

KYC Case # : [REDACTED]

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

| 1. Relationship Details | | | |
|--|--|---|---|
| Relationship Name: | SOUTHERN FINANCIAL RELATIONSHIP:00000483290 | Booking Center: | New York |
| Relationship Manager: | Stewart Oldfield | | |
| Relationship to PWM: | <input type="checkbox"/> New PWM Relationship <input checked="" type="checkbox"/> Existing PWM Relationship | | |
| | If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** 2018 Periodic Review - HR Overdue KYC *** Last Approved KYC # - Jeepers Inc, Jege Inc & Jege LLC - 01790820 | | |
| How Was the Client(s) Introduced? How long has the RM personally known the client? | <input checked="" type="checkbox"/> Client Referral <input type="checkbox"/> RM Prospect <input type="checkbox"/> Intermediary/FIM <input type="checkbox"/> Other Source (CIB, etc.) | | |
| | Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under this relationship.. | | |
| Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (If Yes, describe): | | |
| List all existing and new accounts involved in this relationship | | | |
| | Legal Entity Account(s) | Account Name / Number | Opening Date (intended/actual) |
| 1 | <input checked="" type="checkbox"/> | Jege Inc - [REDACTED] - DDA- [REDACTED] | 10/18/2013 |
| 2 | <input checked="" type="checkbox"/> | Jege LLC - [REDACTED] - DDA- [REDACTED] | 10/18/2013 |
| 3 | <input checked="" type="checkbox"/> | Jeepers Inc - [REDACTED] - DBSI- [REDACTED] | 9/23/2013 |
| Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.): | Darren K. Indyke | | Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED] |

SDNY_GM_00063614

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10/16/2018

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0026439

EFTA_00174122

EFTA01298687

One sheet must be established for each account to be opened

| 2. Account Ownership Summary | | | | | | | | | | | | |
|---|-------------------------------------|--|---------------------------------|---|--------------------------|--|-------------------------------------|-------------------------------------|--------------------------|------------------------------|---|--|
| Account Name: | | | Jege Inc - 4-██████9 - DDA | | | | | Acct. Number (if available): | | ██████ | | |
| Account Manager: | | | Stewart Oldfield | | | | | | | | | |
| What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of this account is to pay for costs associated to Jeffrey Epstein's airplanes. | | | | | | | | | | | | |
| Indicate from where the assets are expected to arrive? | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> DB Group: Same Booking Center (indicate account number): Existing <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input type="checkbox"/> Other Institution – (Indicate name & location): <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...): | | | | | | | | | | | | |
| What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)?: ~ 0-3/months; \$0 - \$1K | | | | | | | | | | | | |
| What is the expected volume of assets and currency for the account approx. 90 days after opening? | | | | 26,795.55 | | | Currency | | USD | | | |
| What is the expected volume of assets and currency for the account approx. one year after opening? | | | | 26,795.55 | | | Currency | | USD | | | |
| Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M? | | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | | |
| Please list all parties related to the account. | | | | | | | | | | | | |
| For each party: | | | | | | | | | | | | |
| <ul style="list-style-type: none"> • Check if a source of wealth description is required for the party. • Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected). • If none of the check boxes apply, describe the party's relation to the account in the "Other" column. • Always describe the relationship between the parties in the last column. • Please drill down to the ultimate/underlying Beneficial Owner(s). | | | | | | | | | | | | |
| | Legal Entity | Description of Source of Wealth required | Parties related to this account | Account Holder | PIC Owner | Settlor of Trust / Founder of Foundation | Ultimate Beneficial Owner | Signatory or Full POA | Limited POA | Financial Intermediary (FIM) | Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %) | Other (please describe other roles and/or indicate relationship between parties) |
| 1 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | JEGE, Inc | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 2 | <input type="checkbox"/> | <input type="checkbox"/> | Harry Beller | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 3 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Jeffrey E. Epstein | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 100 | |
| 4 | <input type="checkbox"/> | <input type="checkbox"/> | Darren K. Indyke | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

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10/16/2018

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0026440

EFTA_00174123

EFTA01298688

| 2. Account Ownership Summary | | | | | | | | | | | | |
|---|-------------------------------------|--|---|-------------------------------------|--------------------------|--|-------------------------------------|-------------------------------------|--------------------------|------------------------------|---|--|
| Account Name: | | | Jege LLC - 42953475 - DDA | | | | Acct. Number (if available): | | 42953475 | | | |
| Account Manager: | | | Stewart Oldfield | | | | | | | | | |
| What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of this account is daily expense including taxes and payments for Jeffrey Epstein's airplanes. | | | | | | | | | | | | |
| Indicate from where the assets are expected to arrive? | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> DB Group: Same Booking Center (indicate account number): Existing <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input type="checkbox"/> Other Institution – (Indicate name & location): <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...): | | | | | | | | | | | | |
| What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? ~ 1-4/month; \$100 - \$47K | | | | | | | | | | | | |
| What is the expected volume of assets and currency for the account approx. 90 days after opening? | | | 284,777.18 | | | | Currency | | USD | | | |
| What is the expected volume of assets and currency for the account approx. one year after opening? | | | 284,777.18 | | | | Currency | | USD | | | |
| Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M? | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | | | |
| Please list all parties related to the account. For each party: <ul style="list-style-type: none"> • Check if a source of wealth description is required for the party. • Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected). • If none of the check boxes apply, describe the party's relation to the account in the "Other" column. • Always describe the relationship between the parties in the last column. • Please drill down to the ultimate/underlying Beneficial Owner(s). | | | | | | | | | | | | |
| | Legal Entity | Description of Source of Wealth required | Parties related to this account | Account Holder | PIC Owner | Settlor of Trust / Founder of Foundation | Ultimate Beneficial Owner | Signatory or Full POA | Limited POA | Financial Intermediary (FIM) | Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %) | Other (please describe other roles and/or indicate relationship between parties) |
| 1 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | JEJE, LLC | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 2 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Jeffrey E. Epstein | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | Sole Member; |
| 3 | <input type="checkbox"/> | <input type="checkbox"/> | Harry Beller | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 4 | <input type="checkbox"/> | <input type="checkbox"/> | Darren K. Indyke | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

SDNY_GM_00063616

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10/16/2018

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0026441

EFTA_00174124

EFTA01298689

| 2. Account Ownership Summary | | | | | | | | | | | | |
|---|---|--|---------------------------------|-------------------------------------|--------------------------|--|-------------------------------------|-------------------------------------|--------------------------|------------------------------|---|--|
| Account Name: | Jeepers Inc - ██████████ - DBSI | Acct. Number (if available): | N4G024935 | | | | | | | | | |
| Account Manager: | Stewart Oldfield | | | | | | | | | | | |
| What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of this account is to hold and make investments in marketable securities. | | | | | | | | | | | | |
| Indicate from where the assets are expected to arrive? | | | | | | | | | | | | |
| <input checked="" type="checkbox"/> DB Group: Same Booking Center (indicate account number): Existing <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input type="checkbox"/> Other Institution – (Indicate name & location): <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...): | | | | | | | | | | | | |
| What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? ~ 4-10/month; \$2K - \$40K | | | | | | | | | | | | |
| What is the expected volume of assets and currency for the account approx. 90 days after opening? | 3,269,297.20 | Currency | USD | | | | | | | | | |
| What is the expected volume of assets and currency for the account approx. one year after opening? | 3,269,297.20 | Currency | USD | | | | | | | | | |
| Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | | | | | | | |
| Please list all parties related to the account. For each party: <ul style="list-style-type: none"> • Check if a source of wealth description is required for the party. • Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected). • If none of the check boxes apply, describe the party's relation to the account in the "Other" column. • Always describe the relationship between the parties in the last column. • Please drill down to the ultimate/underlying Beneficial Owner(s). | | | | | | | | | | | | |
| # | Legal Entity of Source of Wealth required | Description of Source of Wealth required | Parties related to this account | Account Holder | PIC Owner | Settlor of Trust / Founder of Foundation | Ultimate Beneficial Owner | Signatory or Full POA | Limited POA | Financial Intermediary (FIM) | Significant Shareholder (>=25%) Non-PIC entity (indicate ownership %) | Other (please describe other roles and/or indicate relationship between parties) |
| 1 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | Jeepers, Inc | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 2 | <input type="checkbox"/> | <input type="checkbox"/> | Darren K. Indyke | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | Director & Authorized Signatory; |
| 3 | <input type="checkbox"/> | <input type="checkbox"/> | Harry Beller | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 4 | <input type="checkbox"/> | <input type="checkbox"/> | Jean Anne Brennan Wiebracht | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 5 | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Jeffrey E. Epstein | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | 100 | |

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

| 3A. Individual Details (for all parties) | | | | | |
|--|--|--|---|---------------------------|-----------|
| Individual's Name: | Jeffrey E. Epstein - 00000-██████ | Date of Birth: | 1/20/1953 | | |
| Country of Residence: | Virgin Islands, U.S. | Country of Citizenship: | United States | | |
| Address of primary residence: | Little Saint James Saint Thomas 00802 Virgin Islands, U.S. | Has client resided outside of his/her country of nationality for 5 years or more? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Profession/Occupation: | Self Employed | Tax ID / SSN: | ██████-8 | | |
| Current Employer: | Southern Trust Company Inc | Position/Title/Rank: | President | | |
| Address of employer: | 6100 Red Hook Quarter B3 St. Thomas Virgin Islands, U.S. 00802 | | | | |
| Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities) | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) Connection with Prince Andrew and Bill Clinton | | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe) N/A | | <input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None | | | |
| To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe) N/A | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| If applicable, indicate which bank officers have met the person: | | Indicate where and when the client meeting(s) took place: | | | |
| Bank Officer Name(s) | Bank Office: | Client Private Domicile: | Client Place of Business: | Other Location (specify): | Date: |
| Stewart Oldfield | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | 6/28/2017 |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

Wealth Details for this individual are not filled in, because they are the same as for the following person:

| 3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2) | |
|--|--|
| Nature of the Individual's Business: | Private investments |
| Primary Country of source of wealth/source of Funds? | United States |
| Primary industry of source of Wealth/Source of Funds? | High Risk Financial Institutions |
| Summarize Source of Wealth: | <input checked="" type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input checked="" type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other: |
| Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth? For business owners, how long in business, how many employees, level of | |

profitability? Indicate type of business, countries of major activities, important business partners.) Jeffrey Edward Epstein (born January 20, 1953) is an American financier and registered sex offender in the United States. He worked at Bear Stearns early in his career and then formed his own firm, J. Epstein & Co. He lives in the US Virgin Islands. Epstein taught calculus and physics at the Dalton School in Manhattan from 1973 to 1975. Among his students was a son of Alan C. Greenberg, chairman of Bear Stearns. In 1976, Epstein started work as an options trader at Bear Stearns where he worked in the special products division, advising high-net-worth clients on tax strategies. Proving successful in his financial career, in 1980 Epstein became a partner at Bear Stearns. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than \$1 billion in net worth. In 1987, Leslie Wexner, founder and chairman of Ohio-based The Limited chain of women's clothing stores, became a well-known client. Wexner acquired Abercrombie & Fitch the following year. In 1992 he converted a private school on the Upper East Side into an enormous residence. Epstein later bought that property, in the wealthiest part of Manhattan. In 1996, Epstein changed the name of his firm to the Financial Trust Company and, for tax advantages, based it on the island of St. Thomas in the U.S. Virgin Islands. In 2003, Epstein bid to acquire New York magazine. Other bidders were advertising executive Donny Deutsch, investor Nelson Peltz, media mogul and publisher Mortimer Zuckerman, who had the New York Daily News, and film producer Harvey Weinstein. They were ultimately outbid by Bruce Wasserstein, a longtime Wall Street investor, who paid \$55 million. In 2004, Epstein and Zuckerman committed up to \$25 million to finance Radar a celebrity and pop culture magazine founded by Maer Roshan. Epstein and Zuckerman were equal partners in the venture. Roshan, as its editor-in-chief, retained a small ownership stake. Presently, Mr. Epstein founded Southern Trust Company Inc, a private consulting company that invests the assets of their clients and gets their revenue from the return of these investments. Southern Trust invests in different portfolios catered to their clients and makes their revenue based on the returns and the fees associated with managing their client's assets

| | | | |
|-------------------------------|---------------|--|------------------|
| Estimated Annual Income (\$): | 10,000,000.00 | Estimated amount of investable assets(\$): | \$50 MM - 100 MM |
| Estimated Net Worth(\$): | \$500 MM + | Amount of assets planned to invest with PWM(\$): | 2,300,000.00 |

Other Known Financial Institutions:

| | | | | | |
|--------------|--|----------|--|------------------------|--|
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |

Please indicate the family situation of the individual (marital status, other family members, etc.): N/A

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

| 3A. Individual Details (for all parties) | | | | | |
|---|---|---|--|---|-------|
| Individual's Name: | Harry Beller - 00000 [REDACTED] | | Date of Birth: | [REDACTED] | |
| Country of Residence: | United States | | Country of Citizenship: | United States | |
| Address of primary residence: | 12 Golar Drive Monsey NY 10952 United States | | Has client resided outside of his/her country of nationality for 5 years or more? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| Profession/Occupation: | Accountant | | Tax ID / SSN: | [REDACTED] | |
| Current Employer: | HBRK Associates, Inc | | Position/Title/Rank: | Accountant | |
| Address of employer: | 575 Lexington Avenue, 4th Floor Monsey NY United States 10022 | | | | |
| Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities) | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) N/A | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe) N/A | | | <input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None | | |
| To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe) N/A | | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If applicable, indicate which bank officers have met the person: | | Indicate where and when the client meeting(s) took place: | | | |
| Bank Officer Name(s) | Bank Office: | Client Private Domicile: | Client Place of Business: | Other Location (specify): | Date: |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

| <input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person: | |
|---|--|
| 3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2) | |
| Nature of the Individual's Business: | |
| Primary Country of source of wealth/source of Funds? | |
| Primary industry of source of Wealth/Source of Funds? | |
| Summarize Source of Wealth: | <input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other: |
| | |

SDNY_GM_00063620

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10/16/2018

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0026445

EFTA_00174128

EFTA01298693

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

| | | | |
|-------------------------------|--|--|--|
| Estimated Annual Income (\$): | | Estimated amount of investable assets(\$): | |
| Estimated Net Worth(\$): | | Amount of assets planned to invest with PWM(\$): | |

Other Known Financial Institutions:

| | | | | | |
|--------------|--|----------|--|------------------------|--|
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

| 3A. Individual Details (for all parties) | | | | | |
|---|---|--|---|---------------------------|------------|
| Individual's Name: | Darren K. Indyke - 0000 [REDACTED] | Date of Birth: | [REDACTED] | | |
| Country of Residence: | United States | Country of Citizenship: | United States | | |
| Address of primary residence: | 2 Kean Court Livingston NJ 07039 United States | Has client resided outside of his/her country of nationality for 5 years or more? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Profession/Occupation: | Accountant | Tax ID / SSN: | [REDACTED] | | |
| Current Employer: | Southern Financial LLC | Position/Title/Rank: | Accountant | | |
| Address of employer: | 6100 Red Hook Quarter B3 St Thomas Virgin Islands, U.S. 00802 | | | | |
| Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities) | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) N/A | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe) N/A | | <input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None | | | |
| To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe) N/A | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| If applicable, indicate which bank officers have met the person: | | Indicate where and when the client meeting(s) took place: | | | |
| Bank Officer Name(s) | Bank Office: | Client Private Domicile: | Client Place of Business: | Other Location (specify): | Date: |
| Stewart Oldfield | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | 12/12/2016 |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

| <input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person: | |
|---|--|
| 3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2) | |
| Nature of the Individual's Business: | |
| Primary Country of source of wealth/source of Funds? | |
| Primary industry of source of Wealth/Source of Funds? | |
| Summarize Source of Wealth: | <input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other: |
| | |

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

| | | | |
|-------------------------------|--|--|--|
| Estimated Annual Income (\$): | | Estimated amount of investable assets(\$): | |
| Estimated Net Worth(\$): | | Amount of assets planned to invest with PWM(\$): | |

Other Known Financial Institutions:

| | | | | | |
|--------------|--|----------|--|------------------------|--|
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |
| Institution: | | Country: | | Est. Assets Under Mgt: | |

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary

| 3A. Individual Details (for all parties) | | | | | |
|---|---|--|---|---------------------------|-------|
| Individual's Name: | Jean Anne Brennan Wiebracht - 00000487647 | Date of Birth: | [REDACTED] | | |
| Country of Residence: | Virgin Islands, U.S. | Country of Citizenship: | Virgin Islands, U.S. | | |
| Address of primary residence: | #6-L10 Est. Nazareth St. Thomas 00802 Virgin Islands, U.S. | Has client resided outside of his/her country of nationality for 5 years or more? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| Profession/Occupation: | Finance | Tax ID / SSN: | [REDACTED] | | |
| Current Employer: | Southern Financial LLC | Position/Title/Rank: | Finance | | |
| Address of employer: | 6100 Red Hook Quarter, B3 St, Thomas Virgin Islands, U.S. 00802 | | | | |
| Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities) | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) N/A | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe) N/A | | <input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None | | | |
| To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe) N/A | | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| If applicable, indicate which bank officers have met the person: | | Indicate where and when the client meeting(s) took place: | | | |
| Bank Officer Name(s) | Bank Office: | Client Private Domicile: | Client Place of Business: | Other Location (specify): | Date: |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | | |

| <input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person: | |
|---|--|
| 3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2) | |
| Nature of the Individual's Business: | |
| Primary Country of source of wealth/source of Funds? | |
| Primary industry of source of Wealth/Source of Funds? | |
| Summarize Source of Wealth: | <input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other: |
| | |

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

| | | | |
|-------------------------------------|--|--|--|
| Estimated Annual Income (\$): | | Estimated amount of investable assets(\$): | |
| Estimated Net Worth(\$): | | Amount of assets planned to invest with PWM(\$): | |
| Other Known Financial Institutions: | | | |
| Institution: | | Country: | |
| Institution: | | Country: | |
| Institution: | | Country: | |

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

| | | | |
|--|--|---|--|
| Legal Entity Name: | JEGE, Inc - 00000 [REDACTED] | | |
| Type of Entity: | <input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership | Purpose of Entity: | <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial |
| Type of Entity Other (specify): | | Purpose of Entity Other (specify): | |
| Country of incorporation/registration: | United States | Date of incorporation / registration: | 9/7/2000 |
| Volcker Status: | Identified on Customer Profile | Volcker Flag: | No |
| Address (city, street, post code): | 6100 Red Hook, Quarter B3 St, Thomas 00802 Virgin Islands, U.S. | U.S. TIN/EIN: | [REDACTED] |
| Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: Jeffrey Epstein is the sole owner. The signors on this account are Jeffrey Epstein, Harry Beller and Darren Indyke | | | |
| Please indicate how ownership of the legal entity is reflected: Share Certificate | | | |
| <input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied: | | | |
| Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Jeffrey Epstein is the sole owner. The signors on this account are Jeffrey Epstein, Harry Beller and Darren Indyke | | | |
| To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)? N/A | | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | |
| Describe Nature of Entity's Primary Business and Investment Activities | | | |
| Nature of the business: | Holds funds to pay for costs associated with an airplane owned by Jeffrey Epstein. | | |
| Countries where business is transacted: | United States | | |
| Number of employees: | 1 | | |

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| 3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2) | | |
|--|----------------------------------|------------------------|
| Primary Country of source of wealth/source of Funds? | United States | |
| Primary industry of source of Wealth/Source of Funds? | High Risk Financial Institutions | |
| Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): All corporate funds/assets come from contributions by the sole owner, Jeffrey Epstein and his source of wealth | | |
| Estimated gross receipts p.a.(\$): | 1,000,000.00 | |
| Estimated net profit p.a. (\$): | 1,000,000.00 | |
| Estimated investable assets (\$): | <\$1 MM | |
| Potential Amount to be invested with PWM (\$): | | |
| Other Known Financial Institutions: | | |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

| 3C. Legal Entity Details (For all Legal Entities) | | | |
|---|--|---|---|
| Legal Entity Name: | JEJE, LLC - [REDACTED] | | |
| Type of Entity: | <input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership | Purpose of Entity: | <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial |
| Type of Entity Other (specify): | | Purpose of Entity Other (specify): | |
| Country of incorporation/registration: | Virgin Islands, U.S. | Date of incorporation / registration: | 10/19/2012 |
| Volcker Status: | Identified on Customer Profile | Volcker Flag: | No |
| Address (city, street, post code): | 6100 Red Hook, Quarter B3 St, Thomas 00802 Virgin Islands, U.S. | U.S. TIN/EIN: | [REDACTED] |
| Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: Single member LLC. Jeffrey Epstein is the sole member. The signors on this account are Jeffrey Epstein, Harry Beller, and Darren Indyke | | | |
| Please indicate how ownership of the legal entity is reflected: Operating Agreement | | | |
| <input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied: | | | |
| Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Single member LLC. Jeffrey Epstein is the sole member. The signors on this account are Jeffrey Epstein, Harry Beller, and Darren Indyke | | | |
| To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)? | | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | |
| N/A | | | |

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Describe Nature of Entity's Primary Business and Investment Activities

| | |
|---|---|
| Nature of the business: | This is a company formed to hold funds for the taxes and payments of one of Jeffrey Epstein's airplanes. This LLC was formed to eventually take over payments for JEJE Inc. JEJE Inc will be closed within a year |
| Countries where business is transacted: | Virgin Islands, U.S. |
| Number of employees: | 1 |

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

| | | |
|--|----------------------------------|------------------------|
| Primary Country of source of wealth/source of Funds? | United States | |
| Primary industry of source of Wealth/Source of Funds? | High Risk Financial Institutions | |
| Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Initial funding of \$1,000 from sole member Jeffrey Epstein. All corporate assets come from contributions made by the sole owner | | |
| Estimated gross receipts p.a.(\$): | 100,000.00 | |
| Estimated net profit p.a. (\$): | 100,000.00 | |
| Estimated investable assets (\$): | <\$1 MM | |
| Potential Amount to be invested with PWM (\$): | | |
| Other Known Financial Institutions: | | |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**3C. Legal Entity Details** (For all Legal Entities)

| | | | |
|---|--|---------------------------------------|--|
| Legal Entity Name: | Jeepers, Inc - 00000486423 | | |
| Type of Entity: | <input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership | Purpose of Entity: | <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial |
| Type of Entity Other (specify): | | Purpose of Entity Other (specify): | |
| Country of incorporation/registration: | Virgin Islands, U.S. | Date of incorporation / registration: | 8/18/2003 |
| Volcker Status: | Identified on Customer Profile | Volcker Flag: | No |
| Address (city, street, post code): | 6100 Red Hook Quarter B3 St, Thomas 00802 Virgin Islands, U.S. | U.S. TIN/EIN: | ██████████ |
| Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: Jeffrey Epstein is the sole shareholder of Jeepers Inc. The signors on this account are Jeffrey Epstein, Jean Anne Brennan, Harry Beller, and Darren Indyke | | | |

SDNY_GM_00063627

<https://dbforcepb.my.salesforce.com/ser/letservet/Integration?Id=01N30000000D9Di&...>

10/16/2018

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DB-SDNY-0026452

EFTA_00174135

EFTA01298700

Please indicate how ownership of the legal entity is reflected: Shareholder Information Attached.

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Jeffrey Epstein is the sole shareholder of Jeepers Inc. The signors on this account are Jeffrey Epstein, Jean Anne Brennan, Harry Beller, and Darren Indyke

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?
N/A

Yes No

Describe Nature of Entity's Primary Business and Investment Activities

| | |
|---|--|
| Nature of the business: | Jeepers Inc is a private company that holds investments of its owner, Jeffrey Epstein. |
| Countries where business is transacted: | Virgin Islands, U.S. |
| Number of employees: | 3 |

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

| | | |
|---|----------------------------------|------------------------|
| Primary Country of source of wealth/source of Funds? | United States | |
| Primary industry of source of Wealth/Source of Funds? | High Risk Financial Institutions | |
| Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Jeepers Inc was started to hold the personal investments of Jeffrey Epstein. The company began with 1,000 shares of common stock at no par value. Its revenue comes from the gains of the investments it holds. | | |
| Estimated gross receipts p.a.(\$): | 400,000.00 | |
| Estimated net profit p.a. (\$): | 200,000.00 | |
| Estimated investable assets (\$): | <\$1 MM | |
| Potential Amount to be invested with PWM (\$): | | |
| Other Known Financial Institutions: | | |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |
| Institution: | Country: | Est. Assets Under Mgt: |

DB PWM GLOBAL KYC/NCA: US/LatAm/Int'l **PART B** 

| | | | | | | |
|--------------------------------------|---|---|-----------------------------------|--|---|-----------|
| Relationship Name | SOUTHERN FINANCIAL RELATIONSHIP | | | | | |
| Risk Rating Comments: | | | | | | |
| Booking Center | <input checked="" type="checkbox"/> NY | <input type="checkbox"/> NY/Offshore | <input type="checkbox"/> Offshore | <input type="checkbox"/> Moderate Risk | <input checked="" type="checkbox"/> High Risk | Gwen Hill |
| (Compliance Signature) | | | | | | |
| <input type="checkbox"/> DB Employee | <input type="checkbox"/> DB Managed PIC | <input type="checkbox"/> DB is Trustee/Co-Trustee | | | <input type="checkbox"/> Bearer Shares | |

| 4. Attachments | | | |
|---|---|--|--|
| A. Type of Photo ID Provided | <input checked="" type="checkbox"/> Drivers License | <input checked="" type="checkbox"/> Passport | <input type="checkbox"/> National/State ID <input type="checkbox"/> Other : Darren Indyke - 486405 & Jean Anne - 487647 |
| B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No | |
| C. Please indicate the results of the database searches performed | | | |
| RDC searches complete | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | negative results found | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| PCR checks complete | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | negative results found | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| OFAC checks complete | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | negative results found | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| BIS searches complete (Lexis/Nexis, Factiva, Reuters, Dow Jones, D&B) | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | negative results found | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| Denial Orders checks complete | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | negative results found | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| Martindale-Hubbell searched (Lawyers/Law Firms only) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | negative results found | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| D. Please summarize any negative results from the database searches indicated above: Jeepers Inc | | | |
| <ul style="list-style-type: none"> - Negative news does not pertain to our client also it is not material in nature. - Court cases on Google does not pertain to our client. It is for Jeepers Inc of Illinois. | | | |
| <p>Jege Inc</p> <ul style="list-style-type: none"> - Negative news in Google relates to Jeffrey Epstein's sex offence in the past which has been cleared already. - No court cases. | | | |
| <p>Jege LLC</p> <ul style="list-style-type: none"> - Negative news in Google relates to Jeffrey Epstein's sex offence in the past which has been cleared already. - No court cases. | | | |
| <p>Harry Beller:</p> <ul style="list-style-type: none"> - No negative media - Harry Beller is the plaintiff in the court case, also it has been disposed. | | | |
| <p>Jeffrey Edward Epstein:</p> <ul style="list-style-type: none"> - Negative media relates to the sex offence in the past which are already cleared. (KYC # - 01790655) - Court cases relates to Jeffrey's sex offence in the past which is already cleared, another is a recent ongoing civil lawsuit pertaining to fraud, but part of normal business operations for this client. And in one of the case he's neither defendant nor plaintiff. He's just named as a respondent and the case is immaterial in nature. - Criminal Filings relates to sex offence which is already cleared in the past - RDC alert found - Sex offences in the past which has been cleared - Clearance attached. | | | |
| <p>Darren Indyke:</p> <ul style="list-style-type: none"> - Negative news in Google relates to Jeffrey Epstein's Foundation and are not material in nature. - Court case mentioned in Google search relates to Jeffrey Epstein's sex offence in the past which has been cleared already. Darren is just an attorney for Jeffrey in the case. - Fircosoft alert has been cleared by AML Compliance. Clearance attached. | | | |

| | |
|---|---|
| Jean Anne Brennan Wiebracht: - No negative media - No court cases Southern Trust Company Inc: - No negative media - No court cases | |
| E. | To the best of your knowledge, has the client ever been convicted of a criminal offense? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| F. | To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? <i>(If Yes, provide details below and contact Quality Mgt. (LatAm/Int?) or the Regulatory Control Group and notify Compliance immediately)</i> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| G. | Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| H. | Does the client or related party have any financial or other association/ interactions within high risk countries? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| I. | Corporate Documentation Attached (Legal Entities Only) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Applicable |
| J. | Undisclosed Principal Form Complete (Intermediaries Only) <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Applicable |
| K. | If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation: |
| L. Special Risk Factors | |
| | Does the account have Nexus to Special Risk Countries? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | Is the account structure unusually complex? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | Is there any indication the client set up a non operating company expressly for the purpose of transferring shares to third parties? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | Is there any indication this could be a prohibited business relationship? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| | Are the bearer shares identified subject to acceptable controls? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

| M. Case Comments | | |
|------------------|-------------------|---|
| Created By | Date | Comments |
| Gwen Hill | 10/15/118 3:03 PM | <p>This KYC serves as a high risk review for JEJE Inc, JEJE LLC and Jeepers Inc (herein referred to as "accontholders") –</p> <p>Below are the reasons why we are comfortable with approving this KYC:</p> <ul style="list-style-type: none"> • Information and supporting documents required by our AML Policy were provided and reviewed; and as a result, we have an understanding of the structure of the accountholders, their purpose, the purpose of the accounts and expected transaction activity. • There are a few risk factors associated with the accountholders – -SOW: The UBO (Jeffrey Epstein) is the founder of Southern Trust Company Inc, which manages client's assets. SOW verification was obtained. -Negative Media & PEP: There is some negative media against Mr. Epstein and he is also maintains a close relationship with Bill Clinton and Prince Andrew. Please see risk calculator for more information. Appropriate approval was obtained. • Our review did not identify any red flags and thus there was no need to escalate this case further. • We performed due diligence searches on all parties and did not find the names searched to be on any sanctions lists. |
| Alka Babu | 8/24/118 5:52 AM | No material changes confirmation "email" attached in the case |

| ONSHORE APPROVALS | | | |
|-----------------------------------|-------------------|----------------------------------|------------|
| Client Facing Professional (CFP): | Stewart Oldfield | (Signature) Stewart Oldfield | 9/28/2018 |
| Office Director/Business Head: | Andrew F Gallivan | (Signature) Andrew F Gallivan | 9/28/2018 |
| Regional Office Director: | | (Signature) | |
| AML Business Risk: | | (Signature) | |
| AML Compliance: | Sandra Timpone | (Signature) Sandra Timpone | 10/15/2018 |
| | Gwen Hill | (Signature) Gwen Hill | 10/15/2018 |

| LATAM/INTERNATIONAL APPROVALS | | | |
|---|--|-------------|--|
| Client Facing Professional (CFP): | | (Signature) | |
| Account Manager: | | (Signature) | |
| Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH): | | (Signature) | |
| AML Business Risk: | | (Signature) | |

SDNY_GM_00063631

<https://dbforcepb.my.salesforce.com/ser/let/ser/et/Integration/?id=01N30000000D9Di&...> 10/16/2018

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DB-SDNY-0026456

EFTA_00174139

EFTA01298704

| | | | |
|-----------------|--|-------------|--|
| AML Compliance: | | (Signature) | |
|-----------------|--|-------------|--|

PRIVATE WEALTH MANAGEMENT POLICY STATEMENT: Deutsche Bank Private Wealth Management (PWM) worldwide is committed to professionally serve the interests of its clients. To do so, Client Facing Professionals (1) (CFP's) should establish and maintain business relationships only with persons who satisfy our high standards of suitability, background and character. Due diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility of CFP's to demonstrate that they know their client both at the inception of a relationship and on an ongoing basis.

DECLARATION FOR ALL SIGNERS OF THIS FORM: To the best of my knowledge and belief the above information is correct and up to date. I confirm that I have no suspicions relating to the money laundering or unethical activities on the part of the client(s) and that I have followed all the procedures relating to account opening as described in the PWM Americas Procedures and the PWM Global KYC Policy

(1) Client Facing Professionals (CFP's) include: Relationship Managers, Wealth Advisors, Product Officers, Brokers, Client Managers, etc.

| | |
|--|--|
| |  |
|--|--|