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|------------------------------|--|--|--|-----------------------|--|--|--|--|--|--|----------------|--|--|--|--|--|--|
| Account Holder Name | | The 2007 Jeffrey E. Epstein Insurance Trust #3 | | | | | | | | | | | | | | | |
| GCIS # | | 487199 | | Select reason for KYC | | | | | | | Regular Review | | | | | | |
| Case # | | 1977694 | | | | | | | | | | | | | | | |
| Product(s) | | Banking | | | | | | | | | | | | | | | |
| additional products | | | | | | | | | | | | | | | | | |
| Account Value | | | | | | | | | | | | | | | | | |
| Enter Country of Citizenship | | United States | | | | | | | | | | | | | | | |
| Enter Country of Residence | | United States | | | | | | | | | | | | | | | |
| Entity Type | | Trust | | | | | | | | | | | | | | | |
| comments | | | | | | | | | | | | | | | | | |
| Ownership | | Trust Agreement (complete document) | | | | | | | | | | | | | | | |
| other: | | | | | | | | | | | | | | | | | |
| Legal Existence | | Trust Agreement (complete document) | | | | | | | | | | | | | | | |
| other: | | | | | | | | | | | | | | | | | |
| Signing Authority | | Signature Card | | | | | | | | | | | | | | | |
| Entity Powers / Structure | | Trust Agreement (complete document) | | | | | | | | | | | | | | | |
| other: | | | | | | | | | | | | | | | | | |

- Required Approvals/Documents include but not limited to:**
- ABR Approval: non-US clients with indicia of US status (non-US client changing status to become a US person/US client changing status to become a Non-US person/POA or authorized signatory is non-U.S. but has a U.S. address)
 - AFC Reputational Risk Approval (gambling nexus)
 - AML Compliance & BRM Approval for US persons with an offshore PIC
 - Client and/or UBO from EU (refer to Tab 3 - EU Country List) - add note to client profile
 - Client Risk Program (CRP) Form for all new loans (CRP forms are required at KYC stage because loans do not go through India - NON US CLIENTS ONLY.
 - Cross Border Approval
 - CRP Escalation Body Approval - Exceptions to local exit country list permitted. NO EXCEPTIONS for Global exit countries
 - ExCo Approval (High Risk KYCs For New Clients AND clients downgraded from low/medium to HIGH AND all Event Driven Reviews)
 - FinCEN's CDD Rule Form
 - German Bank Separation Act (GBSA) Questionnaire - required for ALL new clients (GBSA determination made for clients existing as of 6/30/17 - But NEEDS to be requested for their 50%+ indirect owners) and any in between entities that indirectly own 50% or more of
 - Group Sustainability Approval (environmental/social risk)
 - Industry Rep Risk Escalation (<https://lnpa.risk.intranet.db.com/lars/app/App.html>) - defense industry
 - MD Approval (New adoptions Only - all risk ratings)** Subject to upcoming BSM approval changes - this may be removed.
 - Non-target market Approval
 - PEP/negative media escalation Approvals - Head WM AML Compliance
 - Venezuela: Line of business Approval to commence KYC adoption process
 - RATIONALE MEMO stating basis for approving KYC

- General Notes:**
- (1) Indicate in comments if information is not found in current KYC or Customer Profile (enter number in fields E15:W26 and reference to that number in the comments), explain in which KYC Case or where in the Repository the information can be found
 - (2) Indicate if information is missing
 - (3) If the account holder is a non US individual and has a US individual as POA, tax experts, Pema Sherpa and Rich Iarosi, need to be informed as this is considered a US indicia
- Footnotes:**
- (a) State Occupation, Employer's Name and Address, Estimated Income, Net Worth
 - (b) If there is any negative news, assure to summarize it in DBforce.
 - (c) Make sure the PEP determination captured accurately.
 - (d) Make sure the account details are completed (i.e. account manager, account type, currency, purpose of the account)
 - (e) Make sure the client meeting information is entered in DB Force. Are the booking center, referral source, introduction detail and payment retrocession question related to this client entered in DBforce?