

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert(please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR Alert(please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No Information Found
		IV. Non-Negative Media	No Information Found
		V. Other Language Media	No Information Found
[REDACTED]	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. [REDACTED]	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No Information Found

Prepared by: [REDACTED] Date: 06/28/2017 Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00058511

OFAC RESULTS

RDC:

[REDACTED]	No Match Found	[REDACTED]		[REDACTED]	Country: UNITED STATES
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PCR:

C20170638060359 [REDACTED] 12948095 NCA customised Auto-Closed No-Hit 28/06/2017

BIS RESULTS:

Negative Media:

No Information Found

Non-Negative Media:

No Information Found

Other Language Media:

No Information Found

Public Record:

1 OF 1 RECORD(S)

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Date: 6/28/2017
Report processed by:
DEUTSCHE BANK AG||

Full Name	Address	County	Phone
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)
[REDACTED]	[REDACTED]		[REDACTED]

Subject Summary

Name Variations

1: [REDACTED]

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SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
1:	[REDACTED]	[REDACTED]	1995-1997	Most frequent SSN attributed to subject:

DOBs

Reported DOBs:
[REDACTED]

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 0 records found

Address Summary - 2 records found

No.	Address
1:	[REDACTED]
2:	[REDACTED]

Address Details

1: [REDACTED]

Dates [REDACTED] Phone [REDACTED]

Census Data for Geographical Region
Median Head of Household Age: 62
Median Income: \$142,803
Median Home Value: \$829,082
Median Education: 14 years

Household Members

[REDACTED]

Other Associates

PALUGAN, MARIELOU H

2: [REDACTED]

Address [REDACTED]

Dates [REDACTED] Phone [REDACTED]

Census Data for Geographical Region
Median Head of Household Age: 57
Median Income: \$0
Median Home Value: \$0
Median Education: 6 years

Household Members

[REDACTED]

Other Associates

None Listed

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Voter Registrations - 3 records found

1: [REDACTED] Voter Registration

Registrant Information

Name: [REDACTED]

Residential Address: [REDACTED]

SSN: [REDACTED]

Date of Birth: [REDACTED]

Gender: Female

Voter Information

Registration Date: 9/27/2013

Last Vote Date: 11/8/2016

Party Affiliation: NONE DECLARED

Active Status: ACTIVE

2: Massachusetts Voter Registration

Registrant Information

Name: [REDACTED]

Residential Address: [REDACTED]

SSN: [REDACTED]

Date of Birth: [REDACTED]

Occupation: STUDENT

Voter Information

3: Massachusetts Voter Registration

Registrant Information

Name: [REDACTED]

Residential Address: [REDACTED]

SSN: [REDACTED]

Date of Birth: [REDACTED]

Occupation: STUDENT

Voter Information

- Driver Licenses - 0 records found**
- Professional Licenses - 0 records found**
- Health Care Providers - 0 records found**
- Health Care Sanctions - 0 records found**
- Pilot Licenses - 0 records found**
- Sport Licenses - 0 records found**
- Real Property - 0 records found**
- Motor Vehicle Registrations - 0 records found**
- Boats - 0 records found**
- Aircraft - 0 records found**
- Bankruptcy Information - 0 records found**
- Judgments/Liens - 0 records found**
- UCC Liens - 0 records found**
- Fictitious Businesses - 0 records found**
- Notice Of Defaults - 0 records found**

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Potential Relatives - 10 records found

1st Degree: 5, 2nd Degree: 5

No.	Full Name	Address/Phone
1.	[REDACTED]	[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]
1.A.	[REDACTED]	[REDACTED] [REDACTED] [REDACTED] [REDACTED] [REDACTED]
1.B.	[REDACTED]	[REDACTED] [REDACTED] [REDACTED] [REDACTED]
1.C.	[REDACTED]	[REDACTED]

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No.	Full Name	Address/Phone
2.	[REDACTED]	[REDACTED]
2.A.	[REDACTED]	[REDACTED]
2.	[REDACTED]	[REDACTED]
3.	[REDACTED]	[REDACTED]
4.	[REDACTED]	[REDACTED]
5.	[REDACTED]	[REDACTED]

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DB-SDNY-0021340
EFTA_00169076

EFTA01296298

No.	Address/Phone
[REDACTED]	[REDACTED]

Business Associates - 0 records found

Person Associates - 1 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

Neighbors - 4 records found

Name	Address	Phone
[REDACTED]	[REDACTED]	[REDACTED]

Employment Locator - 0 records found

Criminal Filings - 0 records found

Cellular & Alternate Phones - 1 records found

1:

Personal Information
Name: [REDACTED]
Address: [REDACTED]

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Phone Number: [REDACTED]
 Phone Type: Mobile
Carrier Information
 Carrier: NEW CINGULAR WRLS DC
 Carrier City: NEW YORK CITY ZONE 01
 Carrier State: NY

Sources - 26 records found

All Sources	26 Source Document(s)
Email addresses	2 Source Document(s)
Historical Person Locator	1 Source Document(s)
Person Locator 1	2 Source Document(s)
Phone	15 Source Document(s)
PhonesPlus Records	1 Source Document(s)
Utility Locator	2 Source Document(s)
Voter Registrations	3 Source Document(s)

Key

- ▲ High Risk Indicator. These symbols may prompt you to investigate further.
- ▢ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by the EDA source.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud
 Your GLBA Permissible Use is: Legal Compliance

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D&B:

Not required

LEGAL RESULTS:

Court Cases:

No Information Found

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