

**NAME SEARCHED: LSJE, LLC**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	<a href="#">I. RDC Results</a>	No RDC alert (Please see attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	<a href="#">II. FIRCOSOFT Results</a>	No FIRCOSOFT alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	<a href="#">III. Negative Media</a>	There was no information found
		<a href="#">IV. Non-Negative Media</a>	Not Required
		<a href="#">V. Other Language Media</a>	Not Required
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	<a href="#">VI. D&amp;B</a>	There was no information found
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	<a href="#">VII. Smartlinx</a>	Information found(Please see attached)
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	<a href="#">VIII. Court Cases</a>	There was no information found

Prepared by: Himanshu Khatwani Date:08/20/2018  
Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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**OFAC RESULTS**

**RDC:**

11638480	<a href="#">No Match Found</a>	KYC 01977703	 LSJE, LLC	Country: VIRGIN ISLANDS, U.S.
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**FIRCOSOFT:**

Request ID	Record ID	Type	Name	Alert(s)		
				ADM	INT	PEP
25046248	WR_250462482182	Company	LSJE, LLC	No Alert	No Alert	No Alert

**BIS RESULTS**

**Negative Media:**

There was no information found

**Non-Negative Media:**

There was no information found

**Other Language Media:**

There was no information found

**Public Records:**

**1 OF 1 RECORD(S)**

**Smartlinx® Comprehensive Business Report**

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Search Terms - company(LSJE, LLC) radius(15)

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Executives - Current (0)	Incorporation/SOS (0)	Operations/Sites (1)	Sales (0)
Licenses (0)	URLs (0)	Real Property - Current (0)	Real Property - Prior (0)
MVRs - Current (0)	MVRs - Prior (5)	Watercraft - Current (0)	Watercraft - Prior (4)
Aircraft - Current (0)	Aircraft - Prior (0)	Bankruptcy Filings (0)	Judgments & Liens Filings (0)
UCC Filings (0)	Executives - Prior (0)	Registered Agents (0)	Name Variations (3)
Possible Employees (0)	Person Associates (1)	Business Associates (0)	TINs (0)
Possible Connected Business (0)	Parent Company (0)	Industry Information (0)	
View All Sources (12)			

### Business Summary

Name	Address	Phone
LSJ LLC	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	
LexID	Established	TIN
0000-8427-5929	2005 (13 Years in Business)	

### At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

### Name Variations - 3 name variations found

NO.	NAME
1.	LSJ LLC
2.	LITTLE ST JAMES LLC
3.	LSJE LLC

### TINs - 0 TINs found

### Business Profile

**Executives: Current - 0 executive(s) found**

**Incorporation/SOS (0 active, 0 other)**

**Operating Locations - Showing 1 location(s)**

NO.	ADDRESS	METRO AREA	PHONE
1.	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	ST. THOMAS COUNTY	

**Sales - 0 record(s) found**

**Parent Company - 0 record(s) found**

**Industry Information - no information found**

**Licenses - 0 licenses found**

**URLs - 0 URLs found**

**Bankruptcy (0 active, 0 closed)**

**Judgments/Liens (0 filings)**

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DB-SDNY-0024889

EFTA\_00172574

EFTA01298100

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (0 current, 9 prior)

NO.	TYPE	STATUS	YEAR/MAKE	MODEL	VIN
1.					
<p><b>Vehicle Information</b>  VIN: 1M2K195C04M023415  Year: 2004  Make: Mack  Model: 600 MR600  Style: Tilt Cab</p> <p><b>Source Information</b>  Data Source: GOVERNMENTAL</p> <p><b>Registrant 1</b>  LSJE LLC  6100 Red Hook Qtrs Ste B-3  St Thomas, VI 00802-1348  St. Thomas County  Jurisdiction: FL  License Plate: [REDACTED]  License Plate Type: Other  Previous License Plate: 62X51032  Registration Date: 12/05/2014  Registration Expiration Date: 01/03/2015</p>					
2.	MVR	Prior	2010 Smart	FORTWO PASSION, Convertible	WMEEK3BA6AK343524
<p><b>Vehicle Information</b>  VIN: WMEEK3BA6AK343524  Year: 2010  Make: Smart  Model: FORTWO PASSION  Style: Convertible  Base Price: \$16,990.00</p> <p><b>Source Information</b>  Data Source: GOVERNMENTAL</p> <p><b>Owner 1</b>  LSJ LLC  6100 Red Hook Qtrs Ste B-3  St Thomas, VI 00802-1348  St. Thomas County  Title Number: 0105384013  Title Date: 12/02/2010</p>					

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3.	MVR	Prior	2010 Chevrolet	C1500 SUBURBAN LTZ, 4 Dr Wagon Sport Utility	1GNUCKE05AR225550
<p><b>Vehicle Information</b>  VIN: 1GNUCKE05AR225550  Year: 2010  Make: Chevrolet  Model: C1500 SUBURBAN LTZ  Style: 4 Dr Wagon Sport Utility  Base Price: \$52,880.00</p> <p><b>Source Information</b>  Data Source: GOVERNMENTAL</p> <p><b>Registrant 1</b>  LSJ LLC  6100 Red Hook Qtrs Ste B-3  St Thomas, VI 00802-1348  St. Thomas County  Jurisdiction: FL  License Plate: AJH5859  Registration Date: 07/03/2010  Registration Expiration Date: 08/01/2010</p>					
4.	MVR	Prior	1987		STN347471687
<p><b>Vehicle Information</b>  VIN: STN347471687  Year: 1987</p> <p><b>Source Information</b>  Data Source: GOVERNMENTAL</p> <p><b>Registrant 1</b>  LITTLE ST. JAMES LLC  6100 Red Hook Qtrs Ste 2  St Thomas, VI 00802-1348  St. Thomas County  Jurisdiction: FL  License Plate: FL8972EW  License Plate Type: Boat  Registration Date: 10/06/1999  Registration Expiration Date: 06/30/2000</p>					
5.	MVR	Prior	1993		T0Y01885H293
<p><b>Vehicle Information</b>  VIN: T0Y01885H293  Year: 1993</p>					

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**Source Information**

Data Source: GOVERNMENTAL

**Registrant 1**

LITTLE ST. JAMES LLC  
 6100 Red Hook Qtrs Ste 2  
 St Thomas, VI 00802-1348  
 St. Thomas County  
 Jurisdiction: FL  
 License Plate: FL9652HH  
 License Plate Type: Boat  
 Registration Date: 10/06/1999  
 Registration Expiration Date: 06/30/2000

6.	Watercraft	Prior	1984 C&C YACHTS INC		LOGO0002M84C
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**Watercraft Info**

Vessel Name: Lady G  
 Vessel Service Type: COMMERCIAL  
 Length (Ft-In): 40-6  
 Propulsion: Diesel Reduction

**Registrant Info**

Status: VALID  
 Registration Date: 12/22/2004  
 Expiration Date: 01/31/2006

**Owner 1**

L S J LLC  
 6100 Red Hook Qtrs Ste B-3  
 St Thomas, VI 00802-1348

**Source Information**

Data Source: GOVERNMENTAL

7.	Watercraft	Prior	1987 SILVERTON		STN347471687
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**Watercraft Info**

Vessel Service Type: PLEASURE VESSEL  
 Length (Ft-In): 34-0  
 Propulsion: INBOARD

**Registrant Info**

State: Florida  
 Number: FL8972EW  
 Status: ACTIVE  
 Registration Date: 07/03/2003  
 Expiration Date: 06/30/2004

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<p><b>Owner 1</b>                  LITTLE ST. JAMES L.L.C.                  6100 Red Hook Qtrs Ste 2                  St Thomas, VI 00802-1348                  Party Type: OWNER/REGISTRANT</p> <p><b>Source Information</b>                  Data Source: GOVERNMENTAL</p>					
8.	Watercraft	Prior	1993 DYNOUS		TOY01885H293
<p><b>Watercraft Info</b>                  Vessel Service Type: PLEASURE VESSEL                  Length (Ft-In): 10-0                  Propulsion: OUTBOARD</p> <p><b>Registrant Info</b>                  State: Florida                  Number: FL9652HH                  Status: ACTIVE                  Registration Date: 10/06/1999                  Expiration Date: 06/30/2000</p> <p><b>Owner 1</b>                  LITTLE ST. JAMES L.L.C.                  6100 Red Hook Qtrs Ste 2                  St Thomas, VI 00802-1348                  Party Type: OWNER/REGISTRANT</p> <p><b>Source Information</b>                  Data Source: GOVERNMENTAL</p>					
9.	Watercraft	Prior	1985 MIDNIGHT EXPRESS BOAT		MXJ37053F585
<p><b>Watercraft Info</b>                  Vessel Service Type: PLEASURE VESSEL                  Length (Ft-In): 37-0                  Propulsion: OUTBOARD</p> <p><b>Registrant Info</b>                  State: Florida                  Number: FL7551EY                  Status: ACTIVE                  Registration Date: 10/14/1986                  Expiration Date: 06/30/1901</p> <p><b>Owner 1</b>                  LITTLE ST. JAMES, LLC                  6100 Red Hook Qtrs Ste 2</p>					

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St Thomas, VI 00802-1348 Party Type: OWNER  <b>Owner 2</b> <b>Martinez, Segundo</b> 275 NW 72nd Ave Apt 9 Miami, FL 33126-4375 Party Type: REGISTRANT  <b>Source Information</b> Data Source: GOVERNMENTAL
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**Associates**

**Executives: Prior - 0 prior executive(s) found**

**Registered Agents - 0 registered agent(s) found**

**Possible Employees - 0 current, 0 prior employees found**

**Person Associates - 1 other person associates found**

NO.	NAME	ADDRESS	ROLE
1.	Martinez, Segundo  Deceased	275 NW 72nd Ave Apt 9 Miami, FL 33126-4375 Miami-Dade County	Watercraft

**Possible Connected Business - 0 businesses found**

**Business Associates - 0 business associates found**

**Sources**

All Sources	12 Source Documents
Personal Property	12 Source Documents

**Key:**

-  High Risk Indicator. These symbols may prompt you to investigate further.
-  Moderate Risk Indicator. These symbols may prompt you to investigate further.
-  General Information Indicator. These symbols inform you that additional information is provided.
-  The most recent telephone listing as reported by Electronic Directory Assistance.
-  Wireless Phone Indicator. These symbols indicate a cell phone number.
-  Residential Phone Indicator. These symbols indicate a residential phone number.
-  Business Phone Indicator. These symbols indicate a business phone number.
-  Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.
-  FAX Indicator. These symbols indicate a FAX number.
-  Government Phone Indicator. These symbols indicate a government phone number.

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## **D&B:**

There was no information found

## **LEGAL RESULTS:**

### **Court Cases:**

There was no information found

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