

Vijay-A Sawant

From: Carl Timcke on behalf of PWMUS AMLKYC
Sent: Monday, June 04, 2018 6:29 PM
To: Vijay-A Sawant
Cc: PWMUS AMLKYC; Stewart Oldfield; Bradley Gillin
Subject: CLEARED: Fircosoft Alert_ Caroline Sophie Camille Lang [I]
Attachments: Fircosoft_alert_Caroline Sophie Camille Lang.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Classification: **For internal use only**

Good morning Vijay,

AML Compliance has reviewed the Fircosoft Alert generated 5/31/18 for Caroline Lang, Grantor/Trustee of The Pierre Trust; Grantor/UBO of The Pierre Trust which owns 50% of Prytanee, LLC, existing client of the Private Bank. Caroline is a Chairman at Sotheby's (Contemporary Art).

Our Party: Caroline Sophie Camille Lang, YOB [REDACTED], is Caroline is a Chairman at Sotheby's (Contemporary Art) Switzerland.

Photo: <http://www.sothebys.com/en/specialists/caroline-lang/bio.html>

Alert Subject: Caroline Lang, is an actress and a PEP due to her father, Jack Lang, a former member of the French Legislature.

<https://www.imdb.com/name/nm0485701/>

Photo: <https://www.gettyimages.co.uk/photos/caroline-lang?sort=mostpopular&mediatype=photography&phrase=caroline%20lang#>

AML Compliance clears this alert from AML perspective as a false positive as the occupation, physical appearance and location of Our Party do not match that of the Alert Subject.

Regards,

Carl Timcke



Carl Timcke
Associate | AML Compliance Officer

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Regulation, Compliance and Anti-Financial Crime
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From: Vijay-A Sawant
Sent: Friday, June 01, 2018 5:41 AM
To: PWMUS AMLKYC < pwmus.amlkyc@db.com >
Cc: Stewart Oldfield < [REDACTED] >; Bradley Gillin < bradley.gillin@db.com >
Subject: FW: Fircosoft_alert_ Caroline Sophie Camille Lang

Hello Team,

We are seeking your updated approval for the attached Fircosoft alert on [Caroline Lang](#), relating to KYC Case# [REDACTED].

Thanks in advance.

Regards,



Vijay Sawant

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