

NAME SEARCHED: **Karyna Shuliak**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(Please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Reena Chandwani Date:06/28/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

For internal use only

SDNY_GM_00058534

OFAC RESULTS

RDC:

11603810	<u>No Match Found</u>	KYC 1790739	 Karyna Shuliak	Country: UNITED STATES
----------	-----------------------	-------------	---	------------------------

PCR:

C20170638060392 Karyna Shuliak 6201215544 NCA customised Auto-Closed No-Hit 28/06/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

FOR INFORMATIONAL PURPOSES ONLY
Copyright 2017 LexisNexis
a division of Reed Elsevier Inc. All Rights Reserved.

Date: 6/28/2017
Report processed by:
DEUTSCHE BANK AG||

Full Name
SHULIAK, KARYNA

Address

County

Phone



For internal use only

SDNY_GM_00058535

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e) **CONFIDENTIAL**

DB-SDNY-0021359
EFTA_00169095

EFTA01296304

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)
[REDACTED]XXXX			[REDACTED]

Subject Summary

Name Variations

1: SHULIAK, KARYNA

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
1:	[REDACTED]XXXX	New York	2009-2011	

Most frequent SSN attributed to subject:

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 0 records found
Address Summary - 6 records found

No.	Address
1:	9 E 71ST ST NEW YORK, NY 10021-4102 NEW YORK COUNTY

2: [REDACTED]

3: [REDACTED]

4: [REDACTED]

5: [REDACTED]

6: [REDACTED]

Address Details

1: 9 E 71ST ST NEW YORK, NY 10021-4102

Address
9 E 71ST ST
NEW YORK, NY 10021-4102
NEW YORK COUNTY

Dates
7/2015 - 3/2017

Phone
[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 57
Median Income: \$201,878
Median Home Value: \$1,000,000
Median Education: 17 years

Household Members

None Listed

For internal use only

SDNY_GM_00058536

CONFIDENTIAL

Other Associates
EPSTEIN, JEFFREY E

2: [REDACTED]
Address

Dates **Phone**
4/2013 - 6/2017

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 36
Median Income: \$156,291
Median Home Value: \$753,989
Median Education: 16 years

Household Members

None Listed

Other Associates

None Listed

3: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

Address
6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348
ST. THOMAS COUNTY

Dates **Phone**
10/2013 - 4/2017

Household Members

None Listed

Other Associates

EPSTEIN, JEFFREY E

4: [REDACTED]
Address

Dates **Phone**
4/2013 - 8/2013

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 36
Median Income: \$156,291
Median Home Value: \$753,989
Median Education: 16 years

Household Members

None Listed

Other Associates

None Listed

5: [REDACTED]
Address

Dates **Phone**

[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 51
Median Income: \$92,045
Median Home Value: \$761,538
Median Education: 12 years

Household Members

None Listed

Other Associates

None Listed

6: [REDACTED]
Address

Dates **Phone**

[REDACTED]

For internal use only

SDNY_GM_00058537

CONFIDENTIAL



Census Data for Geographical Region

Median Head of Household Age: 39
Median Income: \$56,500
Median Home Value: \$370,896
Median Education: 13 years

Household Members

None Listed

Other Associates

None Listed

Voter Registrations - 0 records found

Driver Licenses - 0 records found

Professional Licenses - 1 records found

1: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]XXXX

Address: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

County: ST. THOMAS

License Information

Profession or Board:

License Type:

License Number:

Issue Date:

Expiration Date:

Status:

State:

Health Care Providers - 1 records found

1: Health Care Providers

Name(s): SHULIAK, KARYNA
SHULIAK, KARYNA
SHULIAK, KARYNA

Gender: FEMALE

DOB(s): [REDACTED]

Business Addresses: 6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348

358 EL BRILLO WAY
PALM BEACH, FL 33480-4730

License Number/State: 21635 / FL
65268 / CA
2230 / VI

Medical School/Grad Year: COLUMBIA UNIVERSITY / 2015

Health Care Sanctions - 0 records found

Pilot Licenses - 0 records found

Sport Licenses - 0 records found

Real Property - 0 records found

Motor Vehicle Registrations - 0 records found

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 0 records found

UCC Liens - 0 records found

For internal use only

SDNY_GM_00058538

CONFIDENTIAL

Fictitious Businesses - 0 records found
Notice Of Defaults - 0 records found
Potential Relatives - 1 records found

1st Degree: 1

No.	Full Name	Address/Phone
1.	<p>SHULYAK, DENNIS</p> <ul style="list-style-type: none"> • AKA SHULIAK, DZIANIS • AKA SHULIAK, DENNIS • AKA SHULAK, DZIANIS • AKA SHULIAK, DZIANIAS • AKA SHULIAK <p>SSN: [REDACTED]XXXX DOB: [REDACTED] (Age: [REDACTED])</p>	[REDACTED]

Business Associates - 0 records found
Person Associates - 1 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	<p>EPSTEIN, JEFFREY E EPSTEIN, JEFFERY E EPSTEIN, JERRERY EPSTEIN, J EPTSTEIN, JEFFERY EPSTIEN, JEFFERY EDWARDS, JEFFERY</p>	<p>9 E 71ST ST NEW YORK, NY 10021-4102</p> <p>457 MADISON AVE FL 4TH NEW YORK, NY 10022-6843</p> <p>49 ZORRO RANCH RD BH STANLEY, NM 87056-9743</p> <p>49 ZORRO RANCH RD B STANLEY, NM 87056-9743</p> <p>6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348</p>	[REDACTED]XXXX	<p>(212) 249-1122 (212) 288-7171 (212) 368-3758 (212) 750-9895</p>	1/1953

Neighbors - 2 records found

Name	Address	Phone
<p>9 E 71ST ST NEW YORK, NY 10021-4102</p> <p>RIVERA, MIGUELINA CUBERO</p>	[REDACTED]	[REDACTED]
<p>LAMBERT, JOEL I LUTNICK, ALLISON L LUTNICK, BRANDON G LUTNICK, HOWARD WILLIAM LUTNICK, KYLE S</p>	[REDACTED]	[REDACTED]

Employment Locator - 0 records found
Criminal Filings - 0 records found

For internal use only

SDNY_GM_00058539

CONFIDENTIAL

Cellular & Alternate Phones - 0 records found**Sources - 31 records found**

All Sources	31 Source Document(s)
Email addresses	5 Source Document(s)
Historical Person Locator	3 Source Document(s)
Person Locator 1	1 Source Document(s)
Phone	12 Source Document(s)
Professional Licenses	7 Source Document(s)
Providers	1 Source Document(s)
Utility Locator	2 Source Document(s)

Key

▲ High Risk Indicator. These symbols may prompt you to investigate further.

▢ Moderate Risk Indicator. These symbols may prompt you to investigate further.

▣ General Information Indicator. These symbols inform you that additional information is provided.

✓ The most recent telephone listing as reported by the EDA source.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

Copyright© 2017 LexisNexis, a division of Reed Elsevier Inc. All rights reserved.

D&B:

Not required

LEGAL RESULTS:**Court Cases:**

There was no information found

For internal use only

SDNY_GM_00058540

CONFIDENTIAL

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0021364

EFTA_00169100

EFTA01296309