

Home Page Requestor Menu

### Dow Jones Details

Show Debug Info



#### Details

Alert ID:	A130512230572	Alert Date:	29/05/2013
Score:	100%	Detection Check:	djw names
Best matches:	In FACTIVA PFA: 04_gender = M Exact Match M 03_name = Jeffrey Epstein Fuzzy Match >= 85% Jeffrey Epstein		
Name:	Jeffrey Epstein	Street/Number:	
DOB/DOI:		Zip Code/City:	
Nationality:	United States	PEP Indicator:	
Gender:	Male	Customer ID:	
Country of Birth/Branch:	United States	Alerted Customer ID:	[REDACTED]
Country of Residence:	United States	Comments:	null

#### Dow Jones Association Details

no rows found
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#### Dow Jones Details

Title:	-	UID:	1150901
First Name:	Jeffrey	Active Status:	Active
Middle Name:	S.	Entity Name:	-
Last Name:	Epstein	Address Country:	USA
Full Name:	Jeffrey S. Epstein	Address City:	Framingham, Massachusetts
Gender:	Male	Address Line:	-
Deceased:	No	Entered:	03/08/2009 00:00:00
Record Type:	Individual	Updated:	03/08/2009 00:00:00

#### Names

no rows found
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#### Dates

no rows found
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#### Countries

Type	Country
Citizenship	Not Known
Resident of	United States

#### Description1

#### Description2

#### Description3

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SDNY\_GM\_00064466

https://aml.cit.gto.intranet.db.com/norkom/pcr/le/scren/view.do?csrfToken=QtoL7XUhl... 5/29/2013

CONFIDENTIAL - PURSUANT TO FED. R. CRIM. P. 6(e)

CONFIDENTIAL

DB-SDNY-0027291

EFTA\_00174969

EFTA01299040

<b>Description 1</b> Special Interest Person (SIP)	<b>Description 2</b> Other Official Lists	no rows found
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**Roles**

no rows found
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**Profile Notes**

<p>∴</p> <p><b>SECURITIES AND EXCHANGE COMMISSION NOTES:</b></p> <p>Litigation Rel. No. 18675 / April 22, 2004</p> <p>SEC Charges Former Director of Banknorth Group, Inc. and MetroWest Bank In Settled Insider Trading Case</p> <p>SEC v. Allen M. Glick et al. (United States District Court for the District of Massachusetts, C.A. No. 04-CV-10801(MEL))</p> <p>The Commission today filed a settled civil fraud action against Allen M. Glick, a former director of both MetroWest Bank and Banknorth Group, Inc., and four of his associates – Arthur H. Freedman, Jeffrey S. Epstein, Steven T. Moore and Romeo J. Pendolari – for illegal insider trading in three publicly-traded Massachusetts banks – MetroWest Bank, Medford Bancorp and Warren Bancorp. Without admitting or denying the Commission’s allegations, Glick, Freedman, Epstein, Moore and Pendolari consented to the entry of final judgments permanently enjoining them from violating the antifraud provisions of the federal securities laws. The defendants also agreed to pay a total of over \$437,000 in disgorgement of trading profits, prejudgment interest and civil penalties, and Glick consented to a permanent bar from serving as an officer or director of any publicly-traded company.</p>
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**External Sources**

<p><b>External Source</b></p> <p><a href="http://www.sec.gov/litigation/litrelases/litrelarchive/litarchive2004.shtml">http://www.sec.gov/litigation/litrelases/litrelarchive/litarchive2004.shtml</a></p> <p><a href="http://www.sec.gov/litigation/litrelases/lr18675.htm">http://www.sec.gov/litigation/litrelases/lr18675.htm</a></p> <p><a href="http://www.sec.gov/litigation/complaints/comp18675.htm">http://www.sec.gov/litigation/complaints/comp18675.htm</a></p>
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**Images**

no rows found
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**States**

Status	Since Date	To Date
Current		