

**NAME SEARCHED: Jean Anne Brennan-Wiebracht**

PWM BIS-RESEARCH performed due diligence research in accordance with the standards set by AML Compliance for your business. We completed thorough searches on your subject name(s) in the required databases and have attached the search results under the correct heading below. Significant negative media results may require escalation to senior business, Legal and Compliance management. Also, all accounts involving PEPs must be escalated.

Search:	Result:	Click here for results:	Reviewer Comments (as necessary):
RDC	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	I. <a href="#">RDC Results</a>	No RDC alert (Please see attached)
PCR	<input checked="" type="checkbox"/> No Hit <input type="checkbox"/> Not Required <input type="checkbox"/> Hit	II. <a href="#">PCR Results</a>	No PCR alert (Please see attached)
BIS	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <input type="checkbox"/> Not Required	III. <a href="#">Negative Media</a>	No Information found
		IV. <a href="#">Non-Negative Media</a>	No Information found
		V. <a href="#">Other Language Media</a>	Not Required
D&B	Results? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not Required	VI. <a href="#">D&amp;B</a>	Not Required
Smartlinx	Results? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not Required	VII. <a href="#">Smartlinx</a>	No Information found
Court Cases	<input type="checkbox"/> Review by Legal May be Required <input checked="" type="checkbox"/> No Results <input type="checkbox"/> Search not required	VIII. <a href="#">Court Cases</a>	No Information found

Prepared by: Urvashi Lavania Date:10/24/2013  
Research Analyst

**Instructions:**

1. Review and confirm that all results are returned for your client.
2. Please note that you are still required to perform any Martindale-Hubbell search (if applicable) on each search subject. We have attached the web link below for your convenience: [Martindale-Hubbellhttp://www.martindale.com/xp/Martindale/home.xml](http://www.martindale.com/xp/Martindale/home.xml)
3. As needed, provide comment for any negative results.
4. If applicable, please obtain clearance from Compliance for all alerts.
5. Save any changes you make to this document and attach file to your KYC.

Please note: Submission of a signed KYC is your confirmation that you have fully reviewed the research documents.

For internal use only

SDNY\_GM\_00064892

**OFAC RESULTS**

**RDC:**

**11527836 No Match Found 6957494 Jean Anne Brennan-Wiebracht**

**Country: Virgin Islands, U.s. Date of Birth: 09/02/1960**

**PCR:**

C20131019358795 Jean Anne Brennan-Wiebracht 6957494 NCA customised Auto-Closed No-Hit  
24/10/2013

**BIS RESULTS**

**Negative Media:**

No Information Found

**Non-Negative Media:**

No Information Found

**Other Language Media:**

Not Required

**Public Records:**

No Information Found

**D&B:**

Not Required

**LEGAL RESULTS:**

**Court Cases:**

No Information Found

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