

NAME SEARCHED: Southern Trust Company, Inc.

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert (please see attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. FIRCOSOFT Results	No FIRCOSOFT Alert (please see attached)
BIS	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No Information Found
		IV. Non-Negative Media	Information Found (please see attached)
		V. Other Language Media	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	No Information Found
Smartlinx	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	No Information Found
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No Information Found

Prepared by: Vikram-D Singh Date: 5/30/2018

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00060984

OFAC RESULTS

RDC:

Inquiry Id	Response Type	Tracking Id	Name	Address
11632105	<u>Not Alerted</u>	KYC 01946825	 Southern Trust Company, Inc.	Country: VIRGIN ISLANDS, U.S.

FIRCOSOFT:

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
12593916	WR_125939162182	Company	Southern Trust Company, Inc.		No Alert	False	No Alert	No Alert

BIS RESULTS:

Negative Media:

No Information Found

Non-Negative Media:

Tendersbiz

January 3, 2017 Tuesday

Tender Notice: **SOUTHERN TRUST COMPANY, INC.** Seeks "Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services"

United States Virgin Islands, Jan. 3 -- **SOUTHERN TRUST COMPANY, INC.** has posted a tender notice for "Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services."

Tender Details: Tenders are invited for Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services ,Legal Services,Insurance Services ,It Services,Pest Control Services ,Air Conditioning Services,Internet Access ,Alarm System Services,Business Center/Copier Services ,Telecommunications Services.

Financier: Self Financed

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Competition Type: ICB.

The expression of interest should be received by Jan. 10.

Purchaser's contact details: 116 King Street, Frederiksted St. Croix, Virgin Islands 00840 Tel: +1(340) 773-6499 Attn: Lucas Pascal.

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SUBJECT: COMPUTER EQUIPMENT (90%); PRINTING INDUSTRY (88%); TELECOMMUNICATIONS (88%); COMPUTER NETWORKS (72%); SECURITY & ALARM SYSTEMS (55%)

COUNTRY: VIRGIN ISLANDS, US (90%)

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Tenders Monitor Africa-Asia

January 3, 2017 Tuesday

Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services

Company : **SOUTHERN TRUST COMPANY, INC.**

Address : 116 King Street, Frederiksted
St. Croix, Virgin Islands 00840
Tel: +1(340) 773-6499
Attn: Lucas Pascal

Country : United States Virgin Islands

Location : United States Virgin Islands

Dead line : 2017-01-10

Local International : International

Funding Agency : No

Description : Tenders are invited for Office Supplies ,Bottled Water,Computer Equipment ,Printing Services,Overnight Postal Services ,Legal Services,Insurance Services ,It Services,Pest Control Services ,Air Conditioning Services,Internet Access ,Alarm System Services,Business Center/Copier Services ,Telecommunications Services.

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DB-SDNY-0023811
EFTA_00171498

EFTA01297645

Document : <http://www.bidsinfo.com/adminexe/documents/0-VR84022x18JAN1702H547O.htm>

JOURNAL-CODE: 2106

SUBJECT: BOTTLED WATER (90%); COMPUTER EQUIPMENT (86%); TELECOMMUNICATIONS (86%); INTERNET & WWW (73%); PRINTING INDUSTRY (68%); SECURITY & ALARM SYSTEMS (52%)

COUNTRY: VIRGIN ISLANDS, US (91%)

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Other Language Media:

No Information Found

Public Records:

No Information Found

D&B:

No Information Found

LEGAL RESULTS:

Court Cases:

No Information Found

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DB-SDNY-0023812
EFTA_00171499

EFTA01297646