

NAME SEARCHED: **The 2013 Butterfly Trust**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert(please see attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No FIRCOSOFT Alert(please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No Information Found
		IV. Non-Negative Media	No Information Found
		V. Other Language Media	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	No Information Found
Smartlinx	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	No Information Found
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No Information Found

Prepared by: Priyanka Rathore Date: 02/05/2018 Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00060796

OFAC RESULTS**RDC:**

11620917	No Match Found	KYC 01898372	 The 2013 Butterfly Trust	Country: VIRGIN ISLANDS, U.S.
11620918	No Match Found	KYC 01898372	 The 2013 Butterfly Trust	Country: UNITED STATES

FIRCOSOFT:

Request ID	Record ID	Type	Name	Alert(s)			
				ADM	INT	PEP	SAE
2070437	WR_20704372182	Company	The 2013 Butterfly Trust	No Alert	No Alert	No Alert	No Alert
2070439	WR_20704392182	Company	The 2013 Butterfly Trust	No Alert	No Alert	No Alert	No Alert

BIS RESULTS:**Negative Media:**

No Information Found

Non-Negative Media:

No Information Found

Other Language Media:

No Information Found

Public Record:

No Information Found

D&B:

No Information Found

LEGAL RESULTS:

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DB-SDNY-0023622
EFTA_00171311

EFTA01297500

Court Cases:
No Information Found

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DB-SDNY-0023623
EFTA_00171312

EFTA01297501