

NAME SEARCHED: **J. Epstein Virgin Islands Foundation, Inc**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert (Please See Attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. FIRCOSOFT Results	No FIRCOSOFT Alert (Please See Attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No Information Found
		IV. Non-Negative Media	No Information Found
		V. Other Language Media	No Information Found
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	No Information Found
SMARTLINX	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Information Found (Please See Attached)
COURT CASES	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No Information Found

Prepared by: **Sanchit Mittal** Date: **08/20/2018**

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00062549

OFAC RESULTS**RDC:**

Inquiry Id	Response Type	Tracking Id	Name	Address	Date of Birth/Age
11638438	<u>No Match Found</u>	KYC 01977699	 J. Epstein Virgin Islands Foundation, Inc	Country: VIRGIN ISLANDS, U.S.	

FIRCOSOFT:

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
25025721	WR_250257212182	Company	J. Epstein Virgin Islands Foundation, Inc		No Alert	No Alert	No Alert	No Alert

BIS RESULTS:**Negative Media:**

No Information Found

Non-Negative Media:

No Information Found

Other Language Media:

No Information Found

D&B:

No Information Found

Public Record:

1 OF 1 RECORD(S)

Smartlinx ® Comprehensive Business Report

Report Created: 8-20-2018 5:10 PM EST | FOR INFORMATIONAL PURPOSES ONLY | Copyright © 2018 LexisNexis, All rights reserved.

Search Terms - tin(66-0585379) radius(15)

Executives - Current (0)
Licenses (0)Incorporation/SOS (0)
URLs (0)Operations/Sites (1)
Real Property - Current (0)Sales (0)
Real Property - Prior (0)

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DB-SDNY-0025375

EFTA_00173058

EFTA01298296

MVRs - Current (0)
 Aircraft - Current (0)
 UCC Filings (0)
 Possible Employees (1)
 Possible Connected Business (0)
 View All Sources (3)

MVRs - Prior (0)
 Aircraft - Prior (0)
 Executives - Prior (0)
 Person Associates (0)
 Parent Company (0)

Watercraft - Current (0)
 Bankruptcy Filings (0)
 Registered Agents (0)
 Business Associates (0)
 Industry Information (1)

Watercraft - Prior (0)
 Judgments & Liens Filings (0)
 Name Variations (1)
 TINs (1)

Business Summary

Name	Address	Phone
J EPSTEIN VIRGIN ISLANDS FOUNDATION INC (No recent public filings on file)	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	
LexID	Established	TIN
0004-2142-8151	2000 (18 Years in Business)	66-0585379

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	0	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 1 name variations found

NO.	NAME
1.	J EPSTEIN VIRGIN ISLANDS FOUNDATION INC

TINs - 1 TINs found

NO.	TIN	NAME
1.	66-0585379	J EPSTEIN VIRGIN ISLANDS FOUNDATION INC

Business Profile

Executives: Current - 0 executive(s) found

Incorporation/SOS (0 active, 0 other)

OperatingLocations - Showing 1 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	ST. THOMAS COUNTY	

Sales - 0 record(s) found

Parent Company - 0 record(s) found

Industry Information

SIC	NAIC
8733 Noncommercial Research Organizations	No NAIC information available
Industry Description: CHARITABLE ORGANIZATION;PRIVATE INDEPENDENT FOUNDATIONS	
Business Description:	

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Licenses - 0 licenses found

URLs - 0 URLs found

Bankruptcy (0 active, 0 closed)

Judgments/Liens (0 filings)

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (0 current, 0 prior)

Associates

Executives: Prior - 0 prior executive(s) found

Registered Agents - 0 registered agent(s) found

Possible Employees - 0 current, 1 prior employees found

NO.	NAME	ADDRESS	STATUS	DATE(S)
1.	Hoffman, Paul	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348	Prior	

Person Associates - 0 other person associates found

Possible Connected Business - 0 businesses found

Business Associates - 0 business associates found

Sources

All Sources	3 Source Documents
Government Agency	1 Source Documents
Other Directories	1 Source Documents
Experian FEIN	1 Source Documents

Key:

High Risk Indicator. These symbols may prompt you to investigate further.

Moderate Risk Indicator. These symbols may prompt you to investigate further.

General Information Indicator. These symbols inform you that additional information is provided.

The most recent telephone listing as reported by Electronic Directory Assistance.

Wireless Phone Indicator. These symbols indicate a cell phone number.

Residential Phone Indicator. These symbols indicate a residential phone number.

Business Phone Indicator. These symbols indicate a business phone number.

Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.

FAX Indicator. These symbols indicate a FAX number.

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Government Phone Indicator. These symbols indicate a government phone number.

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Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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LEGAL RESULTS:

Court Cases:

No Information Found

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