

World Check Details

Show Debug Info 

Details

Alert ID:	A141120234116	Alert Date:	13/11/2014
Score:	100%	Detection Check:	wc names
Best matches:	In WORLDCHECK: 03_name Data Source: = Richard Kahn Fuzzy Match >= 85% KAHN Richard	Customer_NCA_web	
Name:	Richard Kahn	Street/Number:	
DOB/DOI:	[REDACTED]	Zip Code/City:	
Nationality:		PEP Indicator:	<input type="checkbox"/>
Gender:		Customer ID:	
Country of Birth/Branch:		Alerted Customer ID:	[REDACTED]
Country of Residence:	United States	Comments:	null

World Check Details

Title:	-	UID:	1809519
First Name:	Richard	Category:	INDIVIDUAL
Last Name:	KAHN	Sub Category:	
Date of Birth:	-	Record Type:	Male
Place of Birth:	-	SSN:	-
Age:	-	Position:	-
Age (As of Date):	-	Entered:	01/08/2012
Date of Death:	-	Updated:	-

Names

no rows found

Countries

Country
USA

Locations

Location
~ State of Pennsylvania,~ USA

Companies

Link	Company
	PENNMONT SECURITIES

Passports

no rows found

World Check Association

Link	Linked To
	Keke KAHN
	David MILLER
	Virginia ATLEE

SDNY_GM_00064921

	Joann DELPIZZO MILLER
	William JOHNSTON
	George SNYDER
	Judith BUSCH
	Elizabeth ATLEE CARAPICO
	Cecilia CORAZA
	Elaine ENGLISH
	James ENGLISH CORAZA
	George REIFF
	Amy BECCONE
	Mary CORAZA
	Anthony MONTALBANO
	Arland FRIEND
	Judith FRIEND
	Constance ATLEE JOHNSTON

Further Information

.: [BIOGRAPHY] Limited partner with PennMont Securities. [IDENTIFICATION] To be determined. [REPORTS] Jan 2012 - found by the Business Conduct Committee to be in violation of NYSE Arca Equities Rule by failing to provide testimony as requested by FINRA. Apr 2012 - to be permanently barred from NYSE Arca membership unless testimony is provided within required time frame.

External Sources

External Source
<http://www.nyse.com/pdfs/12-ARCA-3.pdf>