

NAME SEARCHED: Bella Klein

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below.

Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert
Fircosoft	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. FIRCOSOFT Results	No Fircosoft Alert
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No information found
		IV. Non-Negative Media	Not required
		V. Other Language Media	No information found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. D&B	Not required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Information found(Please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	Information found(Please see attached)

Prepared by: [REDACTED] Date: 02/12/2018 Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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OFAC RESULTS**RDC:**

11621551	<u>No Match Found</u>	KYC 01898372	 Bella Klein	Country: UNITED STATES	Date of Birth: 5/11/1960
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FIRCOSOFT:

Request ID	Record ID	Type	Name	Alert(s)			
				ADM	INT	PEP	SAE
2457950	WR_24579502182	Individual	Klein, Bella	No Alert	No Alert	No Alert	No Alert

BIS RESULTS**Negative Media:**

No information found

Non-Negative Media:

Not required

Other Language Media:

No information found

Public Record:**1 OF 1 RECORD(S)**

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Date: 2/12/2018
 Report processed by:
 DEUTSCHE BANK AG||

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Full Name
KLEIN, BELLA

Address

[REDACTED]

County
KINGS

Phone

[REDACTED]

ADDITIONAL PERSONAL INFORMATION

SSN

[REDACTED]

DOB

[REDACTED]

Gender

LexID(sm)

002566367579

Subject Summary

Name Variations

- 1: KLEIN, B
- 2: KLEIN, BELLA
- 3: TSUKERMAN, BELLA
- 4: TSUKEZMAN, BELLA
- 5: TSURERMAN, BELLA

SSNs Summary

No.	SSN	State Iss.	Date Iss.	Warnings
1:	[REDACTED]	New York	1992-1993	Most frequent SSN attributed to subject:

DOBs

Reported DOBs:
11/1960
5/1960

Possible E-Mail Addresses

[REDACTED]

DRBOUWER@IBM.NET

[REDACTED]

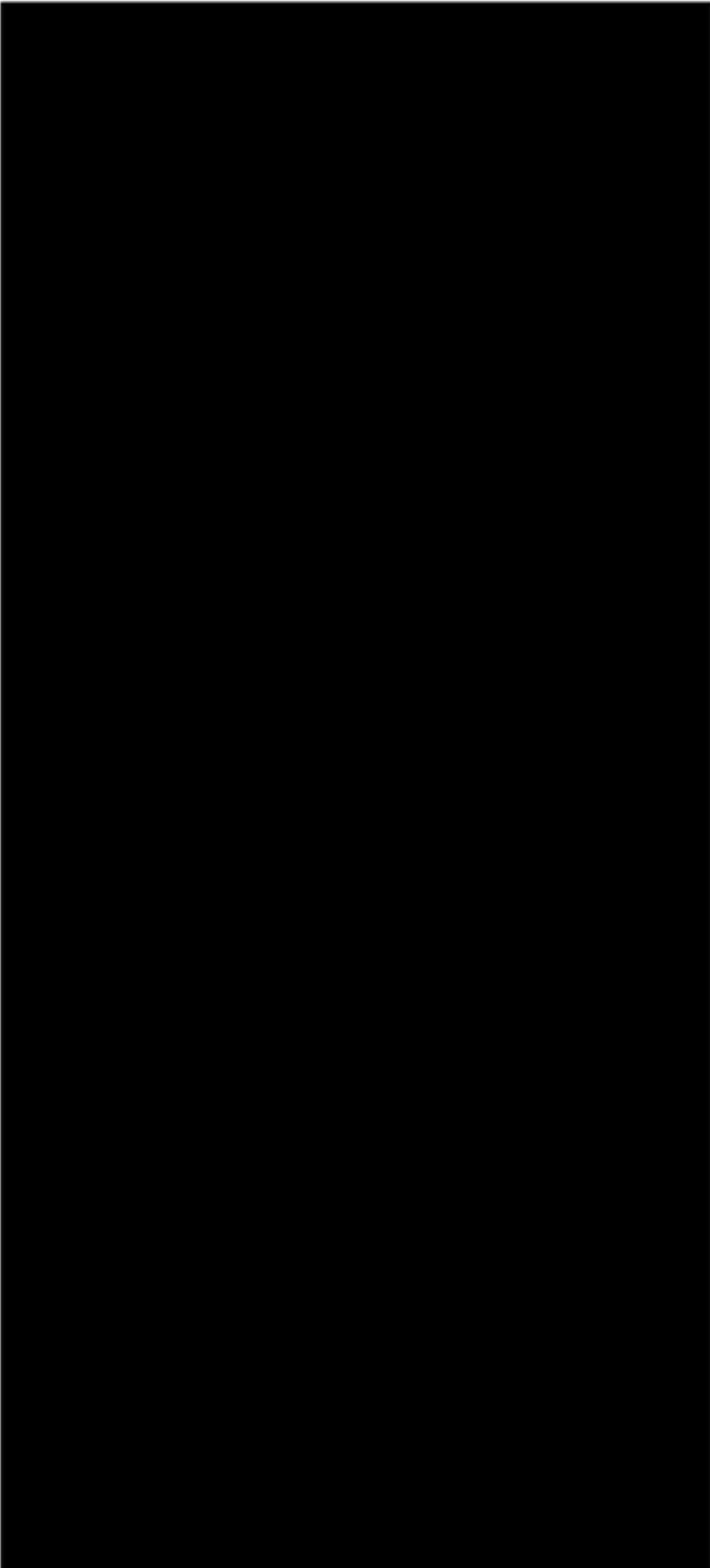
Others Using SSN - 0 records found
Address Summary - 5 records found

[REDACTED]

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Dates
1/1999 - 2/2018

Phone
[REDACTED]

Dates
2/2009 - 9/2009

Phone

Dates
6/1997 - 1/2003

Phone
[REDACTED]

Dates
6/2000 - 6/2000

Phone

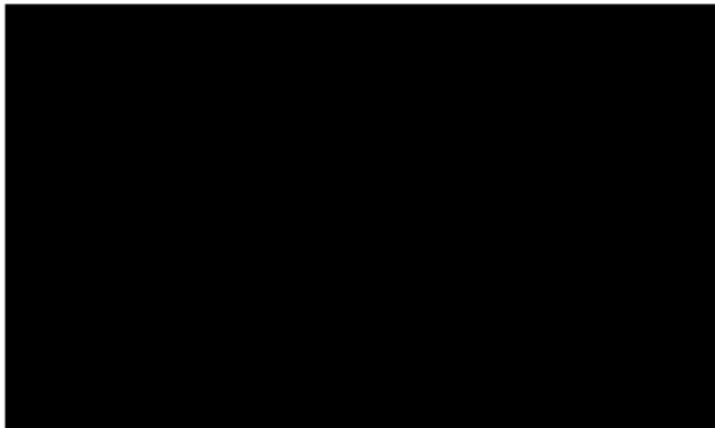
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EFTA_00171404

EFTA01297553



Dates **Phone**
11/1995 - 6/1997

Voter Registrations - 2 records found

1: New York Voter Registration



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EFTA_00171405

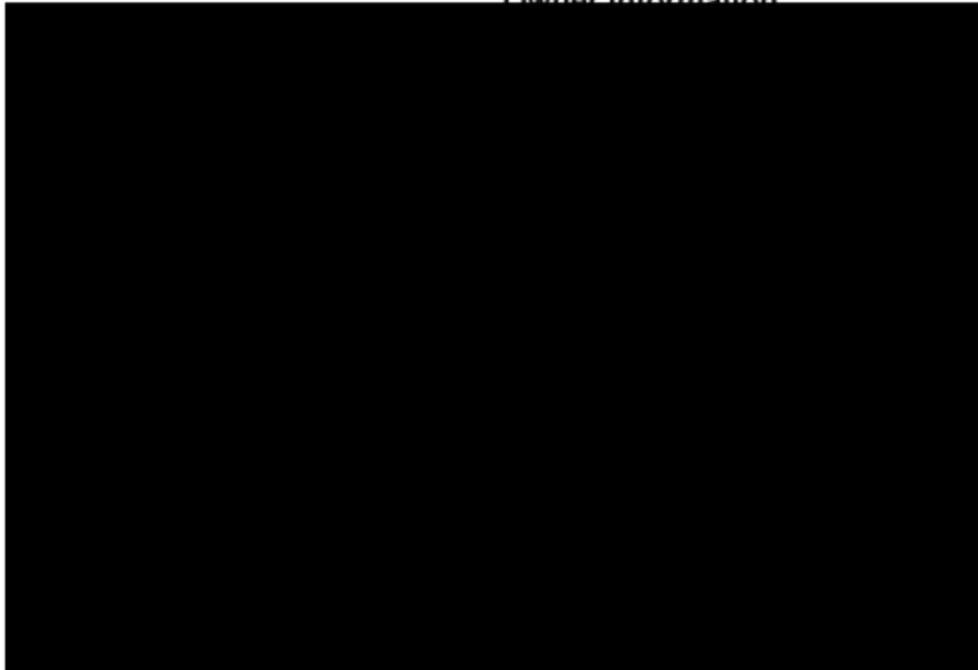
EFTA01297554



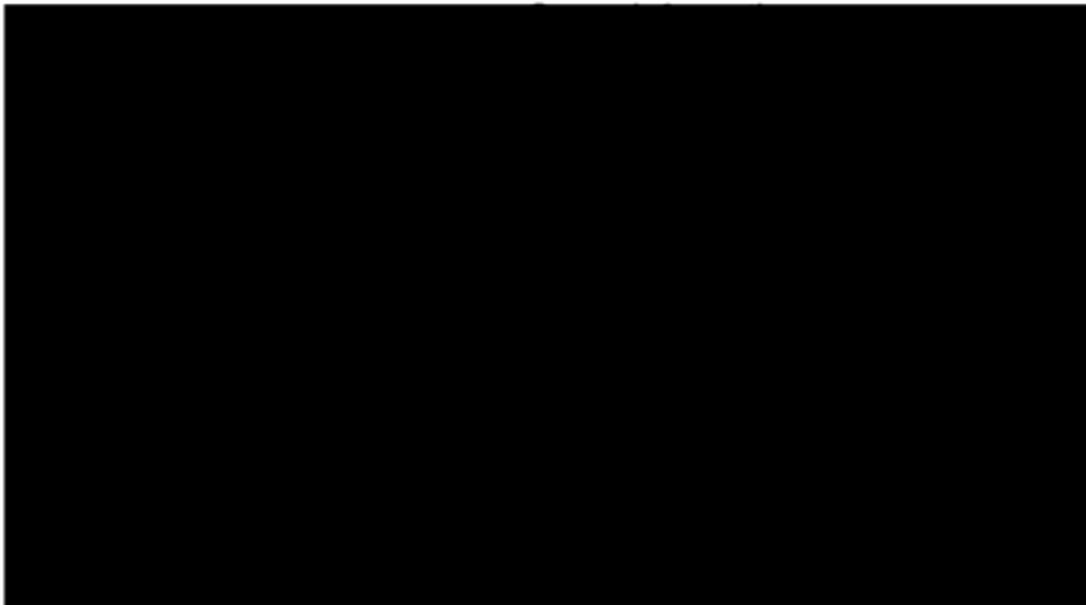
Professional Licenses - 0 records found
Health Care Providers - 0 records found
Health Care Sanctions - 0 records found
Pilot Licenses - 0 records found
Sport Licenses - 0 records found
Real Property - 3 records found

1: Assessment Record for KINGS County, NY

Owner Information



2: Assessment Record for KINGS County, NY



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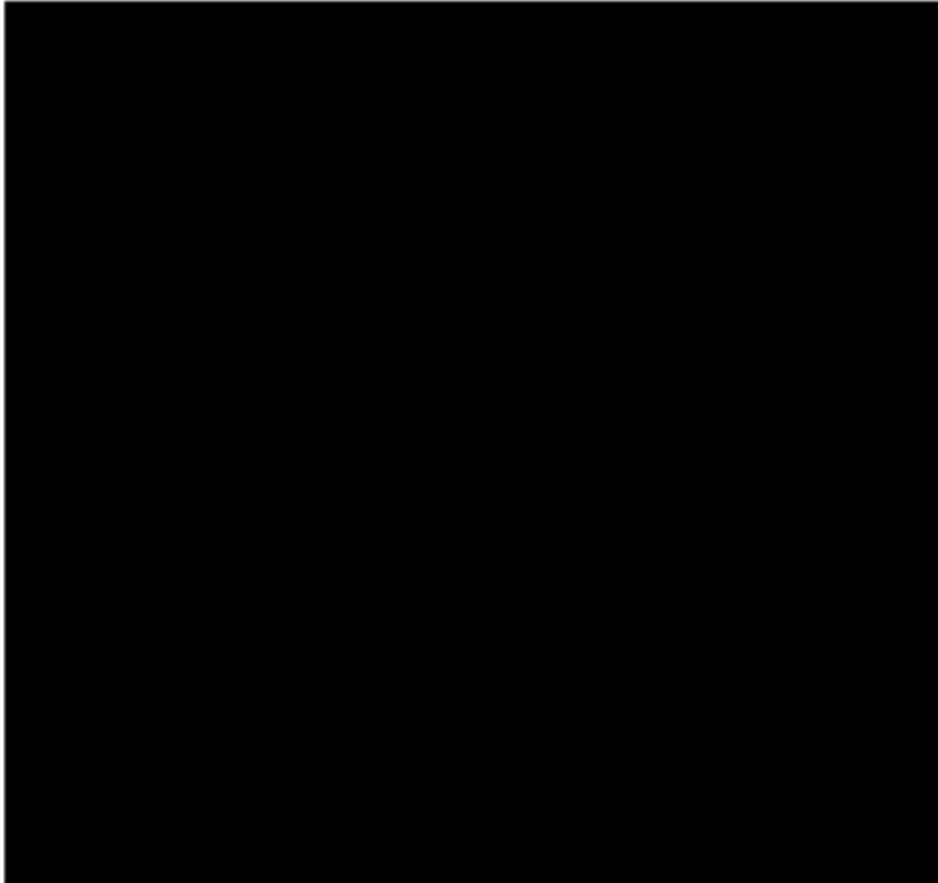
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EFTA_00171406

EFTA01297555



3: Deed Record for KINGS County



Motor Vehicle Registrations - 0 records found

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 0 records found

UCC Liens - 0 records found

Fictitious Businesses - 0 records found

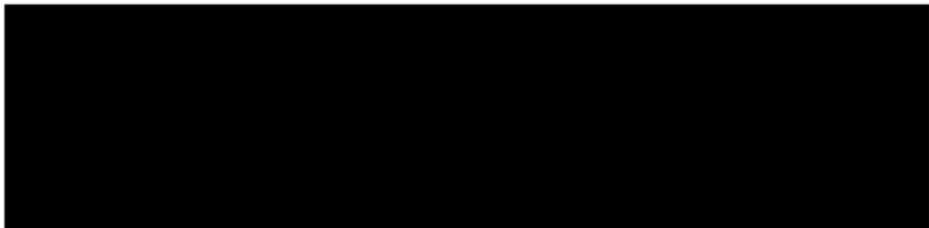
Notice Of Defaults - 0 records found

Potential Relatives - 10 records found

1st Degree: 7, 2nd Degree: 3

No.	Full Name	Address/Phone
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1.



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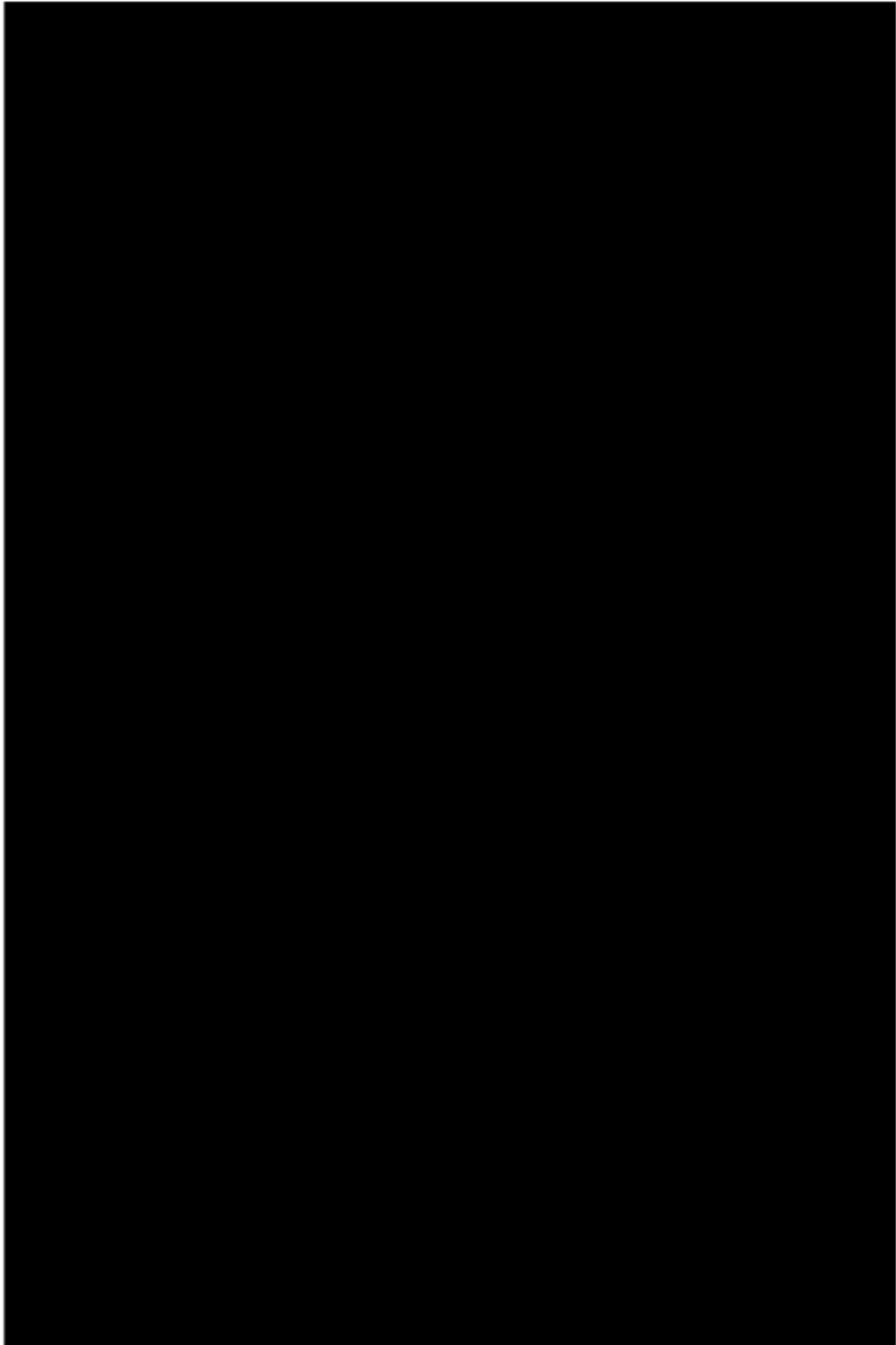
EFTA01297556

No.

1.A.

2.

3.



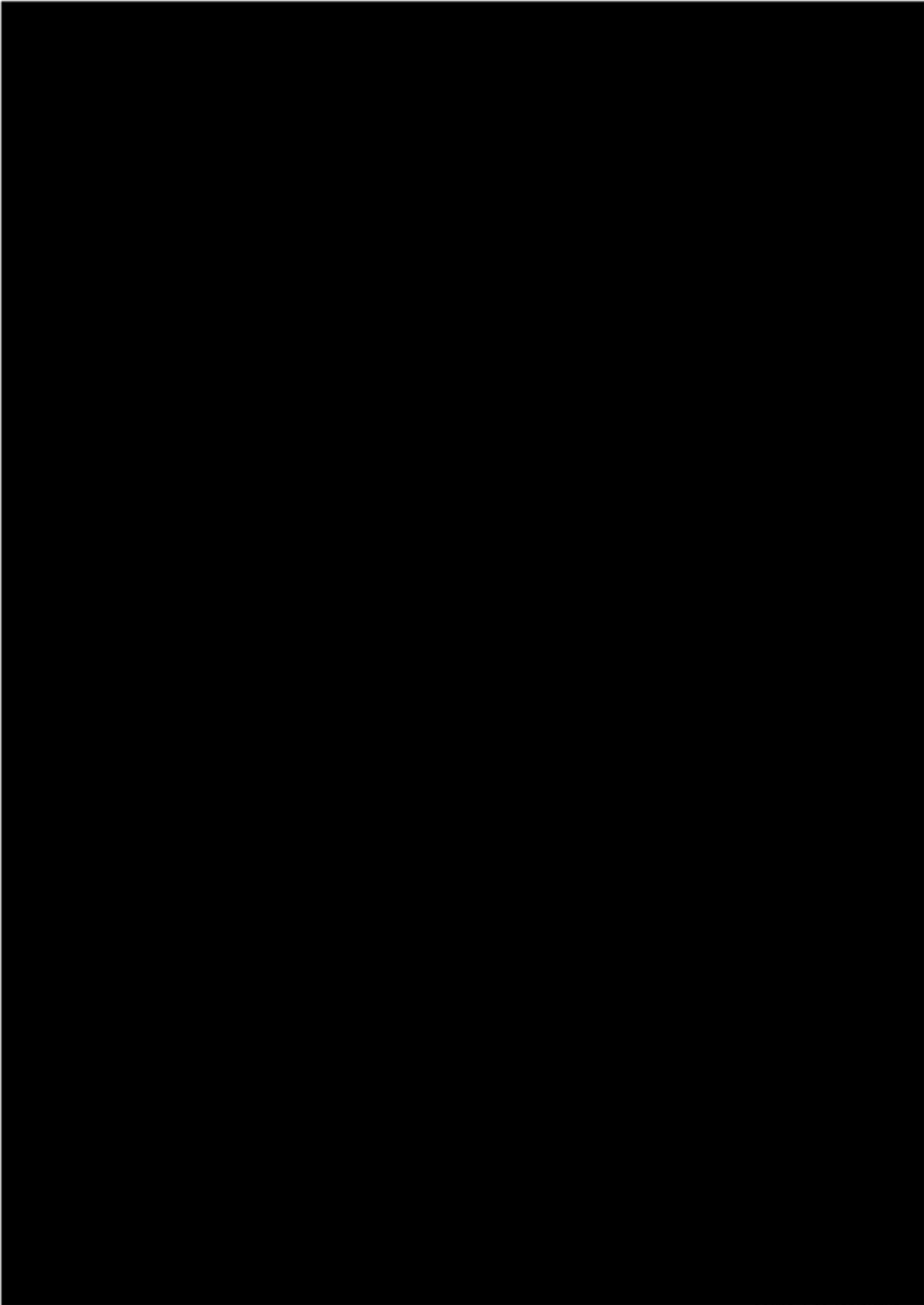
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EFTA01297557



SDNY_Civ_00000693

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Business Associates - 0 records found

Person Associates - 4 records found

No.	Full Name	Address	SSN	Phone	DOB
1:	EPSTEIN, JEFFREY E EPSTEIN, JEFFERY E EPSTEIN, JERRERY EPSTEIN, J EPTSTEIN, JEFFERY EPSTIEN, JEFFERY EDWARDS, JEFFERY	9 E 71ST ST NEW YORK, NY 10021-4102 457 MADISON AVE FL 4TH NEW YORK, NY 10022-6843 49 ZORRO RANCH RD BH STANLEY, NM 87056-9743 49 ZORRO RANCH RD B STANLEY, NM 87056-9743 6100 RED HOOK QTRS STE B-3 ST THOMAS, VI 00802-1348	[REDACTED]	[REDACTED]	1/1953
2:	MAXWELL, GHISLAINE NOELLE MAXWELL, GHISLANE N	116 E 65TH ST NEW YORK, NY 10065-7007 55 MONTEREY AVE	[REDACTED]	[REDACTED]	[REDACTED]

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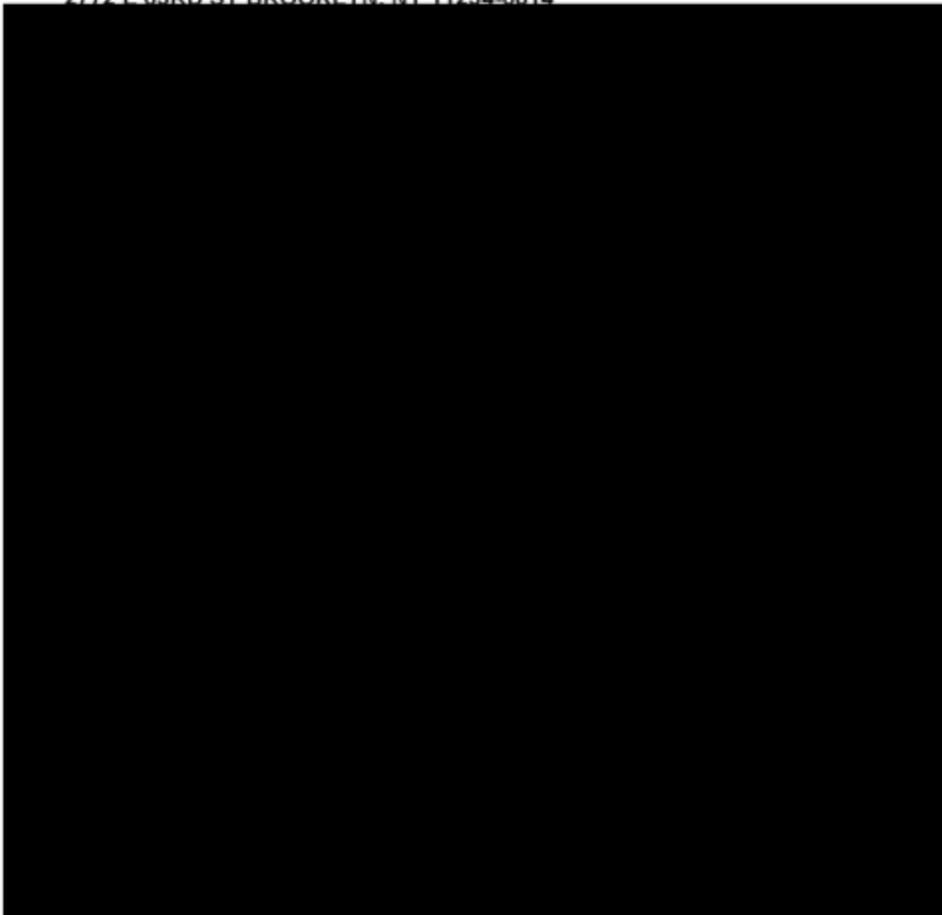
No. Full Name Address SSN Phone DOB

3: TSUKERMAN,
VYACHESLA
TSUKERMAN,
VYACHESLAV

4: ZUKERMAN, STEVEN



Neighbors - 10 records found
2772 E 63RD ST BROOKLYN, NY 11234-6814



Phone



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EFTA_00171411

EFTA01297560



Employment Locator - 0 records found
Criminal Filings - 0 records found
Cellular & Alternate Phones - 2 records found

1:

Personal Information

Name: KLEIN, BELLA
Address: 2772 E 63RD ST
BROOKLYN, NY 11234-6814
Phone Number: [REDACTED]
Phone Type: Mobile

Carrier Information

Carrier: OMNIPOINT COMM NY
Carrier City: NEW YORK CITY ZONE 03
Carrier State: NY

2:

Personal Information

Name: KLEIN, BELLA
Address: 2772 E 63RD ST
BROOKLYN, NY 11234-6814
Phone Number: [REDACTED]
Phone Type: Mobile

Carrier Information

Carrier: NEW CINGULAR WRLS DC
Carrier City: NEW YORK CITY ZONE 06
Carrier State: NY

Possible Education - 0 records found
Sources - 54 records found

All Sources	54 Source Document(s)
Deed Transfers	4 Source Document(s)
Driver Licenses	1 Source Document(s)
Email addresses	1 Source Document(s)
Historical Person Locator	13 Source Document(s)
Person Locator 1	5 Source Document(s)
Person Locator 2	4 Source Document(s)
Phone	6 Source Document(s)
PhonesPlus Records	2 Source Document(s)
Tax Assessor Records	16 Source Document(s)
Voter Registrations	2 Source Document(s)

Key

▲High Risk Indicator. These symbols may prompt you to investigate further.

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- ▣ Moderate Risk Indicator. These symbols may prompt you to investigate further.
- ▣ General Information Indicator. These symbols inform you that additional information is provided.
- ✓ The most recent telephone listing as reported by the EDA source.

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D&B:

Not required

LEGAL RESULTS:

Court Cases:

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DB-SDNY-0023724

EFTA_00171413

EFTA01297562

In the Matter of GEORGE G. LAKE, as Assignee of BELLA KLEIN, Judgment-Creditor-Appellant, against CORNELIUS VANDERBILT, JR., Judgment Debtor; CENTRAL HANOVER BANK AND TRUST COMPANY, Third-Party Witness, Respondent, and UNITED STATES TRUST COMPANY, Third Party.

Court of Appeals of New York

300 N.Y. 672; 91 N.E.2d 326; 1950 N.Y. LEXIS 1457

**Submitted January 3, 1950
February 23, 1950, decided**

PRIOR HISTORY: **[**1]** Reported below, 275 App. Div. 1039.

MOTION for leave to appeal from an order of the Appellate Division of the Supreme Court in the first judicial department, entered October 31, 1949, which unanimously affirmed an order of the Supreme Court at Special Term (DICKSTEIN, J.), entered in New York County, granting a motion by the above-named third-party witness-respondent to vacate a subpoena duces tecum to give evidence in a pending supplementary proceeding. Said respondent is the trustee of a trust created under the will of Alice G. Vanderbilt, deceased, of which the judgment debtor is the income beneficiary. Appellant, as assignee, instituted supplementary proceedings against respondent for the purpose of reaching surplus income from two trust funds of which the judgment debtor is beneficiary. In such proceedings an order was made, upon consent, by which the trustees were directed to pay to the judgment creditor 7 1/2% of the income from the trust and in return the judgment creditor relinquished all rights against other income of the judgment debtor with certain exceptions. It was further provided that said proceedings were to remain pending but the judgment creditor agreed **[**2]** that he would not examine, and he was accordingly prohibited from examining, the judgment debtor at any time prior to the death of the judgment debtor's mother.

HEADNOTES

Appeal - Court of Appeals - matters appealable - Appellate Division unanimously affirmed order of Special Term granting motion to vacate subpoena duces tecum in pending supplementary proceeding - motion for leave to appeal dismissed on ground order does not finally determine proceeding within meaning of Constitution.

COUNSEL: George G. Lake, in person, for motion.

John C. Hover and Peter C. McBean opposed.

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DB-SDNY-0023725

EFTA_00171414

EFTA01297563

300 N.Y. 672, *; 91 N.E.2d 326;
1950 N.Y. LEXIS 1457, **

OPINION

[*673] Motion dismissed, with \$10 costs and necessary printing disbursements, upon the ground that the order does not finally determine the proceeding within the meaning of the Constitution.

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