

NAME SEARCHED: **Gratitude America Ltd**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC Alert(please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">PCR Results</a>	No PCR Alert(please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information Found
		IV. <a href="#">Non-Negative Media</a>	No Information Found
		V. <a href="#">Other Language Media</a>	No Information Found
D&B	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Result found(please see attached)
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	Result found(please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	No Information Found

Prepared by: Shreya parashar Date: 07/26/2017 Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY\_GM\_00060454

**OFAC RESULTS**

**RDC:**

11605829	<a href="#">No Match Found</a>	GCIS 00000499760	 Gratitude America Ltd	Country: UNITED STATES
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**PCR:**

**C20170738397738 Gratitude America Ltd 13002816 NCA customised Auto-Closed No-Hit 26/07/2017**

**BIS RESULTS:**

**Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

No Information Found

**Public Record:**

**1 OF 2 RECORD(S)**

**Comprehensive Business Report**

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Search Terms - company(Gratitude America Ltd) radius(15)

- |                                 |                        |                             |                               |
|---------------------------------|------------------------|-----------------------------|-------------------------------|
| Executives - Current (0)        | Incorporation/SOS (0)  | Operations/Sites (0)        | Sales (0)                     |
| Licenses (0)                    | URLs (0)               | Real Property - Current (0) | Real Property - Prior (0)     |
| MVRs - Current (0)              | MVRs - Prior (0)       | Watercraft - Current (0)    | Watercraft - Prior (0)        |
| Aircraft - Current (0)          | Aircraft - Prior (0)   | Bankruptcy Filings (0)      | Judgments & Liens Filings (0) |
| UCC Filings (0)                 | Executives - Prior (0) | Registered Agents (0)       | Name Variations (1)           |
| Possible Employees (1)          | Person Associates (0)  | Business Associates (0)     | TINs (1)                      |
| Possible Connected Business (1) | Parent Company (0)     | Industry Information (1)    |                               |
| View All Sources (3)            |                        |                             |                               |

**Business Summary**

Name	Address	Phone
GRATITUDE AMERICA LTD	9100 Havensight Port Of Sale St Thomas, VI 00802 St. Thomas County	
LexID	Established	TIN

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**CONFIDENTIAL**

0023-0086-2402	2012 (5 Years in Business)	66-0789697
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**At a Glance**

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

**Name Variations - 1 name variations found**

NO.	NAME
1.	GRATITUDE AMERICA LTD

**TINs - 1 TINs found**

NO.	TIN	NAME
1.	66-0789697	GRATITUDE AMERICA LTD

**Business Profile****Executives: Current - 0 executive(s) found****Incorporation/SOS (0 active, 0 other)****Operating Locations - Showing 0 location(s)****Sales - 0 record(s) found****Parent Company - 0 record(s) found****Industry Information**

SIC	NAIC
No SIC information available	No NAIC information available
Industry Description: CHARITABLE ORGANIZATION;PRIVATE GRANTMAKING FOUNDATIONS	
Business Description:	

**Licenses - 0 licenses found****URLs - 0 URLs found****Bankruptcy (0 active, 0 closed)****Judgments/Liens (0 filings)****UCC Filings (0 debtor, 0 creditor)****Real Property (0 current, 0 prior)****Personal Property (0 current, 0 prior)****Associates****Executives: Prior - 0 prior executive(s) found**

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**CONFIDENTIAL**

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0023280

EFTA\_00170994

EFTA01297387

**Registered Agents - 0 registered agent(s) found****Possible Employees - 0 current, 1 prior employees found**

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Kellerhals, Erika	9100 Havensight Port Of Sale Ste 15 St Thomas, VI 00802	Prior	

**Person Associates - 0 other person associates found****Possible Connected Business - 1 businesses found**

NO.	NAME	ADDRESS
1.	GRATITUDE AMERICA LTD	9053 Estate Thomas Suite 101 St Thomas, VI 00802 St. Thomas County

**Business Associates - 0 business associates found****Sources**

All Sources	3 Source Documents
Government Agency	2 Source Documents
Other Directories	1 Source Documents

**Key:**

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
-  The most recent telephone listing as reported by Electronic Directory Assistance.
-  Wireless Phone Indicator. These symbols indicate a cell phone number.
-  Residential Phone Indicator. These symbols indicate a residential phone number.
-  Business Phone Indicator. These symbols indicate a business phone number.
-  Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.
-  FAX Indicator. These symbols indicate a FAX number.
-  Government Phone Indicator. These symbols indicate a government phone number.

**Important:** The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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**2 OF 2 RECORD(S)**

**Comprehensive Business Report**

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**Search Terms** - company(Gratitude America Ltd) radius(15)

Executives - Current (0)	Incorporation/SOS (0)	Operations/Sites (0)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (0)	Watercraft - Current (0)	Watercraft - Prior (0)
Aircraft - Current (0)	Aircraft - Prior (0)	Bankruptcy Filings (0)	Judgments & Liens Filings (0)
UCC Filings (0)	Executives - Prior (0)	Registered Agents (0)	Name Variations (1)
Possible Employees (1)	Person Associates (0)	Business Associates (0)	TINs (1)
Possible Connected Business (1)	Parent Company (0)	Industry Information (1)	
View All Sources (1)			

**Business Summary**

Name	Address	Phone
GRATITUDE AMERICA LTD	9053 Estate Thomas Suite 101 St Thomas, VI 00802 St. Thomas County	
LexID	Established	TIN
1187-1786-2657	2012 (5 Years in Business)	66-0789697

**At a Glance**

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

**Name Variations - 1 name variations found**

NO.	NAME
1.	GRATITUDE AMERICA LTD

**TINs - 1 TINs found**

NO.	TIN	NAME
1.	66-0789697	GRATITUDE AMERICA LTD

**Business Profile****Executives: Current - 0 executive(s) found****Incorporation/SOS (0 active, 0 other)****Operating Locations - Showing 0 location(s)****Sales - 0 record(s) found****Parent Company - 0 record(s) found****Industry Information**

SIC	NAIC
No SIC information available	No NAIC information available
Industry Description: CHARITABLE ORGANIZATION;PRIVATE GRANTMAKING FOUNDATIONS	
Business Description:	

**Licenses - 0 licenses found****URLs - 0 URLs found****Bankruptcy (0 active, 0 closed)**

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**CONFIDENTIAL**

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DB-SDNY-0023282

EFTA\_00170996

EFTA01297389

**Judgments/Liens (0 filings)**

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (0 current, 0 prior)

**Associates**

Executives: Prior - 0 prior executive(s) found

Registered Agents - 0 registered agent(s) found

**Possible Employees - 0 current, 1 prior employees found**

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Kellerhals, Erika	9053 Estate Thomas Suite 101 St Thomas, VI 00802	Prior	

**Person Associates - 0 other person associates found****Possible Connected Business - 1 businesses found**

NO.	NAME	ADDRESS
1.	GRATITUDE AMERICA LTD	9100 Havensight Port Of Sale St Thomas, VI 00802 St. Thomas County

**Business Associates - 0 business associates found****Sources**

All Sources	1 Source Documents
Government Agency	1 Source Documents

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-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
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-  FAX Indicator. These symbols indicate a FAX number.
-  Government Phone Indicator. These symbols indicate a government phone number.

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**D&B:**

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Federal Employer Identification Numbers

December 13, 2015

GRATITUDE AMERICA LTD

9100 HAVENSIGHT PORT OF SALE  
ST THOMAS, VI 00802  
UNITED STATES

\*\*\*\*\* COMPANY IDENTIFIERS \*\*\*\*\*

FEIN: 66-0789697

SOURCE REFERENCE NAME: DEPARTMENT OF TREASURY - TAX EXEMPT

LOAD-DATE: July 1, 2017

**LEGAL RESULTS:**

**Court Cases:**

No Information Found

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**CONFIDENTIAL**

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0023284

EFTA\_00170998

EFTA01297391