

Message

From: Carl Timcke [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=TIMCCARAEF]
on behalf of PWMUS AMLKYC [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=AMLKPWM-GXS284]
Sent: 6/1/2018 1:38:56 PM
To: Vijay-A Sawant [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Sawavija685]
CC: PWMUS AMLKYC [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Amlkpwm-gxs284]; Bradley Gillin [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Gillbra73c]; Stewart Oldfield [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Oldfsteac8]
Subject: CLEARED: RDC Alert _ Richard D Kahn [I]
Attachments: RDC_alert_Richard D Kahn 2.pdf; RDC_alert_Richard D Kahn.pdf; AML Clearance on Richard Kahn RDC alert.pdf
Flag: Follow up

Classification: **For internal use only**

Good morning Vijay,

AML Compliance has reviewed the RDC Alerts generated 5/30/18 for Richard D Kahn, signatory/ Director at Southern Trust Company Inc.; 50% owner of Prytane, LLC, existing client of the Private Bank. Both alerts contain the same subject material.

AML Compliance previously reviewed the subject matter of this alert and provided clearance on November 11, 2013 . I have attached the clearance for your reference. Please attach all attachments to the KYC.

Regards,

Carl Timcke



Carl Timcke
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime
5201 Gate Parkway, 2nd floor
Jacksonville, Florida 32256. USA
Tel. [REDACTED]
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Passion to Perform |

From:
Sent: Friday, June 01, 2018 5:35 AM

SDNY_GM_00065124

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DB-SDNY-0027949
EFTA_00175624

EFTA01299281

To: PWMUS AMLKYC <pwmus.amlkyc@db.com>

Cc: Stewart Oldfield <[REDACTED]>; Bradley Gillin [REDACTED]

Subject: Clearance Required on RDC Alert _ Richard D Kahn

Hello Team,

We are seeking your updated approval for the attached RDC alert on [Richard D Kahn](#), relating to KYC Case#**01946825**.

Previous approvals also attached in this email for your reference, thanks in advance.

Regards,



Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobil [REDACTED]

[REDACTED]

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