

Message

From: Velmir Vejzovic [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP
[REDACTED]]
Sent: 6/1/2018 1:31:08 PM
To: Vijay-A Sawant [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP
[REDACTED]]
CC: PWMUS AMLKYC [/O=EXCHANGE/OU=EXCHANGE ADMINISTRATIVE GROUP
[REDACTED]]
Subject: CLEARED: Fircosoft Alert _ Darren K Indyke [I]
Attachments: Fircosoft_alert_Darren K Indyke.pdf; CLEARED: PCR Alert- Darren Indyke [I]

Classification: **For internal use only**

Forgot to attached prior clearance on the original email.

All the best,
Velmir Vejzovic

From: Velmir Vejzovic
Sent: Friday, June 01, 2018 9:30 AM
To: Vijay-A Sawant [REDACTED]
Cc: PWMUS AMLKYC [REDACTED]
Subject: CLEARED: Fircosoft Alert _ Darren K Indyke [I]

Classification: **For internal use only**

Hi Vijay,

AML Compliance has reviewed the Fircosoft alert generated on 5/31/18 for **Darren Indyke**, Director at Southern Trust Company Inc., indirect owner of Prytanee, LLC., existing client of the Private Bank since 2017.

AML Compliance clears the alert from an AML perspective as the alert subject matter contained in the current alert has been previously reviewed and cleared by AML Compliance on October 31, 2017. The previous clearance applies to the current alert, please attach prior clearance and this email to the KYC.

All the best,
Velmir Vejzovic



Velmir Vejzovic
Associate | AML Compliance Officer

DB USA Core Corporation
Regulation, Compliance and Anti-Financial Crime
Anti-Financial Crime



SDNY_GM_00065131

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e) **CONFIDENTIAL**

DB-SDNY-0027956
EFTA_00175631

EFTA01299287

From: Vikram-D Singh **On Behalf Of** PWM BIS-Research

Sent: Thursday, May 31, 2018 10:37 AM

To: Vijay-A Sawant [REDACTED]

Cc: PWMUS AMLKYC <[REDACTED]>; PWM BIS-Research <[REDACTED]>

Subject: Fircosoft Alert _ Darren K Indyke

Hello,

Attached is an alert for the party mentioned above. Please note that clearance from compliance is required prior to KYC approval.

Please follow the instructions below:

Forward explanation of whether the alert pertains to your client directly to compliance.
Receive confirmation from compliance that alert can be removed from the queue.
Include copies of all responses along with your KYC

Thank you.



Vikram Singh

Analyst | PWM US Operations

DBOI Global Services Private Limited



 Please consider the environment before printing this e-mail

SDNY_GM_00065132

CONFIDENTIAL

CONFIDENTIAL – PURSUANT TO FED. R. CRIM. P. 6(e)

DB-SDNY-0027957
EFTA_00175632

EFTA01299288