

Account Holder Name	Southern Financial LLC; Southern Trust Company, Inc.		
GCIS #		Type of KYC	New Relationship
Case #			

Product(s)	Lending
<i>products</i>	
Account Value	≥\$1 MM
Enter Country	USA
Entity Type	LLC
<i>comments</i>	

Ownership	Operating Agreement
<i>other:</i>	
Legal Existence	
<i>other:</i>	USVI COGs
Signing Authority	Operating Agreement
<i>other:</i>	
Entity Powers / Structure	Operating Agreement
<i>other:</i>	

Name	Account Holder	Member ≥ 25%	Member < 25%	Managing Member	Manager	Other Signatory	Source of Wealth	TIN or ID#	DOB	Street Address	Photo ID	PCR	RDC	BIS	Internet Searches—Clean
Southern Financial LLC	x						x	x	x	x	na	x	x	x	Y
Southern Trust Company, Inc.	x						x	x	x	x	na	x	x	x	Y
Jeffrey Epstein		x				x	x	x	x	x	x	x	x	x	Y*
J. Epstein & Co												x	x	x	Y*
Financial Trust Company												x	x	x	Y
Financial Infomatics												x	x	x	Y
Other documents received/Comments															
* Web searches revealed information also captured in RDC alert re: solicitation of prostitutes which has already been escalated to and approved by Chip Packard AML Compliance and Pat Harris.															

Reviewed By: Armen Brash Approval Date: August 20, 2013

KYC Checklist Epstein Southern

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