

NAME SEARCHED: [REDACTED]

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found (Please See Attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Ankita Shah Date:07/20/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00060303

OFAC RESULTS

RDC:

11605341	<u>No Match Found</u>	KYC 1804531		Country: UNITED STATES	Date of Birth: 3/14/1984
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PCR:

C20170738317161  13018221 NCA customised Auto-Closed No-Hit
20/07/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

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Date: 7/20/2017
Report processed by:
DEUTSCHE BANK AG||

Full Name	Address	County	Phone
	301 E 66TH ST APT 3M	NEW YORK	None Listed

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SDNY_GM_00060304

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DB-SDNY-0023128
EFTA_00170842

EFTA01297291

Full Name	Address	County	Phone
	NEW YORK, NY 10065-6205 NEW YORK COUNTY		

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)
890-37-XXXX			189029524089
<small> SSN potentially randomly issued by the SSA </small>			

Subject Summary

Name Variations

1: [REDACTED]

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
Most frequent SSN attributed to subject:				
1:	890-37-XXXX	N/A		SSN is potentially randomized

Others Using SSN - 0 records found

Address Summary - 4 records found

No.	Address
1:	301 E 66TH ST APT 3M NEW YORK, NY 10065-6205 NEW YORK COUNTY
2:	6 W 14TH ST STE 2 NEW YORK, NY 10011-7506 NEW YORK COUNTY
3:	6 W 14TH ST STE 3 NEW YORK, NY 10011-7506 NEW YORK COUNTY
4:	6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348 ST. THOMAS COUNTY

Address Details

1: 301 E 66TH ST APT 3M NEW YORK, NY 10065-6205

Address	Dates	Phone
301 E 66TH ST APT 3M NEW YORK, NY 10065-6205 NEW YORK COUNTY	12/2012 - 7/2017	

Census Data for Geographical Region

Median Head of Household Age: 36
 Median Income: \$156,291
 Median Home Value: \$753,989
 Median Education: 16 years

Household Members

None Listed

Other Associates

None Listed

2: 6 W 14TH ST STE 2 NEW YORK, NY 10011-7506

Address	Dates	Phone

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6 W 14TH ST STE 2
 NEW YORK, NY 10011-7506
 NEW YORK COUNTY

3/2012 - 7/2012

Census Data for Geographical Region

Median Head of Household Age: 37
 Median Income: \$130,793
 Median Home Value: \$1,000,000
 Median Education: 17 years

Household Members

None Listed

Other Associates

None Listed

3: 6 W 14TH ST STE 3 NEW YORK, NY 10011-7506

Address

6 W 14TH ST STE 3
 NEW YORK, NY 10011-7506
 NEW YORK COUNTY

Dates

Phone

(212) 966-4200

Census Data for Geographical Region

Median Head of Household Age: 37
 Median Income: \$130,793
 Median Home Value: \$1,000,000
 Median Education: 17 years

Household Members

None Listed

Other Associates

None Listed

4: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

Address

6100 RED HOOK QTRS STE B-3
 ST THOMAS, VI 00802-1348
 ST. THOMAS COUNTY

Dates

Phone

Household Members

None Listed

Other Associates

None Listed

- Voter Registrations - 0 records found**
- Driver Licenses - 0 records found**
- Professional Licenses - 0 records found**
- Health Care Providers - 0 records found**
- Health Care Sanctions - 0 records found**
- Pilot Licenses - 0 records found**
- Sport Licenses - 0 records found**
- Real Property - 0 records found**
- Motor Vehicle Registrations - 0 records found**
- Boats - 0 records found**
- Aircraft - 0 records found**
- Bankruptcy Information - 0 records found**
- Judgments/Liens - 0 records found**
- UCC Liens - 0 records found**
- Fictitious Businesses - 0 records found**
- Notice Of Defaults - 0 records found**
- Potential Relatives - 0 records found**

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Business Associates - 1 records found

1: EDUCATION ADVANCE

Name: [REDACTED]
Address: 408 CHESTER ST
MENLO PARK, CA 94025-2524
Status: ACTIVE
State: CA
Corporation Number: C3947595
Descriptive Status: ACTIVE
Record Type: CURRENT
Record Date: 7/5/2017
Filing Date: 9/21/2016

Person Associates - 0 records found

Neighbors - 7 records found

301 E 66TH ST APT 3M NEW YORK, NY 10065-6205

Name	Address	Phone
ROSS, JAMIE	301 E 66TH ST APT 3D NEW YORK, NY 10065-6206	
HOFFMAN, YVETTE KAY, IRA E	301 E 66TH ST APT 3E NEW YORK, NY 10065-6206	
REILLY, MEGAN	301 E 66TH ST APT 3F NEW YORK, NY 10065-6206	
SCHNEIDER, ALEXANDRA SCHNEIDER, JACQUELINE H TILLMAN, ROSS J	301 E 66TH ST APT 3G NEW YORK, NY 10065-6206	
ASCHER, ELIZABETH C GUINLE, MARIA YOU DIM, JESSICA	301 E 66TH ST APT 3H NEW YORK, NY 10065-6206	
GARFIELD, BRIAN P SEHERR THOSS, MARIAN K	301 E 66TH ST APT 3J NEW YORK, NY 10065-6206	
BOOKMAN, ADAM TEMAN, W TOPPER, JESSICA S	301 E 66TH ST APT 3K NEW YORK, NY 10065-6206	(646) 964-4833

Employment Locator - 2 records found

- 1:
 - Company Name: EDUCATION ADVANCE
 - Name: [REDACTED]
 - SSN: 890-37-XXXX
 - Confidence: Medium
- 2:
 - Company Name: EDUCATION ADVANCE
 - Name: [REDACTED]
 - Title: PRESIDENT
 - SSN: 890-37-XXXX
 - Confidence: Medium

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Criminal Filings - 0 records found
Cellular & Alternate Phones - 0 records found
Sources - 17 records found

All Sources	17 Source Document(s)
Corporate Affiliations	1 Source Document(s)
Historical Person Locator	2 Source Document(s)
Person Locator 1	1 Source Document(s)
Phone	12 Source Document(s)
Utility Locator	1 Source Document(s)

Key

- ▲ High Risk Indicator. These symbols may prompt you to investigate further.
- ▢ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- ▣ General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by the EDA source.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud
 Your GLBA Permissible Use is: Legal Compliance

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D&B:

Not required

LEGAL RESULTS:

Court Cases:

There was no information found

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