

NAME SEARCHED: **Karyna Shuliak**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	There was no information found
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	There was no information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result found(Please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	There was no information found

Prepared by: Ankita Shah Date:07/20/2017
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00060346

OFAC RESULTS

RDC:

11605348	<u>No Match Found</u>	KYC 1804531	 Karyna Shuliak	Country: UNITED STATES	Date of Birth: [REDACTED]
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PCR:

C20170738320207 Karyna Shuliak 13018219 NCA customised Auto-Closed No-Hit 20/07/2017

BIS RESULTS

Negative Media:

There was no information found

Non-Negative Media:

There was no information found

Other Language Media:

There was no information found

Public Records:

1 OF 1 RECORD(S)

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Date: 7/20/2017
Report processed by:
DEUTSCHE BANK AG||

Full Name	Address	County	Phone
SHULIAK, KARYNA	9 E 71ST ST	NEW YORK	[REDACTED]

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DB-SDNY-0023171
EFTA_00170885

EFTA01297322

Full Name	Address	County	Phone
	NEW YORK, NY 10021-4102 NEW YORK COUNTY		[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender	LexID(sm)
[REDACTED]			188602267903

Subject Summary

Name Variations

1: SHULIAK, KARYNA

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
Most frequent SSN attributed to subject:				
1:	[REDACTED]	New York	2009-2011	

Possible E-Mail Addresses

[REDACTED]

Others Using SSN - 0 records found

Address Summary - 6 records found

No.	Address
1:	9 E 71ST ST NEW YORK, NY 10021-4102 NEW YORK COUNTY
2:	[REDACTED] NEW YORK COUNTY
3:	6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348 ST. THOMAS COUNTY
4:	[REDACTED] NEW YORK COUNTY
5:	[REDACTED] KINGS COUNTY
6:	[REDACTED] NEW YORK COUNTY

Address Details

1: 9 E 71ST ST NEW YORK, NY 10021-4102

Address
9 E 71ST ST
NEW YORK, NY 10021-4102
NEW YORK COUNTY

Dates
7/2015 - 3/2017

Phone
[REDACTED]

Census Data for Geographical Region

Median Head of Household Age: 57
Median Income: \$201,878

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Median Home Value: \$1,000,000

Median Education: 17 years

Household Members

None Listed

Other Associates

EPSTEIN, JEFFREY E

2: [REDACTED]

Address

[REDACTED]

NEW YORK COUNTY

Census Data for Geographical Region

Median Head of Household Age: 36

Median Income: \$156,291

Median Home Value: \$753,989

Median Education: 16 years

Household Members

None Listed

Other Associates

None Listed

Dates

[REDACTED]

Phone

3: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

Address

6100 RED HOOK QTRS STE B-3

ST THOMAS, VI 00802-1348

ST. THOMAS COUNTY

Household Members

None Listed

Other Associates

EPSTEIN, JEFFREY E

Dates

10/2013 - 4/2017

Phone

4: [REDACTED]

Address

[REDACTED]

NEW YORK COUNTY

Census Data for Geographical Region

Median Head of Household Age: 36

Median Income: \$156,291

Median Home Value: \$753,989

Median Education: 16 years

Household Members

None Listed

Other Associates

None Listed

Dates

4/2013 - 8/2013

Phone

5: [REDACTED]

Address

[REDACTED]

KINGS COUNTY

Census Data for Geographical Region

Median Head of Household Age: 51

Median Income: \$92,045

Median Home Value: \$761,538

Median Education: 12 years

Household Members

None Listed

Other Associates

None Listed

Dates

Phone

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6: [REDACTED]

Address [REDACTED]

Dates

Phone [REDACTED]

NEW YORK COUNTY

Census Data for Geographical Region

Median Head of Household Age: 39

Median Income: \$56,500

Median Home Value: \$370,896

Median Education: 13 years

Household Members

None Listed

Other Associates

None Listed

Voter Registrations - 0 records found**Driver Licenses - 0 records found****Professional Licenses - 1 records found**

1: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]

Address: 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348

County: ST. THOMAS

License Information

Profession or Board: DENTAL BOARD OF CALIFORNIA

License Type: DENTIST

License Number: 65268

Issue Date: 11/19/2015

Expiration Date: 05/31/2017

Status: VALID UNTIL 05/31/2017

State: California

Health Care Providers - 1 records found

1: Health Care Providers

Name(s): SHULIAK, KARYNA
SHULIAK, KARYNA
SHULIAK, KARYNA

Gender: FEMALE

DOB(s): 05/1989

Business Addresses: 6100 RED HOOK QTRS STE B-3
ST THOMAS, VI 00802-1348358 EL BRILLO WAY
PALM BEACH, FL 33480-4730License Number/State: 21635 / FL
65268 / CA
2230 / VI

Medical School/Grad Year: COLUMBIA UNIVERSITY / 2015

Health Care Sanctions - 0 records found**Pilot Licenses - 0 records found****Sport Licenses - 0 records found****Real Property - 0 records found****Motor Vehicle Registrations - 0 records found****Boats - 0 records found****Aircraft - 0 records found**

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DB-SDNY-0023174

EFTA_00170888

EFTA01297325

Bankruptcy Information - 0 records found
Judgments/Liens - 0 records found
UCC Liens - 0 records found
Fictitious Businesses - 0 records found
Notice Of Defaults - 0 records found
Potential Relatives - 1 records found

1st Degree: 1

No.	Full Name	Address/Phone
1.	SHULYAK, DENNIS • AKA SHULIAK, DZIANIS • AKA SHULIAK, DENNIS • AKA SHULAK, DZIANIS • AKA SHULIAK, DZIANIAS • AKA SHULIAK	[REDACTED] [REDACTED] (212) 542-3150 [REDACTED] [REDACTED] [REDACTED]

SSN: [REDACTED]
DOB: [REDACTED]
([REDACTED])

Business Associates - 0 records found
Person Associates - 1 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	EPSTEIN, JEFFREY E EPSTEIN, JEFFREY E EPSTEIN, JERRERY EPSTEIN, J EPTSTEIN, JEFFERY EPSTIEN, JEFFERY EDWARDS, JEFFERY	9 E 71ST ST NEW YORK, NY 10021-4102 457 MADISON AVE FL 4TH NEW YORK, NY 10022-6843 49 ZORRO RANCH RD BH STANLEY, NM 87056-9743 49 ZORRO RANCH RD B STANLEY, NM 87056-9743 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348	[REDACTED]	[REDACTED]	1/1953

Neighbors - 2 records found
9 E 71ST ST NEW YORK, NY 10021-4102

Name	Address	Phone
RIVERA, MIGUELINA CUBERO	[REDACTED]	[REDACTED]
LAMBERT, JOEL I LUTNICK, ALLISON L LUTNICK, BRANDON G LUTNICK, HOWARD WILLIAM LUTNICK, KYLE S	[REDACTED]	[REDACTED]

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Employment Locator - 0 records found
Criminal Filings - 0 records found
Cellular & Alternate Phones - 0 records found
Sources - 31 records found

All Sources	31 Source Document(s)
Email addresses	5 Source Document(s)
Historical Person Locator	3 Source Document(s)
Person Locator 1	1 Source Document(s)
Phone	12 Source Document(s)
Professional Licenses	7 Source Document(s)
Providers	1 Source Document(s)
Utility Locator	2 Source Document(s)

Key

- ▲ High Risk Indicator. These symbols may prompt you to investigate further.
- ▣ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by the EDA source.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud
Your GLBA Permissible Use is: Legal Compliance

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D&B:

Not required

LEGAL RESULTS:

Court Cases:

There was no information found

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