

## Vijay-A Sawant

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**From:** Velmir Vejzovic  
**Sent:** Friday, June 01, 2018 7:00 PM  
**To:** Vijay-A Sawant  
**Cc:** PWMUS AMLKYC  
**Subject:** CLEARED: Fircosoft Alert \_ Darren K Indyke [I]  
**Attachments:** Fircosoft\_alert\_Darren K Indyke.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Classification: **For internal use only**

Hi Vijay,

AML Compliance has reviewed the Fircosoft alert generated on 5/31/18 for **Darren Indyke**, Director at Southern Trust Company Inc., indirect owner of Prytanee, LLC., existing client of the Private Bank since 2017.

AML Compliance clears the alert from an AML perspective as the alert subject matter contained in the current alert has been previously reviewed and cleared by AML Compliance on October 31, 2017. The previous clearance applies to the current alert, please attach prior clearance and this email to the KYC.

All the best,  
Velmir Vejzovic



Velmir Vejzovic  
Associate | AML Compliance Officer

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**From:** Vikram-D Singh **On Behalf Of** PWM BIS-Research  
**Sent:** Thursday, May 31, 2018 10:37 AM  
**To:** Vijay-A Sawant [REDACTED]  
**Cc:** PWMUS AMLKYC [REDACTED]; PWM BIS-Research <pwm.bis-research@db.com>  
**Subject:** Fircosoft Alert \_ Darren K Indyke

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.  
Receive confirmation from compliance that alert can be removed from the queue.  
Include copies of all responses along with your KYC

Thank you.



*Vikram Singh*

Analyst | PWM US Operations

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