

## NAME SEARCHED: **Jean Anne Brennan Wiebracht**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC Alert (Please See Attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">FIRCOSOFT Results</a>	No FIRCOSOFT Alert (Please See Attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information Found
		IV. <a href="#">Non-Negative Media</a>	No Information Found
		V. <a href="#">Other Language Media</a>	Not Required
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not Required
SMARTLINX	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	No Information Found (Please See Attached)
COURT CASES	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	No Information Found

Prepared by: Krishna Dadhich Date: 08/17/2018

Research Analyst

### Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY\_GM\_00061396

**OFAC RESULTS****RDC:**

Inquiry Id	Response Type	Tracking Id	Name	Address	Date of Birth/Age
11638375	<u>No Match Found</u>	KYC 01977695	 Jean Anne Brennan Wiebracht	Country:VIRGIN ISLANDS, U.S.	Date of Birth: 9/2/1960
11638379	<u>No Match Found</u>	KYC 01977695	 Jean Anne Brennan Wiebracht	Country:UNITED STATES	Date of Birth: 9/2/1960

**FIRCOSOFT:**

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
24867183	WR_248671832182	Individual	Jean Anne Brennan Wiebracht		No Alert	No Alert	No Alert	No Alert
24867190	WR_248671902182	Individual	Jean Anne Brennan Wiebracht		No Alert	No Alert	No Alert	No Alert

**BIS RESULTS:****Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

Not Required

**D&B:**

Not Required

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DB-SDNY-0024222

EFTA\_00171909

EFTA01297828

# Public Record:

Find

Search: SmartInx<sup>®</sup> Comprehensive Person Report > Search Results  
 Terms: ssn(150-46-4746) state(ALL) radius(30) [\( Edit Search | New Search \)](#)

4 Select for Delivery or View Checked

No.	Full Name	Address	Phone	SSN
<input type="checkbox"/> 1.	<b>BRENNAN, JEAN ANNE</b> BRENNAN, JEANNE ANN WIEBRACHT, JEANNE B (Gender: Female) (DOB: 1960) (Age: 55)	Click for Additional Searches 6691 RED HOOK PLZ APT 201 ST THOMAS, VT 05602-1973 ST THOMAS COUNTY (VT 1960-Current) Elementary or Secondary School 4 FIDELITY CT MORNING, MO 67203-1812 MONMOUTH COUNTY (MO 1995-07-1960) 1527 MASSACHUSETTS AVE SE APT 304 WASHINGTON, DC 20003-1222 D.C. COUNTY (DC 1962-07-1963)	Phone Search 732-671-5171 BRENNAN, JOAN (Current Listing Name)	SSN Search 150-46-XXXX (DOB: 1960-1960) SSN linked to multiple people LexID(s): 200269311586
<input type="checkbox"/> 2.	<b>BRENNAN, JOAN A</b> BRENNAN, JOAN BRENNAN, JOAN A (Gender: Female) (DOB: 1960) (Age: 55)	4 FIDELITY CT MORNING, MO 67203-1812 MONMOUTH COUNTY (1993-Current) 1527 MASSACHUSETTS AVE SE APT 304 WASHINGTON, DC 20003-1222 D.C. COUNTY (DC 1970-07-1963)	732-671-5171 BRENNAN, JOAN (Current Listing Name)	150-46-XXXX (DOB: 1960-1960) SSN linked to multiple people LexID(s): 20991668950
<input type="checkbox"/> 3.	<b>WIEBRACHT, MATTHIAS</b> (Gender: Male)	6691 RED HOOK PLZ APT 201 ST THOMAS, VT 05602-1973 ST THOMAS COUNTY Elementary or Secondary School RD BOX 11298 ST THOMAS, VT 05601-6299 ST THOMAS COUNTY		560-21-XXXX (VT - 1985-1960) LexID(s): 202202263256

## No Documents Found

No documents were found for the search terms entered.

You can edit your search and try again, or save it. To edit it, you may want to try one or more of the following:

- Check for spelling errors.
- Remove some search terms.
- Check the "find similar-sounding last names" checkbox.

Search: SmartInx<sup>®</sup> Comprehensive Person Report   
 Terms: first-name(Jean Anne) last-name(Brennan Wiebracht) state(ALL) radius(30)



[Edit Search](#) [New Search](#)

## LEGAL RESULTS:

### Court Cases:

No Information Found

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