

NAME SEARCHED: **The 2007 Jeffrey E. Epstein Insurance Trust #3**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

| Search: | Result: | Click here for results: | Reviewer Comments (as necessary): |
|-------------|---|---|-----------------------------------|
| RDC | <input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit | I. RDC Results | No RDC Alert(please see attached) |
| PCR | <input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit | II. PCR Results | No PCR Alert(please see attached) |
| BIS | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required | III. Negative Media | No Information Found |
| | | IV. Non-Negative Media | No Information Found |
| | | V. Other Language Media | No Information Found |
| D&B | Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required | VI. D&B | No Information Found |
| Smartlinx | Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required | VII. Smartlinx | No Information Found |
| Court Cases | <input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required | VIII. Court Cases | No Information Found |

Prepared by: Shreya parashar Date: 06/15/2017 Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: Martindale-Hubbell <http://www.martindale.com/xp/Martindale/home.xml>
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

For internal use only

SDNY_GM_00060595

OFAC RESULTS

RDC:

| | | | | |
|----------|-----------------------|-------------|--|------------------------|
| 11602731 | <u>No Match Found</u> | KYC 1791049 |  The 2007 Jeffrey E. Epstein Insurance Trust #3 | Country: UNITED STATES |
|----------|-----------------------|-------------|--|------------------------|

PCR:

██████████ The 2007 Jeffrey E. Epstein Insurance Trust #3 ██████████
NCA customised Auto-Closed No-Hit 15/06/2017

BIS RESULTS:

Negative Media:
No Information Found

Non-Negative Media:
No Information Found

Other Language Media:
No Information Found

Public Record:
No Information Found

D&B:
No Information Found

LEGAL RESULTS:

Court Cases:
No Information Found

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