NAME	ADDRESS	STATE	DATE(S)
1.	Hudson, William A II	616 Texas St Fort Worth, TX 76102-4612	Texas	06/24/2014 - 05/11/2017

Possible Employees - 0 current, 1 prior employees found

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Nobles, Donna MANAGER	616 Texas St Fort Worth, TX 76102-4612	Prior	06/24/2014 - 10/12/2014

Person Associates - 0 other person associates found**Possible Connected Business - 0 businesses found****Business Associates - 0 business associates found****Sources**

All Sources	2 Source Documents
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Corporate Filings	1 Source Documents
Other Directories	1 Source Documents

Key:

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
-  The most recent telephone listing as reported by Electronic Directory Assistance.
-  Wireless Phone Indicator. These symbols indicate a cell phone number.
-  Residential Phone Indicator. These symbols indicate a residential phone number.
-  Business Phone Indicator. These symbols indicate a business phone number.
-  Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.
-  FAX Indicator. These symbols indicate a FAX number.
-  Government Phone Indicator. These symbols indicate a government phone number.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud
 Your GLBA Permissible Use is: Legal Compliance

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2 OF 2 RECORD(S)

Comprehensive Business Report

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Search Terms - company(Zorro Management, LLC) radius(15)

- | | | | |
|---------------------------------|------------------------|-----------------------------|-------------------------------|
| Executives - Current (0) | Incorporation/SOS (1) | Operations/Sites (1) | Sales (0) |
| Licenses (0) | URLs (0) | Real Property - Current (0) | Real Property - Prior (0) |
| MVRs - Current (0) | MVRs - Prior (0) | Watercraft - Current (0) | Watercraft - Prior (0) |
| Aircraft - Current (0) | Aircraft - Prior (0) | Bankruptcy Filings (0) | Judgments & Liens Filings (0) |
| UCC Filings (0) | Executives - Prior (0) | Registered Agents (0) | Name Variations (1) |
| Possible Employees (0) | Person Associates (0) | Business Associates (0) | TINs (0) |
| Possible Connected Business (0) | Parent Company (0) | Industry Information (1) | |
| View All Sources (1) | | | |

Business Summary

Name	Address	Phone
ZORRO MANAGEMENT LLC	1679 S Dupont Hwy Ste 100 Dover, DE 19901-5164	
LexID	Established	TIN
	2016 (1 Years in Business)	

At a Glance

Real Property	0	UCC Debtor	0
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Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 1 name variations found

NO.	NAME
1.	ZORRO MANAGEMENT LLC

TINs - 0 TINs found**Business Profile****Executives: Current - 0 executive(s) found****Incorporation/SOS (1 active, 0 other)**

NO.	NAME	FILING TYPE	STATUS	FILING DATE	FILING NO.	STATE
1.	ZORRO MANAGEMENT LLC	LIMITED LIABILITY CORPORATION	ACTIVE	11/25/2015	5888766	

Additional Details

Business Type: LIMITED LIABILITY CORPORATION
 Business Status: ACTIVE
 Filing Type: SOS Filing
 For Profit: Unknown
 Origin: Other Business Filing

OperatingLocations - Showing 1 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	1679 S Dupont Hwy Ste 100 Dover, DE 19901-5164 Kent County	KENT COUNTY	

Sales - 0 record(s) found**Parent Company - 0 record(s) found****Industry Information**

SIC	NAIC
0762 Farm Management Services	No NAIC information available
Industry Description:	
Business Description:	

Licenses - 0 licenses found**URLs - 0 URLs found****Bankruptcy (0 active, 0 closed)****Judgments/Liens (0 filings)****UCC Filings (0 debtor, 0 creditor)****Real Property (0 current, 0 prior)**

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Personal Property (0 current, 0 prior)**Associates****Executives: Prior - 0 prior executive(s) found****Registered Agents - 0 registered agent(s) found****Possible Employees - 0 current, 0 prior employees found****Person Associates - 0 other person associates found****Possible Connected Business - 0 businesses found****Business Associates - 0 business associates found****Sources**

All Sources	1 Source Documents
Other Directories	1 Source Documents

Key:

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
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Your DPPA Permissible Use is: Debt Recovery/Fraud

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D&B:

There was no information found

LEGAL RESULTS:

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DB-SDNY-0021322
EFTA_00169058

EFTA01296280

Court Cases:

There was no information found

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EFTA_00169059

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