

**NAME SEARCHED: Richard D Kahn**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input checked="" type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	RDC Alert (please see attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">FIRCOSOFT Results</a>	No FIRCOSOFT Alert (please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information Found
		IV. <a href="#">Non-Negative Media</a>	No Information Found
		V. <a href="#">Other Language Media</a>	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not Required
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	Information Found (please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	Information Found (please see attached)

Prepared by: Vikram-D Singh Date: 5/31/2018

Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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**OFAC RESULTS**  
**RDC:**

Inquiry Id	Response Type	Tracking Id	Name	Address	Date of Birth:
11632113	<u>Alerted</u>	KYC 01946825	 Richard D Kahn	Country: UNITED STATES	Date of Birth: 12/6/1972
11632114	<u>Alerted</u>	KYC 01946825	 Richard D Kahn	Country: VIRGIN ISLANDS, U.S.	Date of Birth: 12/6/1972

**FIRCOSOFT:**

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
12595104	WR_125951042182	Individual	Kahn, Richard D		No Alert	No Alert	No Alert	False
12595105	WR_125951052182	Individual	Kahn, Richard D		No Alert	No Alert	No Alert	False

**BIS RESULTS:**

**Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

No Information Found

**Public Records:**

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Date:5/31/2018  
 Report processed by:  
 DEUTSCHE BANK AG||

Full Name	Address	County	Phone
KAHN, RICHARD D	[REDACTED]	NEW YORK	[REDACTED]

ADDITIONAL PERSONAL INFORMATION			
SSN	DOB	Gender	LexID(sm)
[REDACTED]	[REDACTED] (Age:45)		[REDACTED]

**Subject Summary**

**Name Variations**

[View All Name Variations Sources](#)

1	D RICHARD, KAHN
2	KAHN, R
3	KAHN, RICHARD
4	KAHN, RICHARD D
5	KAHO, RICHARD D

**SSNs Summary**

[View All SSN Sources](#)

No.	SSN	State Iss.	Date Iss.	Warnings
Most frequent SSN attributed to subject:				
1:	[REDACTED]	New York	1972-1973	

**DOBs**

[View All DOB Sources](#)

Reported DOBs:
12/1972
12/1972

**Possible E-Mail Addresses**

[View All E-Mail Sources](#)

[REDACTED]
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**Others Using SSN - 0 records found**

**Address Summary - 11 records found**

[View All Address Variations Sources](#)

No.	Address	Actions
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1:	[REDACTED]	<a href="#">Get Report</a>
		<a href="#">View Details</a>
2:		<a href="#">Get Report</a>
		<a href="#">View Details</a>
3:		<a href="#">Get Report</a>
		<a href="#">View Details</a>
4:		<a href="#">Get Report</a>
		<a href="#">View Details</a>
5:		<a href="#">Get Report</a>
		<a href="#">View Details</a>
6:		<a href="#">Get Report</a>
	<a href="#">View Details</a>	
7:	<a href="#">Get Report</a>	
	<a href="#">View Details</a>	
8:	<a href="#">Get Report</a>	
	<a href="#">View Details</a>	
9:	<a href="#">Get Report</a>	
	<a href="#">View Details</a>	
10:	<a href="#">Get Report</a>	
	<a href="#">View Details</a>	
11:	<a href="#">Get Report</a>	
	<a href="#">View Details</a>	

**Address Details**

1 [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED] 41	2/2008 - 5/2018	[REDACTED]	<a href="#">Get Report</a>
			<a href="#">Neighbors(2)</a>
Census Data for Geographical Region			
Median Head of Household Age:			46
Median Income:			\$176,471
Median Home Value:			\$1,000,000
Median Education:			17 years
Household Members			
None Listed			
Other Associates			
None Listed			

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Address	Dates	Phone	Actions
[REDACTED]	12/2003 - 2/2009	[REDACTED]	<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			39
Median Income:			\$81,397
Median Home Value:			\$429,167
Median Education:			16 years
Household Members			
MINSKY, LISA GALE			<a href="#">Get Report</a>
Other Associates			
None Listed			
3 [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	5/2006 - 9/2008		<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			39
Median Income:			\$81,397
Median Home Value:			\$429,167
Median Education:			16 years
Household Members			
None Listed			
Other Associates			
None Listed			
4 [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	12/2002 - 6/2008	[REDACTED]	<a href="#">Get Report</a>
Household Members			
None Listed			
Other Associates			
None Listed			

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DB-SDNY-0023945

EFTA\_00171632

EFTA01297690

5: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	1/1997 - 1/2005		<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			35
Median Income:			\$123,611
Median Home Value:			\$678,697
Median Education:			16 years
Household Members			
None Listed			
Other Associates			
None Listed			
6: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	8/1996 - 11/2002		<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			38
Median Income:			\$225,720
Median Home Value:			\$1,000,000
Median Education:			18 years
Household Members			
KAHN, CAROL RUBIN			<a href="#">Get Report</a>
KAHN, GARY E			<a href="#">Get Report</a>
Other Associates			
RUBIN, LUCILLE L			<a href="#">Get Report</a>
7: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	5/1973 - 1/1997		<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			22
Median Income:			\$11,280
Median Home Value:			\$0
Median Education:			12 years

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Household Members			
None Listed			
Other Associates			
None Listed			
8: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	4/1995 - 4/1995		<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			35
Median Income:			\$139,826
Median Home Value:			\$803,571
Median Education:			15 years
Household Members			
None Listed			
Other Associates			
None Listed			
9: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	10/1993 - 10/1993	(845) 638-4564	<a href="#">Get Report</a>
Census Data for Geographical Region			
Median Head of Household Age:			42
Median Income:			\$148,281
Median Home Value:			\$744,932
Median Education:			16 years
Household Members			
KAHN, IRENE L			<a href="#">Get Report</a>
Other Associates			
None Listed			
10: [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]	5/1973 - 5/1973	(845) 634-1381	<a href="#">Get Report</a>
Census Data for Geographical Region			

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Median Head of Household Age:	39
Median Income:	\$80,556
Median Home Value:	\$448,387
Median Education:	15 years
Household Members	
None Listed	
Other Associates	
None Listed	

<b>11:</b> [REDACTED]			
Address	Dates	Phone	Actions
[REDACTED]			<a href="#">Get Report</a>

Census Data for Geographical Region	
Median Head of Household Age:	38
Median Income:	\$208,387
Median Home Value:	\$965,909
Median Education:	15 years
Household Members	
None Listed	
Other Associates	
RUBIN, LUCILLE L	<a href="#">Get Report</a>

**Voter Registrations - 9 records found** [View Voter Registration Sources](#)

<b>1: New York Voter Registration</b>	
Registrant Information	
<b>Name:</b>	KAHN, RICHARD D
<b>Residential Address:</b>	[REDACTED]
<b>Home Phone:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male
Voter Information	
<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	11/8/2016
<b>Party Affiliation:</b>	INDEPENDENCE
<b>Active Status:</b>	ACTIVE

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**2: New York Voter Registration**

## Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>Home Phone:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

## Voter Information

<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	2/5/2008
<b>Party Affiliation:</b>	INDEPENDENT
<b>Active Status:</b>	ACTIVE

**3: New York Voter Registration**

## Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>Home Phone:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

## Voter Information

<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	11/8/2016
<b>Party Affiliation:</b>	INDEPENDENCE
<b>Active Status:</b>	ACTIVE

**4: New York Voter Registration**

## Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

## Voter Information

<b>Registration Date:</b>	10/6/2003
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<b>Last Vote Date:</b>	11/2/2010
<b>Party Affiliation:</b>	INDEPENDENT
<b>Active Status:</b>	ACTIVE

**5: New York Voter Registration**

Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

Voter Information

<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	11/2/2010
<b>Party Affiliation:</b>	INDEPENDENCE
<b>Active Status:</b>	ACTIVE

**6: New York Voter Registration**

Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

Voter Information

<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	11/2/2004
<b>Party Affiliation:</b>	REPUBLICAN
<b>Active Status:</b>	ACTIVE

**7: New York Voter Registration**

Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

Voter Information

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<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	2/5/2008
<b>Party Affiliation:</b>	INDEPENDENT
<b>Active Status:</b>	ACTIVE

**8: New York Voter Registration**

Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

Voter Information

<b>Registration Date:</b>	10/6/2003
<b>Last Vote Date:</b>	2/5/2008
<b>Party Affiliation:</b>	REPUBLICAN

**9: New York Voter Registration**

Registrant Information

<b>Name:</b>	[REDACTED]
<b>Residential Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Date of Birth:</b>	[REDACTED]
<b>Gender:</b>	Male

Voter Information

<b>Registration Date:</b>	9/6/1996
<b>Party Affiliation:</b>	REPUBLICAN

**Driver Licenses - 0 records found**

**Professional Licenses - 1 records found**

[View Professional License Sources.](#)

**1: Professional License**

Licensee Information

<b>Name:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]
<b>County:</b>	[REDACTED]
<b>Gender:</b>	M

License Information

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EFTA01297696

License Type:	CPA
License Number:	[REDACTED]
<input type="checkbox"/> <u>Health Care Providers - 0 records found</u>	
<input type="checkbox"/> <u>Health Care Sanctions - 0 records found</u>	
<input type="checkbox"/> <u>Pilot Licenses - 0 records found</u>	
<input type="checkbox"/> <u>Sport Licenses - 0 records found</u>	
<input type="checkbox"/> <u>Real Property - 1 records found</u>	<a href="#">View Real Property Sources</a>

**1: Assessment Record for SUFFOLK County, NY**

Owner Information	
Name:	RICHARD KAHN
Address:	[REDACTED]
County/FIPS:	[REDACTED]
Property Information	
Address:	[REDACTED]
Data Source:	[REDACTED]
Legal Information	
Assessor's Parcel Number:	[REDACTED]
Recording Date:	10/27/2015
Book/Page:	[REDACTED]
Sale Information	
Sale Price:	\$1518000
Assessment Information	
Assessed Value:	\$1243500

Motor Vehicle Registrations - 5 records found

**1: NY MVR**

Registrant Information	
Registrant:	KAHN, RICHARD D
DOB:	[REDACTED]
Address:	[REDACTED]
Registration Information	
Original Registration Date:	3/17/2011
Registration Date:	3/17/2011
Registration Expiration Date:	3/16/2013
Vehicle Information	

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<b>VIN:</b>	[REDACTED]
<b>Class:</b>	[REDACTED]
<b>Model Year:</b>	[REDACTED]
<b>Make:</b>	[REDACTED]
<b>Model:</b>	[REDACTED]
<b>Series:</b>	[REDACTED]
<b>Body Style:</b>	[REDACTED]
<b>Weight:</b>	[REDACTED]
Plate Information	
<b>License Plate Type:</b>	Private
<b>License Plate Number:</b>	[REDACTED]
<b>Plate State:</b>	[REDACTED]
Source Information	
<b>Data Source:</b>	GOVERNMENTAL
<b>2: NY MVR</b>	
Vehicle Information	
<b>VIN:</b>	[REDACTED]
<b>Class:</b>	[REDACTED]
<b>Model Year:</b>	[REDACTED]
<b>Make:</b>	[REDACTED]
<b>Model:</b>	[REDACTED]
<b>Series:</b>	[REDACTED]
<b>Body Style:</b>	[REDACTED]
<b>Weight:</b>	[REDACTED]
Owner Information	
<b>Name:</b>	KAHN, RICHARD D
<b>DOB:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]
Title Information	
<b>Title Transfer Date:</b>	4/7/2011
<b>Title Issue Date:</b>	4/7/2011
Source Information	
<b>Data Source:</b>	GOVERNMENTAL
<b>3: NY MVR</b>	
Registrant Information	
<b>Registrant:</b>	KAHN, RICHARD D
<b>DOB:</b>	[REDACTED]

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<b>Address:</b>	[REDACTED]
Registration Information	
<b>Original Registration Date:</b>	5/22/2009
<b>Registration Date:</b>	5/22/2009
<b>Registration Expiration Date:</b>	7/8/2011
Vehicle Information	
<b>VIN:</b>	[REDACTED]
<b>Class:</b>	[REDACTED]
<b>Model Year:</b>	[REDACTED]
<b>Make:</b>	[REDACTED]
<b>Model:</b>	[REDACTED]
<b>Series:</b>	[REDACTED]
<b>Body Style:</b>	[REDACTED]
<b>Weight:</b>	[REDACTED]
Plate Information	
<b>License Plate Type:</b>	Private
<b>Previous Plate Number:</b>	[REDACTED]
<b>Previous Plate State:</b>	[REDACTED]
<b>License Plate Number:</b>	[REDACTED]
<b>Plate State:</b>	[REDACTED]
Source Information	
<b>Data Source:</b>	GOVERNMENTAL
<b>4: NY MVR</b>	
Vehicle Information	
<b>VIN:</b>	[REDACTED]
<b>Class:</b>	[REDACTED]
<b>Model Year:</b>	[REDACTED]
<b>Make:</b>	[REDACTED]
<b>Model:</b>	[REDACTED]
<b>Series:</b>	[REDACTED]
<b>Body Style:</b>	[REDACTED]
<b>Weight:</b>	[REDACTED]
Owner Information	
<b>Name:</b>	KAHN, RICHARD D
<b>DOB:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]

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Title Information	
<b>Title Transfer Date:</b>	8/9/2007
<b>Title Issue Date:</b>	8/9/2007
Source Information	
<b>Data Source:</b>	GOVERNMENTAL
<b>5: NY MVR</b>	
Registrant Information	
<b>Registrant:</b>	KAHN, RICHARD D
<b>DOB:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]
Registration Information	
<b>Original Registration Date:</b>	12/7/2003
<b>Registration Date:</b>	12/7/2003
<b>Registration Expiration Date:</b>	1/6/2006
Vehicle Information	
<b>VIN:</b>	[REDACTED]
<b>Class:</b>	[REDACTED]
<b>Model Year:</b>	[REDACTED]
<b>Make:</b>	[REDACTED]
<b>Model:</b>	[REDACTED]
<b>Series:</b>	[REDACTED]
<b>Body Style:</b>	[REDACTED]
<b>Weight:</b>	[REDACTED]
Plate Information	
<b>License Plate Type:</b>	Private
<b>Previous Plate Number:</b>	[REDACTED]
<b>Previous Plate State:</b>	[REDACTED]
<b>License Plate Number:</b>	[REDACTED]
<b>Plate State:</b>	[REDACTED]
Source Information	
<b>Data Source:</b>	GOVERNMENTAL

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 1 records found

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**1: NY Judgments and Liens Filings**

Debtor Information

**Name:** KAHN, RICHARD  
Get Report

**SSN:** [REDACTED]

**Address:** [REDACTED]

Creditor Information

**Name:** [REDACTED]

Filing Information

**Jurisdiction:** NY

**Amount:** \$1,783

**Filing Date:** 5/2/2000

**Eviction** Y

**Filing 1**

**Number:** [REDACTED]

**Type:** CIVIL NEW FILING

**Agency:** CIVIL COURT OF THE CITY OF NEW YORK

**Agency State:** NY

**Agency County:** NEW YORK

**UCC Liens - 1 records found**

**1: NEW YORK CITY RECORD**

Debtor Information

**Debtor 1**

**Name:** KAHN, RICHARD

**SSN:** [REDACTED]

**Address:** [REDACTED]

**Debtor 2**

**Name:** [REDACTED]

**SSN:** [REDACTED]

**Address:** [REDACTED]

Secured Party Information

**Name:** [REDACTED]

**Address:** [REDACTED]

Filing Information

**Filing Jurisdiction:** NEW YORK CITY, NEW YORK

**Original Filing Number:** [REDACTED]

**Original Filing Type:** INITIAL COOP UCC1

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Original Filing Date: 3/25/2016  
Number: [REDACTED]

Fictitious Businesses - 0 records found

Notice Of Defaults - 0 records found

Potential Relatives - 10 records found

1st Degree: 10

No	Full Name	Address	Phone	Actions
1	[REDACTED]	[REDACTED]	[REDACTED]	<a href="#">Get Report</a>
2	[REDACTED]	[REDACTED]	[REDACTED]	<a href="#">Get Report</a>
3	[REDACTED]	[REDACTED]	[REDACTED]	<a href="#">Get Report</a>
4	[REDACTED]	[REDACTED]	[REDACTED]	<a href="#">Get Report</a>

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DB-SDNY-0023957  
EFTA\_00171644

EFTA01297702

5		<a href="#">Get Report</a>
6		<a href="#">Get Report</a>
7		<a href="#">Get Report</a>
8		<a href="#">Get Report</a>
9		<a href="#">Get Report</a>
10		<a href="#">Get Report</a>

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DB-SDNY-0023958  
EFTA\_00171645

EFTA01297703

**Business Associates - 6 records found**

**1: ARC HOLDING INC.**

**Name:** KAHN, RICHARD  
**Status:** INACTIVE  
**State:** NY  
**Corporation Number:** 2558550  
**Descriptive Status:** INACTIVE  
**Record Type:** CURRENT  
**Record Date:** 4/24/2018  
**Filing Date:** 9/29/2000  
**Business Report:** [Get Report](#)

**2: ARKAY CAPITAL CORP.**

**Name:** KAHN, RICHARD  
**Status:** ACTIVE  
**State:** NY  
**Corporation Number:** 2515783  
**Descriptive Status:** ACTIVE  
**Record Type:** CURRENT  
**Record Date:** 5/8/2018  
**Filing Date:** 5/31/2000  
**Business Report:** [Get Report](#)

**3: HBRK ASSOCIATES INC.**

**Name:** KAHN, RICHARD  
**Status:** ACTIVE  
**State:** NY  
**Corporation Number:** 3714818  
**Descriptive Status:** ACTIVE  
**Record Type:** CURRENT  
**Record Date:** 4/24/2018  
**Filing Date:** 8/29/2008

**4: K & F CAPITAL CORP.**

**Name:** KAHN, RICHARD  
**Status:** INACTIVE  
**State:** NY

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<b>Corporation Number:</b>	2516183
<b>Descriptive Status:</b>	INACTIVE
<b>Record Type:</b>	CURRENT
<b>Record Date:</b>	4/24/2018
<b>Filing Date:</b>	6/1/2000
<b>Business Report:</b>	<a href="#">Get Report</a>
<b>5: RDK ASSET MANAGEMENT INC.</b>	
<b>Name:</b>	KAHN, RICHARD
<b>Status:</b>	INACTIVE
<b>State:</b>	NY
<b>Corporation Number:</b>	2558561
<b>Descriptive Status:</b>	INACTIVE
<b>Record Type:</b>	CURRENT
<b>Record Date:</b>	4/24/2018
<b>Filing Date:</b>	9/29/2000
<b>Business Report:</b>	<a href="#">Get Report</a>
<b>6: THE C.O.U.Q. FOUNDATION, INC.</b>	
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	[REDACTED]
<b>Status:</b>	INACTIVE
<b>State:</b>	FL
<b>Corporation Number:</b>	F08000003048
<b>Descriptive Status:</b>	INACTIVE
<b>Title:</b>	DIRECTOR, TREASURER
<b>Record Type:</b>	CURRENT
<b>Record Date:</b>	10/18/2017
<b>Filing Date:</b>	9/23/2011
<b>Business Report:</b>	<a href="#">Get Report</a>

**Person Associates - 5 records found**

No	Full Name	Address	SSN	Phone	DOB	Actions
1:	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	<a href="#">Get Report</a>

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2:		<a href="#">Get Report</a>
3:		<a href="#">Get Report</a>
4:		<a href="#">Get Report</a>
5:		<a href="#">Get Report</a>

**Neighbors - 2 records found**

Name	Address	Phone	Actions
MOEDER, ALYSSA C			
FALVO, PAULA G			

**Employment Locator - 24 records found**

<b>1:</b>	
<b>Company Name:</b>	HBRK ASSOCIATES INC.
<b>Name:</b>	KAHN, RICHARD

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<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>2:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>3:</b>	
<b>Company Name:</b>	ARC HOLDING INC.
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>4:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>5:</b>	
<b>Company Name:</b>	K & F CAPITAL CORP.
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>6:</b>	
<b>Company Name:</b>	ARKAY CAPITAL CORP.
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>7:</b>	
<b>Company Name:</b>	THE C.O.U.Q. FOUNDATION, INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	DIRECTOR, TREASURER
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>8:</b>	
<b>Company Name:</b>	THE C.O.U.Q. FOUNDATION, INC.

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<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	DIRECTOR
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>9:</b>	
<b>Company Name:</b>	HBRK ASSOCIATES INC.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	1365 YORK AVE APT 28 NEW YORK, NY 10021-4035
<b>SSN:</b>	[REDACTED]
<b>Phone:</b>	(212) 628-6639
<b>Confidence:</b>	High
<b>10:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	1365 YORK AVE APT 28A NEW YORK, NY 10021-4040
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>11:</b>	
<b>Company Name:</b>	ARC HOLDING INC.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	10 ESQUIRE RD STE 4 NEW CITY, NY 10956-3336
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>12:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>13:</b>	
<b>Company Name:</b>	K & F CAPITAL CORP.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	[REDACTED]

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<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>14:</b>	
<b>Company Name:</b>	ARKAY CAPITAL CORP.
<b>Name:</b>	KAHN, RICHARD
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Phone:</b>	[REDACTED]
<b>Confidence:</b>	Medium
<b>15:</b>	
<b>Company Name:</b>	ALASKA FREEDOM FISHN
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>16:</b>	
<b>Company Name:</b>	ALASKA FREEDOM FISHN
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>17:</b>	
<b>Company Name:</b>	HBRK ASSOCIATES INC
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Phone:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>18:</b>	
<b>Company Name:</b>	HBRK ASSOCIATES INC
<b>Name:</b>	KAHN, RICHARD

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<b>Title:</b>	CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Phone:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>19:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>20:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CHAIRMAN OR CHIEF EXECUTIVE OFFICER
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>21:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	CHAIRMAN OR CHIEF EXECUTIVE OFFICER
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High
<b>22:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	PRINCIPAL EXECUTIVE OFFICE CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High

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<b>23:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	PRINCIPAL EXECUTIVE OFFICE CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High

<b>24:</b>	
<b>Company Name:</b>	RDK ASSET MANAGEMENT INC.
<b>Name:</b>	KAHN, RICHARD
<b>Title:</b>	PROCESS ADDRESS CONTACT
<b>Address:</b>	[REDACTED]
<b>SSN:</b>	[REDACTED]
<b>Confidence:</b>	High

Criminal Filings - 0 records found

Cellular & Alternate Phones - 0 records found

Possible Education - 2 records found

<b>1:</b>	
Student Information	
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>DOB:</b>	[REDACTED]
<b>LexID(sm):</b>	[REDACTED]
<b>First Reported:</b>	[REDACTED]
<b>Last Reported:</b>	[REDACTED]
<b>High School Graduation Year:</b>	[REDACTED]
<b>Phone:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]
<b>Currently a Student?</b>	YES
<b>Attended High School:</b>	YES
<b>Years Since High School Graduation:</b>	26
<b>Attended College 1:</b>	YES
<b>College Type 1:</b>	Private
<b>College Description 1:</b>	Four Year College

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<b>College Education Program Tier 1:</b>	3
<b>Attended College 2:</b>	YES
<b>College Type 2:</b>	Private
<b>College Description 2:</b>	Four Year College
<b>College Education Program Tier 2:</b>	3
<b>2: SYRACUSE UNIVERSITY</b>	
Student Information	
<b>Name:</b>	KAHN, RICHARD
<b>SSN:</b>	[REDACTED]
<b>LexID(sm):</b>	[REDACTED]
<b>Tuition Range:</b>	[REDACTED]
<b>First Reported:</b>	[REDACTED]
<b>Last Reported:</b>	[REDACTED]
<b>Phone:</b>	[REDACTED]
<b>Address:</b>	[REDACTED]
<b>Class Rank:</b>	Senior
<b>Currently a Student?</b>	NO
<b>Attended High School:</b>	YES
<b>Years Since High School Graduation:</b>	26
<b>Attended College 1:</b>	YES
<b>College Type 1:</b>	Private
<b>College Description 1:</b>	Four Year College
<b>College Education Program Tier 1:</b>	3
<b>Attended College 2:</b>	YES
<b>College Type 2:</b>	Private
<b>College Description 2:</b>	Four Year College
<b>College Education Program Tier 2:</b>	3
College Information	
<b>College Name:</b>	SYRACUSE UNIVERSITY
<b>Original Name:</b>	SYRACUSE UNIVERSITY
<b>Level:</b>	FOUR YEAR COLLEGE
<b>Type:</b>	PRIVATE SCHOOL
<b>School Size:</b>	5,001 - 15,000

Sources - 71 records found

All Sources	71 Source Document(s)
Corporate Affiliations	6 Source Document(s)
Deed Transfers	1 Source Document(s)

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Email addresses	4 Source Document(s)
Historical Person Locator	28 Source Document(s)
Liens and Judgments	1 Source Document(s)
Motor Vehicle Registrations	6 Source Document(s)
Person Locator 2	6 Source Document(s)
Person Locator 4	1 Source Document(s)
Phone	6 Source Document(s)
Professional Licenses	1 Source Document(s)
Tax Assessor Records	1 Source Document(s)
UCC Lien Filings	1 Source Document(s)
Voter Registrations	9 Source Document(s)

Key

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
-  The most recent telephone listing as reported by the EDA source.

**D&B:**

No Information Found

**LEGAL RESULTS:**

**Court Cases:**

Adolphe A. Juviler, Individually and on Behalf of All Other Stockholders Similarly Situated, Plaintiff, v. Unitronics Corporation, Defendant

[NO NUMBER IN ORIGINAL]

Supreme Court of New York, Special Term, New York County

8 Misc. 2d 1033; 166 N.Y.S.2d 770; 1957 N.Y. Misc. LEXIS 2521

September 12, 1957

**DISPOSITION:** [\*\*\*1] Motion denied.

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## CASE SUMMARY

**PROCEDURAL POSTURE:** Plaintiff stockholder, in a representative stockholders' action, applied for an injunction to restrain defendant corporation from holding a meeting and allowing the voting of shares in favor of a proposed merger or, in the event of approval of the merger by the corporation's stockholders, from taking any action designed to effectuate or consummate the merger.

**OVERVIEW:** The stockholder, a holder of 750 of the outstanding 590,960 shares of the corporation, sought an injunction restraining the corporation, its officers, directors, agents and attorneys from voting any shares of stock at a meeting in favor of a proposed merger. In the alternative, the stockholder wanted to restrain the corporation from taking any action to effectuate or consummate the merger. The court denied the motion. The court stated that it did not need to consider the first part of the application inasmuch as the meeting of stockholders was not stayed. At that meeting, 80 percent of the outstanding shares were voted in favor of the proposed merger, and two percent of the outstanding shares were voted against the proposed merger. The court found that the stockholder did not make out a case which plainly showed that the action taken was so far opposed to the true interests of the corporation itself as to lead to the clear inference that no one thus acting could have been influenced by any honest desire to secure such interests. The court held that the stockholder failed to meet that test by a wide margin.

**OUTCOME:** The court denied the stockholder's motion.

**CORE TERMS:** stockholders, holder, merger, minority stockholders, proxy, outstanding shares, outstanding, stock, voted, shares of stock, proposed merger, voting

### LexisNexis® Headnotes

Business & Corporate Law > Corporations > Shareholders > Meetings & Voting > General Overview  
Business & Corporate Law > Corporations > Shareholders > Shareholder Duties & Liabilities > Controlling Shareholders > General Overview

<sup>HRI</sup>  
✚ To warrant the interposition of the court in favor of the minority stockholders in a corporation or joint-stock association, as against the contemplated action of the majority, where such action is within the corporate powers, a case must be made out which plainly shows that such action is so far opposed to the true interests of the corporation itself as to lead to the clear inference that no one thus acting could have been influenced by any honest desire to secure such interests, but that he must have acted with an intent to subserve some outside purpose, regardless of the consequences to the company and in a manner inconsistent with its interests.

### HEADNOTES

Corporations -- representative stockholders' action -- court will not intervene on behalf of minority stockholders to restrain merger which was approved by holders of 80% of stock since action sought to be enjoined is not clearly opposed to interests of corporation.

Plaintiff, a minority stockholder of defendant corporation, in this representative stockholders' action moves to enjoin the officers and directors of defendant from voting any shares of stock owned or controlled by them in favor of a proposed merger of defendant with another corporation, or from consummation of such merger if approved by the majority of defendant's stockholders. The holders of 80% of the outstanding stock voted in favor of the merger. The motion is

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denied. A court will not oppose the will of the majority stockholders on behalf of the minority stockholders unless it is shown that the action contemplated or taken is clearly contrary to the true interest of the corporation itself.

**COUNSEL:** Otis Mark Waters, Lawrence S. Timen, W. Philip Van Kirk, and Melvyn Samuels for plaintiff.

Edward C. McLean, E. Laurence Gulyassy and **Richard D. Kahn** for defendant.

**JUDGES:** William [\*\*\*2] C. Hecht, Jr., J.

**OPINION BY:** HECHT, JR.

**OPINION**

[\*1033] [\*\*771] In this representative stockholders' action plaintiff, a holder of 750 of the outstanding 590,960 shares of defendant, Unitronics Corporation, applies for an injunction restraining the corporation, its officers, directors, agents and attorneys, from voting any shares of stock owned or controlled by them or any proxies obtained by them from stockholders, at the special meeting of stockholders called for September 11, 1957, or any adjournment thereof, in favor of the proposed merger of defendant with and into The Siegler Corporation or, in the alternative, in the event of approval of such merger by the stockholders of defendant, from taking any action designed to effectuate or consummate such merger.

The first part of the application need not be considered inasmuch as the court did not stay the meeting of stockholders which took place on September 11, 1957. At this meeting, of 590,963 outstanding shares of common stock of Unitronics, the holders of which were entitled to vote on such proposal, 482,093 shares, constituting 82% of such outstanding shares, were represented by proxy or in person at such meeting. The holders [\*\*\*3] of 469,726 shares, representing 80% of the outstanding shares, voted by proxy or in person in favor of such proposal. The holders of 12,367 shares, representing 2% of the outstanding shares, voted by proxy or in person against such proposal.

[\*1034] The test to be applied to an application of this nature was succinctly stated by Peckham, J., in *Gamble v. Queens County Water Co.* (123 N. Y. 91, 99): ~~was~~ "To warrant the interposition of the court in favor of the minority stockholders in a corporation or joint-stock association, as against the contemplated action of the majority, where such action is within the corporate powers, a case must be made out which plainly shows that such action is so far opposed to the true interests of the corporation itself as to lead to the clear inference that no one thus acting could have [\*\*772] been influenced by any honest desire to secure such interests, but that he must have acted with an intent to subserve some outside purpose, regardless of the consequences to the company and in a manner inconsistent with its interests."

Plaintiff [\*\*\*4] has failed to meet this test by a wide margin. Motion denied.

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UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS  
(Boston)

Wright v. Lander et al

**PLAINTIFF:** Wright, Ernest

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**DEFENDANT:** Lander, Stephen N;  
**Kahn, Richard D;**  
Hart, Patrick M;  
Dawiczuk, Jill P

**DOCKET CASE NUMBER:** 1:04cv11118

**FILING DATE:** 5/25/2004

**JURISDICTION:** Federal Question

**JUDGE:** Gorton, Nathaniel M

**NATURE OF SUIT:** 470 RICO

**FILING TYPE:** Civil

**CAUSE:** Racketeering (RICO) Act18 USC 1961

**JURY DEMAND:** None

**STATUS:** Case Closed

**PLAINTIFF ATTORNEY(S):**

Wright, Ernest [COR LD NTC]

43 COPELAND STREET #1 BOSTON, MA 02119 617-442-1312 PRO SE

**THE COURT UPDATED THIS RECORD ON:** 09/07/2005 12:00:00 AM

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