

NAME SEARCHED: **Hyperion Air, LLC**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	No RDC Alert (Please See Attached)
FIRCOSOFT	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. FIRCOSOFT Results	No FIRCOSOFT Alert (Please See Attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	No Information Found
		IV. Non-Negative Media	Not Required
		V. Other Language Media	Not Required
D&B	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	No Information Found
SMARTLINX	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Information Found (Please See Attached)
COURT CASES	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	No Information Found

Prepared by: **Sanchit Mittal** Date: **08/20/2018**

Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbell](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

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SDNY_GM_00062554

OFAC RESULTS**RDC:**

Inquiry Id	Response Type	Tracking Id	Name	Address	Date of Birth/Age
11638439	<u>Not Alerted</u>	KYC 01977699	 Hyperion Air, LLC	Country: VIRGIN ISLANDS, U.S.	

FIRCOSOFT:

Request ID	Record ID	Type	Name	Details	Alert(s)			
					ADM	INT	PEP	SAE
25025722	WR_250257222182	Company	Hyperion Air, LLC		No Alert	No Alert	No Alert	No Alert

BIS RESULTS:**Negative Media:**

No Information Found

Non-Negative Media:

Not Required

Other Language Media:

Not Required

D&B:

No Information Found

Public Record:

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CONFIDENTIAL

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DB-SDNY-0025380

EFTA_00173063

EFTA01298301

No Documents Found

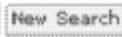
No documents were found for the search terms entered.

You can edit your search and try again, or save it. To edit it, you may want to try one or more of the following:

- Check for spelling errors.
- Remove some search terms.
- Check the "find similar-sounding last names" checkbox.

Search: Smartlinx[®] Comprehensive Business Report 

Terms: tin(66-0795359) radius(15)

1 OF 1 RECORD(S)

Smartlinx[®] Comprehensive Business Report

Report Created:8-20-2018 5:19 PM EST | FOR INFORMATIONAL PURPOSES ONLY | Copyright © 2018 LexisNexis, All rights reserved.

Search Terms - company(Hyperion Air, LLC) tin(66-0795359) radius(15)

- | | | | |
|---------------------------------|------------------------|-----------------------------|-------------------------------|
| Executives - Current (0) | Incorporation/SOS (0) | Operations/Sites (1) | Sales (0) |
| Licenses (0) | URLs (0) | Real Property - Current (0) | Real Property - Prior (0) |
| MVRs - Current (0) | MVRs - Prior (0) | Watercraft - Current (0) | Watercraft - Prior (0) |
| Aircraft - Current (1) | Aircraft - Prior (0) | Bankruptcy Filings (0) | Judgments & Liens Filings (0) |
| UCC Filings (0) | Executives - Prior (0) | Registered Agents (0) | Name Variations (1) |
| Possible Employees (0) | Person Associates (0) | Business Associates (0) | TINs (0) |
| Possible Connected Business (0) | Parent Company (0) | Industry Information (0) | |
- [View All Sources \(1\)](#)

Business Summary

Name	Address	Phone
HYPERION AIR LLC	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	917-868-6145
LexID 0223-8046-2065	Established	TIN

At a Glance

Real Property	0	UCC Debtor	0
Personal Property	1	Bankruptcy	0
Secured Assets	0	Judgments/Liens	0
Executives	0	Foreclosure/Notice of Default	0

Name Variations - 1 name variations found

NO.	NAME
1.	HYPERION AIR LLC

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TINs - 0 TINs found

Business Profile

Executives: Current - 0 executive(s) found

Incorporation/SOS (0 active, 0 other)

OperatingLocations - Showing 1 location(s)

NO.	ADDRESS	METRO AREA	PHONE
1.	6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348 St. Thomas County	ST. THOMAS COUNTY	

Sales - 0 record(s) found

Parent Company - 0 record(s) found

Industry Information - no information found

Licenses - 0 licenses found

URLs - 0 URLs found

Bankruptcy (0 active, 0 closed)

Judgments/Liens (0 filings)

UCC Filings (0 debtor, 0 creditor)

Real Property (0 current, 0 prior)

Personal Property (1 current, 0 prior)

NO.	TYPE	STATUS	YEAR/MAKE	MODEL	VIN
1.	Aircraft	Current	2001 BELL	430	49078
<p>Registrant Info HYPERION AIR LLC 6100 Red Hook Qtrs Ste B-3 St Thomas, VI 00802-1348</p> <p>Aircraft Info Tail Number: 331JE Registration Date: 09/06/2013 Type: ROTORCRAFT Number of Engines: 2</p>					

Associates

Executives: Prior - 0 prior executive(s) found

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DB-SDNY-0025382

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EFTA01298303

Registered Agents - 0 registered agent(s) found

Possible Employees - 0 current, 0 prior employees found

Person Associates - 0 other person associates found

Possible Connected Business - 0 businesses found

Business Associates - 0 business associates found

Sources

All Sources	1 Source Documents
Personal Property	1 Source Documents

Key:

High Risk Indicator. These symbols may prompt you to investigate further.

Moderate Risk Indicator. These symbols may prompt you to investigate further.

General Information Indicator. These symbols inform you that additional information is provided.

The most recent telephone listing as reported by Electronic Directory Assistance.

Wireless Phone Indicator. These symbols indicate a cell phone number.

Residential Phone Indicator. These symbols indicate a residential phone number.

Business Phone Indicator. These symbols indicate a business phone number.

Shared Phone Indicator. These symbols indicate the phone number may be shared between wireless and landline service.

FAX Indicator. These symbols indicate a FAX number.

Government Phone Indicator. These symbols indicate a government phone number.

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

Your DPPA Permissible Use is: Debt Recovery/Fraud

Your GLBA Permissible Use is: Legal Compliance

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LEGAL RESULTS:

Court Cases:

No Information Found

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DB-SDNY-0025383

EFTA_00173066

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