

CRRE: Client Risk Rating Calculation

Request Details

Initiating Request ID: WEB-31045416352403109
Date Time: 2018-11-13 15:24:25
Event Model Run Instance: 114654-1
Event Model Run Version: CRRE 4.1.0
Event Calibration Version: 4.1
Client Adoption Country: US - United States

Overall Risk

Overall Risk Score: 11
Overall Risk Level: HIGH
Type Of Logic Applied: Adverse Media; Client PEP or UBO PEP/RCA

Entity Risk

Risk Category: MEDIUM
Score: 2

Legal Entity	Risk Score	Local Rule
Foundation with ownership transparency	2	N

Industry Risk

Risk Category: HIGH
Score: 5
Type Of Logic:

Industry	Risk Score	Local Rule
High Risk Financial Institutions	5	N

Country Risk

Risk Category: MEDIUM
Score: 3
Type Of Logic:

Country Type	Country	Risk Score	Flag	Local Rule
countryOfOp	VI - Virgin Islands, U.S.	3		N
clientCountryReg	US - United States	0		N
primarySourceOfWealth	US - United States	0		N
countryOfNationality (ID 1)	US - United States	0		N
uboDomicile (ID 1)	VI - Virgin Islands, U.S.	3		N

Product Risk

Risk Category: MEDIUM
Score: 1

SDNY_GM_00064029

Product	Risk Score	Local Rule
Execution Only	1	N