

Amanda Kirby

From: Monifa Crawford on behalf of PWMUS AMLKYC
Sent: Friday, February 06, 2015 4:09 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: CLEARED: [REDACTED] rdc alert [1]
Attachments: [REDACTED] RDC Clearance.pdf, [REDACTED] rdc alert.pdf

Classification: For internal use only

Hi Amanda:

AML Compliance has reviewed the attached alerts for Ms. [REDACTED]. The alerts are a positive match to Ms. [REDACTED]. The first alert refers to a civil racketeering lawsuit, to which Ms. [REDACTED] was cleared of all charges. The second alert refers a 2008 suit in which Ms. [REDACTED] was named as a defendant which alleged that Mr. Epstein sexually abused underage girls. This suit was dropped, and Mr. Epstein plead guilty to soliciting prostitution and served a year in jail.

The attached clearance and senior management approval issued by AML Compliance on 12/4/2013 is applicable as clearance for the alerts. The new alerts came about as there is an update to KYC [REDACTED]. AML Compliance also confirmed that the relationship is still marked as High Risk, and Ms. [REDACTED] is one of several beneficiaries of a trust where Mr. Epstein is the Grantor of (Butterfly Trust). Ms. [REDACTED] is not opening an account and has no authority on the trust account. As such, the attached clearance is still applicable for both alerts.

(See attached file: [REDACTED] rdc alert.pdf)(See attached file: [REDACTED] RDC Clearance.pdf)

Kind Regards,
MC



Monifa Crawford, JD
Associate | AML Compliance Officer

Deutsche Bank Securities Inc.
Private Wealth Management - AML Compliance
5022 Gate Parkway, Suite 400.
Jacksonville, Florida 32256. USA
[REDACTED]

Visit us: <http://www.db.com>

Passion to Perform

----- Forwarded by Monifa Crawford/db/dbcom on 02/06/2015 03:23 PM -----

From: Amanda Kirby/[REDACTED]

To: PWMUS AMLKYC/db/ [REDACTED]
Date: 02/06/2015 12:59 PM
Subject: RE: FW: [REDACTED] rdc alert [I]

Classification: **For internal use only**

Hi,

Yes. This is part of the same suit which was settled in 2008. She was not found guilty of any crime and does not have anything on her record. Both alerts pertain to the same case. It was resolved and she was cleared

From: Monifa Crawford **On Behalf Of** PWMUS AMLKYC
Sent: Friday, February 06, 2015 12:55 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: RE: FW: [REDACTED] rdc alert [I]

Classification: For internal use only

Thanks.

Do you have any information on the second alert from the client? It does not appear that this was prior addressed in previous clearances. Has the sex offense suit been resolved?

MC

▼ Amanda Kirby---02/06/2015 12:48:35 PM---Classification: For internal use only Yes. This is still correct. She is only the beneficiary of the account and not opening he

From: Amanda Kirby/ [REDACTED]
To: PWMUS AMLKYC/db/ [REDACTED]
Date: 02/06/2015 12:48 PM
Subject: RE: FW: [REDACTED] rdc alert [I]

Classification: **For internal use only**

Yes. This is still correct. She is only the beneficiary of the account and not opening her own account.

From: Monifa Crawford **On Behalf Of** PWMUS AMLKYC
Sent: Friday, February 06, 2015 12:45 PM
To: Amanda Kirby
Cc: PWMUS AMLKYC

Subject: Re: FW: [REDACTED] rdc alert [I]

Classification: For internal use only

Hi Amanda:

The last time the alert was reviewed, Ms. [REDACTED] was named as one of the beneficiaries of a trust where Mr. Epstein was the Grantor of (Butterfly Trust). Ms. [REDACTED] was not opening an account and has no authority on the trust account.

Please advise if this is still her current status on the accounts with the Private Bank.

Kind Regards,
MC



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Passion to Perform.

▼ Amanda Kirby---02/06/2015 09:56:42 AM---Classification: For internal use only These alerts are from 2008. I requested research after October 2013 as the below had alre

From: Amanda Kirby/[REDACTED]
To: PWMUS AMLKYC/db/[REDACTED]
Date: 02/06/2015 09:56 AM
Subject: FW: [REDACTED] rdc alert [I]

Classification: **For internal use only**

These alerts are from 2008. I requested research after October 2013 as the below had already been cleared in the last KYC. Please clear again. Attached is the prior clearance.

From: Urvashi Lavania **On Behalf Of** PWM BIS-Research
Sent: Friday, February 06, 2015 9:52 AM
To: Amanda Kirby
Cc: PWMUS AMLKYC
Subject: [REDACTED] rdc alert [I]

Classification: For internal use only

Hello

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:
Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC.

(See attached file: [REDACTED] rdc alert.pdf)(See attached file: [REDACTED] rdc alert_1.pdf)(See attached file: [REDACTED] rdc alert_2.pdf)

Regards

Urvashi[attachment "[REDACTED] rdc alert.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] rdc alert_1.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] rdc alert_2.pdf" deleted by Monifa Crawford/db/dbcom] [attachment "[REDACTED] RDC Clearance.pdf" deleted by Monifa Crawford/db/dbcom]