

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">PCR Results</a>	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	There was no information found
		IV. <a href="#">Non-Negative Media</a>	There was no information found
		V. <a href="#">Other Language Media</a>	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	Result found (please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	Result found (please see attached)

Prepared by: Reena Chandwani Date:06/28/2017  
Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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**OFAC RESULTS**

**RDC:**

11603813 No Match Found KYC 1790739   Country: UNITED STATES

**PCR:**

C20170638060389  6201215544 NCA customised Auto-Closed No-Hit 28/06/2017

**BIS RESULTS**

**Negative Media:**

There was no information found

**Non-Negative Media:**

There was no information found

**Other Language Media:**

There was no information found

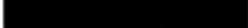
**Public Records:**

**1 OF 1 RECORD(S)**

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Date:6/28/2017  
Report processed by:  
DEUTSCHE BANK AG||

**Full Name**



**Address**



**County**

**Phone**

None Listed

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**ADDITIONAL PERSONAL INFORMATION**

SSN	DOB	Gender	LexID(sm)
[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

**Subject Summary**

**Name Variations**

[REDACTED]

**SSNs Summary**

No.	SSN	State Iss.	Date Iss.	Warnings
1:	[REDACTED]	[REDACTED]	[REDACTED]	

Most frequent SSN attributed to subject:

**DOBs**

Reported DOBs:  
[REDACTED]

**Possible E-Mail Addresses**

[REDACTED]

Others Using SSN - 0 records found

Address Summary - 7 records found

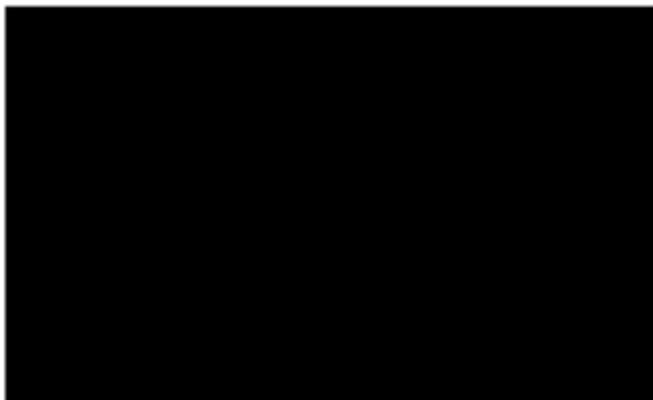
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4:	[REDACTED]
5:	[REDACTED]
6:	[REDACTED]
7:	[REDACTED]

**Address Details**

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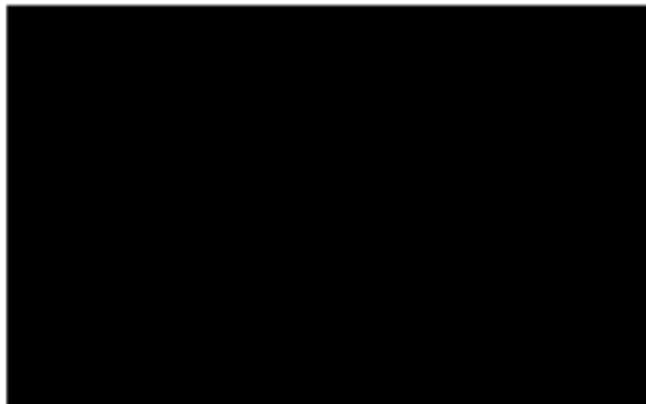
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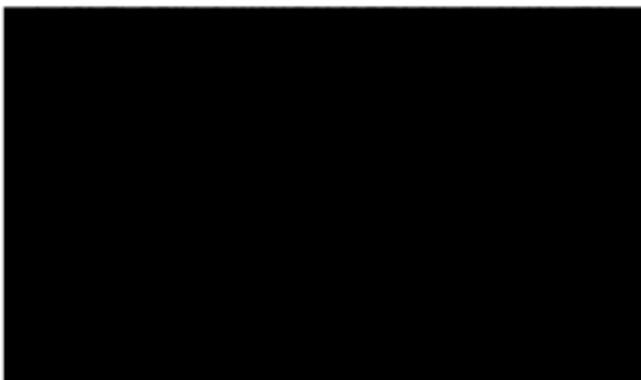
**Dates**  
3/2004 - 6/2017

**Phone**



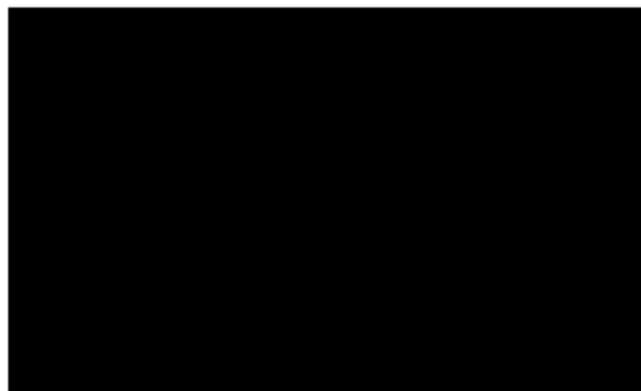
**Dates**  
3/2004 - 11/2009

**Phone**



**Dates**  
2/2004 - 7/2009

**Phone**



**Dates**  
3/2004 - 12/2008

**Phone**



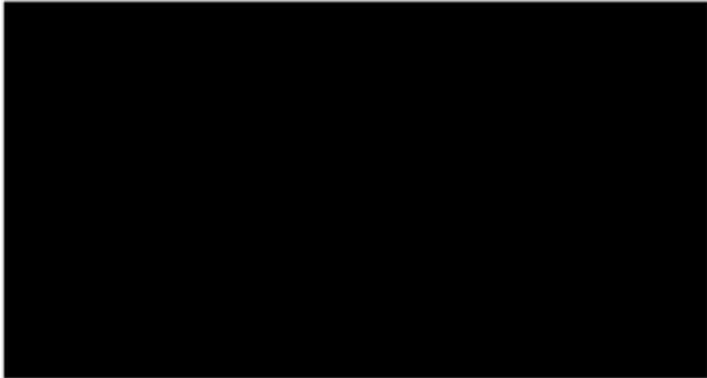
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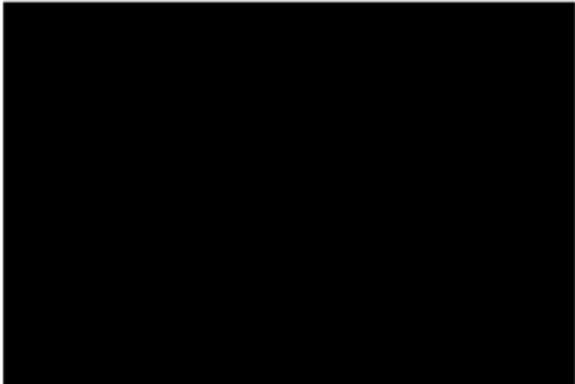
Dates

Phone



Dates

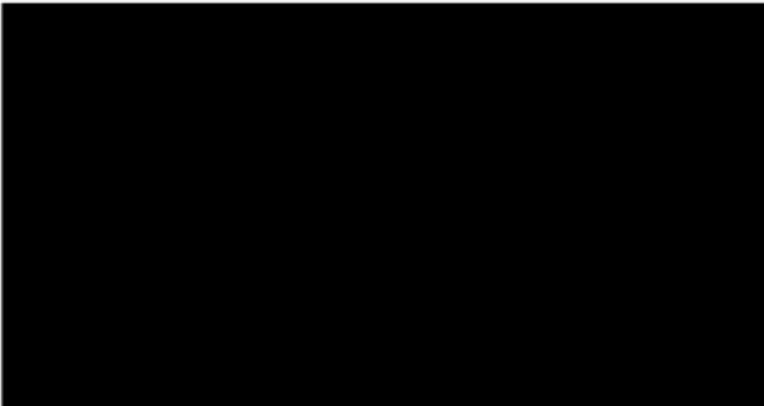
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Dates

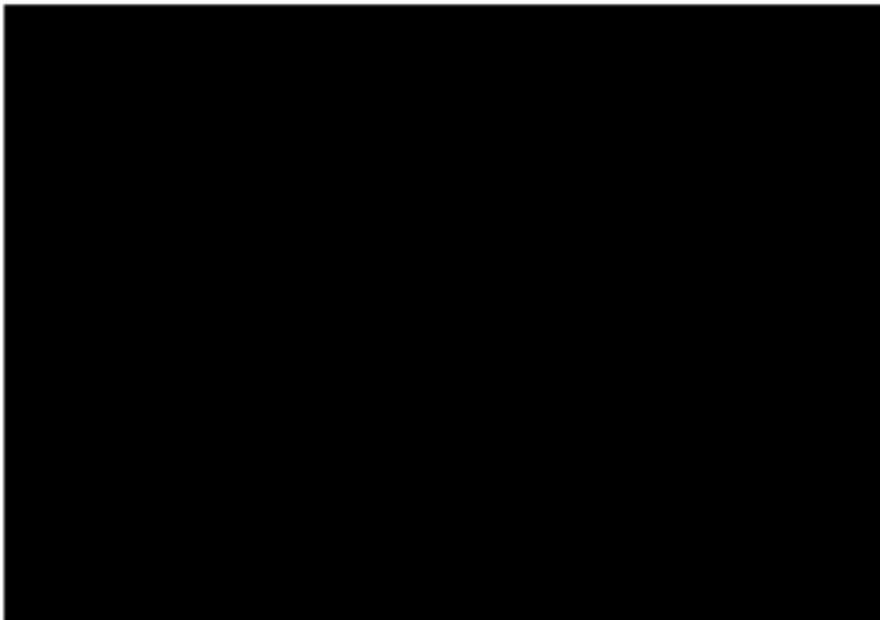
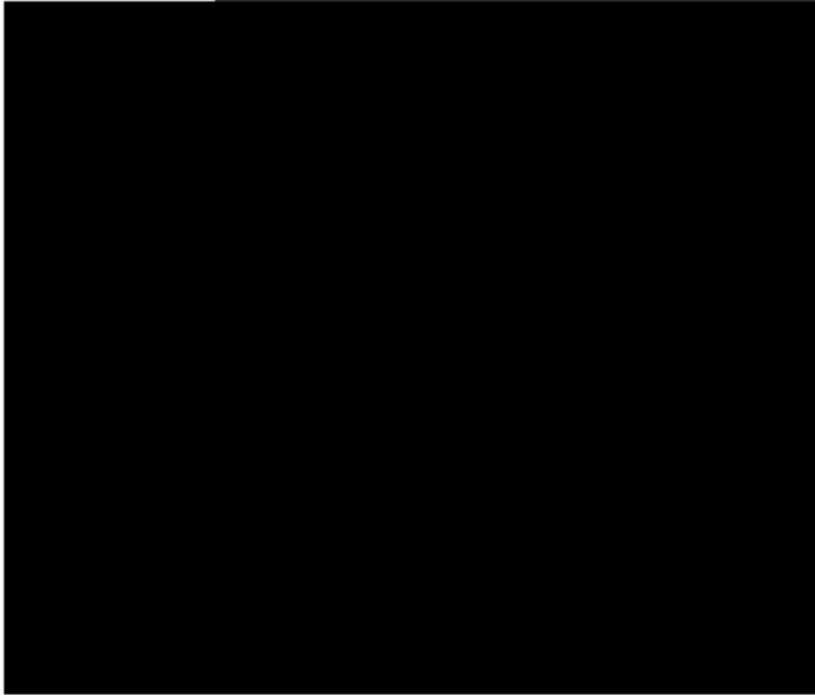
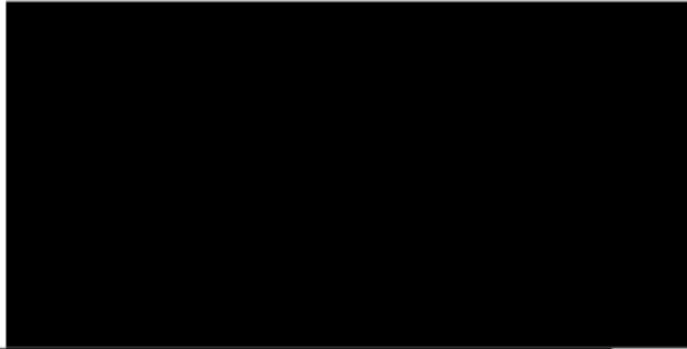
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Voter Registrations - 0 records found  
Driver Licenses - 4 records found



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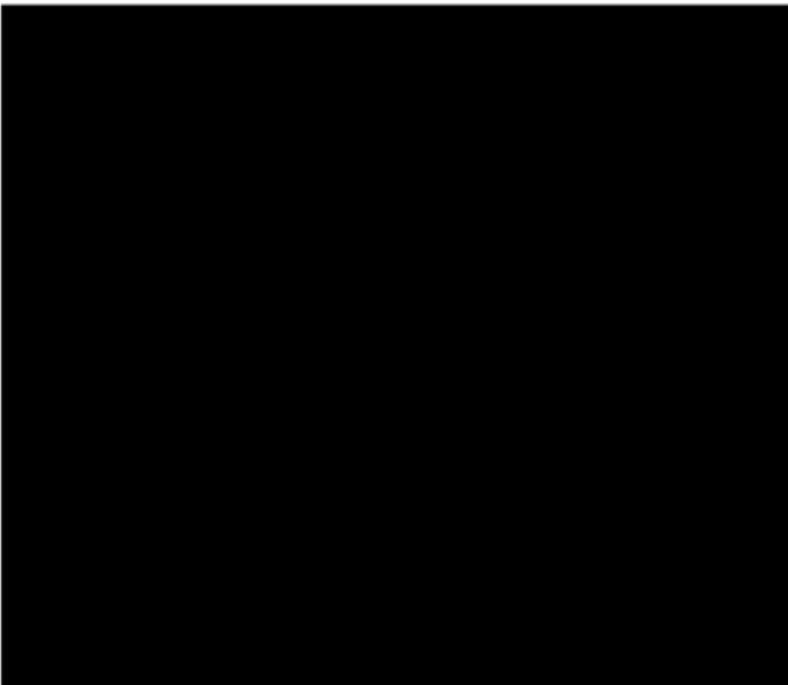
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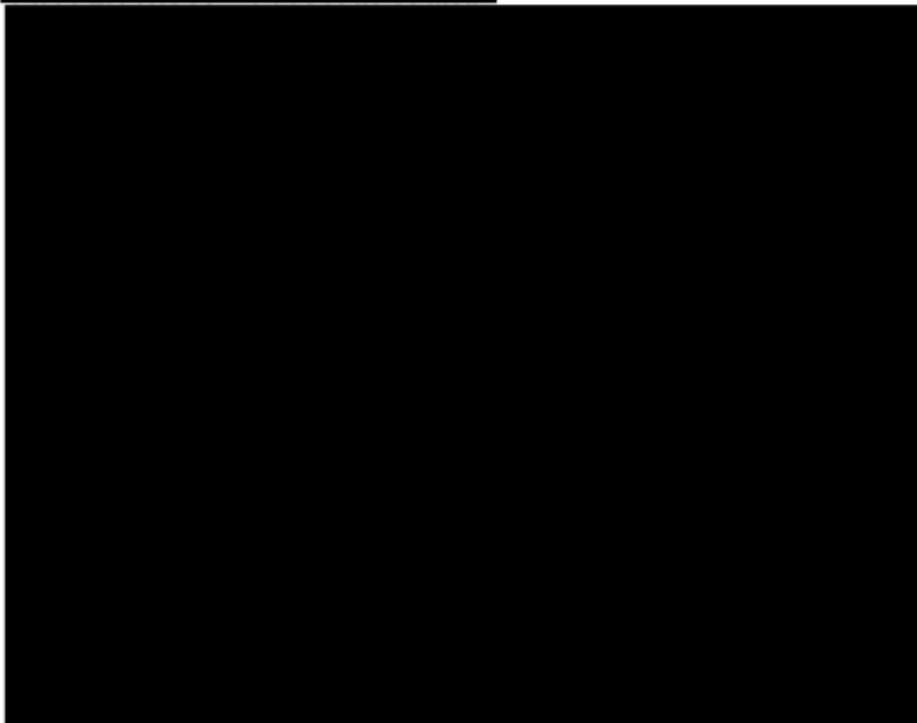
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Professional Licenses - 0 records found  
Health Care Providers - 0 records found  
Health Care Sanctions - 0 records found



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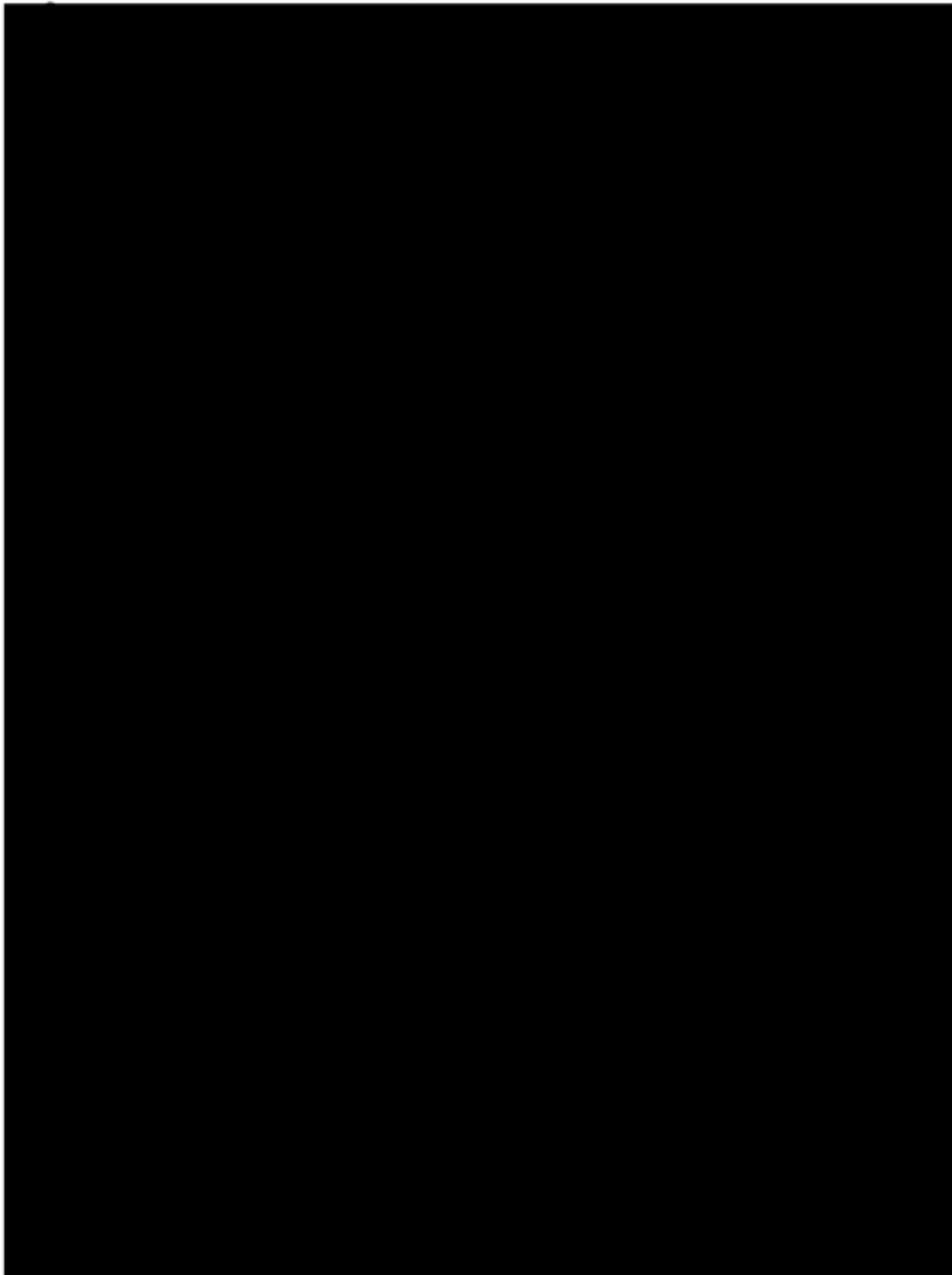
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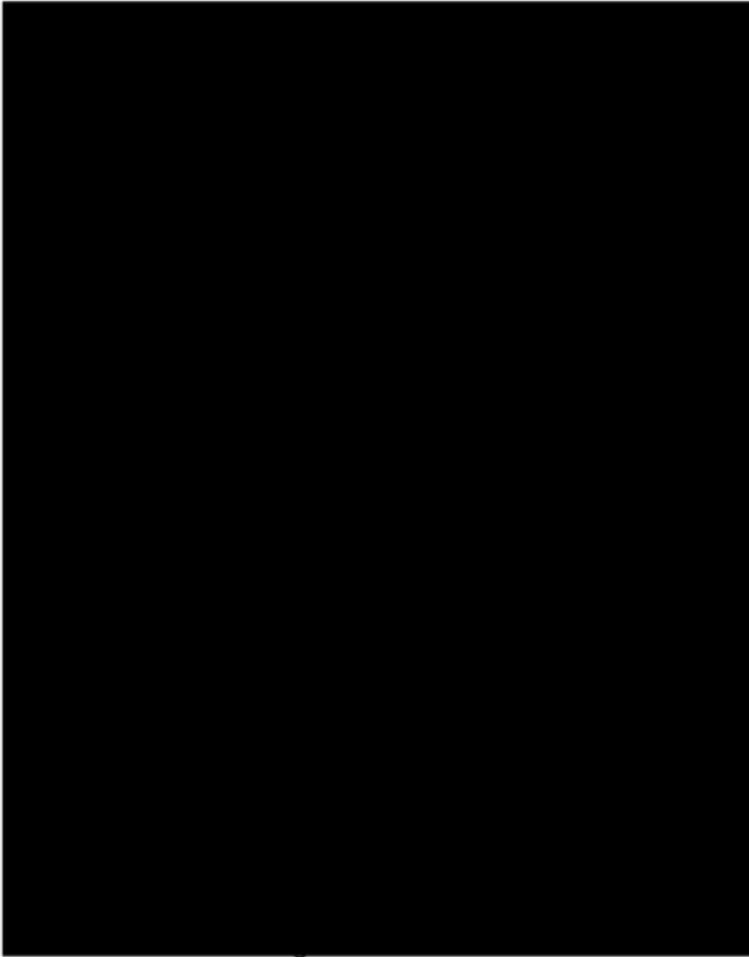
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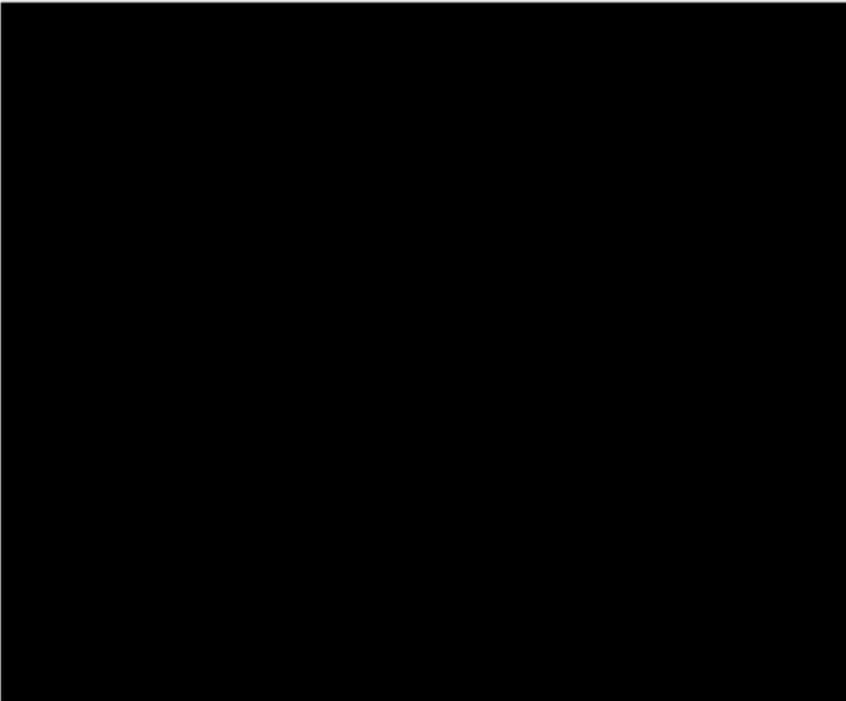
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4:



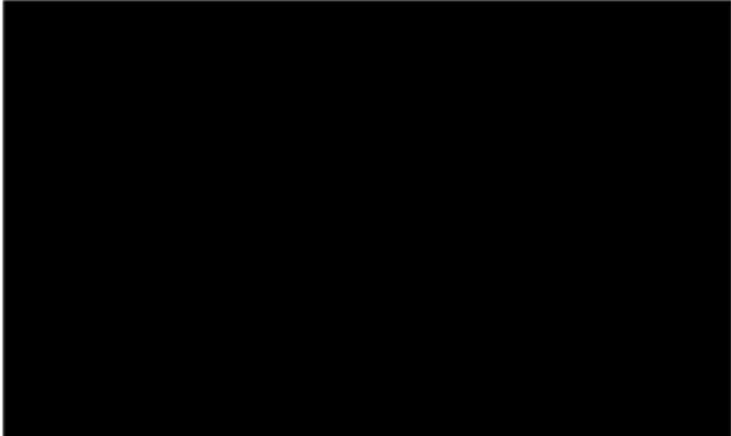
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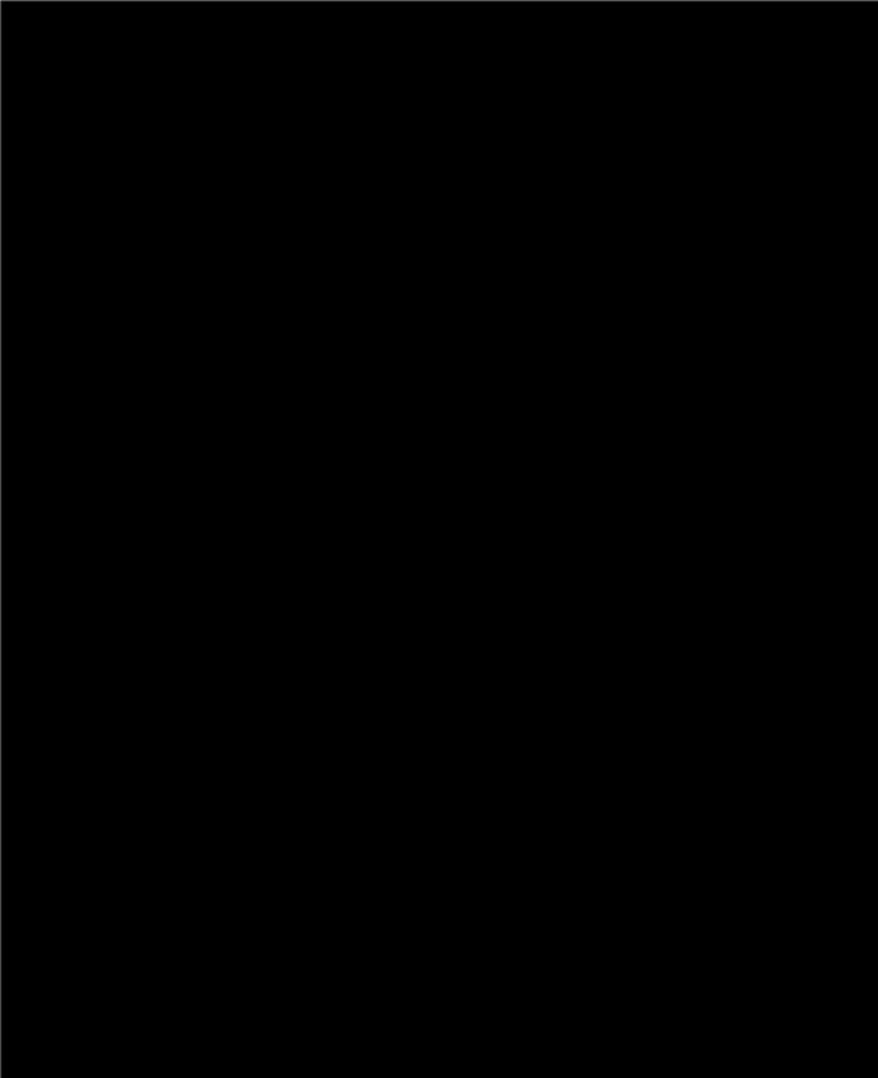
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5:



6:



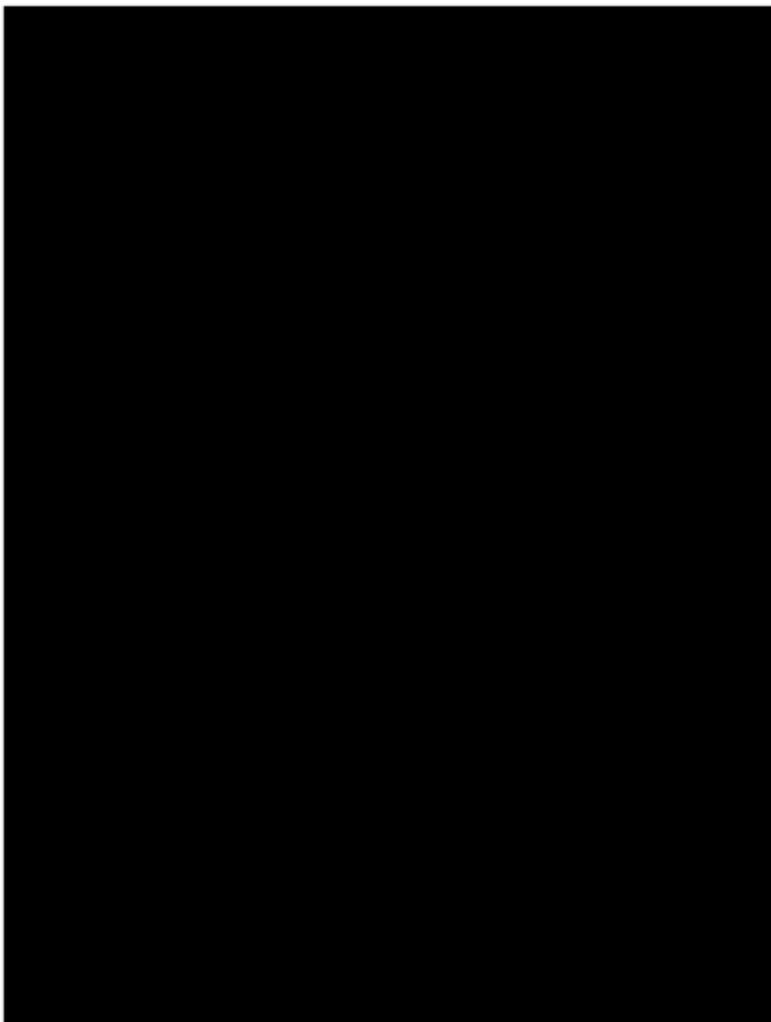
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**Sport Licenses - 0 records found**  
**Real Property - 0 records found**  
**Motor Vehicle Registrations - 0 records found**  
**Boats - 0 records found**  
**Aircraft - 0 records found**  
**Bankruptcy Information - 0 records found**  
**Judgments/Liens - 0 records found**  
**UCC Liens - 0 records found**  
**Fictitious Businesses - 0 records found**  
**Notice Of Defaults - 0 records found**  
**Potential Relatives - 0 records found**  
**Business Associates - 1 records found**



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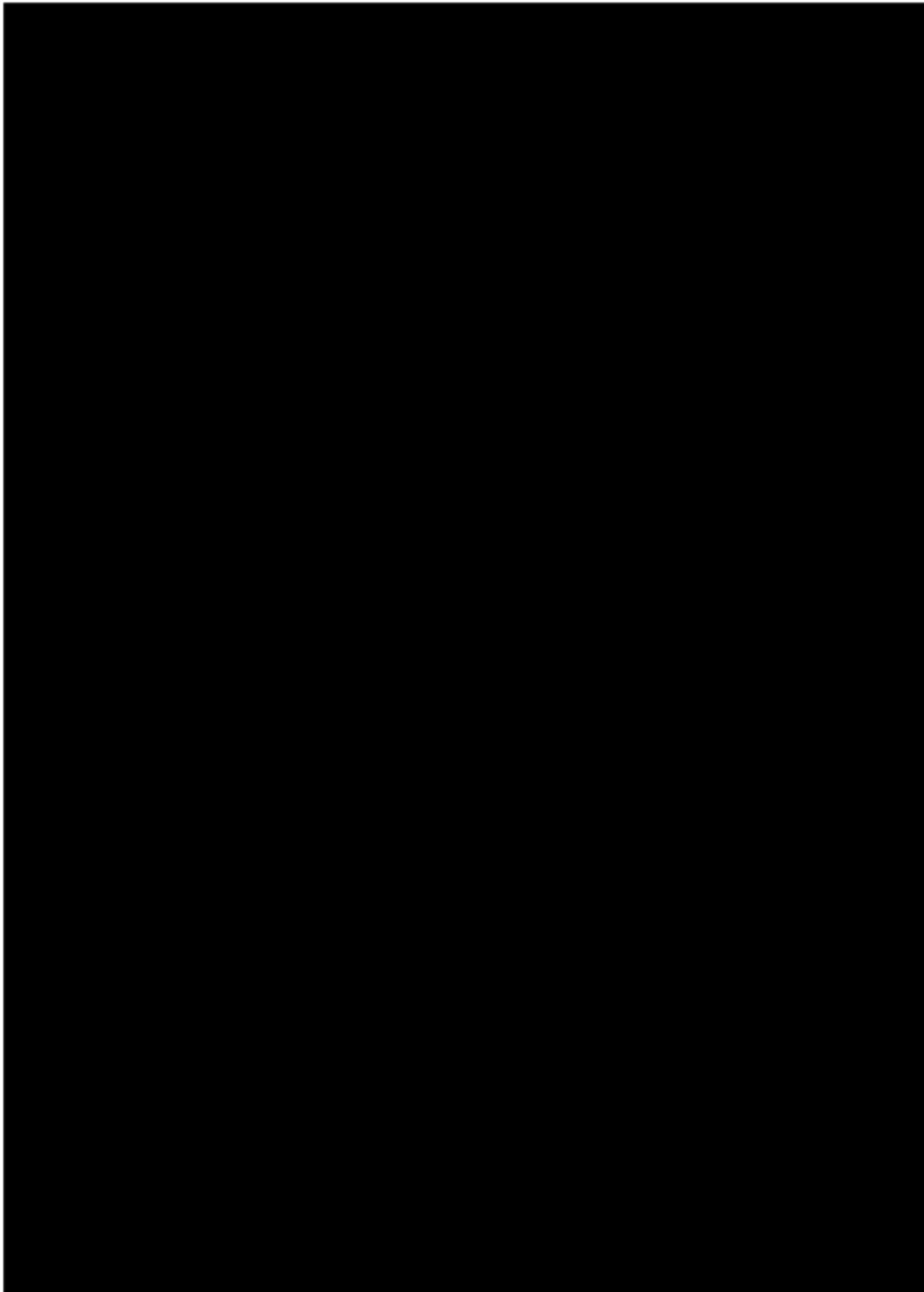
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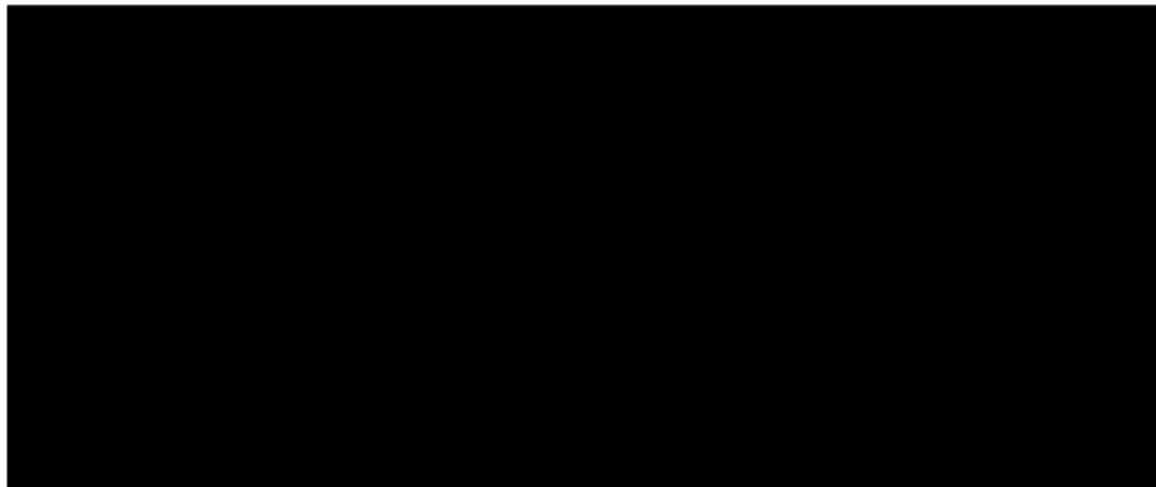
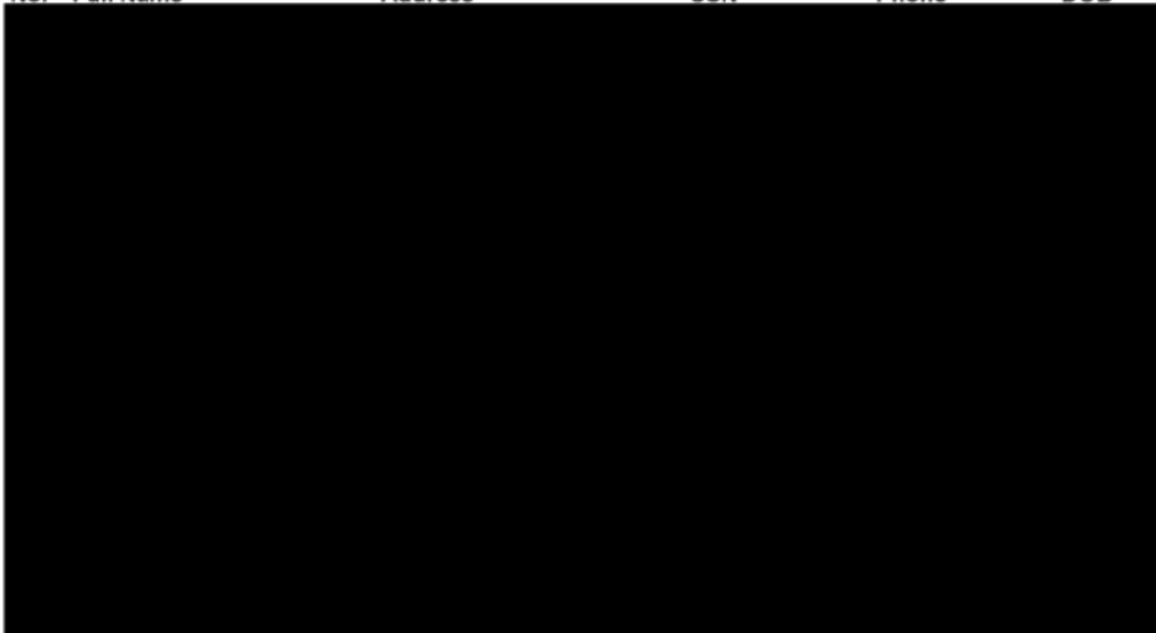
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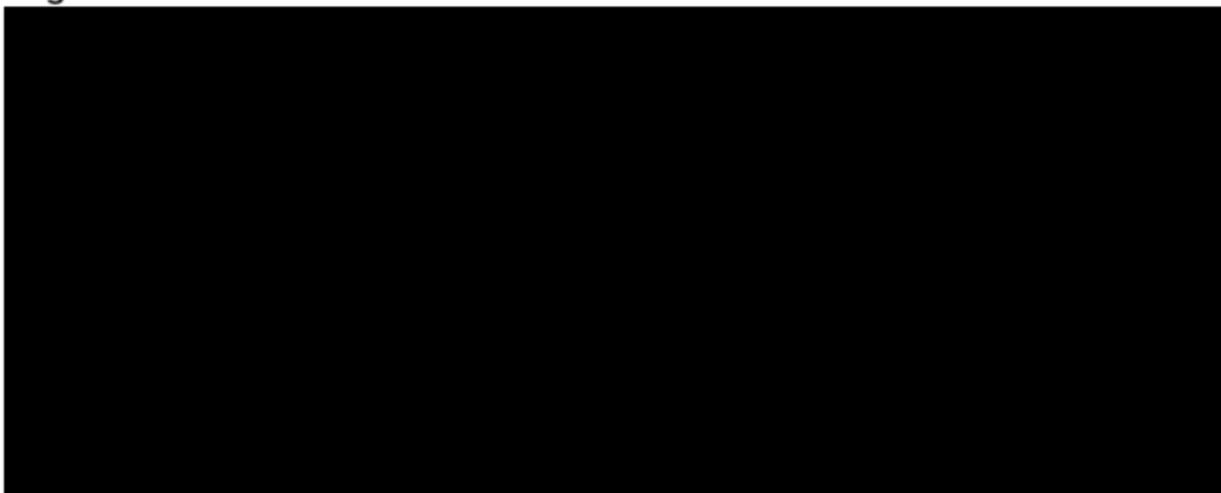
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No.	Full Name	Address	SSN	Phone	DOB
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Neighbors - 10 records found



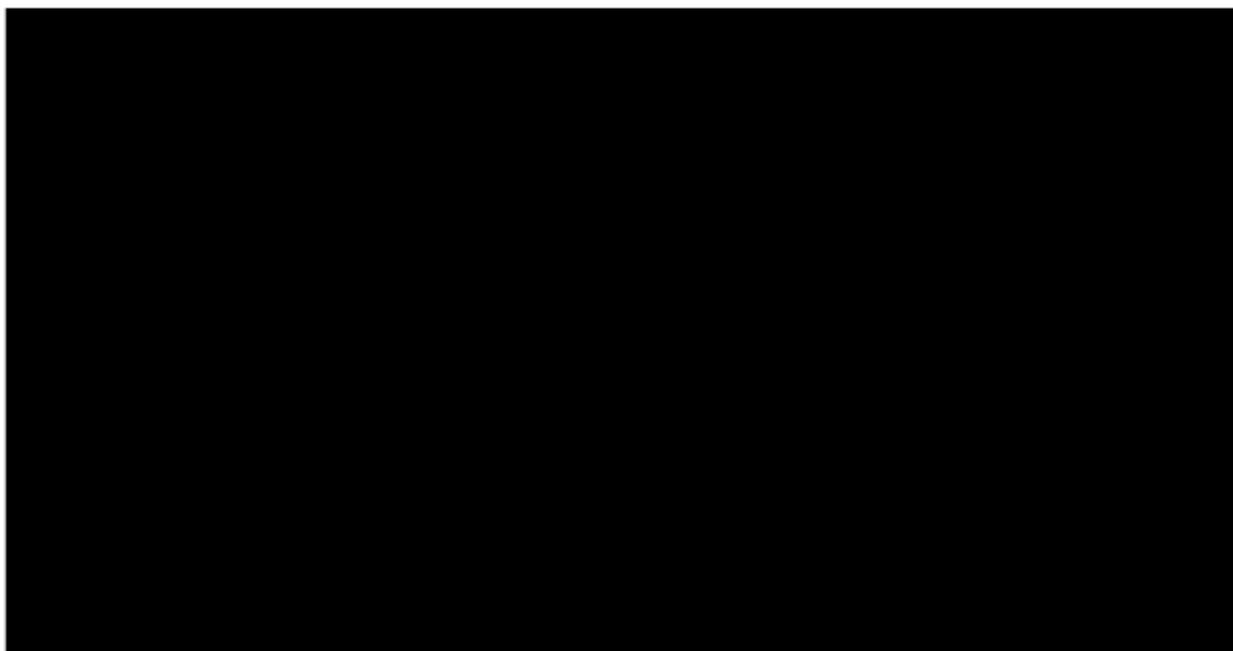
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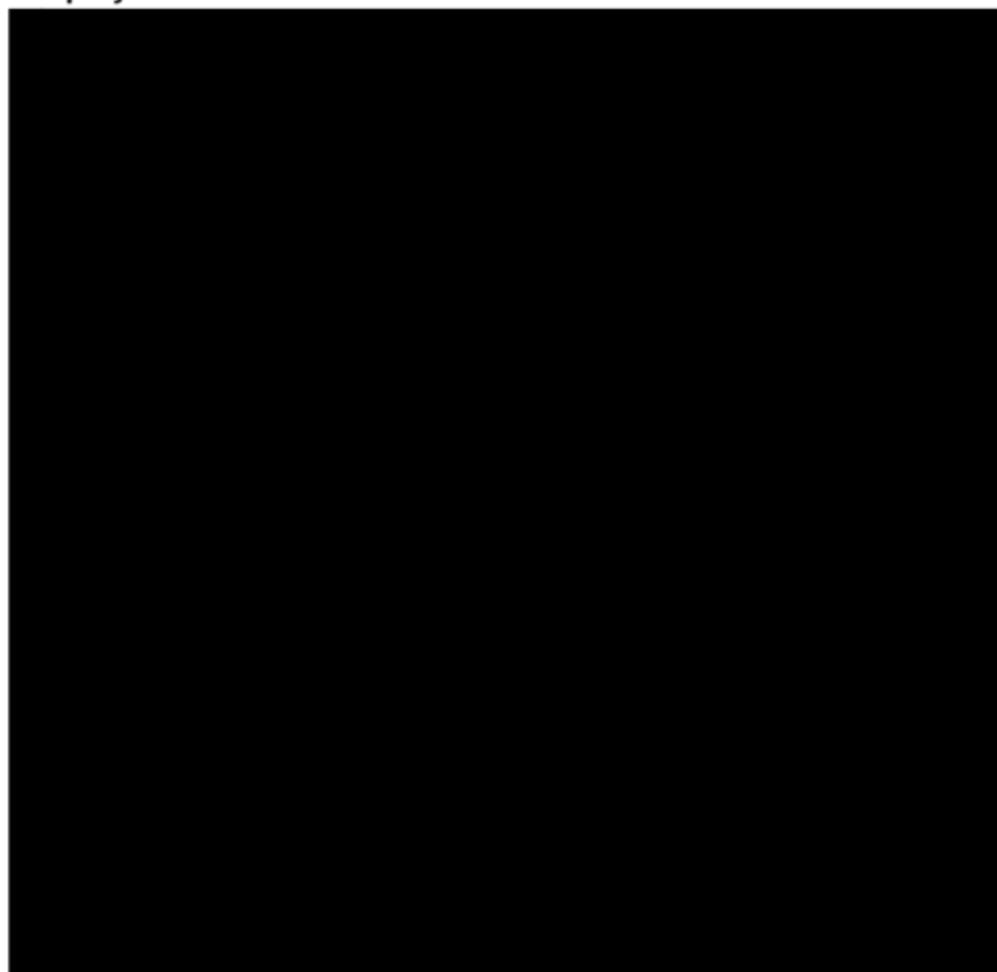
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Employment Locator - 5 records found



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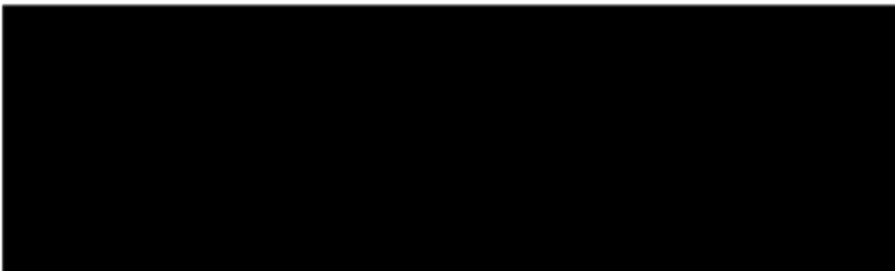
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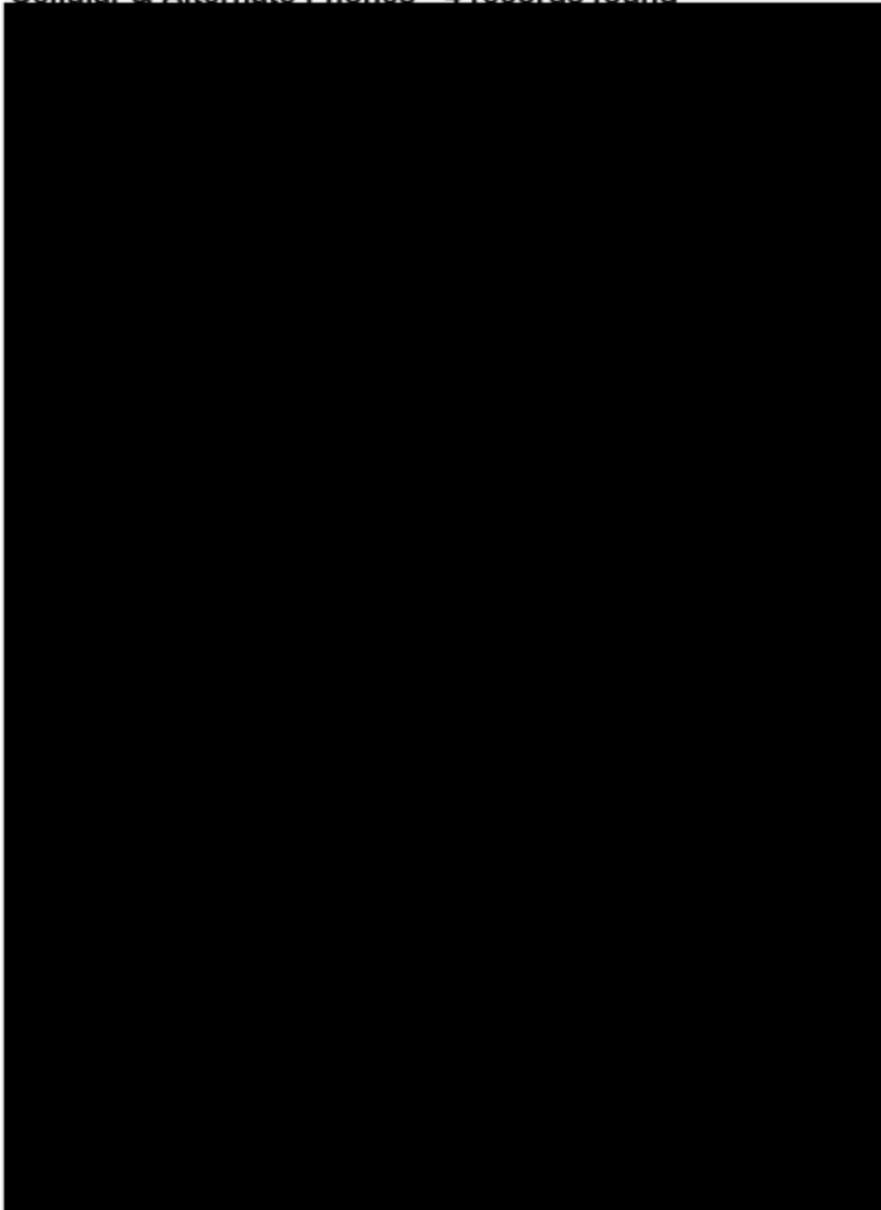
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**Criminal Filings - 0 records found**

**Cellular & Alternate Phones - 4 records found**



**Sources - 43 records found**

- All Sources
- Corporate Affiliations
- Driver Licenses
- Email addresses
- FAA Pilot Certifications

- 43 Source Document(s)
- 1 Source Document(s)
- 4 Source Document(s)
- 11 Source Document(s)
- 9 Source Document(s)

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FAA Pilot Licenses	6 Source Document(s)
Historical Person Locator	4 Source Document(s)
Person Locator 1	3 Source Document(s)
PhonesPlus Records	4 Source Document(s)
Utility Locator	1 Source Document(s)

**Key**

- ▲ High Risk Indicator. These symbols may prompt you to investigate further.
- ▣ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- ▢ General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by the EDA source.

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Your DPPA Permissible Use is: Debt Recovery/Fraud  
Your GLBA Permissible Use is: Legal Compliance

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**D&B:**

Not required

**LEGAL RESULTS:**

**Court Cases:**

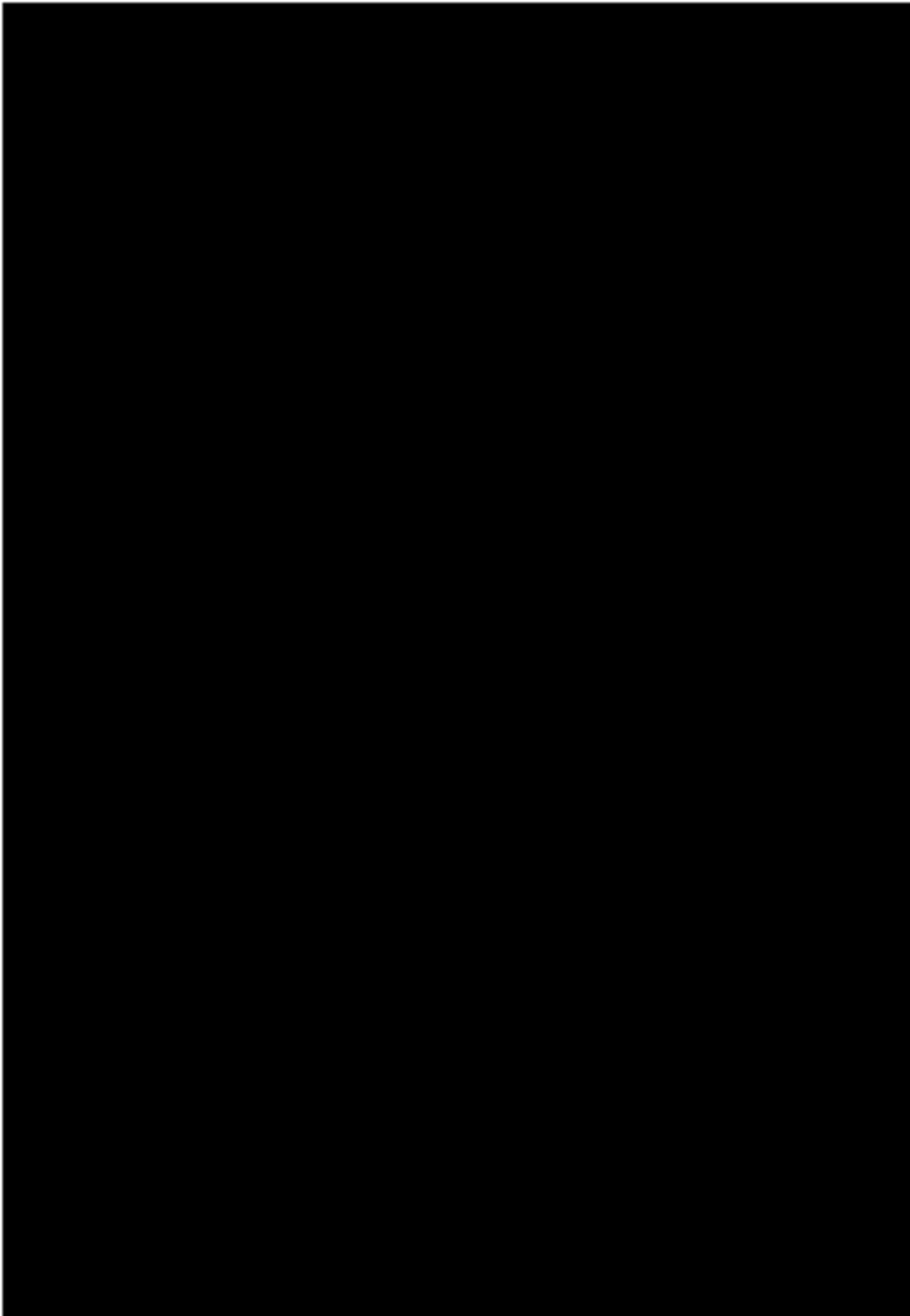
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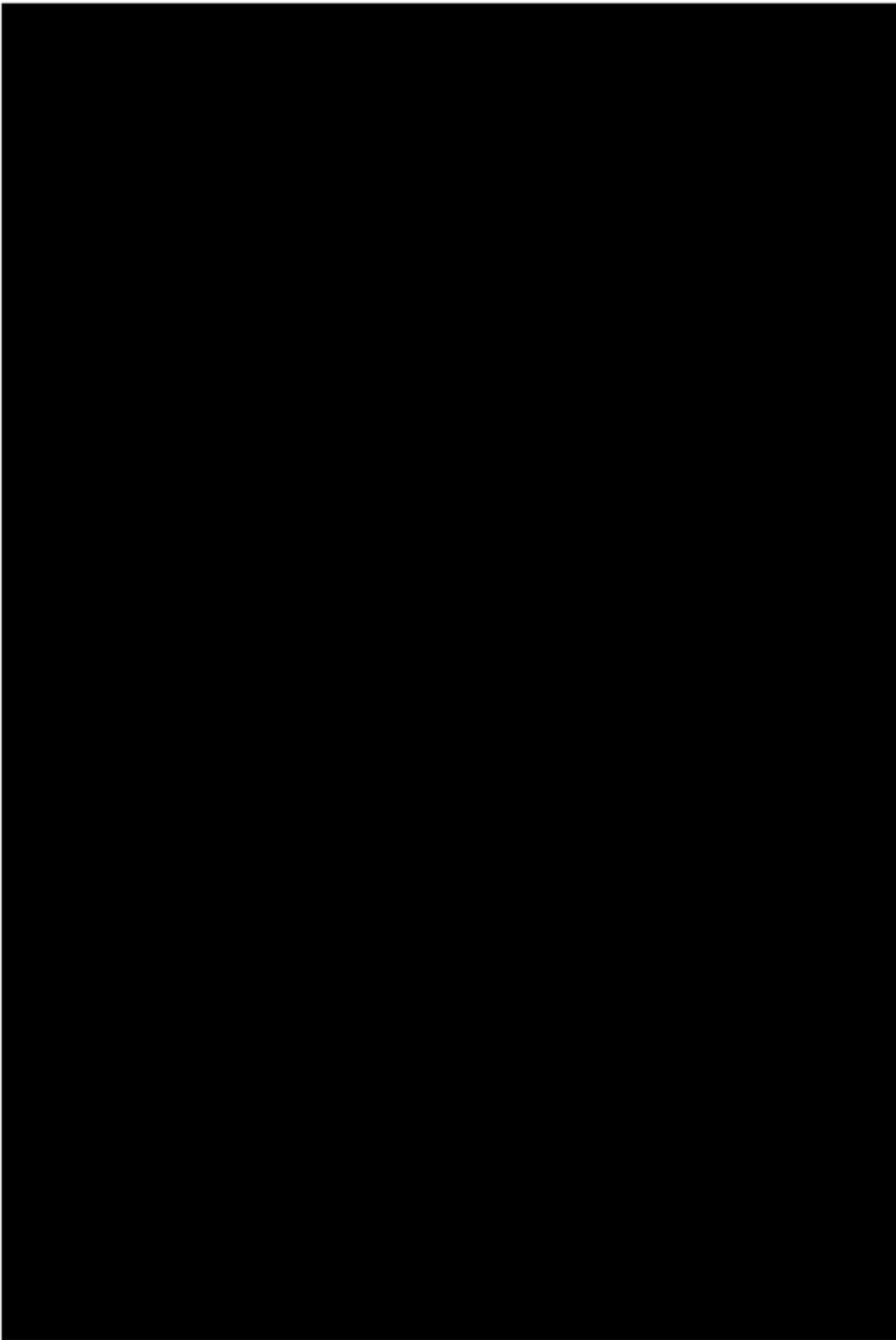
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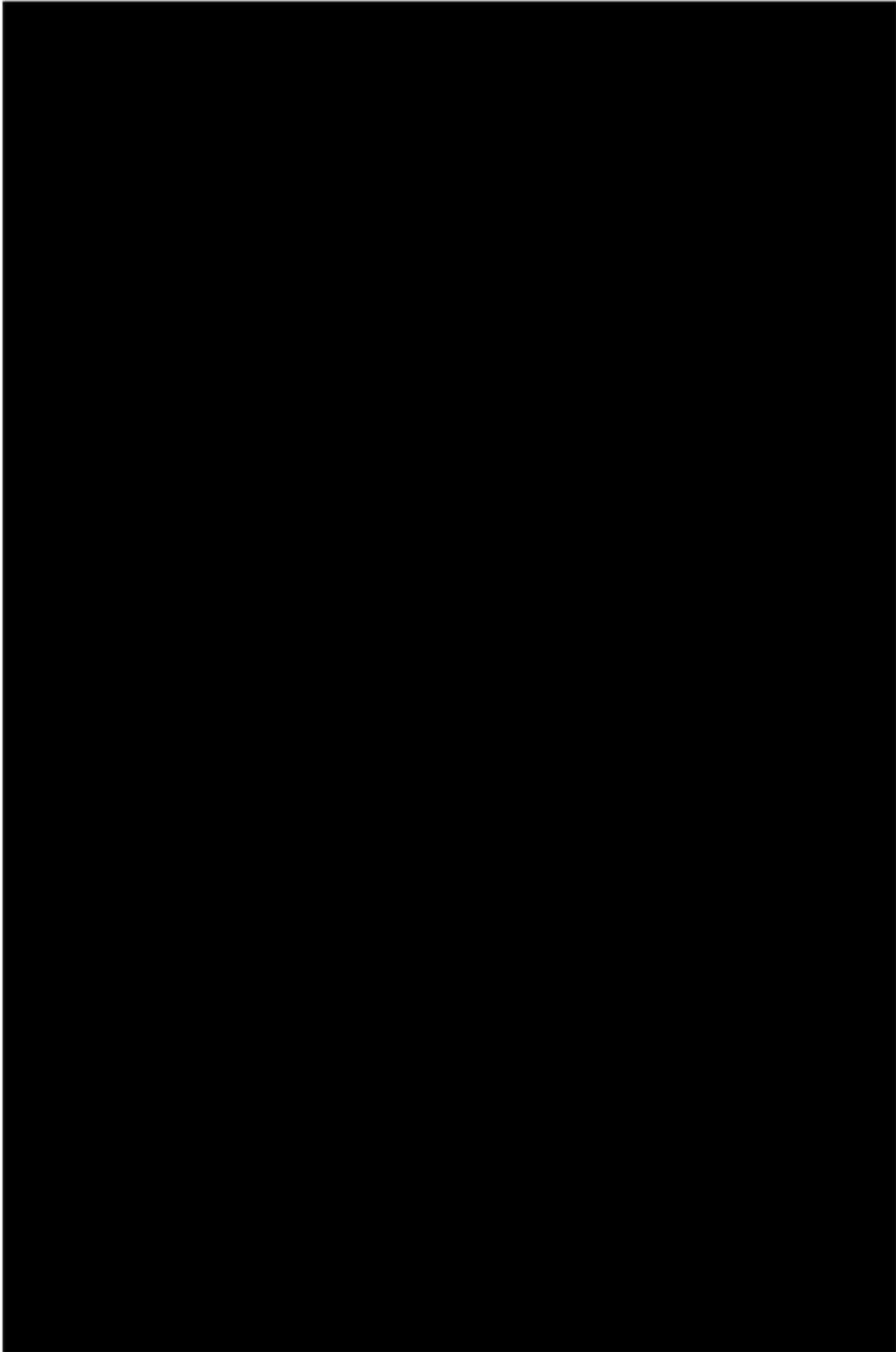
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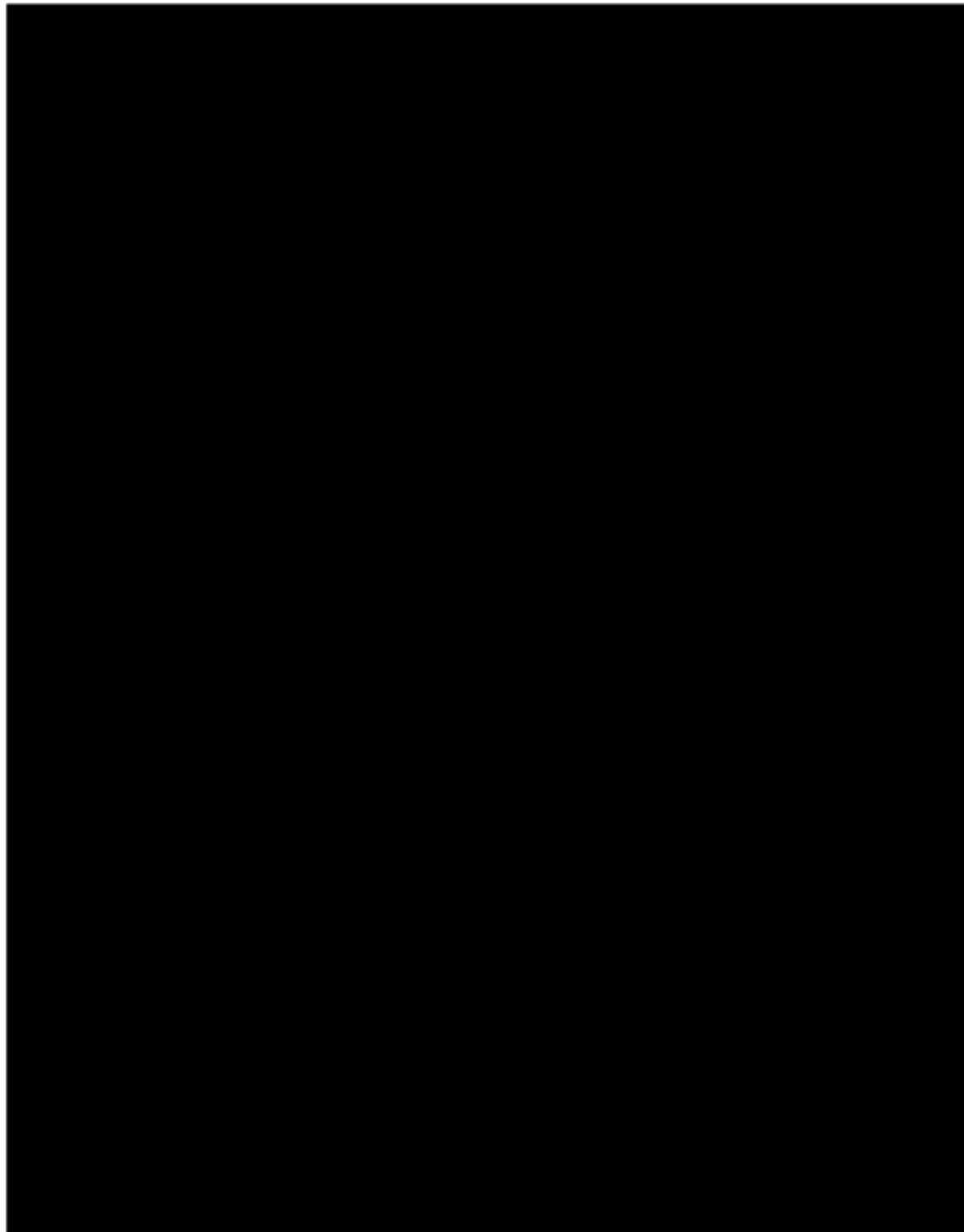
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THE COURT UPDATED THIS RECORD ON: 06/22/2017 12:00:00 AM

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