

NAME SEARCHED: Financial Trust Company Inc and Southern Financial LLC

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. RDC Results	RDC alert (Mail Sent Separately)- Financial Trust Company Inc No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. PCR Results	No PCR alert (Please see attached)
BIS	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	III. Negative Media	Result Found(please see attached)
		IV. Non-Negative Media	There was no information found
		V. Other Language Media	Not Required
D&B	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VI. D&B	Result Found(please see attached)
Smartlinx	Results? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not Required	VII. Smartlinx	Result Found(please see attached)
Court Cases	<input checked="" type="checkbox"/> Review by Legal May be Required <input type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. Court Cases	Result Found(please see attached)

Prepared by: Urvashi Lavania Date:08/15/2013
Research Analyst

Instructions:

1. Review and confirm that all results are returned for your client.
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OFAC RESULTS

RDC:

Financial Trust Company Inc-alert

11525825 No Match Found 6625071 Southern Financial LLC Country:United States

PCR:

C20130818460008 Financial Trust Company Inc 6625071 NCA customised Closed - No Hit 15/08/2013

C20130818460011 Southern Financial LLC 6625071 NCA customised Closed - No Hit 15/08/2013

BIS RESULTS

Negative Media:

Palm Beach Daily News

July 4, 2010 Sunday
Dn1 Edition

Epstein's house arrest challenges guidelines; Many departures from state regulations OK'd by court or probation officer.

BYLINE: MICHELE DARGAN, MICHELE DARGAN , \ Daily News Staff Writer \

SECTION: A SECTION; Pg. A.1

LENGTH: 1419 words

Billionaire sex offender Jeffrey Epstein has strayed from specified guidelines during his year of house arrest, his probation file shows.

Although Epstein has made several apparent missteps, a Daily News review of hundreds of pages of his probation records shows he has never been arrested for any violations. In fact, all departures from Florida Department of Corrections guidelines have received the blessing of either the court or his probation officer.

For example, a report by a Palm Beach police captain states that, in his opinion, Epstein violated probation in August when he was walking along State Road A1A, but his probation officer nevertheless deemed him compliant.

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DB-SDNY-0027191

EFTA_00174869

EFTA01298971

Epstein's house arrest challenges guidelines; Many departures from state regulations OK'd by court or probation officer. Palm Beach Daily News July 4, 2010 Sunday

Epstein, 57, has traveled on his private jet multiple times each month to the Virgin Islands or New York, all in compliance with a court order.

He has spent blocks of time in Home Depot and Sports Authority multiple times, as documented in his daily probation logs.

On March 31, he was at Home Depot from 6 to 10 p.m., according to his daily probation logs; and on March 27, Epstein notes that he was at both stores from 11:30 a.m. to 5 p.m.

"By statute, stores are not prohibited places for sex offenders," said Jo Ellyn Rackleff, spokesperson for the Florida Department of Corrections in Tallahassee. "Community Control procedures allow for offenders to be given permission to shop for personal needs for reasonable amounts of time."

But Assistant State Attorney Teri Skiles, chief of the Major Violent Crimes Division, said other than going to work, Epstein is allowed to "go to the grocery store once a week, his attorney's office and any doctors' appointments. However, he has to advise his probation officer of all of his appointments prior to going."

Regarding shopping, Skiles said it is not the intention of the state attorney's office to allow it.

"However, if he gets permission from his probation officer, then he is allowed to," she said.

Florida Department of Corrections guidelines state: "Shopping for personal items and getting a haircut is limited to once a month."

Epstein served 13 months of his 18-month sentence and received liberal work-release privileges while in jail. He was able to go to his West Palm Beach office six days a week for up to 16 hours a day.

Epstein has been serving one year of house arrest at his Palm Beach home, which ends July 21, and is registered as a lifelong sex offender.

Epstein has paid confidential settlements to more than two dozen women locally who claim Epstein sexually abused them as minors. Jane Doe, who was 14 at the time of the abuse, is set for trial in her case on July 19.

Probation officer gives permission

Epstein's daily probation logs are supposed to detail -- with addresses included -- his whereabouts at all times, according to Florida Department of Corrections guidelines. In most cases, Epstein's logs are short on details, usually with no specific addresses and, in one case, inaccurate.

The Aug. 19, 2009, entry shows he was at the "office" at 250 S. Australian Ave., West Palm Beach, from 8 a.m. to 9 p.m. But, according to a Palm Beach Police memorandum, Epstein was stopped by Palm Beach Police Capt. George Frick walking back and forth along South Ocean Boulevard between 4:30 and 5 p.m. that day, one month after his release from the Palm Beach County Jail.

When Frick stopped Epstein at 4:53 p.m., he was walking southbound at South Ocean at Clarke Avenue but he had been seen walking northbound a half-hour earlier, according to the written report.

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Epstein's house arrest challenges guidelines; Many departures from state regulations OK'd by court or probation officer. Palm Beach Daily News July 4, 2010 Sunday

Frick had contacted the probation supervisor, who said, in his opinion, it was a probation violation.

Dressed in sweat pants and a white T-shirt, Epstein told Frick he was walking to his West Palm Beach office and was allowed to do so by his probation officer, Carmen Sloan.

Frick asked Epstein why he was not on a direct route to work. Epstein said he had walked to the north bridge [Flagler Memorial Bridge], but it was too busy and now was headed to the middle bridge [Royal Park Bridge].

Frick said Epstein did not have a copy of his weekly schedule, which the supervisor said he should have with him.

After speaking with Sloan, the supervisor told Frick that Sloan had given permission for Epstein to walk to work at this time of day and she did not consider it a violation. Frick released Epstein without arrest.

Police contact probation officer

The next day, Frick spoke directly with Sloan.

"I then questioned Sloan as to why he could be so far off a direct route and how much detail was included in this weekly schedule. She advised there was not much detail on the schedule or about the route. I advised Sloan that in order to avoid ongoing problems with Epstein that I would need a copy of his weekly schedule and these schedules would have to be more detailed. I further advised her that, based on my observations, this was a clear violation of his community control and, that absent her involvement yesterday, Epstein would have been arrested for VOP [violation of parole]."

Notations by Sloan in the file show she told Frick it would be too difficult to provide him with Epstein's weekly schedules because they often change and wouldn't necessarily be accurate.

"At Officer Sloan's discretion, he was walking to work as his exercise, as he was not allowed to swim or go to the gym," Rackleff said via e-mail.

When asked about the discrepancy in his daily log entry for Aug. 19, Rackleff said, "Unfortunately, we cannot answer this one today, it looks like there is a second entry on the log in the evening of 8/19/09, but we cannot make out what it says. (The supervising officer at that time was CPSO Sloan and she is out on extended leave). The case notes do not specify what time the police saw him."

Assistant State Attorney Skiles said, "We evaluate information that comes to the office about compliance. We do not have primary compliance responsibility. That responsibility rests with the probation office."

Traveling outside state

Records show that Epstein traveled nearly every week to either his home in New York City or his private island, Little St. James in the U.S. Virgin Islands, during the first four months of this year. His probation officers have issued him travel permits for each trip in accordance with a Dec. 18 court order by Circuit Judge Jeffrey Colbath.

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Epstein's house arrest challenges guidelines; Many departures from state regulations OK'd by court or probation officer. Palm Beach Daily News July 4, 2010 Sunday

The judge approved Epstein's travel out of Palm Beach County for business purposes -- with 48-hour prior approval from his probation officer. He must travel on weekdays and may stay over one night. Travel permits show he has done so.

Inactive company

Epstein's company, the Florida Science Foundation, is listed as inactive as of Sept. 25, according to the Florida Division of Corporations. Yet, he still has that company listed as his place of business on his probation documents and on his sex **offender** registration.

A certificate of incorporation for C.O.U.Q Foundation -- with Epstein as president -- lists the same office address.

Rackliffe said via e-mail, "He no longer has a company registered in Florida, however, maintains an office for his Virgin Island business, **Financial Trust Co. Inc.** at 250 South Australian Ave, West Palm Beach. We have a copy of the business license registered in the U.S. Virgin Islands. Apparently when he registered with PBSO, for the **Sex Offender** Registration, he did not give them his updated company information. The location is the same."

Epstein's attorney, Jack Goldberger, did not return calls for comment.

-- mdargan

@pbdailynews.com \

Jan. 6, 2010 -- Palm Beach to New York. Leaves New York at 2:15 a.m. on \ Jan. 7 for St. Thomas; back in Palm Beach at 9:30 p.m. that night.

\ Jan. 7 -- Leaves Palm Beach at 6 a.m. for day trip to the Virgin Islands.

Jan. 13 -- Trip to New York. Back in Palm Beach house at 2 a.m. Jan. 14.

\ Jan. 15 -- At 6 a.m., leaves for Virgin Islands; arrives home in Palm \ Beach at 9 p.m.

\ Feb. 1 and 2 -- New York

\ Feb. 5 -- Day trip to the Virgin Islands

\ Feb. 15 -- Leaves Palm Beach for New York. At 8 p.m., leaves New York for \ St. Thomas Airport and lands there at 1 a.m. on Feb. 16. Arrives home by \ 9:30 p.m.

\ Feb. 16 -- At 6 a.m., leaves for Palm Beach International Airport and is \ back in his residence at Little St. James in the Virgin Islands by 11 a.m. \ Stays overnight and is back at home by 9:30 p.m. Feb. 17.

\ Feb. 18-19 -- New York

\ Feb. 23-24 -- New York

\ March 2-3 -- New York

\ March 5 -- Virgin Islands

\ March 22 -- Virgin Islands

\ March 25-26 -- New York

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EFTA01298974

Epstein's house arrest challenges guidelines; Many departures from state regulations OK'd
by court or probation officer. Palm Beach Daily News July 4, 2010 Sunday

\ March 29-30 -- Virgin Islands

\ April 7-8 -- New York

\ Source: Jeffrey Epstein's Probation File\

LOAD-DATE: December 2, 2010

LANGUAGE: ENGLISH

DOCUMENT-TYPE: News

PUBLICATION-TYPE: Newspaper

JOURNAL-CODE: PBDN

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Non-Negative Media:

There was no information found

Other Language Media:

Not Required

Public Records:

1 OF 2 RECORD(S)

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Subject Summary

Name	Address	Phone	County/FIPS
FINANCIAL TRUST COMPANY, INC.	457 MADISON AVE NEW YORK, NY 10022-6843	None Listed	

Name Variations/DBAs

#	Name Variations
1.	FINANCIAL TRUST COMPANY, INC.

Addresses - 1 records found

#	Address	Dates	County	MSA

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#	Address	Dates	County	MSA
1.	457 MADISON AVE NEW YORK, NY 10022	8/11/1999 -	NEW YORK	New York, NY - 5600

7/2009

UCC Liens - 2 records found 1:NY UCC LIEN FILING

Debtor Information**Debtor 1**

Name: FINANCIAL TRUST COMPANY, INC.
Address: 457 MADISON AVE NEW YORK, NY 10022-6843

Debtor 2

Name: FINANCIAL TRUST COMPANY, INC. C/O J. EPSTEIN & COMPANY, INC
Address: 457 MADISON AVE NEW YORK, NY 10022-6843

Secured Party Information**Secured 1**

Name: CITIBANK, N.A.
Address: 70 E 55TH ST NEW YORK, NY 10022-3222

Secured 2

Name: CITIBANK, N.A. C/O ST. JOHN & WAYNE, LLC
Address: 70 E 55TH ST NEW YORK, NY 10022-3222

Filing Information

Original Filing Number: 200406140607730
Original Filing Date: 6/14/2004

Filing 1

Filing Type: TERMINATION
Filing Date: 5/1/2009
Filing Number: 200905015401920

Filing 2

Filing Type: INITIAL FILING
Filing Number: 200406140607730
Filing Date: 6/14/2004
Expiration Date: 6/14/2004

Filing Offices

Address: 162 WASHINGTON AVE ALBANY, NY 12210
Filing Agency: SECRETARY OF STATE/UCC DIVISION

Collateral Information

Description: 06/14/2004 200406140607730 - ACCOUNT(S) AND PROCEEDS;ASSETS AND PROCEEDS;NEGOTIABLE INSTRUMENTS AND PROCEEDS

2:NY UCC LIEN FILING

Debtor Information**Debtor 1**

Name: FINANCIAL TRUST COMPANY, INC.
Address: 457 MADISON AVE NEW YORK, NY 10022-6843

Debtor 2

Name: FINANCIAL TRUST COMPANY, INC.

Debtor 3

Name: FINANCIAL TRUST COMPANY, INC. C/O J. EPSTEIN & COMPANY
Address: 457 MADISON AVE NEW YORK, NY 10022-6843

Debtor 4

Name: FINANCIAL TRUST COMPANY, INC. C/O J. EPSTEIN & COMPANY, INC.
Address: 457 MADISON AVE NEW YORK, NY 10022-6843

Secured Party Information**Secured 1**

Name: CITIBANK, N.A.
Address: 70 E 55TH ST NEW YORK, NY 10022-3222

Secured 2

Name: CITIBANK, N.A.
Address: 70 E 55TH ST NEW YORK, NY 10022-3222

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2:NY UCC LIEN FILING**Secured 3**

Name: CITIBANK, N.A.

Secured 4Name: CITIBANK, N.A. C/O ST. JOHN & WAYNE, L.L.C.
Address: 70 E 55TH ST NEW YORK, NY 10022-3222**Filing Information**

Original Filing Number: 99161432

Original Filing Date: 8/11/1999

Filing 1

Filing Type: TERMINATION

Filing Date: 7/24/2009

Filing Number: 200907240429850

Filing 2

Filing Type: CONTINUATION

Filing Number: 200406140607716

Filing Date: 6/14/2004

Expiration Date: 8/11/2009

Filing 3

Filing Type: CONTINUATION

Filing Number: 200402135118334

Filing Date: 2/13/2004

Expiration Date: 8/11/2009

Filing 4

Filing Type: TERMINATION

Filing Date: 9/25/2002

Filing Number: 02218371

Filing 5

Filing Type: INITIAL FILING

Filing Date: 8/11/1999

Filing Number: 99161432

Filing Offices

Address: 162 WASHINGTON AVE ALBANY, NY 12210

Filing Agency: SECRETARY OF STATE/UCC DIVISION

Collateral Information

Description: 08/11/1999 99161432 - ACCOUNT(S) ALL INCLUDING PROCEEDS AND PRODUCTS;GENERAL INTANGIBLE(S) ALL INCLUDING PROCEEDS AND PRODUCTS;NEGOTIABLE INSTRUMENTS ALL INCLUDING PROCEEDS AND PRODUCTS;ASSETS ALL INCLUDING PROCEEDS AND PRODUCTS

Business Associates - 40 records found

#	Name	Address
1.	301 EAST 66TH ACQUISITION PARTNERS, L.P.	457 MADISON AVE NEW YORK, NY 10022-6843
2.	301 EAST 66TH STREET, INC.	457 MADISON AVE NEW YORK, NY 10022-6843
3.	457 MADISON AVENUE CORP	457 MADISON AVE NEW YORK, NY 10022-6843
4.	AMERICAN SOCIETY OF LANDSCAPE ARCHITECTS NEW YORK CHAPTER	457 MADISON AVE NEW YORK, NY 10022-6843
5.	ARCHITECTURAL LEAGUE OF NEW YORK	457 MADISON AVE NEW YORK, NY 10022-6843
6.	BEACH PARTNERS, LLC	457 MADISON AVE NEW YORK, NY 10022-6843
7.	BHP ENERGY INC.	457 MADISON AVE NEW YORK, NY 10022-6843
8.	BOSS CARS, INCORPORATED	457 MADISON AVE NEW YORK, NY 10022-6843
9.	DARA PARTNERS, L.P.	457 MADISON AVE NEW YORK, NY 10022-6843
10.	DKI GRAPHICS, INC.	457 MADISON AVE

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#	Name	Address
11.	DORSET DEVELOPMENT LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
12.	EPSTEIN INTERESTS	NEW YORK, NY 10022-6843 457 MADISON AVE
13.	HERITAGE INTERESTS	NEW YORK, NY 10022-6843 457 MADISON AVE
14.	IMB CAPITAL LTD	NEW YORK, NY 10022-6843 457 MADISON AVE
15.	LEDERER DE PARIS FIFTH AVENUE, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
16.	MAMBA ENTERPRISE L.L.C.	NEW YORK, NY 10022-6843 457 MADISON AVE
17.	MEDICI FUND LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
18.	METROPOLITAN WATERFRONT ALLIANCE	NEW YORK, NY 10022-6843 457 MADISON AVE
19.	MUNICIPAL ART SOCIETY	NEW YORK, NY 10022-6843 457 MADISON AVE
20.	N.Y.C.B., LTD.	NEW YORK, NY 10022-6843 457 MADISON AVE
21.	NEW YORK FOUNDATION FOR ARCHITECTURE, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
22.	NEW YORK STRATEGY GROUP LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
23.	NEW YORKERS FOR PARKS	NEW YORK, NY 10022-6843 457 MADISON AVE
24.	OSSA PROPERTIES, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
25.	PARKS AND RECREATION 2001	NEW YORK, NY 10022-6843 457 MADISON AVE
26.	PODELL SCHWARTZ SCHECHTER & BANFIELD LLP	NEW YORK, NY 10022-6843 457 MADISON AVE
27.	R.L. & G. CARS, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
28.	RANDOM HOUSE, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
29.	RBNY, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
30.	SCHWARTZ, WEISS, STECKLER & HOFFMAN PC	NEW YORK, NY 10022-6843 457 MADISON AVE
31.	SEVENTH REGIMENT ARMORY CONSERVANCY INC	NEW YORK, NY 10022-6843 457 MADISON AVE
32.	SLOPPY'S VERMONT BARBEQUE LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
33.	TAMCO INDUSTRIES	NEW YORK, NY 10022-6843 457 MADISON AVE
34.	THE ASSOCIATES OF THE ART COMMISSION INC	NEW YORK, NY 10022-6843 457 MADISON AVE
35.	THE HISTORIC DISTRICTS COUNCIL, INC.	NEW YORK, NY 10022-6843 457 MADISON AVE
36.	URBAN (61) INC	NEW YORK, NY 10022-6843 457 MADISON AVE
37.	URBAN CENTER BOOKS	NEW YORK, NY 10022-6843 457 MADISON AVE
38.	URBAN DEVELOPMENT PARTNERS LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
39.	URBAN MANAGEMENT PARTNERS LLC	NEW YORK, NY 10022-6843 457 MADISON AVE
40.	URBAN PARTNERS LLC	NEW YORK, NY 10022-6843 457 MADISON AVE

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Sources - 4 records found

All Sources
 Business Finder
 UCC Lien Filings

4 Source Document(s)
 2 Source Document(s)
 2 Source Document(s)

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Subject Summary

Name	Address	Phone	County/FIPS
FINANCIAL TRUST COMPANY, INC.	6100 RED HOOK QTRS ST THOMAS, VI 00802-1348	None Listed	

Name Variations/DBAs

Name Variations
 1. FINANCIAL TRUST COMPANY, INC.

Addresses - 2 records found

#	Address	Dates	County	MSA
1.	6100 RED HOOK QTRS B-3 ST THOMAS, VI 00802	11/26/2001 -		-
2.	6100 RED HOOK QTRS ST THOMAS, VI 00802	7/2009 7/21/2011 - 7/21/2011		-

Executives - 1 records found

#	Name	Titles
1.	BRENNAN, JEANNE	VICE PRESIDENT

Business Associates - 5 records found

#	Name	Address
1.	BRILL - DEHAAS	ST THOMAS, VI
2.	CARIBBEAN SALOON	ST THOMAS, VI
3.	IRELAND-32, LTD.	6100 RED HOOK QTRS ST THOMAS, VI 00802-1348
4.	J EPSTEIN & CO INC	ST THOMAS, VI
5.	MOF VI LIMITED PARTNERSHIP	6100 RED HOOK QTRS ST THOMAS, VI 00802-1348

Person Associates - 1 records found

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#	Name	Address
1.	BRENNAN, JEANNE	6100 RED HOOK QTRS ST THOMAS, VI 00802-1348

Sources - 4 records found

All Sources	4 Source Document(s)
Business Contacts	1 Source Document(s)
Business Finder	3 Source Document(s)

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Subject Summary

Name	Address	Phone	County/FIPS
SOUTHERN FINANCIAL LLC	ALTAMONTE SPRINGS, FL	None Listed	

Name Variations/DBAs

#	Name Variations
1.	SOUTHERN FINANCIAL LLC

Phone Summary - 1 records found

#	Telephone Number
1.	(407) 788-1938

Addresses - 1 records found

#	Address	Dates	County	MSA
1.	[REDACTED] ALTAMONTE SPRINGS, FL 32714	9/26/2003 - 10/1/2003	SEMINOLE	-

Executives - 2 records found

#	Name	Titles
1.	HARRIS, DAVID	TREASURER, MANAGER
2.	PRICE, JOHN	MANAGER

Person Associates - 2 records found

#	Name	Address
1.	HARRIS, DAVID	[REDACTED] ALTAMONTE SPRINGS, FL 32714-2506
2.	PRICE, JOHN	[REDACTED]

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DB-SDNY-0027200

EFTA_00174878

EFTA01298980

Name

Address

ALTAMONTE SPRINGS, FL 32714-2506

Sources - 5 records found

All Sources

Business Contacts

Business Finder

5 Source Document(s)

3 Source Document(s)

2 Source Document(s)

Important: The Public Records and commercially available data sources used on reports have errors. Data is sometimes entered poorly, processed incorrectly and is generally not free from defect. This system should not be relied upon as definitively accurate. Before relying on any data this system supplies, it should be independently verified. For Secretary of State documents, the following data is for information purposes only and is not an official record. Certified copies may be obtained from that individual state's Department of State.

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Subject Summary**Name**SOUTHERN FINANCIAL
TRUST, LLC**Address**[REDACTED]
MARCO ISLAND, FL 34145-
2539**Phone**

None Listed

County/FIPS**Name Variations/DBAs**

Name Variations

1. SOUTHERN FINANCIAL LLC
2. SOUTHERN FINANCIAL TRUST, LLC

Addresses - 4 records found

#	Address	Dates	County	MSA
1.	[REDACTED] MARCO ISLAND, FL 34145	6/2005 -	COLLIER	Naples, FL - 5345
2.	[REDACTED] MARCO ISLAND, FL 34145	5/8/2010 8/6/2010 -	COLLIER	-
3.	[REDACTED] MARCO ISLAND, FL 34145	11/8/2011 10/12/2011 -	COLLIER	-
4.	[REDACTED] MARCO ISLAND, FL 34145	7/20/2012 1/24/2012 -	COLLIER	-

5/10/2013

Profile Information - 6 records found**1: SOUTHERN FINANCIAL TRUST, LLC**

Company Name: SOUTHERN FINANCIAL TRUST, LLC

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SDNY_GM_00064376

1: SOUTHERN FINANCIAL TRUST, LLC

Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

2: SOUTHERN FINANCIAL TRUST, LLC

Company Name: SOUTHERN FINANCIAL TRUST, LLC
 Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

3: SOUTHERN FINANCIAL TRUST, LLC

Company Name: SOUTHERN FINANCIAL TRUST, LLC
 Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

4: SOUTHERN FINANCIAL TRUST, LLC

Company Name: SOUTHERN FINANCIAL TRUST, LLC
 Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

5: SOUTHERN FINANCIAL TRUST, LLC

Company Name: SOUTHERN FINANCIAL TRUST, LLC
 Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

6: SOUTHERN FINANCIAL TRUST, LLC

Company Name: SOUTHERN FINANCIAL TRUST, LLC
 Date Of Incorporation: 5/18/2005
 State Of Incorporation: FL
 Charter Number: L05000049636
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

Executives - 4 records found

#	Name	Titles
1.	JOHN, P NACHEF	MEMBER MANAGER
2.	NACHEF, JOHN P	MEMBER MANAGER, MANAGER
3.	NACHEF, JOHN P	MANAGER
4.	NACHEF, P	CONTACT

Registered Agents - 3 records found

#	Name	Address
1.	NACHEF JOHN P	[REDACTED] MARCO ISLAND, FL 34145-2876
2.	NACHEF JOHN P	[REDACTED] MARCO ISLAND, FL 34145-6730

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#	Name	Address
3.	NACHEF JOHN P	[REDACTED] MARCO ISLAND, FL 34145-2539

Company ID Numbers - 2 records found

Company ID Numbers

FEINs: 20-2862383
 Sec. of State Charter No(s): FL, L05000049636

Real Property - 3 records found

1: Deed Record for COLLIER County

Buyer Information

Name: MAC BUILDERS INC
 Address: [REDACTED], FL 33027-1208
 County/FIPS: BROWARD

Seller Information

Name: SOUTHERN FINANCIAL TRUST LLC
 Address: [REDACTED] MARCO ISLAND, FL 34145-2876
 County/FIPS: COLLIER

Property Information

Data Source: B

Lender Information

Name: FIRST SOUTHERN BANK

Legal Information

Assessor's Parcel Number: 00447760000
 Contract Date: 12/15/2005
 Recording Date: 12/28/2005
 Document Number: 3757375
 Document Type: WARRANTY DEED
 Book/Page: 3955/1362

Sale Information

Sale Price: \$3300000

Mortgage Information

Loan Amount: \$2310000
 Loan Type: UNKNOWN

2: Deed Record for COLLIER County

Buyer Information

Name: SOUTHERN FINANCIAL TRUST LLC
 Address: [REDACTED] MARCO ISLAND, FL 34145-2876
 County/FIPS: COLLIER

Seller Information

Name: ISLAND MON INVESTMENTS LLC
 Address: [REDACTED] NAPLES, FL 34103-4409
 County/FIPS: COLLIER

Property Information

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Data Source: B

Legal Information

Assessor's Parcel Number: 00447760000
Contract Date: 06/14/2005
Recording Date: 06/16/2005
Document Number: 3640419
Document Type: WARRANTY DEED
Book/Page: 3823/593

Sale Information

Sale Price: \$2500000

3: Assessment Record for COLLIER County, FL

Owner Information

Name: SOUTHERN FINANCIAL TRUST LLC
Address: [REDACTED] MARCO ISLAND, FL 34145-2876
County/FIPS: COLLIER

Property Information

Address: NAPLES, FL
Data Source: B

Legal Information

Assessor's Parcel Number: 447760000
Recording Date: 06/16/2005
Book/Page: 3823/593

Sale Information

Sale Price: \$2500000

Assessment Information

Assessed Value: \$1440000
Market Land Value: \$1440000
Total Market Value: \$1440000

Business Associates - 39 records found

#	Name	Address
1.	130 ARCADIA LLC	[REDACTED] MARCO ISLAND, FL 34145-2876
2.	A TAN TODAY	[REDACTED] MARCO ISLAND, FL 34145-2876
3.	ARCADIA MAPLE, LLC	[REDACTED] MARCO ISLAND, FL 34145-2876
4.	CERTIFIED APPRAISERS	[REDACTED] MARCO ISLAND, FL 34145-2876
5.	CYBER MARKETING	[REDACTED] MARCO ISLAND, FL
6.	EDWARD H. D'ALESSANDRO, P.A.	[REDACTED] MARCO ISLAND, FL 34145-2876
7.	EQUA WATER INVESTORS LLC	[REDACTED] MARCO ISLAND, FL 34145-2876
8.	FESTIVAL DEVELOPERS, LLC	[REDACTED] MARCO ISLAND, FL 34145-2876
9.	FIRST INTEGRITY BANK	[REDACTED] MARCO ISLAND, FL 34145-2876
10.	FLHPHIU LLC	[REDACTED] MARCO ISLAND, FL 34145-2876

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DB-SDNY-0027204

EFTA_00174882

EFTA01298984

#	Name	Address
11.	GLOBAL GROUP OF COMPANYS LLC	MARCO ISLAND, FL 34145-2876
12.	GLOBAL LEASING GROUP LLC	MARCO ISLAND, FL 34145-2876
13.	ISLAND MORTGAGE	MARCO ISLAND, FL 34145-2876
14.	JDR PROPERTIES, LLC	MARCO ISLAND, FL 34145-2876
15.	JOHN P NACHEF, INC.	MARCO ISLAND, FL 34145-2876
16.	JPN HOMES LLC	MARCO ISLAND, FL 34145-2876
17.	MANATEE COFFEE, LLC	MARCO ISLAND, FL 34145-2876
18.	MARKET CENTER DEVELOPMENT, LLC	MARCO ISLAND, FL 34145-2876
19.	NATIONWIDE FUNDRAISERS I	MARCO ISLAND, FL 34145-2876
20.	NATIONWIDE LEAD SERVICES, INC.	MARCO ISLAND, FL 34145-2876
21.	NORTHWEST FINANCIAL TRUST., LLC	MARCO ISLAND, FL 34145-2876
22.	ORCHID MASSAGE	MARCO ISLAND, FL 34145-2876
23.	ORLANDO SALES CENTER LLC	MARCO ISLAND, FL 34145-2876
24.	PAUL J FREEMAN, P.A.	MARCO ISLAND, FL 34145-2876
25.	PEZ GORDON PRODUCTIONS INC	MARCO ISLAND, FL 34145-2876
26.	RTN INVESTORS, LLC	MARCO ISLAND, FL 34145-2876
27.	SOUTHERN FINANCIAL TRUST LLC	MARCO ISLAND, FL
28.	SOUTHWINDS INVESTMENT GROUP, INC.	MARCO ISLAND, FL 34145-2876
29.	STERLING BENEFITS INC	MARCO ISLAND, FL
30.	T & K WATERSPORTS, INC.	MARCO ISLAND, FL 34145-6730
31.	TABER DAVID	MARCO ISLAND, FL 34145-2876
32.	TC OFFICE ADMINISTRATION SERVICES	MARCO ISLAND, FL 34145-2787
33.	TC OFFICE ADMINISTRATION SEVICES	MARCO ISLAND, FL 34145-2787
34.	THE POPOFF GROUP INC	MARCO ISLAND, FL 34145-2876
35.	TROPICAL ISLAND INVSTMNTS LLC	MARCO ISLAND, FL 34145-2876
36.	TRU BACKGROUNDS LLC	MARCO ISLAND, FL 34145-2539
37.	US PRO CLEANING ENTERPRISE, INC.	MARCO ISLAND, FL 34145-2876
38.	WMIB	MARCO ISLAND, FL
39.	WORLD WIDE INFORMATION LLC	MARCO ISLAND, FL 34145-2539

Person Associates - 4 records found

#	Name	Address
1.	JOHN, P NACHEF	

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#	Name	Address
2.	NACHEF, JOHN P	MARCO ISLAND, FL 34145-2876 [REDACTED] STE 302
3.	NACHEF, JOHN P	MARCO ISLAND, FL 34145-2539 [REDACTED]
4.	NACHEF, P	MARCO ISLAND, FL 34145-2876 [REDACTED]
		MARCO ISLAND, FL 34145-2876

Sources - 18 records found

All Sources	18 Source Document(s)
Business Contacts	7 Source Document(s)
Business Finder	7 Source Document(s)
Corporate Filings	1 Source Document(s)
Property	3 Source Document(s)

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Subject Summary

Name	Address	Phone	County/FIPS
SOUTHERN FINANCIAL LLC	[REDACTED] CANTON, MS 39046-4300	None Listed	

Name Variations/DBAs

#	Name Variations
1.	SOUTHERN FINANCIAL LLC

Addresses - 1 records found

#	Address	Dates	County	MSA
1.	[REDACTED] CANTON, MS 39046	6/1/2007 -	MADISON	Jackson, MS - 3560

6/15/2007

Profile Information - 9 records found**1: SOUTHERN FINANCIAL, LLC**

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: INTENT TO DISSOLVE - AR
Corporation Structure: LIMITED LIABILITY COMPANY

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CONFIDENTIAL

2: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

3: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

4: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

5: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

6: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Duration: PERPETUAL
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

7: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

8: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 6/15/2007
State Of Incorporation: MS
Charter Number: 914179
Status Of Incorporation: GOOD STANDING
Corporation Structure: LIMITED LIABILITY COMPANY

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9: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 6/15/2007
 State Of Incorporation: MS
 Charter Number: 914179
 Status Of Incorporation: GOOD STANDING
 Corporation Structure: LIMITED LIABILITY COMPANY

Registered Agents - 1 records found

#	Name	Address
1.	WIMBERLY, DAVID WAYNE, JR	[REDACTED] CANTON, MS 39046-4300

Company ID Numbers - 1 records found**Company ID Numbers**

Sec. of State Charter No(s): MS, 914179

Business Associates - 5 records found

#	Name	Address
1.	AMERICAN LENDING LLC	[REDACTED] CANTON, MS 39046-4300
2.	AMERICASH CASH ADVANCE	[REDACTED] CANTON, MS 39046-4300
3.	AMERICASH LENDING LLC	[REDACTED] CANTON, MS 39046-4300
4.	CANTON SURPLUS SALES	[REDACTED] CANTON, MS 39046-4300
5.	U-HAUL CO	[REDACTED] CANTON, MS 39046-4300

Person Associates - 1 records found

#	Name	Address
1.	WIMBERLY JR, DAVID WAYNE	[REDACTED] CANTON, MS 39046-4300

Sources - 3 records found

All Sources	3 Source Document(s)
Business Contacts	1 Source Document(s)
Business Finder	1 Source Document(s)
Corporate Filings	1 Source Document(s)

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Subject Summary

Name	Address	Phone	County/FIPS
SOUTHERN FINANCIAL LLC	[REDACTED] BATON ROUGE, LA 70817-6924	None Listed	

Name Variations/DBAs

#	Name Variations
1.	SOUTHERN FINANCIAL, LLC

Addresses - 1 records found

#	Address	Dates	County	MSA
1.	[REDACTED] BATON ROUGE, LA 70817	1/28/2008 - 5/17/2011	EAST BATON ROUGE	Baton Rouge, LA - 0760

Profile Information - 22 records found**1: SOUTHERN FINANCIAL, LLC**

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

2: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

3: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

4: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

5: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

6: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: INACTIVE

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- 6: SOUTHERN FINANCIAL, LLC**
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 7: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 8: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 9: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 10: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 11: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 12: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: INACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 13: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: ACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 14: SOUTHERN FINANCIAL, LLC**
Company Name: SOUTHERN FINANCIAL, LLC
Date Of Incorporation: 1/28/2008
Charter Number: 36650479K
Status Of Incorporation: ACTIVE
Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY
- 15: SOUTHERN FINANCIAL, LLC**

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15: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

16: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

17: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

18: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

19: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

20: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

21: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

22: SOUTHERN FINANCIAL, LLC

Company Name: SOUTHERN FINANCIAL, LLC
 Date Of Incorporation: 1/28/2008
 Charter Number: 36650479K
 Status Of Incorporation: ACTIVE
 Corporation Structure: LOUISIANA LIMITED LIABILITY COMPANY

Executives - 2 records found

#	Name	Titles
1.	HOLLIS, JEREMY DALE	MEMBER
2.	HOLLIS, LAURA DAVIS	MEMBER

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Registered Agents - 1 records found**# Name**

1. JEREMY DALE HOLLIS

Address

[REDACTED] BATON ROUGE, LA 70817-6924

Company ID Numbers - 1 records found**Company ID Numbers**

Sec. of State Charter No(s): LA, 36650479K

Business Associates - 4 records found**# Name**

1. HOLLIS PROPERTIES LLC

2. HOLLIS PROPERTIES, L L C

3. ISO PARTS INC

4. J L INVESTORS, LLC

Address[REDACTED]
BATON ROUGE, LA 70817-6924[REDACTED]
BATON ROUGE, LA 70817-6924[REDACTED]
BATON ROUGE, LA 70817-6924[REDACTED]
BATON ROUGE, LA 70817-6924**Person Associates - 2 records found****# Name**

1. HOLLIS, JEREMY DALE

2. HOLLIS, LAURA DAVIS

Address[REDACTED]
BATON ROUGE, LA 70817-6924[REDACTED]
BATON ROUGE, LA 70817-6924**Sources - 8 records found**

All Sources

Business Contacts

Business Finder

Corporate Filings

8 Source Document(s)

4 Source Document(s)

3 Source Document(s)

1 Source Document(s)

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Subject Summary**Name**

SOUTHERN FINANCIAL LLC

Address[REDACTED]
CINCINNATI, OH 45202-2409**Phone**

None Listed

County/FIPS**Name Variations/DBAs**

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Name Variations

1. SOUTHERN FINANCIAL LLC

Addresses - 1 records found

#	Address	Dates	County	MSA
1.	600 VINE ST 2800 CINCINNATI, OH 45202	3/25/2008 - 4/1/2008	HAMILTON	-

Profile Information - 6 records found**1: SOUTHERN FINANCIAL LLC**

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

2: SOUTHERN FINANCIAL LLC

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

3: SOUTHERN FINANCIAL LLC

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

4: SOUTHERN FINANCIAL LLC

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

5: SOUTHERN FINANCIAL LLC

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

6: SOUTHERN FINANCIAL LLC

Company Name: SOUTHERN FINANCIAL LLC
Date Of Incorporation: 3/25/2008
Charter Number: 1768910
Status Of Incorporation: IN GOOD STANDING

Registered Agents - 1 records found

#	Name	Address
1.	U-B CORPORATION	[REDACTED] CINCINNATI, OH 45202-2409

Company ID Numbers - 1 records found**Company ID Numbers**

Sec. of State Charter No(s): OH, 1768910

Sources - 2 records found

All Sources

2 Source Document(s)

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**Business Finder
Corporate Filings**1 Source Document(s)
1 Source Document(s)

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Subject Summary

Name	Address	Phone	County/FIPS
BER-JON REALTY LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937	None Listed	

Name Variations/DBAs

#	Name Variations
1.	BENJON REALTY LLC
2.	BER- JON REALTY LLC
3.	SOUTHERN FINANCIAL LLC
4.	SOUTHERN TR FINANCIAL LLC
5.	SOUTHERN TRUST FINANCIAL LLC

Addresses - 6 records found

#	Address	Dates	County	MSA
1.	[REDACTED] CORAL SPRINGS, FL 33065	11/2009 -	BROWARD	Fort Lauderdale, FL - 2680
2.	[REDACTED] CORAL SPRINGS, FL 33076	8/10/2012 6/2011 -	BROWARD	-
3.	[REDACTED] 108 CORAL SPRINGS, FL 33076	10/12/2011 - 6/2011	BROWARD	Fort Lauderdale, FL - 2680
4.	[REDACTED] TAMARAC, FL 33321	8/10/2012 4/18/2012 -	BROWARD	-
5.	[REDACTED] CORAL SPRINGS, FL 33076	5/10/2013 7/20/2012 -	BROWARD	-
6.	[REDACTED] CORAL SPRINGS, FL 33075	7/20/2012 7/20/2012 -	BROWARD	-

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#	Address	Dates	County	MSA
---	---------	-------	--------	-----

5/10/2013

Profile Information - 11 records found**1: BER-JON REALTY LLC**

Company Name: BER-JON REALTY LLC
 Date Of Incorporation: 10/16/2009
 State Of Incorporation: FL
 Charter Number: L09000100046
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

2: BER-JON REALTY LLC

Company Name: BER-JON REALTY LLC
 Date Of Incorporation: 10/16/2009
 State Of Incorporation: FL
 Charter Number: L09000100046
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

3: BER-JON REALTY LLC

Company Name: BER-JON REALTY LLC
 Date Of Incorporation: 10/16/2009
 State Of Incorporation: FL
 Charter Number: L09000100046
 Status Of Incorporation: INACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

4: BER-JON REALTY LLC

Company Name: BER-JON REALTY LLC
 Date Of Incorporation: 10/16/2009
 State Of Incorporation: FL
 Charter Number: L09000100046
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

5: BER-JON REALTY LLC

Company Name: BER-JON REALTY LLC
 Date Of Incorporation: 10/16/2009
 State Of Incorporation: FL
 Charter Number: L09000100046
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

6: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

7: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

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SDNY_GM_00064390

8: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

9: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

10: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

11: SOUTHERN TRUST FINANCIAL LLC

Company Name: SOUTHERN TRUST FINANCIAL LLC
 Date Of Incorporation: 2/22/2010
 State Of Incorporation: FL
 Charter Number: L10000019642
 Status Of Incorporation: ACTIVE
 Corporation Structure: FLORIDA LIMITED LIABILITY

Executives - 8 records found

#	Name	Titles
1.	BERNARD, LEIBOV	MANAGER
2.	BRETT, GREENE	MANAGER
3.	GREENE, BRETT	MEMBER MANAGER, MEMBER MANAGER, MEMBER MANAGER, MANAGER
4.	GREENE, JOHN	MEMBER MANAGER, MEMBER MANAGER, MANAGER
5.	JEFF, PEZONE	MANAGER
6.	JOHN, GREENE	MANAGER
7.	LEIBOV, BERNARD M	MEMBER MANAGER, MEMBER MANAGER, MANAGER
8.	PEZONE, JEFF	MANAGER

Registered Agents - 6 records found

#	Name	Address
1.	FILINGS, INC.	[REDACTED] LAUDERHILL, FL 33311-4132
2.	GREENE BRETT J	[REDACTED] CORAL SPRINGS, FL 33065-3937
3.	GREENE BRETT J	[REDACTED] CORAL SPRINGS, FL 33076-3127
4.	GREENE BRETT J	[REDACTED] CORAL SPRINGS, FL 33075-2001
5.	GREENE JOHN M	[REDACTED] TAMARAC, FL 33321-1659
6.	LEIBOV BERNARD M	[REDACTED] CORAL SPRINGS, FL 33065-6607

Company ID Numbers - 3 records found

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DB-SDNY-0027216

EFTA_00174894

EFTA01298996

Company ID Numbers

FEINs: 27-1224537
 Sec. of State Charter No(s): FL, L09000100046
 FL, L10000019642

Real Property - 53 records found**1: Deed Record for BROWARD County****Buyer Information**

Name: GREEN, JONATHAN
Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 4941 04 46 0580
Contract Date: 10/04/2012
Recording Date: 10/09/2012
Document Number: 111047512
Document Type: QUIT CLAIM DEED
Book/Page: 49143/1001

Mortgage Information

Title Company: ATTORNEY

2: Deed Record for BROWARD County**Buyer Information**

Name: GREEN, JONATHAN
Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1357
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 4941 02 10 1120

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DB-SDNY-0027217
EFTA_00174895

EFTA01298997

Contract Date: 10/04/2012
Recording Date: 10/09/2012
Document Number: 111047513
Document Type: QUIT CLAIM DEED
Book/Page: 49143/1002

Mortgage Information

Title Company: ATTORNEY

3: Assessment Record for BROWARD County, FL

Owner Information

Name: BER-JON REALTY
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-03-11-2230
Recording Date: 03/15/2010
Book/Page: 46940/995

Assessment Information

Market Land Value: \$17720
Market Improvement Value: \$58090
Total Market Value: \$75810

4: Deed Record for BROWARD County

Buyer Information

Name: AARON, RANDOLPH
Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3656
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3656
County/FIPS: BROWARD
Data Source: B

Lender Information

Name: BER JON REALTY LLC

Legal Information

Assessor's Parcel Number: 4941 02 17 0050
Contract Date: 12/13/2011

For internal use only

SDNY_GM_00064393

CONFIDENTIAL

Recording Date: 12/21/2011
Document Number: 110446911
Document Type: WARRANTY DEED
Book/Page: 48388/1314

Sale Information

Sale Price: \$118000

Mortgage Information

Loan Amount: \$108500
Loan Type: SELLER TAKE-BACK
Title Company: CAPITAL ABSTRACT & TITLE

5: Deed Record for BROWARD County**Buyer Information**

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: PEOPLES, AUDREY
Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1357
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1357
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 4941 02 10 1120
Contract Date: 09/05/2011
Recording Date: 10/09/2012
Document Number: 111047510
Document Type: WARRANTY DEED
Book/Page: 49143/998

Sale Information

Sale Price: \$149000

Mortgage Information

Title Company: ATTORNEY

6: Assessment Record for BROWARD County, FL**Owner Information**

Name: BER-JON REALTY LLC
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3656
County/FIPS: BROWARD
Data Source: B

Legal Information

For internal use only

SDNY_GM_00064394

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Assessor's Parcel Number: 49-41-02-17-0050
Recording Date: 08/10/2010
Book/Page: 47335/781

Sale Information

Sale Price: \$64600

Assessment Information

Market Land Value: \$27000
Market Improvement Value: \$46080
Total Market Value: \$73080

7: Assessment Record for BROWARD County, FL

Owner Information

Name: BER-JON REALTY
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-03-11-2230
Recording Date: 03/15/2010
Book/Page: 46940/995

Assessment Information

Market Land Value: \$17720
Market Improvement Value: \$54470
Total Market Value: \$72190

8: Assessment Record for BROWARD County, FL

Owner Information

Name: BER- JON REALTY LLC
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-04-46-0580
Recording Date: 06/03/2010
Book/Page: 47180/87

Sale Information

Sale Price: \$215000

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SDNY_GM_00064395

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Assessment Information

Market Land Value: \$42000
Market Improvement Value: \$173680
Total Market Value: \$215680

9: Deed Record for BROWARD County**Buyer Information**

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: BURROWS, RICHARD
Name: BURROWS, JANA
Name: BANK OF AMERICA NA
Name: CACE-09-046714 DIVISION 13

Property Information

Data Source: B

Legal Information

Contract Date: 08/23/2010
Recording Date: 08/27/2010
Document Number: 109550964
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 47335/781

Sale Information

Sale Price: \$64600

Mortgage Information

Title Company: NONE AVAILABLE

10: Deed Record for BROWARD County**Buyer Information**

Name: DUNCAN, ROBERT
Name: DUNCAN, TATIANA
Address: [REDACTED] CORAL SPRINGS, FL 33076-2130
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33076-2130
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-08-11-021
Contract Date: 07/30/2010

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SDNY_GM_00064396

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Recording Date: 08/04/2010
Document Number: 109500531
Document Type: WARRANTY DEED
Book/Page: 47277/1912

Sale Information

Sale Price: \$249000

Mortgage Information

Title Company: FLORIDA HOME TITLE COMPANY

11: Deed Record for BROWARD County

Buyer Information

Name: GAYLE, KEITH

Name: GAYLE, FAY

Name: GAYLE, LATOYA

Address: [REDACTED] SOUTH BAY, FL 33493-0518

County/FIPS: PALM BEACH

Seller Information

Name: BER JON REALTY LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-2713

County/FIPS: BROWARD

Data Source: B

Lender Information

Name: GUARANTEED RATE INC

Legal Information

Assessor's Parcel Number: 49-41-01-06-0970

Contract Date: 07/19/2010

Recording Date: 07/21/2010

Document Number: 109468619

Document Type: WARRANTY DEED

Book/Page: 47242/1617

Sale Information

Sale Price: \$136000

Mortgage Information

Loan Amount: \$134192

Loan Type: FHA

Title Company: ATTORNEY

12: Deed Record for BROWARD County

Buyer Information

Name: BERJON REALTY LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Seller Information

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Name: MERRILL LYNCH CREDIT CORPORATION,
Address: 150 ALLEGHENY CENTER MALL PITTSBURGH, PA 15212-5335
County/FIPS: ALLEGHENY

Property Information

Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-04-46-0580
Contract Date: 06/02/2010
Recording Date: 06/29/2010
Document Number: 109414245
Document Type: SPECIAL WARRANTY DEED
Book/Page: 47180/87

Sale Information

Sale Price: \$215000

Mortgage Information

Title Company: RESOURCE TITLE AGENCY INC

13: Deed Record for BROWARD County

Buyer Information

Name: THOMAS, WAYNE
Name: THOMAS, NICOLE
Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3937
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3937
County/FIPS: BROWARD
Data Source: B

Lender Information

Name: WELLS FARGO BANK NA

Legal Information

Assessor's Parcel Number: 49-41-01-38-0090
Contract Date: 05/28/2010
Recording Date: 06/14/2010
Document Number: 109382236
Document Type: WARRANTY DEED
Book/Page: 47143/1531

Sale Information

Sale Price: \$141000

Mortgage Information

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Loan Amount: \$138040
Loan Type: FHA
Title Company: ATTORNEY

14: Deed Record for BROWARD County**Buyer Information**

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: SAN ANTONIO, MICHAEL A
Name: SAN ANTONIO, LEAH
Address: [REDACTED] SUWANEE, GA 30024-3447
County/FIPS: FORSYTH

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3937
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-01-38-009
Contract Date: 05/24/2010
Recording Date: 06/14/2010
Document Number: 109382235
Document Type: QUIT CLAIM DEED
Book/Page: 47143/1529

Mortgage Information

Title Company: ATTORNEY

15: Deed Record for BROWARD County**Buyer Information**

Name: GALLIVAN, CAROL
Address: [REDACTED] MARGATE, FL 33063-8327
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] MARGATE, FL 33063-8327
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-24-30-110
Contract Date: 05/10/2010
Recording Date: 05/17/2010

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DB-SDNY-0027224

EFTA_00174902

EFTA01299004

Document Number: 109336058
Document Type: WARRANTY DEED
Book/Page: 47090/648

Sale Information

Sale Price: \$222000

Mortgage Information

Title Company: BLADE TITLE COMPANY

16: Deed Record for BROWARD County

Buyer Information

Name: BER JON REALTY,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: LEIBOV, BERNARD
Address: [REDACTED] TAMARAC, FL 33321-2711
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-03-11-223
Contract Date: 03/15/2010
Recording Date: 03/15/2010
Document Number: 109207032
Document Type: WARRANTY DEED
Book/Page: 46940/995

Mortgage Information

Title Company: ATTORNEY

17: Deed Record for ST LUCIE County

Buyer Information

Name: WPG GROUP LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED]
Data Source: B

Legal Information

Assessor's Parcel Number: 2409-606-0029-000-0

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SDNY_GM_00064400

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Contract Date: 03/15/2010
Recording Date: 03/24/2010
Document Number: 3454324
Document Type: QUIT CLAIM DEED
Book/Page: 3181/1214

Mortgage Information

Title Company: ATTORNEY

18: Deed Record for BROWARD County**Buyer Information**

Name: MCNEIL, DAPHNE
Address: [REDACTED] NORTH LAUDERDALE, FL 33068-2500
County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-2500
County/FIPS: BROWARD
Data Source: B

Lender Information

Name: BER JON REALTY LLC

Legal Information

Assessor's Parcel Number: 49-41-01-12-1090
Contract Date: 03/04/2010
Recording Date: 03/25/2010
Document Number: 109232668
Document Type: WARRANTY DEED
Book/Page: 46969/234

Sale Information

Sale Price: \$156000

Mortgage Information

Loan Amount: \$149000
Loan Type: SELLER TAKE-BACK
Title Company: CAPITAL ABSTRACT & TITLE

19: Deed Record for BROWARD County**Buyer Information**

Name: BER-JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: FEDERAL NATIONAL MORTGAGE ASSOCIATION,
Name: FANNIE MAE,
Address: PO BOX 650043 DALLAS, TX 75265-0043

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County/FIPS: DALLAS**Property Information****Address:** [REDACTED] NORTH LAUDERDALE, FL 33068-2713**County/FIPS:** BROWARD**Data Source:** B**Legal Information****Assessor's Parcel** 49-41-01-06-0970**Number:****Contract Date:** 02/03/2010**Recording Date:** 02/17/2010**Document Number:** 109156696**Document Type:** SPECIAL WARRANTY DEED**Book/Page:** 46883/407**Sale Information****Sale Price:** \$70000**Mortgage Information****Title Company:** ATTORNEY**20: Deed Record for BROWARD County****Buyer Information****Name:** BER JON REALTY LLC,**Address:** [REDACTED] CORAL SPRINGS, FL 33065-3937**County/FIPS:** BROWARD**Seller Information****Name:** QUACH, TAM**Name:** TRAN, LINH**Name:** SUNTRUST BANK**Name:** BANKUNITED FSB**Name:** CASE #CACE08-056065 DIV 09**Property Information****Address:** [REDACTED] MARGATE, FL 33063-8327**County/FIPS:** BROWARD**Data Source:** B**Legal Information****Assessor's Parcel** 48-41-24-30-1100**Number:****Contract Date:** 02/02/2010**Recording Date:** 02/11/2010**Document Number:** 109147846**Document Type:** TRUSTEE'S DEED - (CERTIFICATE OF TITLE)**Book/Page:** 46873/396**Sale Information****Sale Price:** \$116300**Mortgage Information****Title Company:** NONE AVAILABLE**21: Deed Record for BROWARD County**

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DB-SDNY-0027227

EFTA_00174905

EFTA01299007

Buyer Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: NUNEZ, MADELINE
Name: WELLS FARGO BANK NA,

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33076-2130
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-08-11-021
Contract Date: 01/20/2010
Recording Date: 01/25/2010
Document Number: 109101995
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 46819/823

Sale Information

Sale Price: \$175100

Mortgage Information

Title Company: ATTORNEY

22: Deed Record for BROWARD County

Buyer Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: BENITEZ, REINALDO
Name: FREMONT INVESTMENT & LOAN,
Name: MORTGAGE ELECTRONIC REGISTRATION SYSTEMS
Name: VAN DYKE, DARREN
Name: MAGUIRE, DEBRAW
Name: SAN ANTONIO, LEA
Name: SANANTONIO, MICHAEL A
Name: VILLATORO, TERESA D
Name: US BANK NATIONAL ASSOCIATION
Name: CASE #2008-CA-023083-25

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3937
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-01-38-009

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SDNY_GM_00064403

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Contract Date: 01/20/2010
Recording Date: 01/25/2010
Document Number: 109101996
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 46819/825

Sale Information

Sale Price: \$70000

Mortgage Information

Title Company: ATTORNEY

23: Assessment Record for BROWARD County, FL

Owner Information

Name: BER-JON REALTY LLC
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-3656
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-02-17-0050

Recording Date: 08/10/2010
Book/Page: 47335/781

Sale Information

Sale Price: \$64600

Assessment Information

Assessed Value: \$97160
Market Land Value: \$33000
Market Improvement Value: \$64160
Total Market Value: \$97160

24: Assessment Record for BROWARD County, FL

Owner Information

Name: BER-JON REALTY
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-1015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-03-11-2230

Recording Date: 03/15/2010
Book/Page: 46940/995

Assessment Information

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SDNY_GM_00064404

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Assessed Value: \$84660
Market Land Value: \$22140
Market Improvement Value: \$62520
Total Market Value: \$84660

25: Assessment Record for BROWARD County, FL

Owner Information

Name: BER- JON REALTY LLC
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] TAMARAC, FL 33321-1659
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-04-46-0580
Recording Date: 06/03/2010
Book/Page: 47180/87

Sale Information

Sale Price: \$215000

Assessment Information

Assessed Value: \$209680
Market Land Value: \$36000
Market Improvement Value: \$173680
Total Market Value: \$209680

26: Deed Record for BROWARD County

Buyer Information

Name: BER JON REALTY LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: STERLING, KAREN E
Name: INDYMAC BANK FSB,

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-2500
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-01-12-1090
Contract Date: 12/29/2009
Recording Date: 01/07/2010
Document Number: 109073521

For internal use only

SDNY_GM_00064405

CONFIDENTIAL

Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)

Book/Page: 46785/490

Sale Information

Sale Price: \$92500

Mortgage Information

Title Company: ATTORNEY

27: Deed Record for ST LUCIE County

Buyer Information

Name: BER JON REALTY LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Seller Information

Name: FEDERAL NATIONAL MORTGAGE ASSOCIATION,

Name: FANNIE MAE,

Address: PO BOX 650043 DALLAS, TX 75265-0043

County/FIPS: DALLAS

Property Information

Address: [REDACTED] FORT PIERCE, FL 34950-5807

County/FIPS: ST. LUCIE

Data Source: B

Legal Information

Assessor's Parcel Number: 2409-606-0029-000-0

Contract Date: 12/29/2009

Recording Date: 01/12/2010

Document Number: 3429894

Document Type: SPECIAL WARRANTY DEED

Book/Page: 3161/1894

Sale Information

Sale Price: \$22000

Mortgage Information

Title Company: ATTORNEY

28: Deed Record for BROWARD County

Buyer Information

Name: BER JON REALTY LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Seller Information

Name: 1ST USA REAL ESTATE LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Property Information

Data Source: B

Legal Information

Contract Date: 11/25/2009

For internal use only

SDNY_GM_00064406

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DB-SDNY-0027231
EFTA_00174909

EFTA01299011

Recording Date: 11/30/2009
Document Number: 108999536
Document Type: WARRANTY DEED
Book/Page: 46695/1789

Mortgage Information

Title Company: CAPITAL ABSTRACT & TITLE

29: Deed Record for BROWARD County

Buyer Information

Name: ADALWIN LLC,
Address: [REDACTED] FORT LAUDERDALE, FL 33301-1289
County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33076-2507
County/FIPS: BROWARD

Property Information

Address: 256 NW 79TH TER MARGATE, FL 33063-4732
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-35-04-149
Contract Date: 03/07/2013
Recording Date: 03/11/2013
Document Number: 111380044
Document Type: WARRANTY DEED
Book/Page: 49585/232

Sale Information

Sale Price: \$196900

Mortgage Information

Title Company: ATTORNEY

30: Deed Record for BROWARD County

Buyer Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33075-8670
County/FIPS: BROWARD

Seller Information

Name: PEZONE, JEFF
Address: [REDACTED] BOCA RATON, FL 33434-2557
County/FIPS: PALM BEACH

Property Information

Address: [REDACTED] FORT LAUDERDALE, FL 33312-1821
County/FIPS: BROWARD
Data Source: B

Legal Information

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SDNY_GM_00064407

Assessor's Parcel Number: 50-42-07-06-161
Contract Date: 02/14/2013
Recording Date: 03/15/2013
Document Number: 111392279
Document Type: QUIT CLAIM DEED
Book/Page: 49601/130

Mortgage Information

Title Company: NONE AVAILABLE

31: Deed Record for BROWARD County**Buyer Information**

Name: ADALWIN LLC,
Address: [REDACTED] FORT LAUDERDALE, FL 33301-1162
County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33076-2507
County/FIPS: BROWARD

Property Information

Data Source: B

Legal Information

Assessor's Parcel Number: 484902-08-2540
Contract Date: 01/30/2013
Recording Date: 01/31/2013
Document Number: 111292189
Document Type: WARRANTY DEED
Book/Page: 49466/539

Sale Information

Sale Price: \$137900

Mortgage Information

Title Company: ATTORNEY

32: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: ABREU, CARLOS GOMES

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33065-3015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 484114-BB-0020

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Number:
Contract Date: 01/23/2013
Recording Date: 02/14/2013
Document Number: 111326727
Document Type: QUIT CLAIM DEED
Book/Page: 49512/1544

Mortgage Information

Title Company: NONE AVAILABLE

33: Deed Record for BROWARD County

Buyer Information

Name: ABREU, CARLOS GOMES

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33065-3015

County/FIPS: BROWARD

Data Source: B

Legal Information

Assessor's Parcel 484114-AH-0060

Number:

Contract Date: 01/17/2013

Recording Date: 02/14/2013

Document Number: 111326728

Document Type: QUIT CLAIM DEED

Book/Page: 49512/1545

Mortgage Information

Title Company: NONE AVAILABLE

34: Deed Record for BROWARD County

Buyer Information

Name: GREENE, JONATHAN

Address: [REDACTED] TAMARAC, FL 33321-1659

County/FIPS: BROWARD

Seller Information

Name: BER JON REALTY LLC,

Property Information

Address: [REDACTED] TAMARAC, FL 33321-1659

County/FIPS: BROWARD

Data Source: B

Lender Information

Name: LANDAMERICA FINANCIAL GROUP INC ISAOA/AT

Legal Information

Assessor's Parcel 494104-46-0580

Number:

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CONFIDENTIAL

Contract Date: 01/10/2013
Recording Date: 01/16/2013
Document Number: 111260069
Document Type: CORRECTION DEED
Book/Page: 49424/603

Mortgage Information

Loan Amount: \$145000
Loan Type: NEW CONVENTIONAL
Title Company: NONE AVAILABLE

35: Deed Record for BROWARD County

Buyer Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33075-8670
County/FIPS: BROWARD

Seller Information

Name: STACY, NIKKI
Address: [REDACTED] MARGATE, FL 33063-5018
County/FIPS: BROWARD

Property Information

Address: [REDACTED] MARGATE, FL 33063-5018
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-36-18-047
Contract Date: 11/20/2012
Recording Date: 11/29/2012
Document Number: 111149862
Document Type: WARRANTY DEED
Book/Page: 49278/1705

Sale Information

Sale Price: \$85000

Mortgage Information

Title Company: CAPITAL ABSTRACT & TITLE

36: Deed Record for BROWARD County

Buyer Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33075-8670
County/FIPS: BROWARD

Seller Information

Name: NUNEZ, OLGA
Address: [REDACTED] CORAL SPRINGS, FL 33065-6866
County/FIPS: BROWARD

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33065-6866

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DB-SDNY-0027235
EFTA_00174913

EFTA01299015

County/FIPS: BROWARD**Data Source:** B**Legal Information****Assessor's Parcel** 48-41-20-BD-001**Number:****Contract Date:** 11/01/2012**Recording Date:** 11/02/2012**Document Number:** 111100278**Document Type:** QUIT CLAIM DEED**Book/Page:** 49213/1850**Mortgage Information****Title Company:** NONE AVAILABLE**37: Deed Record for BROWARD County****Buyer Information****Name:** SOUTHERN TRUST FINANCIAL LLC,**Address:** [REDACTED] CORAL SPRINGS, FL 33075-8670**County/FIPS:** BROWARD**Seller Information****Name:** GREENE, BRETT**Address:** [REDACTED] CORAL SPRINGS, FL 33075-8670**County/FIPS:** BROWARD**Property Information****Address:** 427 SW 80TH AVE NORTH LAUDERDALE, FL 33068-1124**County/FIPS:** BROWARD**Data Source:** B**Legal Information****Assessor's Parcel** 49-41-02-08-254**Number:****Contract Date:** 11/01/2012**Recording Date:** 11/02/2012**Document Number:** 111100279**Document Type:** QUIT CLAIM DEED**Book/Page:** 49213/1852**Mortgage Information****Title Company:** NONE AVAILABLE**38: Deed Record for BROWARD County****Buyer Information****Name:** TAUS, CHAIM**Address:** [REDACTED] LOS ANGELES, CA 90035-3507**County/FIPS:** LOS ANGELES**Seller Information****Name:** SOUTHERN TRUST FINANCIAL LLC,**Address:** [REDACTED] CORAL SPRINGS, FL 33076-2507**County/FIPS:** BROWARD**Property Information**

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DB-SDNY-0027236

EFTA_00174914

EFTA01299016

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-5447
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-11-19-0298
Contract Date: 07/31/2012
Recording Date: 07/31/2012
Document Number: 110913504
Document Type: CORRECTION DEED
Book/Page: 48956/1010

Mortgage Information

Title Company: CAPITAL ABSTRACT & TITLE

39: Deed Record for BROWARD County

Buyer Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: PEZONE, JEFF
Address: [REDACTED] BOCA RATON, FL 33434-2557
County/FIPS: PALM BEACH

Property Information

Address: [REDACTED] NORTH LAUDERDALE, FL 33068-5447
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-41-11-19-0298
Contract Date: 07/17/2012
Recording Date: 07/17/2012
Document Number: 110885353
Document Type: SPECIAL WARRANTY DEED
Book/Page: 48919/132

Mortgage Information

Title Company: CAPITAL ABSTRACT & TITLE

40: Deed Record for BROWARD County

Buyer Information

Name: S H E S PROPERTY LLC,
Address: [REDACTED] PARKLAND, FL 33076-2322
County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] APT 108 CORAL SPRINGS, FL 33076-3127
County/FIPS: BROWARD

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Property Information

Address: [REDACTED] MARGATE, FL 33063-5163
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-36-17-122
Contract Date: 02/06/2012
Recording Date: 02/07/2012
Document Number: 110540022
Document Type: WARRANTY DEED
Book/Page: 48498/172

Mortgage Information

Title Company: CAPITAL ABSTRACT & TITLE

41: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] APT 108 CORAL SPRINGS, FL 33076-3127
County/FIPS: BROWARD

Seller Information

Name: DEUTSCHE BANK NATIONAL TRUST COMPANY,
Address: 4600 REGENT BLVD IRVING, TX 75063-2443
County/FIPS: DALLAS

Property Information

Address: [REDACTED] MARGATE, FL 33063-5163
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 484136-17-1220
Contract Date: 01/23/2012
Recording Date: 02/07/2012
Document Number: 110539627
Document Type: SPECIAL WARRANTY DEED
Book/Page: 48497/985

Sale Information

Sale Price: \$80000

Mortgage Information

Title Company: NONE AVAILABLE

42: Assessment Record for BROWARD County, FL**Owner Information**

Name: SOUTHERN TRUST FINANCIAL LLC
Address: [REDACTED] CORAL SPRINGS, FL 33075-8670
County/FIPS: BROWARD

Property Information

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SDNY_GM_00064413

Address: [REDACTED] CORAL SPRINGS, FL 33065-3015
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-14-AH-0060
Recording Date: 11/23/2011
Book/Page: 48373/1283

Sale Information

Sale Price: \$37900

Assessment Information

Market Land Value: \$4290
Market Improvement Value: \$38630
Total Market Value: \$42920

43: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: ANAYA, MANUEL OMAR
Name: ANAYA, ICILA
Name: SPRINGS TOWNHOMES ASSN INC
Name: BANK OF AMER NA

Property Information

Data Source: B

Legal Information

Contract Date: 12/06/2011
Recording Date: 12/14/2011
Document Number: 110434421
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 48373/1283

Sale Information

Sale Price: \$37900

Mortgage Information

Title Company: NONE AVAILABLE

44: Deed Record for BROWARD County**Buyer Information**

Name: REMENTERIA, JOSE A
Name: ESTEVEZ, MARTA M
Address: [REDACTED] CORAL SPRINGS, FL 33071-5791
County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,

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DB-SDNY-0027239

EFTA_00174917

EFTA01299019

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33071-5791
County/FIPS: BROWARD
Data Source: B

Lender Information

Name: WELLS FARGO BANK NA

Legal Information

Assessor's Parcel Number: 48-41-29-03-0450
Contract Date: 09/09/2011
Recording Date: 09/19/2011
Document Number: 110276538
Document Type: WARRANTY DEED
Book/Page: 48186/1309

Sale Information

Sale Price: \$269000

Mortgage Information

Loan Amount: \$244521
Loan Type: FHA
Title Company: ATTORNEY

45: Deed Record for BROWARD County

Buyer Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33076-3127
County/FIPS: BROWARD

Seller Information

Name: POOLPAN, PATTAMA
Name: CORDELL, CHUCK
Name: MORTGAGE ELETRONIC REGISTRATION SYS INC
Name: US BANK NA
Name: CACE-09-046413 DIVISION 11

Property Information

Address: [REDACTED] CORAL SPRINGS, FL 33071-5791
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-29-03-045
Contract Date: 05/24/2011
Recording Date: 06/02/2011
Document Number: 110072589
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 47951/230

Sale Information

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Sale Price: \$195600

Mortgage Information

Title Company: NONE AVAILABLE

46: Deed Record for BROWARD County

Buyer Information

Name: MILLER, JOYCE A

Address: [REDACTED] MARGATE, FL 33068-3025

County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Property Information

Address: [REDACTED] MARGATE, FL 33068-3025

County/FIPS: BROWARD

Data Source: B

Lender Information

Name: MORTGAGE LENDERS OF AMERICA LLC

Legal Information

Assessor's Parcel 49-42-06-14-1330

Number:

Contract Date: 03/17/2011

Recording Date: 03/22/2011

Document Number: 109940334

Document Type: WARRANTY DEED

Book/Page: 47798/1811

Sale Information

Sale Price: \$140000

Mortgage Information

Loan Amount: \$92025

Loan Type: FHA

Title Company: CAPITAL ABSTRACT & TITLE

47: Deed Record for BROWARD County

Buyer Information

Name: 1ST USA REAL ESTATE LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,

Address: [REDACTED] CORAL SPRINGS, FL 33065-3937

County/FIPS: BROWARD

Property Information

Address: [REDACTED] MARGATE, FL 33063-7110

County/FIPS: BROWARD

Data Source: B

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Legal Information

Assessor's Parcel Number: 48-41-24-02-478
Contract Date: 09/24/2010
Recording Date: 09/29/2010
Document Number: 109608832
Document Type: QUIT CLAIM DEED
Book/Page: 47404/558

Mortgage Information

Title Company: ATTORNEY

48: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: JEFFRIES, JAMES R
Name: JEFFRIES, LOURDES S
Name: BANK OF AMERICA NATIONAL ASSOCIATION
Name: CACE-10-008694 DIVISION 21

Property Information

Address: [REDACTED] MARGATE, FL 33063-7110
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-24-02-4780
Contract Date: 08/23/2010
Recording Date: 08/27/2010
Document Number: 109550949
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 47335/759

Sale Information

Sale Price: \$67600

Mortgage Information

Title Company: NONE AVAILABLE

49: Deed Record for BROWARD County**Buyer Information**

Name: MITCHELL, LA TONDRA
Address: [REDACTED] MARGATE, FL 33068-3114
County/FIPS: BROWARD

Seller Information

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

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SDNY_GM_00064417

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Property Information

Address: [REDACTED] MARGATE, FL 33068-3114
County/FIPS: BROWARD
Data Source: B

Lender Information

Name: MORTGAGE LENDERS OF AMERICA LLC

Legal Information

Assessor's Parcel Number: 49-42-06-04-1100
Contract Date: 08/06/2010
Recording Date: 08/18/2010
Document Number: 109529915
Document Type: WARRANTY DEED
Book/Page: 47311/785

Sale Information

Sale Price: \$144900

Mortgage Information

Loan Amount: \$142974
Loan Type: UNKNOWN
Title Company: ATTORNEY

50: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: ESPINOZA, CLAUDIA
Name: OAKLAND HILLS SOCIAL CTR INC,
Name: WACHOVIA MTG FSB
Name: CACE-08-042994 DIVISION 12

Property Information

Address: [REDACTED] MARGATE, FL 33068-3025
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-42-06-14-133
Contract Date: 05/25/2010
Recording Date: 06/25/2010
Document Number: 109408837
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 47174/273

Sale Information

Sale Price: \$76400

Mortgage Information

Title Company: NONE AVAILABLE

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51: Deed Record for BROWARD County**Buyer Information**

Name: SOUTHERN TRUST FINANCIAL LLC,
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Seller Information

Name: AUSTIN, ROBERT
Name: NOVASTAR MORTGAGE INC,

Property Information

Address: [REDACTED] MARGATE, FL 33068-3114
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-42-06-04-110
Contract Date: 03/30/2010
Recording Date: 04/02/2010
Document Number: 109249200
Document Type: TRUSTEE'S DEED - (CERTIFICATE OF TITLE)
Book/Page: 46988/518

Sale Information

Sale Price: \$59600

Mortgage Information

Title Company: ATTORNEY

52: Assessment Record for BROWARD County, FL**Owner Information**

Name: SOUTHERN TRUST FINANCIAL LLC
Address: [REDACTED] CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: [REDACTED] MARGATE, FL 33063-7110
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 48-41-24-02-4780
Recording Date: 08/10/2010
Book/Page: 47335/759

Sale Information

Sale Price: \$67600

Assessment Information

Assessed Value: \$110020
Market Land Value: \$33460
Market Improvement Value: \$76560

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Total Market Value: \$110020

53: Assessment Record for BROWARD County, FL

Owner Information

Name: SOUTHERN TR FINANCIAL LLC
Address: CORAL SPRINGS, FL 33065-3937
County/FIPS: BROWARD

Property Information

Address: MARGATE, FL 33068-3025
County/FIPS: BROWARD
Data Source: B

Legal Information

Assessor's Parcel Number: 49-42-06-14-1330
Recording Date: 05/13/2010
Book/Page: 47174/273

Sale Information

Sale Price: \$76400

Assessment Information

Assessed Value: \$81540
Market Land Value: \$24380
Market Improvement Value: \$57160
Total Market Value: \$81540

Foreclosures - 9 records found

1: Foreclosures

Default Information

Site Address: CORAL SPRINGS, FL 33076-2130
Site Address 2: CORAL SPRINGS, FL 33065-3937
Recording Date: 01/25/2010
Document Type: CERTIFICATE OF TITLE (FL)
NUNEZ MADELINE COC
NUNEZ MDELINE

2: Foreclosures

Default Information

Site Address: CORAL SPRINGS, FL 33065-3015
Site Address 2: CORAL SPRINGS, FL 33065-3937
Recording Date: 12/14/2011
Document Type: CERTIFICATE OF TITLE (FL)
ANAYA MANUEL O & ICLA COC

3: Foreclosures

Default Information

Site Address: MARGATE, FL 33063-7110
Site Address 2: CORAL SPRINGS, FL 33065-3937
Recording Date: 08/27/2010
Document Type: CERTIFICATE OF TITLE (FL)

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SDNY_GM_00064420

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4: Foreclosures JEFFRIES JAMES R & LOURDES S C

Default Information

Site Address: [REDACTED]
 MARGATE, FL 33063-8327
 Site Address 2: [REDACTED]
 CORAL SPRINGS, FL 33065-3937
 Recording Date: 02/11/2010
 Document Type: CERTIFICATE OF TITLE (FL)
 QUACH TAM COC
 QUACH TAM

5: Foreclosures

Default Information

Site Address: [REDACTED]
 CORAL SPRINGS, FL 33071-5791
 Site Address 2: [REDACTED] APT 108
 CORAL SPRINGS, FL 33076-3127
 Recording Date: 06/02/2011
 Document Type: CERTIFICATE OF TITLE (FL)
 POOLPAN PATTAMA COC

6: Foreclosures

Default Information

Site Address: [REDACTED]
 NORTH LAUDERDALE, FL 33068-3937
 Site Address 2: [REDACTED]
 CORAL SPRINGS, FL 33065-3937
 Recording Date: 01/25/2010
 Document Type: CERTIFICATE OF TITLE (FL)
 BENITEZ REINALDO COC
 BENITEZ REINALDO

7: Foreclosures

Default Information

Site Address: [REDACTED]
 NORTH LAUDERDALE, FL 33068-3656
 Site Address 2: [REDACTED]
 CORAL SPRINGS, FL 33065-3937
 Recording Date: 08/27/2010
 Document Type: CERTIFICATE OF TITLE (FL)
 BURROWS RICHARD & JANA COC

8: Foreclosures

Default Information

Site Address: [REDACTED]
 MARGATE, FL 33068-3114
 Site Address 2: [REDACTED]
 CORAL SPRINGS, FL 33065-3937
 Recording Date: 04/02/2010
 Document Type: CERTIFICATE OF TITLE (FL)
 AUSTIN ROBERT COC
 AUSTIN ROBERT

9: Foreclosures

Default Information

Site Address: [REDACTED]
 MARGATE, FL 33068-3025
 Site Address 2: [REDACTED]
 CORAL SPRINGS, FL 33065-3937
 Recording Date: 06/25/2010
 Document Type: CERTIFICATE OF TITLE (FL)
 ESPINOZA CLAUDIA COC

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ESPINOZA CLAUDIA

Business Associates - 50 records found

#	Name	Address
1.	0 # # 0 24 HR 7 DAYS FORT LAUDERDALE RMERGENCY LOCKSMITH	[REDACTED] FORT LAUDERDALE, FL 33311-4335
2.	1 ST USA LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937
3.	A LA MODE, INC.	[REDACTED] CORAL SPRINGS, FL 33076-2507
4.	ARR ALLEN DRAGLINE INC.	[REDACTED] FORT LAUDERDALE, FL 33311-4335
5.	B EQUITIES INC	[REDACTED] FORT LAUDERDALE, FL 33311-4335
6.	BABCOCK DOUGLAS	[REDACTED] CORAL SPRINGS, FL 33076-2507
7.	BEN-YOSEPH BRENDA P A	[REDACTED] CORAL SPRINGS, FL 33076-2507
8.	BER JON LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937
9.	BER-JON REALTY LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937
10.	BER-JON REALY LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937
11.	BLUEPRINT ROOFING LLC	[REDACTED] TAMARAC, FL 33321-1659
12.	BURGER KING	[REDACTED] CORAL SPRINGS, FL 33076-2507
13.	CAICEDO CARLOS A	[REDACTED] CORAL SPRINGS, FL 33076-2507
14.	CANBEC CO., LLC	[REDACTED] FORT LAUDERDALE, FL 33311-4335
15.	CARLOS A. CAICEDO P.A.	[REDACTED] CORAL SPRINGS, FL 33076-2507
16.	CARLOS MUNROE	[REDACTED] CORAL SPRINGS, FL 33076-2507
17.	CONST GOTTLIEB	[REDACTED] CORAL SPRINGS, FL 33076-2507
18.	CONVENIENCE RECYCLING, INC.	[REDACTED] FORT LAUDERDALE, FL 33311-4335
19.	DAVID A. GOODMAN, P.A.	[REDACTED] CORAL SPRINGS, FL 33076-2507
20.	DEBI4REALESTATE INC	[REDACTED] CORAL SPRINGS, FL 33076-2507
21.	DELTA LTD	[REDACTED] FORT LAUDERDALE, FL 33311-4335
22.	DIANE MARIE PERKINS, P.A.	[REDACTED] CORAL SPRINGS, FL 33076-2507
23.	DONALDSON CONSTRUCTION CO., INC.	[REDACTED] FORT LAUDERDALE, FL 33311-4335
24.	EQUUS INVESTMENTS LLC	[REDACTED] CORAL SPRINGS, FL 33076-2507
25.	FINKELSTEIN MAUREEN ANNA	[REDACTED] CORAL SPRINGS, FL 33076-2507
26.	FROM CONTRACT 2 CLOSE INC	[REDACTED] CORAL SPRINGS, FL
27.	GGPM	[REDACTED] CORAL SPRINGS, FL 33076-2507
28.	GOLDSTEIN THEODORE	[REDACTED] CORAL SPRINGS, FL 33076-2507
29.	GOODMAN DAVID P A	[REDACTED] CORAL SPRINGS, FL 33076-2507

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#	Name	Address
30.	GOTTIEB GROUP PROPERTY MANAGEMENT	[REDACTED] CORAL SPRINGS, FL 33076-2507
31.	GOTTLIEB STEVEN PARTNE	[REDACTED] CORAL SPRINGS, FL 33076-2507
32.	GREENE BRETT	[REDACTED] CORAL SPRINGS, FL 33065-3937
33.	HARNED EDWARD	[REDACTED] CORAL SPRINGS, FL 33065-3937
34.	HARRIS REISBAUM, INC.	[REDACTED] TAMARAC, FL 33321-1659
35.	HR BUSINESS FUNDING	[REDACTED] TAMARAC, FL 33321-1659
36.	INDIAN LINTON	[REDACTED] CORAL SPRINGS, FL 33076-2507
37.	INTERNATIONAL GLOBAL METALS INC	[REDACTED] FORT LAUDERDALE, FL 33311-4335
38.	INTERNATIONAL GLOBE METALS INC.	[REDACTED] FORT LAUDERDALE, FL 33311-4335
39.	INTERNATL GLOBAL METALS INC	[REDACTED] FORT LAUDERDALE, FL 33311-4335
40.	IST U S A REAL ESTATE LLC	CORAL SPRINGS, FL
41.	JIM CORRADO	CORAL SPRINGS, FL
42.	JOE LANSER P.A.	[REDACTED] CORAL SPRINGS, FL 33076-2507
43.	JOOST LANSER, P.A.	[REDACTED] CORAL SPRINGS, FL 33076-2507
44.	JPMORGAN CHASE BANK NATIONAL ASSOCIATION	[REDACTED] TAMARAC, FL 33321-1659
45.	KEISER JAMES	[REDACTED] CORAL SPRINGS, FL 33076-2507
46.	KING BURGER	CORAL SPRINGS, FL
47.	LAKELAND FINANCIAL LLC	[REDACTED] CORAL SPRINGS, FL 33065-3937
48.	LANSER JOOST	[REDACTED] CORAL SPRINGS, FL 33076-2507
49.	LAW OFFICES OF JORGE L FLORES PA	[REDACTED] FORT LAUDERDALE, FL 33311-4335
50.	LEIBOV PROPERTY NO	[REDACTED] FORT LAUDERDALE, FL 33311-4335

Person Associates - 8 records found

#	Name	Address
1.	BERNARD, LEIBOV	[REDACTED] CORAL SPRINGS, FL 33065-3937
2.	BRETT, GREENE	[REDACTED] CORAL SPRINGS, FL 33065-3937
3.	GREENE, BRETT	[REDACTED] CORAL SPRINGS, FL 33065-3937
4.	GREENE, JOHN	[REDACTED] CORAL SPRINGS, FL 33065-3937
5.	JEFF, PEZONE	[REDACTED] BOCA RATON, FL 33434-2557
6.	JOHN, GREENE	[REDACTED] CORAL SPRINGS, FL 33065-3937
7.	LEIBOV, BERNARD M	[REDACTED] CORAL SPRINGS, FL 33065-3937
8.	PEZONE, JEFF	[REDACTED] BOCA RATON, FL 33434-2557

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All Sources
Business Contacts
Business Finder
Corporate Filings
Foreclosure
Property

107 Source Document(s)
22 Source Document(s)
21 Source Document(s)
2 Source Document(s)
9 Source Document(s)
53 Source Document(s)

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November 1, 2001

FINANCIAL TRUST CORP

P O BOX 220
CARLISLE, PA 17013
UNITED STATES

***** COMMUNICATIONS *****

TELEPHONE: [REDACTED]

***** COMPANY IDENTIFIERS *****

FEIN: 23-2229155

SOURCE REFERENCE NAME: DEPARTMENT OF TREASURY - HEALTH

***** EXECUTIVES *****

TOP CONTACT: Principal Ray L Wolfe

***** MARKET AND INDUSTRY *****

SIC CODES:

60220000

CROSS REFERENCE:

. PRIMARY BUSINESS NAME: Financial Trust Company (inc)

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LOAD-DATE: June 20, 2012

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January 7, 2011

SOUTHERN FINANCIAL LLC



(registered address)
ALTAMONTE SPRINGS, FL 32714
USA

COUNTY: SEMINOLE
REGION: NORTH AMERICA

***** COMMUNICATIONS *****

TELEPHONE: 4077881938

COUNTRY CODE: 0001

***** COMPANY IDENTIFIERS *****

DUNS: 14-388-2210

***** COMPANY INFORMATION *****

EMPLOYEES HERE: 2 - Estimated
EMPLOYEES TOTAL: 2 - Estimated

COMPANY TYPE: Private

***** EXECUTIVES *****

CEO: D HARRIS, PRINCIPAL

***** DESCRIPTION *****

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INVESTMENT ADVICE

***** MARKET AND INDUSTRY *****

SIC CODES:

6282 - Investment advisory service

LOAD-DATE: May 18, 2013

LEGAL RESULTS:

Court Cases:

FINANCIAL TRUST COMPANY, INC. AND JEFFREY E. EPSTEIN, Plaintiffs, v. CITIBANK, N.A. AND CITIGROUP, INC. d/b/a "CITIGROUP," Defendants.

Civ. No. 2002-108

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF THE VIRGIN ISLANDS, DIVISION OF ST. THOMAS AND ST. JOHN

351 F. Supp. 2d 329; 2004 U.S. Dist. LEXIS 26378; 46 V.I. 435

December 30, 2004, Decided

PRIOR HISTORY: Fin. Trust Co. v. Citibank, N.A., 268 F. Supp. 2d 561, 2003 U.S. Dist. LEXIS 10735 (D.V.I., 2003)

DISPOSITION: Defendant's motion to dismiss denied.

CASE SUMMARY:

PROCEDURAL POSTURE: Defendant bank and its banking investment company (bank) sought to dismiss, pursuant to Fed. R. Civ. P. 9(b) and Fed. R. Civ. P. 12(b)(6), the second amended complaint filed by plaintiffs, an investor and a trust company, which alleged that the bank's representative misrepresented facts and fraudulently induced them to invest in a venture managed by an investment corporation.

OVERVIEW: Plaintiffs alleged that fraudulent misrepresentations by the bank induced them to borrow \$ 10 million to invest in a venture managed by an investment corporation.

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351 F. Supp. 2d 329, *; 2004 U.S. Dist. LEXIS 26378, **;
46 V.I. 435, ***

The bank sought to dismiss the complaint for failure to meet Fed. R. Civ. P. 9(b)'s heightened pleading requirement for fraud and for failure to state a claim upon which relief could be granted pursuant to Fed. R. Civ. P. 12(b)(6). The court denied the motion, finding that plaintiffs' complaint, which alleged that the bank failed to disclose information and negligently and fraudulently misrepresented facts concerning its relationship with an investment corporation and that the bank knew that plaintiffs were unaware of the relationship, and which also alleged that the investor acted upon the information and but for the bank's actions they would not have been injured, contained facts sufficient to plead fraud with the particularity required by Fed. R. Civ. P. 9(b). The court found also that by alleging that "but for" the bank's misleading statements and omissions, plaintiffs would not have proceeded with their loan, plaintiffs alleged enough information to survive a Fed. R. Civ. P. 12(b)(6) motion on their fraud claims.

OUTCOME: The court denied the motion to dismiss.

CORE TERMS: omission, misrepresentation, fraud claim, material fact, fraudulently, bespeak, caution, pitch, failure to state a claim, fiduciary duty, false representation, best interests, misrepresented, particularity, induced, falsity, invest, failed to state, allegations of fraud, disputed fact, heightened, fraudulent, disclosures, ignorance, investor, survive, conversations, disclose, venture, managed

LexisNexis(R) Headnotes

Civil Procedure > Pleading & Practice > Pleadings > Heightened Pleading Requirements > Fraud Claims

[HN1] In order to state a viable fraud claim, Fed. R. Civ. P. 9(b) requires a plaintiff to plead (1) a specific false representation or omission of material fact; (2) knowledge by the person who made it of its falsity; (3) ignorance of its falsity by the person to whom it was made; (4) the intention that it should be acted upon; and (5) that the plaintiff acted upon it to his damages. Courts should apply Rule 9(b) with some flexibility and should not require plaintiffs to plead issues that may have been concealed by the defendants. Although the rule does not require a recitation of "every material detail" of the alleged fraud, it does require that plaintiffs support their allegations of fraud with all of the essential factual background that would accompany the first paragraph of any newspaper story - that is the "who, what, when, where and how" of the events at issue. However, the Third Circuit Court of Appeals has held that the requirements of Rule 9(b) may be satisfied if the complaint describes the circumstances of the alleged fraud with "precise allegations of date, time or place" or by using some means of "injecting precision and some means of substantiation into their allegations of fraud."

Civil Procedure > Pleading & Practice > Pleadings > Heightened Pleading Requirements > General Overview

[HN2] Fed. R. Civ. P. 9 does not require specification of time, place, and nature of misrepresentation; rather, it requires only that the plaintiff identify the facts not communicated.

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351 F. Supp. 2d 329, *, 2004 U.S. Dist. LEXIS 26378, **;
46 V.I. 435, ***

Civil Procedure > Pleading & Practice > Pleadings > Heightened Pleading Requirements > General Overview

[HN3] In order to state a claim for fraud, the plaintiff must prove (1) a specific false representation of material fact; (2) knowledge by the person who made it that it was false; (3) ignorance of its falsity by the person to whom it was made; (4) the intention that it should be acted upon; and (5) that the plaintiff acted upon it to his damage.

COUNSEL: [**1] **ATTORNEYS:** Maria Tankenson Hodge, Esq., Hodge & Francois, St. Thomas, U.S.V.I., For the plaintiffs.

Gregory H. Hodges, Esq., Dudley, Topper and Feuerzeig, LLP, St. Thomas, U.S.V.I., For the defendants.

JUDGES: Thomas K. Moore, District Judge.

OPINION BY: Thomas K. Moore

OPINION

[436] [*330] MEMORANDUM OPINION**

Moore, J.

Defendants have moved to dismiss the second amended complaint for failure to meet Federal Rule of Civil Procedure 9(b)'s heightened pleading requirement for fraud and for failure to state a claim upon which relief can be granted pursuant to Federal Rule of Civil Procedure 12(b)(6).

I. Factual and Procedural History

In their second amended complaint, Jeffrey E. Epstein and **Financial Trust Company, Inc.** ["FTC"] allege that Citibank, N.A. and Citigroup, Inc. [collectively "Citibank"] misrepresented facts and fraudulently [**437] induced them to borrow \$ 10 million to invest in a venture managed by AIG Global Investment Corporation ["AIG"] and another \$ 10 million to invest in a venture managed by Mass Mutual. The plaintiffs allege that the defendants failed to disclose information and negligently [**2] and fraudulently misrepresented facts concerning their relationship with AIG, that the plaintiffs detrimentally relied on these misrepresentations and omission, and that the defendants breached their fiduciary duty to the plaintiffs. The plaintiffs seek rescission of the promissory notes and punitive damages.

Previously, I ruled that this court has personal jurisdiction over Citibank and Citigroup, venue in this court is proper under 28 U.S.C. § 1391(a), this case need not be transferred to New York, the amended complaint adequately states claims upon which relief may be granted under Federal Rule of Civil Procedure 12(b)(6) for the breach of fiduciary duty and negligent misrepresentation claims, and counts I, II, III and VI of the first amended complaint failed to meet Federal Rule of Civil Procedure 9(b)'s heightened pleading standard. I did, however, grant the plaintiffs leave to amend the complaint. *Financial Trust Co. v. Citibank*, 268 F. Supp.2d 561 (D.V.I. 2003).

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II. LEGAL ANALYSIS

A. Federal Rule of Civil Procedure 9(b)

[3]** [HN1] In order to state a viable fraud claim, Rule 9(b) requires a plaintiff to **[**311]** plead (1) a specific false representation or omission of material fact; (2) knowledge by the person who made it of its falsity; (3) ignorance of its falsity by the person to whom it was made; (4) the intention that it should be acted upon; and (5) that the plaintiff acted upon it to his damages." *In re Rockefeller Ctr. Props. Secs. Litig.*, 311 F.3d 198, 215 (3d Cir. 2002). Courts should apply Rule 9(b) with some flexibility and should not require plaintiffs to plead issues that may have been concealed by the defendants. *Rolo v. City Investing Co. Liquidating Trust*, 155 F.3d 644 (3d Cir. 1998). Although the rule does not require a recitation of "every material detail" of the alleged fraud, it does require "that plaintiffs support their allegations of fraud with all of the essential factual background that would accompany 'the first paragraph of any newspaper story' - that is the 'who, what, when, where and how' of the events at issue." *In re Rockefeller Ctr. Props., Inc.*, 311 F.3d at 217 (quoting *In re Burlington Coat Factory Sec. Litig.*, 114 F.3d 1410, at **[**438]** 1422). However, the **[**4]** Third Circuit Court of Appeals has held that the "requirements of Rule 9(b) may be satisfied if the complaint describes the circumstances of the alleged fraud with 'precise allegations of date, time or place' or by using some means of 'injecting precision and some means of substantiation into their allegations of fraud.'" *Bd. of Trs. v. Foodtown, Inc.*, 296 F.3d 164, 173 n.10 (3d Cir. 2002) (internal citations omitted).

Plaintiffs allege that false statements or statements where material facts were omitted were made pursuant to a course of conduct to fraudulently induce plaintiffs into a series of investments and related loans. Plaintiffs allege that Citibank's actions did not conform to the representations in the statements, that defendants were acting in plaintiffs' best interests. For example, it is alleged that in conversations between April 29, 1999 and May 2, 1999, Dayle Davison, a Vice President of Citibank in the Private Banking Division, called Epstein to introduce him to an "exceptional investment opportunity." (Compl. P 13.) In follow-up telephone conversations during that same two week period, Davison and her associates **[**5]** made additional specific representations about the AIG Investment, without disclosing Citibank and AIG's relationship. Davison also during that period represented that Citibank had "done their due diligence" and would remain actively involved in the deal. (Compl. P 14.) In August 2001, in an effort to dissuade Epstein from seeking to remove AIG as the manager of the AIG Investment, John Purcell, a Citibank representative, told Jeffrey Schantz, a lawyer for Epstein, that Citibank was acting in Epstein's interests and that Epstein should trust Citibank rather than talk about actions which would run counter to Citibank's undisclosed interests. (Compl. P 37.) These facts all allege that defendants failed to state or disclose "additional or qualifying information regarding" their relationship with AIG.

These specific facts are enough to plead fraud with the particularity required by Rule 9(b). The plaintiffs have alleged that Davison, Epstein's primary contact at Citibank, made specific false representation and omissions of material facts of the relationship between AIG and Citibank in April and May of 1999. The complaint has also alleged that Davison, and others at Citibank, knew of the **[**6]** relationship between Citibank and AIG and that

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351 F. Supp. 2d 329, *; 2004 U.S. Dist. LEXIS 26378, **;
46 V.I. 435, ***

they knew Epstein was unaware of this relationship. Finally, as I had previously ruled, the [***439] complaint also alleges that Epstein acted upon this information and but for Citibank's actions he would not have been injured.

[*332] The basic purpose of Rule 9(b) has been fulfilled - plaintiff has alleged enough information, including specific names and dates, to put Citibank on notice of the fraudulent actions it has alleged to have committed. Moreover, since some of the misrepresentations were alleged to be omissions, [HN2] Rule 9 does not require specification of time, place, and nature of misrepresentation for these statements; rather, it requires only that the plaintiff identify the facts not communicated. See *Golden Trade, S.R.L. v Jordache*, 143 F.R.D. 504 (S.D.N.Y. 1992); *Cottman Transmission Sys. Inc. v. Dubinsky*, 95 F.R.D. 351, 353 (E.D. Pa. 1982) ("Conduct which never occurred cannot be described with greater particularity other than to state that it did not occur.")

The information contained in the second amended complaint is enough for counts I, II, III, and VI to survive this motion to dismiss for failure to [**7] plead fraud with particularity pursuant to Federal Rule of Civil Procedure 9(b).

B. Federal Rule of Civil Procedure 12(b)(6)

The defendants also argue that 12(b)(6) mandates dismissal of the complaint for failure to state a claim upon which relief can be granted. To the extent that plaintiffs are attempting to reargue their previous motion to dismiss, I reiterate my ruling that Virgin Islands law governs this case, that the complaint adequately alleges that the defendants' wrongful conduct caused the plaintiffs' losses, and that plaintiffs' claims of breach of fiduciary duty and negligent misrepresentation should not be dismissed. *Financial Trust Co.*, 268 F. Supp. 2d at 576. The only claims left to be decided are the fraud claims.

[HN3] In order to state a claim for fraud, the plaintiff must prove "(1) a specific false representation of material fact; (2) knowledge by the person who made it that it was false; (3) ignorance of its falsity by the person to whom it was made; (4) the intention that it should be acted upon; and (5) that the plaintiff acted [**8] upon it to his damage." *Financial Trust Co.*, 268 F. Supp.2d at 575 (quoting *Shapiro v. UJB Fin. Corp.*, 964 F.2d 272, 284 (3d Cir. 1992)).

The defendants base their argument that plaintiffs have failed to state a claim upon which relief can be granted for fraud on their allegation that the alleged omission of the relationship between AIG and defendants was [***440] disclosed and thus the "bespeaks caution" doctrine applies to the case. Under this theory, when some risks are disclosed in documents, the documents "bespeak caution" to the investor and the investor cannot later premise a fraud claim on the events of which he has been warned. *Kline v. First W. Gov't Sec.*, 24 F.3d 480, 489 (3d Cir. 1994). The defendants' claim that the Preliminary Offering Circular [the "pitch book"], which was allegedly given to Epstein, disclosed ongoing business relationships between Citibank and AIG and should invoke the "bespeaks caution" doctrine.

I have previously ruled that whether the "pitch book" referenced in the amended complaint is the document that plaintiffs rely on is a disputed fact that precludes a Rule 12(b)(6) dismissal. *Financial Trust Co.*, 268 F. Supp. 2d at 572. [**9] Nothing in any of the briefs have convinced me that the parties do not dispute which pitch book Epstein received, what

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disclosures were made in any such pitch book, and whether those disclosures revealed the alleged conflict. As such, a ruling on the "bespeaks caution" doctrine is not appropriate at this juncture in the case because it involves deciding issues of disputed fact.

[*333] Plaintiffs have alleged all necessary elements of fraud. They have alleged that defendants intentionally misrepresented material facts, through omissions and statements assuring the plaintiffs that they were acting in Epstein's personal best interest. They have also alleged that the person who made these misrepresentations, Davison, knew of the relationship between AIG and Citibank and that Epstein and FTC did not know of this relationship. Finally, plaintiffs have also alleged that "but for" defendants misleading statements and omissions, plaintiff would not have proceeded with their loan and investment programs and would not have suffered these damages. Plaintiffs have thus alleged enough information to survive a Rule 12(b)(6) motion on their fraud claims.

C. The Mass Mutual Investment Claims

Although **[**10]** it is unclear from the second amended complaint which counts concern the Mass Mutual Fund, it is clear that at least some of the causes of actions allege that Citibank defrauded Epstein in relation to his loans and investment in the Mass Mutual Fund. Separately, defendants argue that the court should dismiss the claims alleging fraud relating to the Mass Mutual Fund for failure to plead fraud specifically under Rule 9(b) and failure to state a claim upon which relief can be granted under Rule 12(b)(6) **[***441]**. The plaintiff's basic allegation is that "because of Defendants' false assurances and omissions of true statements concerning their relationship with AIG and the AIG Investment, Plaintiffs made the investment in the Mass Mutual Fund and suffered significant losses." (Compl. P 65.)

Defendants essentially argue that plaintiff's loans and investment in the AIG and Mass Mutual funds should be viewed as two separate transactions. This view, however, belies the alleged conduct of Citibank. The underlying conduct alleged in the second amended complaint is that Citibank fraudulently induced plaintiffs into believing they had a preferred relationship with Citibank where Citibank was acting in **[**11]** Epstein's best interest in all of their transactions, including investing in the AIG and the Mass Mutual funds. Essentially, plaintiffs argue they were induced into a high stakes relationship with Citibank based on fraudulent statements and omissions, and but for these statements, they would not have enjoyed the type of relationship with Citibank to be in the position either for Citibank to present them an offer to loan them large sums of money to invest in the Mass Mutual fund or for plaintiff's to trust Citibank's investment advice. I believe that whether the underlying complained about conduct was two separate transactions or whether the conduct should be viewed as Citibank's relationship as a whole with Epstein is a matter of fact that goes to the issue of damages and is not appropriate for a Rule 12(b)(6) motion. ¹ Therefore, I rule that the fraud claims should not be separated into two different types - AIG and Mass Mutual - and thus my previous analysis of the applicability of Rule 9(b) and Rule 12(b)(6) apply equally to all claims for damages alleged to occur because of Epstein's investments in the AIG and Mass Mutual funds.

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351 F. Supp. 2d 329, *, 2004 U.S. Dist. LEXIS 26378, **;
46 V.I. 435, ***

¹ This opinion is supported by the structure of the second amended complaint which does not differentiate the counts between the Mass Mutual and AIG funds as defendant attempts to do.

[12] III. CONCLUSION**

For the foregoing reasons, I will deny defendants' motion to dismiss.

ENTERED this 30th day of December, 2004.

FOR THE COURT:

Thomas K. Moore

District Judge

[*334] ORDER

For the reasons given in the accompanying memorandum of even date, it is hereby **ORDERED** that the defendant's motion to dismiss is hereby **DENIED**.

ENTERED this 30th day of December, 2004.

FOR THE COURT:

Thomas K. Moore

District Judge

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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
(Foley Square - NYC)

Citibank, NA v. Epstein, et al

PLAINTIFF: Citibank, NA

DEFENDANT: Financial Trust Company, Inc;
Epstein, Jeffrey E

DOCKET CASE NUMBER: 1:02cv5332

FILING DATE: 7/11/2002

JURISDICTION: Diversity

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JUDGE: Stein, Sidney H

NATURE OF SUIT: 190 Other Contract

FILING TYPE: Civil

CAUSE: Personal Injury28 USC 1391

JURY DEMAND: None

STATUS: Case Closed

PLAINTIFF ATTORNEY(S):

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THE COURT UPDATED THIS RECORD ON: 07/26/2005 12:00:00 AM

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**CIVIL SUMMONS NEW YORK CITY SUPREME COURT - CIVIL DIV, NEW YORK
COUNTY, NEW YORK**

D B ZWIRN AND CO L P v. FINANCIAL TRUST COMPANY INC

Plaintiff: D B ZWIRN AND CO L P

Defendant: FINANCIAL TRUST COMPANY INC
Tax ID: 000000000

Docket Number: 20100650582

Filing Date: 6/11/2010

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