

Classification: For internal use only

Hi Amanda,

Please find the below approved clearance for [REDACTED].

Kind regards,
Andrew Kisz



Andrew Kisz

Associate | AML Compliance Officer

DB Services New Jersey, Inc.
Asset & Wealth Management
5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
Tel. +1 (904) 596-0971
Email [REDACTED]

Passion to Perform

----- Forwarded by Andrew Kisz/db/dbcom on 12/04/2013 01:58 PM -----

From: Jacqueline Lightbody/db/dbcom
To: Andrew Kisz/db/dbcom@DBAMERICAS,
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 12/04/2013 01:45 PM
Subject: Re: [REDACTED] [!]

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Hi Andrew,

In reviewing the alert against [REDACTED] and the previous attached approval by senior management for Jeffrey Epstein, I agree with your assessment . This alert can be cleared. I also recommend that the relationship be marked as "High Risk" to be consistent with the existing relationship with Jeffrey Epstein. Thanks.

Kind regards,

Jacqueline Lightbody



Jacqueline Lightbody

SDNY_GM_00065019

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DB-SDNY-0027844

EFTA_00175519

EFTA01299239

Vice President | AML Compliance Officer

Deutsche Bank Trust Company Americas
PWM AML Compliance
23rd Floor
60 Wall Street, 10005-2836 New York, NY, USA
Tel. +212(250)2685
Fax +646(259)3255
Email [REDACTED]

Passion to Perform

From: PWMUS AMLKYC/db/dbcom
To: Jacqueline Lightbody/db/dbcom@DBAMERICAS,
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 12/03/2013 12:18 PM
Subject: [REDACTED] (1)

Classification: For internal use only

Hi Jackie,

This is an escalation for negative media pertaining to [REDACTED]. [REDACTED] is a beneficiary of a trust wherein Jeffrey Epstein is the grantor. According to the business, [REDACTED] is not opening an account and has no authority on the trust account. The alert involves allegations against [REDACTED] of being a co-conspirator of several federal offenses. The same offenses resulted in Jeffrey Epstein being sent to jail, but the charges against [REDACTED] were dropped. The on-boarding of Jeffrey Epstein was previously cleared by Chip Packard (see attached) and subsequently cleared by AML Compliance based on that fact. Accordingly, AML Compliance is comfortable clearing this alert for the following reasons: 1) The account is already marked as high risk; 2) Chip Packard has reviewed and approved the on-boarding of the relationship with Jeffrey Epstein and obtained clearance from a reputational and AML risk perspective.



Jeffrey Epstein Clearance.pdf



Chip approval.pdf

From: PWMUS AMLKYC/db/dbcom
To: Jacqueline Lightbody/db/dbcom@DBAMERICAS,
Date: 10/09/2013 05:53 PM
Subject: DRAFT: [REDACTED] (1)

Classification: For internal use only

Kind regards,
Andrew Kisz



Andrew Kisz
Associate | AML Compliance Officer

DB Services New Jersey, Inc.
Asset & Wealth Management

SDNY_GM_00065020

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EFTA_00175520

EFTA01299240

5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
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Email [REDACTED]

Passion to Perform

From: Amanda Kirby/db/dbcom
To: PWMUS AMLKYC/db/dbcom@DBAmericas,
Cc: Andrew Kisz/db/dbcom@DBAMERICAS, PWMUS AMLKYC/db/dbcom@DBAMERICAS
Date: 10/09/2013 04:50 PM
Subject: Re: Fw: RDC ALERT - [REDACTED] [!]

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Hi Andrew,

This is part of the Jeffrey Epstein relationship. She is named as one of the beneficiaries of a trust that he is the Grantor of (Butterfly Trust). She is not opening an account and has no authority on the trust account

Best,
Amanda Kirby



Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
Tel. +1(212)454-6439
Fax +1(646)863-9836
Email [REDACTED]

Passion to Perform

From: PWMUS AMLKYC/db/dbcom
To: Amanda Kirby/db/dbcom@DBAMERICAS,
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 10/09/2013 04:44 PM
Subject: Re: Fw: RDC ALERT - [REDACTED] [!]

Classification: For internal use only

Hi Amanda,

Is this part of the Jeffrey Epstein relationship or is [REDACTED] opening a new account?

Kind regards,
Andrew Kisz

SDNY_GM_00065021

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DB-SDNY-0027846
EFTA_00175521

EFTA01299241



Andrew Kisz
Associate | AML Compliance Officer

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5022 Gate Parkway, Suite 400, 32256 Jacksonville, USA
Tel. +1 (904) 596-0971
Email [REDACTED]

Passion to Perform

From: Amanda Kirby/db/dbcom
To: PWMUS AMLKYC/db/dbcom@DBAmericas,
Cc: Michael Vila/db/dbcom@DBAMERICAS, Andrew Kisz/db/dbcom@DBAMERICAS, Andrea Klingenberg/db/dbcom@D
Date: 10/08/2013 01:29 PM
Subject: Fw: RDC ALERT - [REDACTED] [1]

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Hi,

The attached alert does pertain to our client. [REDACTED] was accused as a co-conspirator in a case but was never brought to trial nor ever convicted. She will also never have her own personal account here at DB. The account for which she will be associated is a trust account which names her as a beneficiary. She will have no authority over this account and will not be a client of the bank. Please clear this alert or let me know if you require further information (i.e. trust agreement)

Best,
Amanda Kirby



Amanda Kirby
Associate

Deutsche Bank Trust Company Americas
345 Park Avenue, 27th Fl
New York, NY, USA, 10154-0004
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Fax +1(646)863-9836
Email [REDACTED]

Passion to Perform

----- Forwarded by Amanda Kirby/db/dbcom on 10/08/2013 01:25 PM -----

SDNY_GM_00065022

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CONFIDENTIAL

DB-SDNY-0027847
EFTA_00175522

EFTA01299242

From: PWM BIS-Research/db/dbcom@DBAPAC
To: [REDACTED]
Cc: PWMUS AMLKYC/db/dbcom@DBAmericas
Date: 10/07/2013 03:52 PM
Subject: RDC ALERT - [REDACTED] [1]

Classification: For internal use only

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.

Receive confirmation from compliance that alert can be removed from the queue.

Include copies of all responses along with your KYC.

[attachment "[REDACTED] Alert 1.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "[REDACTED]

[REDACTED] Alert.pdf" deleted by Amanda Kirby/db/dbcom]

[attachment "[REDACTED] Alert 1.pdf" deleted by Jacqueline Lightbody/db/dbcom] [attachment

"[REDACTED] Alert.pdf" deleted by Jacqueline Lightbody/db/dbcom]

Thanks,

Ahsan Manzoor

SDNY_GM_00065023

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DB-SDNY-0027848
EFTA_00175523

EFTA01299243