

NAME SEARCHED: **Karyna Shuliak**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC Alert (Please See Attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">FIRCOSOFT Results</a>	No FIRCOSOFT Alert (Please See Attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information Found
		IV. <a href="#">Non-Negative Media</a>	No Information Found
		V. <a href="#">Other Language Media</a>	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not Required
SMARTLINX	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	Information Found (Please See Attached)
COURT CASES	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	No Information Found

Prepared by: **Priyanka Sharma** Date: 09/04/2018

**Research Analyst**

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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**OFAC RESULTS****RDC:**

Inquiry Id	Response Type	Tracking Id	Name	Address	Date of Birth/Age
11639446	<u>No Match Found</u>	KYC 1977698	 Karyna Shuliak	Country:UNITED STATES	Date of Birth: ██████████
11639447	<u>No Match Found</u>	KYC 1977698	 Karyna Shuliak	Country:BELARUS	Date of Birth: ██████████

**FIRCOSOFT:**

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
26724215	WR_267242152182	Individual	Karyna Shuliak		No Alert	No Alert	No Alert	No Alert
26724216	WR_267242162182	Individual	Karyna Shuliak		No Alert	No Alert	No Alert	No Alert

**BIS RESULTS:****Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

No Information Found

**D&B:**

Not Required

**Public Record:****1 OF 1 RECORD(S)**

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Date:9/4/2018  
 Report processed by:  
 DEUTSCHE BANK AG||

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**CONFIDENTIAL**

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DB-SDNY-0024460  
 EFTA\_00172147

EFTA01297923

**Full Name**  
SHULIAK, KARYNA

**Address**  
[REDACTED]

**County**  
[REDACTED]

**Phone**  
None Listed

**ADDITIONAL PERSONAL INFORMATION**

**SSN**                      **DOB**                      **Gender**

**LexID(sm)**  
188602267903

**Subject Summary**

**Name Variations**

1: SHULIAK, KARYNA

**Others Using SSN - 0 records found**

**Address Summary - 2 records found**

No.	Address
1:	[REDACTED]
2:	[REDACTED]

**Address Details**

1: [REDACTED]

**Address**  
[REDACTED]

**Dates**  
10/2013 - 6/2018

**Phone**

**Household Members**

None Listed

**Other Associates**

EPSTEIN, JEFFREY E

2: [REDACTED]

**Address**  
[REDACTED]

**Dates**  
7/2015 - 7/2018

**Phone**  
[REDACTED]

**Census Data for Geographical Region**

Median Head of Household Age: 57  
Median Income: \$201,878  
Median Home Value: \$1,000,000  
Median Education: 17 years

**Household Members**

None Listed

**Other Associates**

None Listed

**Voter Registrations - 0 records found**

**Driver Licenses - 0 records found**

**Professional Licenses - 5 records found**

1: Professional License

**Licensee Information**

Name: SHULIAK, KARYNA

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1: Professional License

SSN: [REDACTED]  
Address: [REDACTED]  
County: [REDACTED]

License Information

Profession or Board: DENTAL BOARD OF CALIFORNIA  
License Type: DENTIST  
License Number: [REDACTED]  
Issue Date: [REDACTED]  
Expiration Date: [REDACTED]  
Status: [REDACTED]  
State: [REDACTED]

2: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]  
Address: [REDACTED]  
County: [REDACTED]

License Information

Profession or Board: DENTAL BOARD OF CALIFORNIA  
License Type: DENTIST  
License Number: [REDACTED]  
Issue Date: [REDACTED]  
Expiration Date: [REDACTED]  
Status: [REDACTED]  
State: [REDACTED]

3: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]  
Address: [REDACTED]  
County: [REDACTED]  
Phone: [REDACTED]  
Gender: F

License Information

License Type: [REDACTED]  
License Number: [REDACTED]  
Issue Date: [REDACTED]  
Expiration Date: [REDACTED]  
Status: [REDACTED]  
State: [REDACTED]

4: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]  
Address: [REDACTED]  
County: [REDACTED]  
Phone: [REDACTED]

License Information

Profession or Board: DENTIST  
License Type: DENTIST  
License Number: DN21635  
Issue Date: 11/05/2015  
Expiration Date: 02/28/2020  
Status: ACTIVE  
State: Florida

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5: Professional License

Licensee Information

Name: SHULIAK, KARYNA

SSN: [REDACTED]

Address: [REDACTED]

County: [REDACTED]

Phone: [REDACTED]

License Information

Profession or Board: DENTIST

License Type: DENTIST

License Number: [REDACTED]

Issue Date: [REDACTED]

Expiration Date: [REDACTED]

Status: [REDACTED]

State: [REDACTED]

Health Care Providers - 1 records found

1: Health Care Providers

Name(s): SHULIAK, KARYNA  
SHULIAK, KARYNA

Gender: FEMALE

DOB(s): [REDACTED]

Business Addresses: [REDACTED]

License Number/State: [REDACTED]

[REDACTED] / CA  
2230 / VI

Medical School/Grad Year: COLUMBIA UNIVERSITY / 2015

Health Care Sanctions - 0 records found

Pilot Licenses - 0 records found

Sport Licenses - 0 records found

Real Property - 0 records found

Motor Vehicle Registrations - 0 records found

Boats - 0 records found

Aircraft - 0 records found

Bankruptcy Information - 0 records found

Judgments/Liens - 0 records found

UCC Liens - 0 records found

Fictitious Businesses - 0 records found

Notice Of Defaults - 0 records found

Potential Relatives - 1 records found

1st Degree: 1

No.	Full Name	Address/Phone
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1.	SHULYAK, DENNIS	[REDACTED]
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- AKA SHULIAK, DZIANIS
- AKA SHULAK, DZIANIS
- AKA SHULIAK, DENNIS

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No.	Full Name	Address/Phone
	<ul style="list-style-type: none"> <li>• AKA SHULYAK, DZIANIS</li> <li>• AKA DZIANIS, SHULIAK</li> </ul>	[REDACTED]
	SSN:213-53-XXXX	[REDACTED]
	DOB:3/1977	[REDACTED]
	(Age: 41)	[REDACTED]

**Business Associates - 0 records found**

**Person Associates - 1 records found**

No.	Full Name	Address	SSN	Phone	DOB
1:	EPSTEIN, JEFFREY E EPSTEIN, JEFFERY E EPSTEIN, JERRERY EPSTEIN, J EPTSTEIN, JEFFERY EPSTIEN, JEFFERY EDWARDS, JEFFERY	457 MADISON AVE APT 4 NEW YORK, NY 10022-6843  49 ZORRO RANCH RD BH STANLEY, NM 87056-9743  49 ZORRO RANCH RD B STANLEY, NM 87056-9743  49 ZORRO RANCH RD C STANLEY, NM 87056-9743	090-44-XXXX	(212) 368-3758	1/1953

[REDACTED]

**Neighbors - 2 records found**

Name	Address	Phone
COCKERHAM, GARY W JR	[REDACTED]	[REDACTED]
MARSHALL, JAMES A TRUJILLO, PAUL C	[REDACTED] STE A3-1	[REDACTED]

**Employment Locator - 0 records found**

**Criminal Filings - 0 records found**

**Cellular & Alternate Phones - 0 records found**

**Possible Education - 0 records found**

**Sources - 39 records found**

All Sources	39 Source Document(s)
Phone	16 Source Document(s)
Professional Licenses	20 Source Document(s)
Providers	1 Source Document(s)
Utility Locator	2 Source Document(s)

**Key**

▲ High Risk Indicator. These symbols may prompt you to investigate further.

▣ Moderate Risk Indicator. These symbols may prompt you to investigate further.

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General Information Indicator. These symbols inform you that additional information is provided.

✓ The most recent telephone listing as reported by the EDA source.

**Important:** The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud  
Your GLBA Permissible Use is: Legal Compliance

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## **LEGAL RESULTS:**

### **Court Cases:**

No Information Found

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