

Account Holder Name	[REDACTED]		
GCIS #	[REDACTED]	Type of KYC	New Relationship
Case #	[REDACTED]		

Product(s)	Banking
products	
Account Value	<\$1 MM
Enter Country	USA
Entity Type	LLC
comments	

Ownership	Articles of Association/Inc./Org. Operating Agreement Articles of Organization - Filing Receipt and EIN Confirmation Letter <i>other:</i>
Legal Existence	Articles of Association/Inc./Org. <i>other:</i> Operating Agreement Certificate of Good Standing Articles of Organization - Filing Receipt and EIN Confirmation Letter
Signing Authority	Articles of Association/Inc./Org. Operating Agreement Articles of Organization - Filing Receipt and EIN Confirmation Letter <i>other:</i>
Entity Powers / Structure	Articles of Association/Inc./Org. Operating Agreement  Articles of Organization - Filing Receipt and EIN Confirmation Letter <i>other:</i>

Name	Account Holder	Member ≥ 25%	Member < 25%	Managing Member	Manager	Other Signatory	Source of Wealth	TIN or ID#	DOB	Street Address	Photo ID	PCR	RDC	BIS	Internet Searches--Clea
[REDACTED] (100% owner, sole member)	x						x	x	x	x	n/a	x	x	x	y
Richard Kahn (Manager)		x				x		x	x	x	x	2	x	x	y

**Other documents received/Comments**  
 e.c. = existing client

- 1) Richard Kahn has been KYCd, please refer to KYC #01133377 and #01133113 for more information.
- 2) When doing searches for Richard and [REDACTED], we received PCR and RDC Alerts that are false positives and have been cleared by AML Compliance. Please refer to the attachments on the KYC Case for more information.
- 3) Ran internet searches on all parties involved. Nothing indicating money laundering, tax evasion, fraud, financial or other criminal activity was uncovered and there was nothing that indicated a significant reputational risk which may lead to a bar of client adoption or further escalation.

Reviewed By: Melisa Venegas Approval Date: December 2, 2014